## THE GENERAL ASSEMBLY OF PENNSYLVANIA

## **HOUSE BILL**

No. 2633 Session of 2022

INTRODUCED BY TOPPER, MAY 31, 2022

AS REPORTED FROM COMMITTEE ON STATE GOVERNMENT, HOUSE OF REPRESENTATIVES, AS AMENDED, JUNE 7, 2022

## AN ACT

1 2 3 4	Amending Title 5 (Athletics and Sports) of the Pennsylvania Consolidated Statutes, extensively revising the Uniform Athlete Agents Act; making repeals; and making an editorial change.
5	The General Assembly of the Commonwealth of Pennsylvania
6	hereby enacts as follows:
7	Section 1. The heading of Part II of Title 5 of the
8	Pennsylvania Consolidated Statutes is amended to read:
9	PART II
10	[ATHLETE AGENTS] <u>ATHLETES</u>
11	Section 2. Subparts A and B of Part II of Title 5 are
12	repealed:
13	[SUBPART A
14	GENERAL PROVISIONS
15	Chapter
16	31. Preliminary Provisions
17	CHAPTER 31
18	PRELIMINARY PROVISIONS
19	Sec.

- 1 3101. Short title of part.
- 2 3102. Definitions.
- 3 3103. Administration.
- 4 3104. Service of process.
- 5 3105. Subpoenas.
- 6 3106. Rules and regulations.
- 7 § 3101. Short title of part.
- 8 This part shall be known and may be cited as the Uniform
- 9 Athlete Agents Act.
- 10 § 3102. Definitions.
- 11 The following words and phrases when used in this part shall
- 12 have the meanings given to them in this section unless the
- 13 context clearly indicates otherwise:
- "Agency contract." Any contract or agreement in which an
- 15 individual or a student athlete authorizes or empowers a person
- 16 to negotiate or solicit on behalf of the individual or the
- 17 student athlete one or more professional sports services
- 18 contracts.
- "Athlete agent." A person who enters into an agency contract
- 20 with an individual or a student athlete or directly or
- 21 indirectly recruits or solicits an individual or a student
- 22 athlete to enter into an agency contract. The term does not
- 23 include a spouse, parent, sibling, son, daughter or grandparent
- 24 of the individual or student athlete, an individual acting
- 25 solely on behalf of a professional sports team or a coach,
- 26 trainer or other employee of a secondary or postsecondary school
- 27 who is acting on behalf of a student athlete of the same
- 28 secondary or postsecondary school, provided that such activities
- 29 are within the scope of employment of the coach, trainer or
- 30 other employee. The term includes a person who represents to the

- 1 public that the person is an athlete agent.
- 2 "Athletic director." An individual responsible for
- 3 administering the overall athletic program of an educational
- 4 institution or, if an educational institution has separately
- 5 administered athletic programs for male students and female
- 6 students, the athletic program for males or the athletic program
- 7 for females.
- "Commission." The State Athletic Commission.
- 9 "Contact." A communication, direct or indirect, between an
- 10 athlete agent and an individual or a student athlete to recruit
- 11 or solicit the individual or student athlete to enter into an
- 12 agency contract, including by telephonic, facsimile or other
- 13 electronic method, mail, electronic mail or personal means.
- "Conviction." A finding of guilt by a judge, jury, a plea of
- 15 quilty or a plea of nolo contendere.
- "Department." The Department of State of the Commonwealth.
- "Institution of higher education." A public or private
- 18 college or university, including a community college.
- "Intercollegiate sport." A sport played at the collegiate
- 20 level for which eligibility requirements for participation by a
- 21 student athlete are established by a national association for
- 22 the promotion or regulation of collegiate athletics.
- "Person." An individual, corporation, partnership, limited
- 24 liability company, association, joint venture, public
- 25 corporation or any other legal or commercial entity.
- 26 "Professional sports services contract." A contract or
- 27 agreement under which an individual is employed or agrees to
- 28 render services as a player on a professional sports team, with
- 29 a professional sports organization or as a professional athlete.
- "Record." Information that is inscribed on a tangible medium

- 1 or that is stored in an electronic or other medium and is
- 2 retrievable in perceivable form.
- "Registration." Registration as an athlete agent pursuant to
- 4 Chapter 33 (relating to registration).
- 5 "State." A state of the United States, the District of
- 6 Columbia, Puerto Rico, the United States Virgin Islands or any
- 7 territory or insular possession subject to the jurisdiction of
- 8 the United States.
- 9 "Student athlete." An individual who engages in, is eligible
- 10 to engage in or may be eligible in the future to engage in any
- 11 intercollegiate sport. If an individual is permanently
- 12 ineligible to participate in a particular intercollegiate sport,
- 13 the individual is not a student athlete for purposes of that
- 14 sport.
- 15 § 3103. Administration.
- The commission shall administer this part.
- 17 § 3104. Service of process.
- By engaging in the business of an athlete agent in this
- 19 Commonwealth, a nonresident individual appoints the Secretary of
- 20 the Commonwealth as the individual's agent to accept service of
- 21 process in any civil action related to the individual's business
- 22 as an athlete agent in this Commonwealth.
- 23 § 3105. Subpoenas.
- 24 The commission may issue subpoenas for any relevant material
- 25 under this part.
- 26 § 3106. Rules and regulations.
- The commission shall have the power and duty to adopt and
- 28 revise regulations in accordance with the act of June 25, 1982
- 29 (P.L.633, No.181), known as the Regulatory Review Act, as are
- 30 reasonably necessary to administer and effectuate the purposes

- 1 of this part.
- 2 SUBPART B
- REGISTRATION OF ATHLETE AGENTS
- 4 Chapter
- 5 33. Registration
- CHAPTER 33
- 7 REGISTRATION
- 8 Sec.
- 9 3301. Athlete agent registration.
- 10 3302. Application for registration.
- 11 3303. Issuance of registration.
- 12 3304. Denial of registration.
- 13 3305. Renewal of registration.
- 14 3306. Period of registration.
- 15 3307. Suspension, revocation, restriction or refusal to renew
- registration.
- 17 3308. Fees.
- 18 3309. Form of contract for student athletes.
- 19 3310. Notice to educational institution.
- 20 3311. Student athlete's right to cancel.
- 21 3312. Required records.
- 22 3313. Prohibited acts.
- 23 3314. Civil remedies.
- 24 3315. Administrative penalty.
- 25 3316. Bonding requirements.
- 26 3317. Exemption from registration and bonding requirement.
- 27 3318. Disposition of commission receipts.
- 28 3319. Records.
- 29 3320. Transferability of registration.
- 30 § 3301. Athlete agent registration.

- 1 (a) Certificate of registration required. -- Except as
- 2 otherwise provided in subsection (b), a person shall not
- 3 directly or indirectly serve or offer to serve as an athlete
- 4 agent in this Commonwealth before being issued a certificate of
- 5 registration under section 3303 (relating to issuance of
- 6 registration). An out-of-State agent must register if the agent
- 7 through direct or indirect contact recruits or solicits an
- 8 individual or student athlete to enter into an agency contract
- 9 or procures, offers, promises or attempts to obtain employment
- 10 for an individual or student athlete with a Pennsylvania
- 11 professional sports team as a professional athlete in this
- 12 Commonwealth where any one or more of the following conditions
- 13 apply:
- 14 (1) The athlete agent is a resident of this
- 15 Commonwealth.
- 16 (2) The athlete is a resident of this Commonwealth or is 17 attending an institution of higher education in this
- Commonwealth.
- 19 (3) The professional sports team has its home field or
- its corporate headquarters in this Commonwealth.
- 21 (b) Exception. -- An unregistered out-of-State agent or person
- 22 may act as an athlete agent in this Commonwealth before being
- 23 issued a certificate of registration for all purposes except
- 24 signing an agency contract if the following conditions are met:
- (1) A student athlete or another acting on behalf of the
- 26 student athlete initiates contact with the person.
- 27 (2) Within seven days after an initial act as an athlete
- agent, the person submits an application to register as an
- athlete agent in this Commonwealth.
- 30 (3) The unregistered out-of-State agent or person has

- never had registration issued under this chapter or a
- 2 predecessor statute revoked by the commission.
- 3 (c) Certain contracts void. -- An agency contract resulting
- 4 from conduct in violation of this section is void. The athlete
- 5 agent shall return any consideration received under the
- 6 contract.
- 7 § 3302. Application for registration.
- 8 (a) Procedure. -- An applicant for registration shall submit
- 9 an application for registration to the commission in writing on
- 10 a form supplied by the commission and approved by the
- 11 department. Except as otherwise provided in subsection (b), the
- 12 application must be in the name of an individual and be verified
- 13 by the applicant and must state, contain or be accompanied by:
- (1) The information required by this chapter and such
- other information as the commission may reasonably require.
- 16 (2) A processing fee of \$100.
- 17 (3) The name of the applicant and the address of the
- applicant's principal place of business.
- 19 (4) The name of the applicant's business or employer, if
- applicable.
- 21 (5) Any business or occupation engaged in by the
- applicant for the five years next preceding the date of
- submission of the application.
- (6) A description of the applicant's:
- (i) Formal training as an athlete agent.
- (ii) Practical experience as an athlete agent.
- 27 (iii) Educational background relating to the
- applicant's activities as an athlete agent.
- (7) The names and addresses of three individuals not
- related to the applicant who are willing to serve as

references.

