THE GENERAL ASSEMBLY OF PENNSYLVANIA

SENATE BILL No. 34 Session of 2013

INTRODUCED BY SMUCKER, WILLIAMS, MENSCH, TOMLINSON, VULAKOVICH, BROWNE, WHITE, RAFFERTY, WAUGH, BAKER, PILEGGI AND BOSCOLA, MARCH 15, 2013

SENATOR FOLMER, EDUCATION, AS AMENDED, APRIL 16, 2013

AN ACT

1 2 3 4 5 6 7 8 9 10	Amending the act of December 12, 1973 (P.L.397, No.141), entitled "An act relating to certification of teachers in the public schools of the Commonwealth and creating a Professional Standards and Practices Commission," making extensive substantive and editorial changes; providing for imposition of discipline on additional grounds, for imposition of discipline on founded and indicated reports, for confidentiality, for subpoenas and for disposition of fees and fines collected; and establishing the Professional Educator Discipline Account.
11	The General Assembly of the Commonwealth of Pennsylvania
12	hereby enacts as follows:
13	Section 1. Sections 1.1 and 1.2 of the act of December 12,
14	1973 (P.L.397, No.141), known as the Professional Educator
15	Discipline Act, added December 20, 2000 (P.L.918, No.123), are
16	amended to read:
17	Section 1.1. Short TitleThis act shall be known and may
18	be cited as the [Professional] Educator Discipline Act.
19	Section 1.2. DefinitionsWhen used in this act, the
20	following words and phrases shall have the following meanings:
21	"Administrator" shall mean [a person who is a commissioned

officer or holds a valid administrative certificate.] an 1 2 educator who holds a letter of eligibility, a commission, an 3 administrative certificate or a supervisory certificate or who serves in a school entity in a position that supervises the 4 educational operations of a school building, a school program or 5 6 <u>a school system.</u> 7 "Certificate" shall mean any Commonwealth of Pennsylvania 8 certificate, commission, letter of eligibility or permit issued under the act of March 10, 1949 (P.L.30, No.14), known as the 9 10 "Public School Code of 1949," or under the act of January 28, 1988 (P.L.24, No.11), known as the "Private Academic Schools 11 12 Act." The term includes a certificate or letter of eligibility that is invalid or inactive as defined in 22 Pa. Code § 49.2 13 14 (relating to inactivity and invalidity).

15 "Charter or cyber charter school" shall mean a school 16 established pursuant to Article XVII-A of the act of March 10, 1949 (P.L.30, No.14), known as the "Public School Code of 1949." 17 18 "Charter or cyber charter school staff member" shall mean an individual employed by a charter or cyber charter school in a 19 position for which [State] certification would be required in a 20 public school other than a charter or cyber charter school but 21 who is not required to hold [State] certification under section 22 23 1724-A of the act of March 10, 1949 (P.L.30, No.14), known as 24 the "Public School Code of 1949." The term includes an individual who is an administrator, including the chief 25 26 administrator or the individual with primary responsibility for 27 the administration of the charter or cyber charter school. 28 "Chief school administrator" shall mean the superintendent or 29 chief executive officer of a school district, the executive director of an intermediate unit, the director of an area 30

20130SB0034PN0930

- 2 -

1	vocational-technical school, the chief administrator of a
2	charter or cyber charter school, the director of a private
3	academic school or the chief administrator of a contracted
4	educational provider.
5	"Child" shall mean an individual who is less than 18 years of
6	age.
7	"Commission" shall mean the Professional Standards and
8	Practices Commission.
9	"Contracted educational provider" shall mean an individual or
10	an entity with which a school entity has contracted to provide
11	direct educational services to its students.
12	<u>"Contracted educational provider staff member" shall mean a</u>
13	person who:
14	(1) serves in a position for which certification would be
15	required in a public school; and
16	(2) is employed by a contracted educational provider or by
17	or in a school entity as an individual contracted educational
18	provider.
19	The term includes an individual who is an administrator,
20	including the chief administrator or the individual with primary
21	responsibility for the administration of a contracted
22	educational provider.
23	"Department" shall mean the Department of Education <u>of the</u>
24	Commonwealth.
25	"Discipline" shall mean [any one of the following actions]
26	any of the following:
27	(1) [Issue a private] <u>Private</u> reprimand.
28	(2) [Issue a public] <u>Public</u> reprimand.
29	(3) [Direct the department to suspend the certificate of a
30	professional educator] <u>Suspension</u> .