- (8) The name, sport and last known team for each individual for whom the applicant provided services as an athlete agent during the five years next preceding the date of submission of the application.
  - (9) The names and addresses of all persons who are:
  - (i) With respect to the athlete agent's business if it is not a corporation, the partners, officers, associates or profit-sharers.
  - (ii) With respect to a corporation employing the athlete agent, the officers, directors and any shareholder of the corporation with a 5% or greater interest.
  - (10) Whether the applicant or any other person named pursuant to paragraph (9) has been convicted of a crime that, if committed in this Commonwealth, would be a felony or other crime involving moral turpitude, and identify the crime.
  - (11) Whether there has been any administrative or judicial determination that the applicant or any other person named pursuant to paragraph (9) has made a false, misleading, deceptive or fraudulent representation.
  - or any other person named pursuant to paragraph (9) resulted in the imposition of a sanction, suspension or declaration of ineligibility to participate in an interscholastic or intercollegiate athletic event on a student athlete or educational institution.
  - (13) Any sanction, suspension or disciplinary action taken against the applicant or any other person named pursuant to paragraph (9) arising out of occupational or

- 1 professional conduct.
- 2 (14) Whether there has been any denial of an application
- for, suspension or revocation of or refusal to renew the
- 4 registration or licensure of the applicant or any other
- 5 person named pursuant to paragraph (9) as an athlete agent in
- any state.
- 7 (b) Out-of-State agents.--A person who has submitted an
- 8 application for and received a certificate of registration or
- 9 licensure as an athlete agent in another state may submit a copy
- 10 of the application and a valid certificate of registration or
- 11 licensure from the other state in lieu of submitting an
- 12 application in the form prescribed pursuant to subsection (a).
- 13 The commission shall accept the application and the certificate
- 14 from the other state as an application for registration in this
- 15 Commonwealth if the application to the other state:
- (1) Was submitted in the other state within the six
- months next preceding the submission of the application in
- this Commonwealth and the applicant certifies the information
- contained in the application is current.
- (2) Contains information substantially similar to or
- 21 more comprehensive than that required in an application
- submitted in this Commonwealth.
- 23 (3) Was signed by the applicant.
- 24 (4) The unregistered out-of-State agent has never had
- registration issued under this chapter or a predecessor
- statute revoked by the commission.
- 27 (c) Criminal background check. -- Every applicant shall
- 28 complete an application or process as may be necessary to
- 29 request the Pennsylvania State Police to provide a copy of the
- 30 applicant's criminal history record to the commission.

- 1 § 3303. Issuance of registration.
- 2 Except as otherwise provided in section 3304 (relating to
- 3 denial of registration), the commission shall issue a
- 4 certificate of registration to a person:
- 5 (1) Who complies with section 3302(a) and (c) (relating
- to application for registration).
- 7 (2) Whose application has been accepted under section
- 8 3302 (b).
- 9 (3) Who is 21 years of age or older.
- 10 (4) Who possesses good moral character.
- 11 (5) Who neither at the time of application nor within
- ten years prior to the time of application has been finally
- found to have participated in any conduct that led to the
- 14 imposition of sanctions against an individual or student
- athlete, institution of higher education or professional
- sports team by any association or organization that
- 17 establishes rules for the conduct of amateur or professional
- sports.
- 19 § 3304. Denial of registration.
- 20 (a) Grounds. -- The commission may refuse to issue a
- 21 certificate of registration if the commission determines that
- 22 the applicant has engaged in conduct that has a significant
- 23 adverse effect on the applicant's fitness to serve as an athlete
- 24 agent. In making the determination, the commission may consider
- 25 whether the applicant has:
- (1) Made a materially false, misleading, deceptive or
- fraudulent representation as an athlete agent or in the
- application.
- (2) Engaged in conduct that would disqualify the
- applicant from serving in a fiduciary capacity.

1 (3) Engaged in conduct prohibited by section 3313 (relating to prohibited acts). 2 Had a registration or licensure as an athlete agent 3 suspended, revoked or denied or been refused renewal of 4 registration or licensure in any state. 5 (5) Engaged in conduct that significantly adversely 6 7 reflects on the applicant's credibility, honesty or 8 integrity. 9 (b) Additional considerations. -- In making a determination 10 under subsection (a), the commission shall consider: 11 (1) How recently the conduct occurred. 12 (2) The nature of the conduct and the context in which 13 it occurred. 14 (3) Any other relevant conduct of the applicant. (c) Issuance of registration prohibited. -- The commission 15 16 shall not issue athlete agent registration to or renew the athlete agent registration of a person who has been convicted of 17 any of the following offenses, or any comparable offense in 18 19 another jurisdiction, within ten years of the date of 20 application: 21 (1) An offense under the act of April 14, 1972 (P.L.233, No.64), known as The Controlled Substance, Drug, Device and 22 23 Cosmetic Act. 24 (2) An offense under the following provisions of 18 Pa.C.S. (relating to crimes and offenses): 25 26 Section 902 (relating to criminal solicitation). Section 908 (relating to prohibited offensive 27 28 weapons). 29 Section 2502 (relating to murder). Section 2709(a)(4), (5), (6) or (7) (relating to 30

1	harassment).
2	Section 2709.1 (relating to stalking).
3	Section 2901 (relating to kidnapping).
4	Section 3121 (relating to rape).
5	Section 3126 (relating to indecent assault).
6	Section 3923 (relating to theft by extortion).
7	Section 4109 (relating to rigging publicly exhibited
8	contest).
9	Section 4302 (relating to incest).
10	Section 4304 (relating to endangering welfare of
11	children).
12	Section 4305 (relating to dealing in infant
13	children).
14	Section 4701 (relating to bribery in official and
15	political matters).
16	Section 4902 (relating to perjury).
17	Section 5501 (relating to riot).
18	Section 5512 (relating to lotteries, etc.).
19	Section 5513 (relating to gambling devices, gambling,
20	etc.).
21	Section 5514 (relating to pool selling and
22	bookmaking).
23	Section 5901 (relating to open lewdness).
24	Section 5902 (relating to prostitution and related
25	offenses).
26	Section 5903 (relating to obscene and other sexual
27	materials and performances).
28	Section 5904 (relating to public exhibition of insane
29	or deformed person).
30	Section 6301 (relating to corruption of minors).

- Section 6312 (relating to sexual abuse of children).
- Section 7107 (relating to unlawful actions by athlete
- agents).
- 4 (3) The commission shall not issue registration to any
- 5 person who has been found by the commission to have engaged
- in the activities of an athlete agent in this Commonwealth
- 7 without acquiring registration as required by this chapter
- 8 within 12 months prior to the date of application.
- 9 § 3305. Renewal of registration.
- 10 (a) Procedure. -- An athlete agent may apply to renew a
- 11 registration by submitting an application for renewal in writing
- 12 on a form supplied by the commission and approved by the
- 13 department. The application for renewal must be in the name of
- 14 an individual and be verified by the applicant and must state,
- 15 contain or be accompanied by:
- (1) Current information on all matters required in an
- original registration.
- 18 (2) Such other information as the commission may
- reasonably require.
- 20 (3) A processing fee of \$100.
- 21 (b) Out-of-state agents. -- An individual who has submitted an
- 22 application for renewal of registration or licensure in another
- 23 state, in lieu of submitting an application for renewal in the
- 24 form prescribed under subsection (a), may file a copy of the
- 25 application for renewal and a valid certificate of registration
- 26 from the other state. The commission shall accept the
- 27 application for renewal from the other state as an application
- 28 for renewal in this Commonwealth if the application to the other
- 29 state:
- 30 (1) Was submitted to the other state within the last six

- 1 months and the applicant certifies the information contained
- in the application for renewal is current.
- 3 (2) Contains information substantially similar to or
- 4 more comprehensive than that required in an application for
- 5 renewal submitted in this Commonwealth.
- 6 (3) Was verified by the applicant.
- 7 (4) The unregistered out-of-State agent has never had
- 8 registration issued under this chapter or a predecessor
- 9 statute revoked by the commission.
- 10 § 3306. Period of registration.
- A certificate of registration or a renewal of a registration
- 12 is valid for two years.
- 13 § 3307. Suspension, revocation, restriction or refusal to renew
- registration.
- (a) Investigation. -- The commission or its designee may
- 16 review the operations of all registered athlete agents and shall
- 17 prepare a written report for review by the commission.
- (b) Cease and desist order. -- The commission may issue an
- 19 immediate cease and desist order against an athlete agent who
- 20 has been found preliminarily by the commission to have committed
- 21 a violation of 18 Pa.C.S. § 7107 (relating to unlawful actions
- 22 by athlete agents) or a violation of this chapter. Within 20
- 23 days of issuance of the cease and desist order, the commission
- 24 shall conduct a hearing to determine whether the cease and
- 25 desist order should be dissolved or made permanent.
- 26 (c) Registration suspension, revocation, restriction or
- 27 refusal to renew. -- The commission may suspend, revoke, restrict
- 28 or otherwise limit registration or refuse to renew a
- 29 registration for conduct that would have justified denial of
- 30 registration under section 3304(a) (relating to denial of

- 1 registration).
- 2 (d) Notice and hearing. -- The commission may deny, suspend,
- 3 revoke, restrict or otherwise limit registration or refuse to
- 4 renew a registration only after proper notice and an opportunity
- 5 for a hearing in accordance with 2 Pa.C.S. Ch. 5 Subch. A
- 6 (relating to practice and procedure of Commonwealth agencies).
- 7 (e) Reciprocal disciplinary or corrective action. -- The
- 8 commission may deny, suspend, revoke, restrict or otherwise
- 9 limit registration or refuse to renew a registration of an
- 10 athlete agent on the basis of a disciplinary or corrective
- 11 action having been taken against the athlete agent in another
- 12 state, territory, possession or country, a branch of the Federal
- 13 Government or by an athletic association.
- 14 § 3308. Fees.
- (a) Amounts. -- An application for registration or renewal of
- 16 registration must be accompanied by a fee in the following
- 17 amount:
- 18 (1) \$200 for an initial application for registration for
- an individual or sole proprietor and \$400 for a partnership,
- association, corporation or other legal entity.
- 21 (2) \$150 for an application for registration based upon
- a certificate of registration or licensure issued by another
- state for an individual or sole proprietor and \$300 for a
- 24 partnership, association, corporation or other legal entity.
- 25 (3) \$200 for an application for renewal of registration
- for an individual or sole proprietor and \$400 for a
- partnership, association, corporation or other legal entity.
- (4) \$150 for an application for renewal of registration
- 29 based upon an application for renewal of registration or
- 30 licensure submitted in another state for an individual or

- sole proprietor and \$400 for a partnership, association,
- 2 corporation or other legal entity.
- 3 (b) Fee increase. -- If the revenues raised by fees, fines and
- 4 civil penalties imposed in accordance with this chapter are not
- 5 sufficient to match the expenditures necessary to carry out the
- 6 provisions of this chapter, the commission shall increase those
- 7 fees by regulation so that the revenues match the expenditures.
- 8 § 3309. Form of contract for student athletes.
- 9 (a) Form generally. -- An agency contract must be in a record
- 10 and signed by the parties.
- 11 (b) Contents. -- An agency contract must state or contain:
- 12 (1) The amount and method of calculating the
- consideration to be paid by the student athlete for services
- to be provided by the athlete agent under the contract and
- any other consideration the athlete agent has received or
- will receive from any other source for entering into the
- contract or for providing the services.
- 18 (2) The name of any person not listed in the application
- for registration or renewal who will be compensated because
- the student athlete signed the agency contract.
- 21 (3) A description of any expenses that the student
- athlete agrees to reimburse.
- 23 (4) A description of the services to be provided to the
- 24 athlete student.
- 25 (5) The duration of the contract.
- 26 (6) The date of execution.
- 27 (c) Notice. -- An agency contract must contain in close
- 28 proximity to the signature of the student athlete a conspicuous
- 29 notice in boldface type in capital letters stating:
- 30 WARNING TO STUDENT ATHLETE

- If you sign this contract:
- 2 (1) You may lose your eligibility to compete as a student athlete in your sport.
- 4 (2) Both you and your athlete agent are required to tell 5 your athletic director, if you have an athletic director, and 6 the commission within 72 hours after entering into an agency 7 contract.
- 8 (3) You may cancel this contract within 14 days after 9 signing it. Cancellation of the contract may not reinstate 10 your eligibility.
- (d) Contracts not in conformity. -- An agency contract that
- 12 does not conform to this section is voidable by the student
- 13 athlete.
- (e) Contract copy to student athlete. -- The athlete agent
- 15 shall give a copy of the signed agency contract to the student
- 16 athlete at the time of signing.
- 17 § 3310. Notice to educational institution.
- Within 72 hours after entering into an agency contract or
- 19 before the next scheduled athletic event in which the student
- 20 athlete may participate, whichever occurs first:
- 21 (1) The athlete agent shall give notice in a record of
- the existence of the contract to the athletic director of the
- educational institution at which the student athlete is
- 24 enrolled or the athlete agent has reasonable grounds to
- believe the student athlete intends to enroll and to the
- commission.
- 27 (2) The student athlete shall inform the athletic
- director of the educational institution at which the student
- 29 athlete is enrolled that the student athlete has entered into
- an agency contract and to the commission.

- 1 § 3311. Student athlete's right to cancel.
- 2 (a) Procedure. -- A student athlete may cancel an agency
- 3 contract by giving notice in a record to the athlete agent of
- 4 the cancellation within 14 days after the contract is signed.
- 5 (b) Waiver not permitted. -- A student athlete may not waive
- 6 the right to cancel an agency contract.
- 7 (c) Consideration. -- If a student athlete cancels an agency
- 8 contract, the student athlete is not required to pay any
- 9 consideration under the contract or to return any consideration
- 10 received from the agent to induce the student athlete to enter
- 11 into the contract.
- 12 § 3312. Required records.
- (a) General rule. -- An athlete agent shall retain the
- 14 following records for a period of five years:
- 15 (1) The name and address of each individual represented
- by the athlete agent.
- 17 (2) Any agency contract entered into by the athlete
- 18 agent.
- 19 (3) Any direct costs incurred by the athlete agent in
- the recruitment or solicitation of a student athlete.
- 21 (b) Records open to inspection. -- Records required by
- 22 subsection (a) to be retained are open to inspection by the
- 23 commission during normal business hours.
- 24 § 3313. Prohibited acts.
- An athlete agent may not:
- 26 (1) Initiate contact with a student athlete unless
- 27 registered under this part.
- 28 (2) Refuse or willfully fail to retain or permit
- inspection of the records required by section 3312 (relating
- to required records).

- 1 Violate section 3301 (relating to athlete agent 2 registration) by failing to register. Provide materially false or misleading information 3 in an application for registration or renewal of 4 5 registration. (5) Predate or postdate an agency contract. 6 7 Fail to notify a student athlete prior to the student athlete's signing an agency contract for a particular 8 9 sport that the signing by the student athlete may make the student athlete ineligible to participate as a student 10 11 athlete in that sport. (7) Enter into an oral or written contract or 12 professional sport services contract with a student athlete 13 14 before the student athlete's eligibility for collegiate athletics has expired. 15 (8) Before the student athlete's eligibility for 16 17 collegiate athletics has expired, give, offer or promise anything of value to: 18 19 (i) a student athlete; 20 (ii) any member of the student athlete's immediate 21 family; or
- 22 (iii) any individual who substantially contributes 23 to the economic support of the student athlete. For 24 purposes of this subparagraph, an individual shall be 25 deemed to have substantially contributed to the economic 26 support of a student athlete if the individual provides 27 25% or more of the cost of tuition, room and board and incidental expenses of the student athlete's education or 28 29 provides to the student athlete at minimal or no cost 30 non-college-based lodging or meals or transportation to

- and from college classes.
- 2 (9) Give, offer or promise an oral or written contract
- which would require the athlete agent to give, offer or
- 4 promise anything of value to any employee of an institution
- of higher education in return for a referral of a student
- athlete by the employee.
- 7 (10) Engage in the activities of an athlete agent
- 8 without a current valid registration.
- 9 (11) Violate any provision of this part or regulation of
- the commission.
- 11 § 3314. Civil remedies.
- 12 (a) Right of action. -- An educational institution has a right
- 13 of action against an athlete agent or a former student athlete
- 14 for damages caused by a violation of this part or for a
- 15 violation of 18 Pa.C.S. § 7107 (relating to unlawful actions by
- 16 athlete agents). In an action under this section, the court may
- 17 award to the prevailing party costs and reasonable attorney
- 18 fees.
- 19 (b) Damages. -- Damages of an educational institution under
- 20 subsection (a) include losses and expenses incurred because, as
- 21 a result of the activities of an athlete agent or former student
- 22 athlete, the educational institution was injured by a violation
- 23 of this part or was penalized, disqualified or suspended from
- 24 participation in athletics by a national association for the
- 25 promotion and regulation of athletics, by an athletic conference
- 26 or by reasonable self-imposed disciplinary action taken to
- 27 mitigate sanctions.
- (c) Accrual of action. -- A right of action under this section
- 29 does not accrue until the educational institution discovers or
- 30 by the exercise of reasonable diligence would have discovered

- 1 the violation by the athlete agent or former student athlete.
- 2 (d) Liability. -- Any liability of the athlete agent or the
- 3 former student athlete under this section is several and not
- 4 joint.
- 5 (e) Other rights and remedies. -- This part does not restrict
- 6 rights, remedies or defenses of any person under law.
- 7 § 3315. Administrative penalty.
- 8 (a) Civil penalty. -- The commission may assess a civil
- 9 penalty against an athlete agent not to exceed \$25,000 per
- 10 violation of this part or per violation of 18 Pa.C.S. § 7107
- 11 (relating to unlawful actions by athlete agents).
- 12 (b) Injunctive relief. -- The commission may, in the name of
- 13 the people of this Commonwealth, through the Office of Attorney
- 14 General, apply for injunctive relief in any court of competent
- 15 jurisdiction to enjoin any person from committing any act in
- 16 violation of this chapter. Injunctive relief shall be in
- 17 addition to and not in lieu of all penalties and other remedies
- 18 in this chapter.
- 19 § 3316. Bonding requirements.
- 20 (a) Amount.--Before any athlete agent registration is
- 21 issued, the applicant shall be required to execute and file a
- 22 surety bond with the commission in such reasonable amount, but
- 23 not less than \$20,000, as the commission shall require.
- (b) Bond forms. -- All bonds shall be upon forms supplied by
- 25 the commission and which have been approved by the department.
- 26 All bonds shall be accompanied by a \$25 filing fee.
- (c) Conditions of bond. -- The surety bond shall be
- 28 conditioned upon the following:
- (1) Compliance with this chapter.
- 30 (2) The payment of all sums due a person at the time the

- 1 sums are due and payable.
- 2 (3) The payment of damages suffered by any person as a
- 3 result of intentional or unintentional misstatements,
- 4 misrepresentation, fraud, deceit or unlawful or negligent
- 5 acts of the student athlete agent while acting as a student
- athlete agent.
- 7 (d) Alternate security. --
- 8 (1) In lieu of a surety bond, a registrant may deposit
- 9 with the commission cash, a certified check or a letter of
- credit in an equivalent amount. The provisions of this
- section regarding bonds shall apply to the alternate security
- provided for in this subsection.
- 13 (2) The security shall not be returned to a registrant
- 14 until one year after the student athlete agent's registration
- has expired. After that time if there are no claims against
- the registered athlete agent, the alternate security shall be
- returned to the depositor.
- (e) Recovery on bond. -- Recovery may be had on a bond or
- 19 deposit of alternate security in the same manner as penalties
- 20 are recoverable at law.
- 21 § 3317. Exemption from registration and bonding requirement.
- 22 (a) Immediate family members. -- Athlete agents who are
- 23 representing an immediate family member are exempt from the
- 24 provisions of this chapter.
- 25 (b) Definition.--As used in this section, the term
- 26 "immediate family" means a spouse, parent, sibling, son,
- 27 daughter or grandparent.
- 28 § 3318. Disposition of commission receipts.
- 29 All fees, civil penalties, forfeitures and other moneys
- 30 collected under this chapter and the regulations of the

- 1 commission shall be paid into the Athletic Commission
- 2 Augmentation Account.
- 3 § 3319. Records.
- A record of all persons registered under this chapter shall
- 5 be kept in the office of the commission and shall be open to
- 6 public inspection and copying upon payment of a nominal fee for
- 7 copying the record.
- 8 § 3320. Transferability of registration.
- 9 No registration issued under this chapter shall be assignable
- 10 or transferable. In the event of a corporate change of status,
- 11 the entity must register within 90 days.]
- 12 Section 3. Title 5 is amended by adding a chapter to read:
- 13 CHAPTER 35
- 14 ATHLETE AGENTS
- 15 Sec.
- 16 <u>3501</u>. Short title of chapter.
- 17 3502. Definitions.
- 18 3503. Commission; authority; procedure.
- 19 3504. Athlete agent; registration required; void contract.
- 20 3505. Registration as athlete agent; application; requirements;
- 21 reciprocal registration.
- 22 <u>3506. Certificate of registration; issuance or denial; renewal.</u>
- 23 <u>3507</u>. Suspension, revocation or refusal to renew registration.
- 24 3508. Temporary registration.
- 25 3509. Registration and renewal fees.
- 26 3510. Required form of agency contract.
- 27 <u>3511. Notice to educational institution.</u>
- 28 <u>3512. Student athlete's right to cancel.</u>
- 29 3513. Required records.
- 30 3514. Prohibited conduct.

- 1 <u>3515</u>. Criminal penalty.
- 2 <u>3516. Civil remedy.</u>
- 3 3517. Civil penalty.
- 4 3518. Uniformity of application and construction.
- 5 3519. Relation to Electronic Signatures in Global and National
- 6 <u>Commerce Act.</u>
- 7 <u>3520</u>. <u>Disposition of receipts</u>.
- 8 § 3501. Short title of chapter.
- 9 This chapter shall be known and may be cited as the Revised
- 10 <u>Uniform Athlete Agents Act (2015)</u>.
- 11 § 3502. Definitions.
- 12 The following words and phrases when used in this chapter
- 13 shall have the meanings given to them in this section unless the
- 14 context clearly indicates otherwise:
- 15 "Agency contract." An agreement in which a student athlete
- 16 <u>authorizes a person to negotiate or solicit on behalf of the</u>
- 17 student athlete a professional-sports-services contract or an
- 18 endorsement contract.
- 19 "Athlete agent." The following apply:
- (1) The term means an individual, whether or not
- 21 registered under this chapter, who:
- (i) directly or indirectly:
- 23 (A) recruits or solicits a student athlete to
- 24 <u>enter into an agency contract; or</u>
- 25 (B) for compensation, procures employment or
- offers, promises, attempts or negotiates to obtain
- 27 <u>employment for a student athlete as a professional</u>
- athlete or member of a professional sports team or
- 29 <u>organization;</u>
- 30 (ii) for compensation or in anticipation of

Τ	compensation related to a student athlete's participation
2	<pre>in athletics:</pre>
3	(A) serves the student athlete in an advisory
4	capacity on a matter related to finances, business
5	pursuits or career management decisions, unless the
6	individual is an employee of an educational
7	institution acting exclusively as an employee of the
8	educational institution for the benefit of the
9	educational institution; or
10	(B) manages the business affairs of the student
11	athlete by providing assistance with bills, payments,
12	contracts or taxes; or
13	(iii) in anticipation of representing a student
14	athlete for a purpose related to the student athlete's
15	participation in athletics:
16	(A) gives consideration to the student athlete
17	or another person;
18	(B) serves the student athlete in an advisory
19	capacity on a matter related to finances, business
20	pursuits or career management decisions; or
21	(C) manages the business affairs of the student
22	athlete by providing assistance with bills, payments,
23	contracts or taxes.
24	(2) The term does not include an individual who:
25	(i) acts solely on behalf of a professional sports
26	team or organization; or
27	(ii) is a licensed, registered or certified
28	professional and offers or provides services to a student
29	athlete customarily provided by members of the
30	profession, unless the individual:

Τ	(A) also recruits or solicits the student
2	athlete to enter into an agency contract;
3	(B) also, for compensation, procures employment
4	or offers, promises, attempts or negotiates to obtain
5	employment for the student athlete as a professional
6	athlete or member of a professional sports team or
7	organization; or
8	(C) receives consideration for providing the
9	services calculated using a different method than for
10	an individual who is not a student athlete.
11	"Athletic director." The individual responsible for
12	administering:
13	(1) the overall athletic program of an educational
14	<pre>institution; or</pre>
15	(2) if an educational institution has separately
16	administered athletic programs for male students and female
17	students, the athletic program for males or the athletic
18	program for females, as appropriate.
19	"Commission." The State Athletic Commission.
20	"Communicating or attempting to communicate." Contacting or
21	attempting to contact by an in-person meeting, a record or any
22	other method which conveys or attempts to convey a message.
23	"Educational institution." Any public or private elementary
24	school, secondary school, technical or vocational school,
25	community college, college or university.
26	"Endorsement contract." An agreement under which a student
27	athlete is employed or receives consideration to use on behalf
28	of the other party value that the student athlete may have
29	because of publicity, reputation, following or fame obtained
30	because of athletic ability or performance.

- 1 "Enroll." To register for courses and attend athletic
- 2 practice or class.
- 3 "Enrolled." Registered for courses and attending athletic
- 4 practice or class.
- 5 <u>"Intercollegiate sport." A sport played at the collegiate</u>
- 6 <u>level for which eligibility requirements for participation by a</u>
- 7 <u>student athlete are established by a national association which</u>
- 8 promotes or regulates collegiate athletics.
- 9 "Interscholastic sport." A sport played between educational
- 10 institutions which are not community colleges, colleges or
- 11 universities.
- 12 "Licensed, registered or certified professional." An
- 13 <u>individual licensed</u>, registered or certified as an attorney, a
- 14 <u>dealer in securities</u>, a financial planner, an insurance agent, a
- 15 <u>real estate broker or sales agent, a tax consultant, an</u>
- 16 <u>accountant or a member of a profession who is licensed</u>,
- 17 registered or certified by the State or a nationally recognized
- 18 organization which licenses, registers or certifies members of
- 19 the profession on the basis of experience, education or testing.
- 20 The term does not include an athlete agent.
- 21 "Person." Any individual, estate, business or nonprofit
- 22 entity, public corporation, government or governmental
- 23 subdivision, agency or instrumentality or other legal entity.
- 24 "Professional-sports-services contract." An agreement under
- 25 which an individual is employed as a professional athlete or
- 26 agrees to render services as a player on a professional sports
- 27 <u>team or with a professional sports organization.</u>
- 28 "Record." Information that is inscribed on a tangible medium
- 29 or that is stored in an electronic or other medium and is
- 30 retrievable in perceivable form.

- 1 <u>"Recruit or solicit." An attempt to influence the choice of</u>
- 2 an athlete agent by a student athlete or, if the student athlete
- 3 <u>is a minor, a parent or guardian of the student athlete. The</u>
- 4 term does not include giving advice on the selection of a
- 5 particular athlete agent in a family, coaching or social
- 6 <u>situation unless the individual giving the advice does so</u>
- 7 because of the receipt or anticipated receipt of an economic
- 8 benefit, directly or indirectly, from the athlete agent.
- 9 <u>"Registration."</u> Registration as an athlete agent under this
- 10 chapter.
- 11 <u>"Secretary." The Secretary of the Commonwealth.</u>
- 12 <u>"Sign." With present intent to authenticate or adopt a</u>
- 13 <u>record:</u>
- 14 <u>(1) to execute or adopt a tangible symbol; or</u>
- 15 (2) to attach to or logically associate with the record
- 16 <u>an electronic symbol, sound or process.</u>
- 17 "State." A state of the United States, the District of
- 18 Columbia, Puerto Rico, the United States Virgin Islands or any
- 19 territory or insular possession subject to the jurisdiction of
- 20 the United States.
- 21 "Student athlete." An individual who is eliqible to attend
- 22 an educational institution and engages in, is eligible to engage
- 23 in or may be eligible in the future to engage in, any
- 24 interscholastic or intercollegiate sport. The term does not
- 25 include an individual permanently ineligible to participate in a
- 26 particular interscholastic or intercollegiate sport for that
- 27 sport.
- 28 § 3503. Commission; authority; procedure.
- 29 <u>(a) Regulations.--The commission may promulgate regulations</u>
- 30 to implement this chapter.

- 1 (b) Agent for service of process. -- By acting as an athlete
- 2 agent in this Commonwealth, a nonresident individual appoints
- 3 the secretary as the individual's agent for service of process
- 4 <u>in a civil action in this Commonwealth related to the individual</u>
- 5 <u>acting as an athlete agent in this Commonwealth.</u>
- 6 (c) Subpoenas. -- The commission may issue a subpoena for
- 7 <u>material which is relevant to the administration of this</u>
- 8 <u>chapter.</u>
- 9 § 3504. Athlete agent; registration required; void contract.
- 10 (a) Prohibition. -- Except as specified in subsection (b), an
- 11 individual may not act as an athlete agent in this Commonwealth
- 12 without holding a certificate of registration under this
- 13 <u>chapter.</u>
- 14 (b) Acting as athlete agent. -- Before being issued a
- 15 <u>certificate of registration under this chapter</u>, an individual
- 16 may act as an athlete agent in this Commonwealth for all
- 17 purposes except signing an agency contract, if:
- 18 (1) a student athlete or another person acting on behalf
- of the student athlete initiates communication with the
- 20 individual; and
- 21 (2) not later than seven days after an initial act which
- 22 requires the individual to register as an athlete agent, the
- 23 <u>individual submits an application for registration as an</u>
- 24 athlete agent in this Commonwealth.
- 25 (c) Contract void. -- An agency contract resulting from
- 26 conduct in violation of this section is void, and the athlete
- 27 <u>agent shall return consideration received under the contract.</u>
- 28 § 3505. Registration as athlete agent; application;
- 29 <u>requirements; reciprocal registration.</u>
- 30 (a) Application. -- Except as specified in subsection (b), an

- 1 applicant for registration as an athlete agent must submit an
  2 application for registration to the commission in a form
- 3 prescribed by the commission. The applicant must be an
- 4 individual, and the application must be signed by the applicant
- 5 under penalty of perjury. The application must contain at least
- 6 the following:
- 7 (1) Name, date and place of birth of the applicant and
- 8 <u>all of the following contact information for the applicant:</u>
- 9 <u>(i) Address of the applicant's principal place of</u>
- 10 <u>business.</u>
- 11 <u>(ii) Work and mobile telephone numbers.</u>
- 12 <u>(iii) Any means of communicating electronically,</u>
- including a facsimile number, an electronic mail address
- and personal and business or employer websites.
- 15 (2) Name of the applicant's business or employer, if
- 16 <u>applicable</u>, including for each business or employer its
- 17 <u>mailing address, telephone number, organization form and the</u>
- 18 nature of the business.
- 19 (3) Each social media account with which the applicant
- or the applicant's business or employer is affiliated.
- 21 (4) Each business or occupation in which the applicant
- 22 engaged within five years before the date of the application,
- including self-employment and employment by others, and any
- 24 professional or occupational license, registration or
- 25 <u>certification held by the applicant during that time.</u>
- 26 (5) Description of the applicant's:
- 27 <u>(i) formal training as an athlete agent;</u>
- 28 (ii) practical experience as an athlete agent; and
- 29 <u>(iii) educational background relating to the</u>
- applicant's activities as an athlete agent.

1	(6) Statement of LISTING the:
2	(i) name of each student athlete for whom the
3	applicant acted as an athlete agent within five years
4	before the date of the application or, if the student
5	athlete is a minor, name of the parent or guardian of the
6	student athlete; and
7	(ii) student athlete's sport and last known team.
8	(7) Name and address of each person that:
9	(i) is a partner, member, officer, manager,
10	associate or profit sharer or directly or indirectly
11	holds an equity interest of 5% or greater of the athlete
12	agent's business if the business is not a corporation;
13	<u>and</u>
14	(ii) is an officer or director of a corporation
15	employing the athlete agent or a shareholder having an
16	interest of five percent or greater in the corporation.
17	(8) Description of the status of each application by the
18	applicant or a person named under paragraph (7) for a Federal
19	or state business, professional or occupational license,
20	other than as an athlete agent, from a Federal or state
21	agency. This paragraph includes the denial, refusal to renew,
22	suspension, withdrawal or termination of the license and each
23	reprimand or censure related to the license.
24	(9) Whether the applicant or a person named under
25	<pre>paragraph (7) has pleaded guilty or no contest to, has been</pre>
26	convicted of or has charges pending for an offense which
27	involves moral turpitude or would constitute a felony if
28	committed in this Commonwealth. For an offense subject to
29	this paragraph, the application must identify:
30	(i) the offense;

1	(ii) the law enforcement agency involved; and
2	(iii) if applicable, the date of the plea or
3	conviction and the penalty imposed.
4	(10) Whether, within 15 years before the date of
5	application, the applicant or a person named under paragraph
6	(7) has been a defendant or respondent in a civil proceeding,
7	including a proceeding under 20 Pa.C.S. Ch. 55 Subch. C
8	(relating to appointment of guardian; bonds; removal and
9	discharge). For a proceeding under this paragraph, the
10	application must state the date and a full explanation of the
11	proceeding.
12	(11) Whether the applicant or a person named under
13	paragraph (7) has an unsatisfied judgment or a judgment of
14	continuing effect, including an order under 23 Pa.C.S. Ch. 37
15	(relating to alimony and support) or 43 (relating to support
16	matters generally), which is not current at the date of the
17	application.
18	(12) Whether, within 10 years before the date of
19	application, the applicant or a person named under paragraph
20	(7) was adjudicated bankrupt or was an owner of a business
21	that was adjudicated bankrupt.
22	(13) Whether there has been any administrative or
23	judicial determination that the applicant or a person named
24	under paragraph (7) made a false, misleading, deceptive or
25	fraudulent representation.
26	(14) Each instance in which conduct of the applicant or
27	a person named under paragraph (7) resulted in:
28	(i) imposition on a student athlete of a sanction,
29	suspension or declaration of ineligibility to participate
30	in an interscholastic, intercollegiate or professional

1	athletic event; or
2	(ii) imposition of a sanction on an educational
3	institution.
4	(15) Each sanction, suspension or disciplinary action
5	taken against the applicant or a person named under paragraph
6	(7) arising out of occupational or professional conduct.
7	(16) Whether there has been a denial of an application
8	for, suspension or revocation of, refusal to renew or
9	abandonment of the registration of the applicant or a person
10	named under paragraph (7) as an athlete agent in any state.
11	(17) Each state in which the applicant currently is
12	registered as an athlete agent or has applied to be
13	registered as an athlete agent.
14	(18) If the applicant is certified or registered by a
15	professional league or players association:
16	(i) name of the league or association;
17	(ii) date of certification or registration and, if
18	applicable, date of expiration of the certification or
19	registration;
20	(iii) date of denial of an application for,
21	suspension or revocation of, refusal to renew, withdrawal
22	of or termination of the certification or registration;
23	<u>and</u>
24	(iv) date or OF reprimand or censure related to the <
25	certification or registration.
26	(19) Additional information required by the commission.
27	(b) Alternative application Instead of proceeding under
28	subsection (a), an individual registered as an athlete agent in
29	another state may apply for registration as an athlete agent in
30	this Commonwealth by submitting to the commission:

1	(1) a copy of the application for registration in the
2	other state;
3	(2) a statement which identifies every material change
4	in the information on the application or verifies there is no
5	material change in the information, signed under penalty of
6	perjury; and
7	(3) a copy of the certificate of registration from the
8	other state.
9	(c) Certificate of registration The commission shall issue
10	a certificate of registration to an individual who applies for
11	registration under subsection (b) if the commission determines
12	all of the following:
13	(1) The application and registration requirements of the
14	other state are substantially similar to or more restrictive
15	than this chapter.
16	(2) The registration has not been revoked or suspended
17	by the other state.
18	(3) No action involving the individual's conduct as an
19	athlete agent is pending against the individual or the
20	individual's registration in any state.
21	(d) Implementation For purposes of implementing subsection
22	(c), the commission shall:
23	(1) cooperate with national organizations concerned with
24	athlete agent issues and with agencies in other states which
25	register athlete agents to develop a common registration form
26	and determine which states have laws that are substantially
27	similar to or more restrictive than this chapter; and
28	(2) exchange information, including information related
29	to actions taken against registered athlete agents or their
30	registrations, with those organizations and agencies.

- 1 § 3506. Certificate of registration; issuance or denial;
- 2 renewal.
- 3 (a) Issuance. -- Except as specified in subsection (b), the
- 4 <u>commission shall issue a certificate of registration to an</u>
- 5 applicant for registration who complies with section 3505(a)
- 6 (relating to registration as athlete agent; application;
- 7 <u>requirements; reciprocal registration</u>).
- 8 (b) Refusal.--The commission may refuse to issue a
- 9 <u>certificate of registration to an applicant for registration</u>
- 10 under section 3505(a) if the commission determines that the
- 11 applicant has engaged in conduct that significantly adversely
- 12 reflects on the applicant's fitness to act as an athlete agent.
- 13 <u>In making the determination</u>, the commission may consider whether
- 14 the applicant has:
- 15 (1) pleaded guilty or no contest to, has been convicted
- of or has charges pending for an offense which involves moral
- 17 turpitude or would constitute a felony if committed in this
- 18 <u>Commonwealth;</u>
- 19 (2) made a materially false, misleading, deceptive or
- fraudulent representation in the application or as an athlete
- 21 agent;
- 22 (3) engaged in conduct which would disqualify the
- 23 applicant from serving in a fiduciary capacity;
- 24 (4) violated section 3514 (relating to prohibited
- conduct);
- 26 (5) had a registration as an athlete agent suspended,
- 27 <u>revoked or denied in any state;</u>
- 28 (6) been refused renewal of registration as an athlete
- 29 agent in any state;
- 30 (7) engaged in conduct resulting in:

1	(i) imposition on a student athlete of a sanction,
2	suspension or declaration of ineligibility to participate
3	in an interscholastic, intercollegiate or professional
4	athletic event; or
5	(ii) imposition of a sanction on an educational
6	<pre>institution; or</pre>
7	(8) engaged in conduct which adversely reflects on the
8	applicant's credibility, honesty or integrity.
9	(c) Determination In making a determination under
10	subsection (b), the commission shall consider:
11	(1) how recently the conduct occurred;
12	(2) the nature of the conduct and the context in which
13	it occurred; and
14	(3) other relevant conduct of the applicant.
15	(d) Renewal of registration An athlete agent registered
16	under subsection (a) may apply to renew the registration by
17	submitting an application for renewal in a form prescribed by
18	the commission. The applicant must sign the application under
19	penalty of perjury and include current information on all
20	matters required in an original application for registration.
21	(e) Renewal in other state
22	(1) An athlete agent registered under section 3505(c)
23	may renew the registration by:
24	(i) proceeding under subsection (d); or
25	(ii) if the registration in the other state has been
26	renewed, submitting to the commission copies of the
27	application for renewal in the other state and the
28	renewed registration from the other state.
29	(2) The commission shall renew the registration if the
30	<pre>commission determines that:</pre>

- 1 <u>(i) the registration requirements of the other state</u>
  2 are substantially similar to or more restrictive than
- 3 <u>this chapter;</u>
- 4 <u>(ii) the renewed registration has not been suspended</u>
  5 or revoked; and
- 6 (iii) no action involving the individual's conduct
- as an athlete agent is pending against the individual or
- 8 <u>the individual's registration in any state.</u>
- 9 (f) Validity. -- A certificate of registration or renewal of
- 10 registration under this chapter is valid for two years.
- 11 § 3507. Suspension, revocation or refusal to renew
- 12 <u>registration.</u>
- 13 (a) Authority. -- The commission may limit, suspend, revoke or
- 14 refuse to renew a registration of an individual registered under
- 15 <u>section 3506(a) (relating to certificate of registration;</u>
- 16 <u>issuance or denial; renewal</u>) for conduct which would have
- 17 justified refusal to issue a certificate of registration under
- 18 section 3506(b).
- 19 (b) Suspension or revocation. -- The commission may suspend or
- 20 revoke the registration of an individual registered under
- 21 section 3505(c) (relating to registration as athlete agent;
- 22 application; requirements; reciprocal registration) or renewed
- 23 under section 3506(e) for a reason for which the commission
- 24 could have refused to grant or renew registration or for conduct
- 25 which would justify refusal to issue a certificate of
- 26 registration under section 3506(b).
- 27 § 3508. Temporary registration.
- The commission may issue a temporary certificate of
- 29 registration as an athlete agent while an application for
- 30 registration or renewal of registration is pending.

- 1 § 3509. Registration and renewal fees.
- 2 (a) Registration fee. -- An application for registration or
- 3 renewal of registration shall be accompanied by a fee in the
- 4 <u>following amounts:</u>
- 5 (1) For an initial application for registration or an
- 6 <u>application for renewal of registration for an individual or</u>
- 7 sole proprietor, \$200.
- 8 (2) For an application for registration or an
- 9 application for renewal of registration based upon a
- 10 certificate of registration or licensure issued by another
- 11 <u>state for an individual or a sole proprietor, \$150.</u>
- 12 (b) Fee increase. -- The commission may increase the fees in
- 13 <u>subsection</u> (a), by regulation, if the revenues raised by fees,
- 14 fines and civil penalties imposed under this chapter are not
- 15 <u>sufficient to cover the costs of the commission to implement the</u>
- 16 provisions of this chapter. Any increase in fee may not exceed
- 17 the amounts necessary to fund the commission to carry out the
- 18 provisions of this chapter.
- 19 § 3510. Required form of agency contract.
- 20 (a) Requirement. -- An agency contract must be in a record
- 21 signed by the parties.
- 22 (b) Contents of contract. -- An agency contract must contain:
- 23 (1) a statement that the athlete agent is registered as
- an athlete agent in this Commonwealth and a list of any other
- 25 states in which the athlete agent is registered as an athlete
- 26 agent;
- 27 (2) the amount and method of calculating the
- 28 consideration to be paid by the student athlete for services
- 29 <u>to be provided by the athlete agent under the contract and</u>
- 30 any other consideration the athlete agent has received or

Τ	<u>will receive from any other source for entering into the</u>
2	contract or providing the services;
3	(3) the name of any person that:
4	(i) is not listed in the athlete agent's application
5	for registration or renewal of registration; and
6	(ii) will be compensated because the student athlete
7	signed the contract;
8	(4) a description of any expenses the student athlete
9	agrees to reimburse;
10	(5) a description of the services to be provided to the
11	student athlete;
12	(6) the duration of the contract; and
13	(7) the date of execution.
14	(c) Notice Subject to subsection (g), an agency contract
15	must contain a conspicuous notice in boldface type and in
16	substantially the following form:
17	WARNING TO STUDENT ATHLETE
18	IF YOU SIGN THIS CONTRACT:
19	(1) IF YOU ENTER INTO NEGOTIATIONS FOR, OR SIGN, A
20	PROFESSIONAL-SPORTS-SERVICES CONTRACT, YOU MAY LOSE YOUR
21	ELIGIBILITY TO COMPETE AS A STUDENT ATHLETE IN YOUR SPORT;
22	(2) IF YOU HAVE AN ATHLETIC DIRECTOR, WITHIN 72 HOURS
23	AFTER SIGNING THIS CONTRACT OR BEFORE THE NEXT SCHEDULED
24	ATHLETIC EVENT IN WHICH YOU PARTICIPATE, WHICHEVER OCCURS
25	FIRST, BOTH YOU AND YOUR ATHLETE AGENT MUST NOTIFY YOUR
26	ATHLETIC DIRECTOR THAT YOU HAVE ENTERED INTO THIS CONTRACT
27	AND PROVIDE THE NAME AND CONTACT INFORMATION OF THE ATHLETE
28	AGENT; AND
29	(3) YOU MAY CANCEL THIS CONTRACT WITHIN 14 DAYS AFTER
30	SIGNING IT.

- 1 (d) Signed acknowledgment. -- An agency contract must be
- 2 accompanied by a separate record:
- 3 (1) signed by:
- 4 (i) the student athlete; or
- 5 (ii) if the student athlete is a minor, the parent
- 6 <u>or quardian of the student athlete; and</u>
- 7 (2) acknowledging that signing the contract may result
- 8 <u>in the loss of the student athlete's eligibility to</u>
- 9 participate in the student athlete's sport.
- 10 (e) Voided contract. -- A student athlete or, if the student
- 11 athlete is a minor, the parent or quardian of the student
- 12 athlete may void an agency contract which does not conform to
- 13 this section. If the contract is voided, consideration received
- 14 from the athlete agent under the contract to induce entering
- 15 <u>into the contract is not required to be returned.</u>
- 16 (f) Copies. -- At the time an agency contract is executed, the
- 17 athlete agent shall give the student athlete or, if the student
- 18 athlete is a minor, the parent or quardian of the student
- 19 athlete a copy in a record of the contract and the separate
- 20 acknowledgment required by subsection (d).
- 21 (q) Minors.--If a student athlete is a minor the notice
- 22 under subsection (c) must be revised in accordance with
- 23 subsection (d)(1)(ii).
- 24 § 3511. Notice to educational institution.
- 25 (a) (Reserved).
- 26 (b) Athlete agent notice. -- If an athlete agent and a student
- 27 <u>athlete enter into an agency contract</u>, all of the following
- 28 apply:
- 29 (1) The athlete agent shall give notice in a record of
- 30 the existence of the agency contract to the athletic director

1	<u>of:</u>
2	(i) the educational institution at which the student
3	athlete is enrolled; or
4	(ii) an educational institution at which the athlete
5	agent has reasonable grounds to believe the student
6	athlete intends to enroll.
7	(2) Notice under paragraph (1) shall be given not later
8	than the earlier of:
9	(i) 72 hours after entering into an agency contract;
10	<u>or</u>
11	(ii) before the next scheduled athletic event in
12	which the student athlete may participate.
13	(c) Student athlete notice If a student athlete and an
14	athlete agent enter into an agency contract, all of the
15	<pre>following apply:</pre>
16	(1) The student athlete shall inform the athletic
17	director of the educational institution at which the student
18	<pre>athlete is enrolled:</pre>
19	(i) that the student athlete has entered into an
20	agency contract; and
21	(ii) of the name and contact information of the
22	athlete agent.
23	(2) Notice under paragraph (1) shall be given not later
24	than the earlier of:
25	(i) 72 hours after entering into an agency contract;
26	<u>or</u>
27	(ii) before the next scheduled athletic event in
28	which the student athlete may participate.
29	(d) Notice after enrollment
30	(1) This subsection applies if:

1	<u>(i) an athlete agent enters into an agency contract</u>
2	with a student athlete; and
3	(ii) the student athlete subsequently enrolls in an
4	educational institution.
5	(2) If paragraph (1) applies, the athlete agent shall
6	notify the athletic director of the educational institution
7	of the existence of the contract not later than 72 hours
8	after the athlete agent knew or should have known the student
9	athlete enrolled.
10	(e) Notice of relationship
11	(1) This subsection applies if:
12	(i) an athlete agent has a relationship with a
13	student athlete; and
14	(ii) the student athlete subsequently:
15	(A) enrolls in an educational institution; and
16	(B) receives an athletic scholarship from the
17	educational institution.
18	(2) If paragraph (1) applies, the athlete agent shall
19	notify the educational institution of the relationship not
20	later than 10 days after the enrollment if the athlete agent
21	knows or should have known of the enrollment and:
22	(i) the relationship was motivated in whole or part
23	by the intention of the athlete agent to recruit or
24	solicit the student athlete to enter an agency contract
25	in the future; or
26	(ii) the athlete agent directly or indirectly
27	recruited or solicited the student athlete to enter an
28	agency contract before the enrollment.
29	(f) Notice prior to communication An athlete agent shall
30	give notice in a record to the athletic director of the

- 1 educational institution at which a student athlete is enrolled
- 2 before the athlete agent communicates or attempts to communicate
- 3 with:
- 4 <u>(1) the student athlete or, if the student athlete is a</u>
- 5 minor, a parent or quardian of the student athlete, to
- 6 <u>influence the student athlete or parent or guardian to enter</u>
- 7 into an agency contract; or
- 8 (2) another individual to have that individual influence
- 9 the student athlete or, if the student athlete is a minor,
- 10 <u>the parent or guardian of the student athlete to enter into</u>
- 11 <u>an agency contract.</u>
- 12 (g) Communication initiated by student athlete.--If a
- 13 communication or attempt to communicate with an athlete agent is
- 14 initiated by a student athlete or another individual on behalf
- 15 of the student athlete, the athlete agent shall notify in a
- 16 record the athletic director of the educational institution at
- 17 which the student athlete is enrolled. The notification must be
- 18 made not later than 10 days after the communication or attempt.
- 19 (h) Notification of violation. -- An educational institution
- 20 which becomes aware of a violation of this chapter by an athlete
- 21 <u>agent shall provide notice of the violation to:</u>
- 22 (1) the commission; and
- 23 (2) any professional league or players association with
- 24 which the athlete agent is licensed or registered, if the
- 25 educational institution is aware of the licensure or
- 26 registration.
- 27 (I) NOTIFICATION OF AGENCY CONTRACT.--THE ATHLETIC DIRECTOR <--
- 28 OF THE EDUCATIONAL INSTITUTION AT WHICH THE STUDENT ATHLETE IS
- 29 ENROLLED SHALL GIVE NOTICE IN A RECORD OF THE EXISTENCE OF THE
- 30 AGENCY CONTRACT TO THE COMMISSION WITHIN 72 HOURS OF RECEIVING

- 1 NOTICE UNDER SUBSECTIONS (B), (C), (D), (E), (F) AND (G).
- 2 § 3512. Student athlete's right to cancel.
- 3 (a) Right.--A student athlete or, if the student athlete is
- 4 <u>a minor, the parent or quardian of the student athlete may</u>
- 5 cancel an agency contract by giving notice in a record of
- 6 <u>cancellation to the athlete agent not later than 14 days after</u>
- 7 the contract is signed.
- 8 (b) Waiver prohibited. -- A student athlete or, if the student
- 9 athlete is a minor, the parent or guardian of the student
- 10 athlete may not waive the right to cancel an agency contract.
- 11 (c) Return of consideration. -- If a student athlete, parent
- 12 or quardian cancels an agency contract, the student athlete,
- 13 parent or quardian is not required to pay consideration under
- 14 the contract or return consideration received from the athlete
- 15 agent to influence the student athlete to enter into the
- 16 contract.
- 17 § 3513. Required records.
- 18 (a) Records. -- An athlete agent shall create and retain for
- 19 five years records of the following:
- 20 (1) the name and address of each individual represented
- 21 by the athlete agent;
- 22 (2) each agency contract entered into by the athlete
- 23 agent; and
- 24 (3) the direct costs incurred by the athlete agent in
- 25 <u>the recruitment or solicitation of each student athlete to</u>
- 26 <u>enter into an agency contract.</u>
- 27 (b) Inspection. -- Records under subsection (a) shall be open
- 28 to inspection by the commission.
- 29 § 3514. Prohibited conduct.
- 30 An athlete agent may not intentionally do any of the

## 1 <u>following:</u>

	<del></del>
2	(1) Give a student athlete or, if the student athlete is
3	a minor, a parent or guardian of the student athlete
4	materially false or misleading information or make a
5	materially false promise or representation with the intent to
6	influence the student athlete, parent or guardian to enter
7	into an agency contract.
8	(2) Furnish anything of value to a student athlete or
9	another individual if the thing of value may result in loss
L O	of the student athlete's eligibility to participate in the
.1	athlete's sport, unless:
_2	(i) the athlete agent notifies the athletic director
13	of the educational institution at which the student
4	athlete is enrolled or at which the athlete agent has
5	reasonable grounds to believe the athlete intends to
_6	enroll, not later than 72 hours after giving the thing of
_7	value; and
_8	(ii) the student athlete or, if the student athlete
_9	is a minor, a parent or guardian of the student athlete
20	acknowledges to the athlete agent in a record that
21	receipt of the thing of value may result in loss of the
22	athlete's eligibility to participate in the student
23	athlete's sport.
24	(3) Initiate contact, directly or indirectly, with a
25	student athlete or, if the student athlete is a minor, a
26	parent or guardian of the student athlete, to recruit or
27	solicit the student athlete, parent or guardian to enter an
2	agency contract unless registered under this chanter

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records required by section 3513 (relating to required

(4) Fail to create, retain or permit inspection of the

- 1 <u>records</u>).
- 2 (5) Fail to register when required under section 3504
- 3 (relating to athlete agent; registration required; void
- 4 <u>contract</u>).
- 5 (6) Provide materially false or misleading information
- 6 <u>in an application for registration or renewal of</u>
- 7 registration.
- 8 <u>(7) Predate or postdate an agency contract.</u>
- 9 (8) Fail to notify a student athlete or, if the student
- 10 athlete is a minor, a parent or quardian of the student
- 11 <u>athlete, before the student athlete, parent or guardian signs</u>
- 12 <u>an agency contract for a particular sport that the signing</u>
- 13 <u>may result in loss of the student athlete's eligibility to</u>
- 14 participate in the student athlete's sport.
- 15 (9) Encourage another individual to do any of the
- prohibited activities under paragraph (1), (2), (3), (4),
- 17 (5), (6), (7) or (8) on behalf of the athlete agent.
- 18 (10) Encourage another individual to assist any other
- 19 individual in doing any of the prohibited activities under
- 20 paragraph (1), (2), (3), (4), (5), (6), (7) or (8) on behalf
- of the athlete agent.
- 22 § 3515. Criminal penalty.
- 23 An athlete agent who violates section 3514 (relating to
- 24 prohibited conduct) commits a misdemeanor of the third degree.
- 25 § 3516. Civil remedy.
- 26 (a) Cause of action.--
- 27 <u>(1) An educational institution or a student athlete may</u>
- 28 bring an action for damages against an athlete agent if the
- 29 educational institution or student athlete is adversely
- affected by an act or omission of the athlete agent in

1	violation of this chapter.
2	(2) An educational institution is adversely affected by
3	an act or omission of an athlete agent only if, because of
4	the act or omission, the educational institution:
5	(i) is suspended or disqualified from participation
6	in an interscholastic or intercollegiate sports event by
7	or under the rules of a state or national federation or
8	association which promotes or regulates interscholastic
9	or intercollegiate sports; or
10	(ii) suffers financial damage.
11	(3) A student athlete is adversely affected by an act or
12	omission of an athlete agent only if all of the following
13	<pre>apply:</pre>
14	(i) At the time of the the act or omission, the
15	student athlete was enrolled in an educational
16	institution.
17	(ii) Because of the act or omission, the student
18	<pre>athlete:</pre>
19	(A) is suspended or disqualified from
20	participation in an interscholastic or
21	intercollegiate sports event by or under the rules of
22	a state or national federation or association which
23	promotes or regulates interscholastic or
24	<pre>intercollegiate sports; or</pre>
25	(B) suffers financial damage.
26	(b) Recovery A plaintiff that prevails in an action under
27	this section may recover actual damages and court costs. An
28	athlete agent found liable under this section forfeits any right
29	of payment for anything of benefit or value provided to the
30	student athlete and shall refund consideration paid to the

- 1 athlete agent by or on behalf of the student athlete.
- 2 § 3517. Civil penalty.
- 3 (a) Administrative penalty. -- The commission may assess an
- 4 <u>administrative penalty against an athlete agent not to exceed</u>
- 5 \$50,000 for a violation of this chapter.
- 6 (b) Administrative agency law. -- A penalty under this section
- 7 <u>is subject to 2 Pa.C.S. Chs. 5 Subch. A (relating to practice</u>
- 8 <u>and procedure of Commonwealth agencies</u>) and 7 Subch. A (relating
- 9 to judicial review of Commonwealth agency action).
- 10 § 3518. Uniformity of application and construction.
- In applying and construing this uniform act, consideration
- 12 must be given to the need to promote uniformity of the law with
- 13 respect to its subject matter among states that enact it.
- 14 § 3519. Relation to Electronic Signatures in Global and
- National Commerce Act.
- To the extent permitted by section 102 of the Electronic
- 17 Signatures in Global and National Commerce Act (Public Law 106-
- 18 229, 15 U.S.C. § 7002), this chapter may supersede provisions of
- 19 that act.
- 20 § 3520. Disposition of receipts.
- 21 All fees, civil penalties, forfeitures and other money
- 22 collected under this chapter and the regulations of the
- 23 commission shall be paid into the Athletic Commission
- 24 Augmentation Account.
- 25 Section 4. Repeals are as follows:
- 26 (1) The General Assembly declares that the repeal under
- 27 paragraph (2) is necessary to effectuate the addition of 5
- 28 Pa.C.S. Ch. 35.
- 29 (2) 18 Pa.C.S. § 7107 is repealed insofar as it is
- 30 inconsistent with this act.

1 Section 5. This act shall take effect in 60 days.