20130SB0034PN0930

- 3 -

(4) [Direct the department to revoke the certificate of a
 professional educator] <u>Revocation</u>.

3 [(5) For a charter school staff member, the term shall 4 include an order suspending the person's eligibility to be 5 employed by a charter school or prohibiting the person from 6 being employed by a charter school.

7 (6) Accept a professional certificate surrendered in lieu of 8 discipline.]

9 <u>(5)</u> Surrender.

10 (6) Supplemental sanctions.

11 [The commission may require a professional educator to meet 12 certain conditions or take corrective action in conjunction with 13 any discipline.]

14 "Educational specialist" shall mean a person who holds an 15 educational specialist certificate issued by the Commonwealth, 16 including, <u>but not limited to</u>, a certificate [endorsed] in the 17 area of elementary school counselor, secondary school counselor, 18 social restoration, school nurse, home and school visitor, 19 school psychologist, dental hygienist, instructional technology 20 specialist or nutrition service specialist.

21 <u>"Educator" shall mean a person who holds a certificate, who</u> 22 <u>is a charter or cyber charter school staff member or who is a</u>

23 <u>contracted educational provider staff member.</u>

24 "Indictment" shall include a bill of indictment, police

25 criminal complaint, criminal information or other similar

26 <u>document.</u>

27 <u>"Private academic school" shall mean a school that is</u> 28 <u>licensed to operate under the act of January 28, 1988 (P.L.24,</u> 29 <u>No.11), known as the "Private Academic Schools Act."</u> 30 ["Professional educator" shall mean a person who is

20130SB0034PN0930

- 4 -

1 certificated as a teacher, educational specialist or an 2 administrator in the Commonwealth.]

3 "Revocation" shall mean the termination of a certificate, the
4 termination of the eligibility to be employed as a charter or
5 cyber charter school staff member or the termination of the
6 eligibility to be employed as a contracted educational provider
7 staff member.

8 "School entity" shall mean a school district, intermediate 9 unit [or], area vocational-technical school, charter [school, 10 Scotland School for Veterans' Children, Scranton State School 11 for the Deaf and Thaddeus Stevens College of Technology] <u>or</u> 12 <u>cyber charter school, private academic school or contracted</u> 13 <u>educational provider</u>.

14 "Secretary" shall mean the Secretary of Education <u>of the</u>
15 <u>Commonwealth</u>.

16 "Sexual abuse or exploitation" shall [mean the employment, use, persuasion, inducement, enticement or coercion of a child 17 18 or student to engage in or assist any other person to engage in any sexually explicit conduct or a simulation of any sexually 19 20 explicit conduct for the purpose of producing a visual depiction, including photographing, videotaping, computer 21 depicting or filming, of any sexually explicit conduct or 22 23 conduct that constitutes an offense under 18 Pa.C.S. Ch. 31 24 (relating to sexual offenses) or section 6312 (relating to 25 sexual abuse of children) or other forms of sexual exploitation 26 of children or students.] have the meaning given to the term by 23 Pa.C.S. Ch. 63 (relating to child protective services). 27 "Sexual misconduct" shall mean any act, including, but not 28 limited to, any verbal, nonverbal, written or electronic 29 communication or physical activity, directed toward or with a 30

20130SB0034PN0930

- 5 -

1	child or a student regardless of the age of the child or student
2	that is designed to establish a romantic or sexual relationship
3	with the child or student. Such prohibited acts include, but are
4	not limited to, the following:
5	(1) sexual or romantic invitations;
6	(2) dating or soliciting dates;
7	(3) engaging in sexualized or romantic dialogue;
8	(4) making sexually suggestive comments;
9	(5) self-disclosure or physical exposure of a sexual,
10	romantic or erotic nature; or
11	(6) any sexual, indecent, romantic or erotic contact with
12	the child or student.
13	"State Board" shall mean the State Board of Education.
14	"State Board of Private Academic Schools" shall mean the
15	departmental administrative board as established by the act of
16	January 28, 1988 (P.L.24, No.11), known as the "Private Academic
17	Schools Act."
18	"Student" shall mean an individual enrolled in:
19	(1) a public school, including an intermediate unit, area
20	vocational-technical school and a charter or cyber charter
21	<u>school;</u>
22	(2) a private school, including a nonpublic, nonlicensed
23	school, private academic school and accredited school; or
24	(3) a contracted educational provider.
25	"Supplemental sanctions" shall mean private or public
26	disciplinary sanctions that focus on remediation or restitution,
27	including, but not limited to, fees, fines, prescribed
28	coursework, evaluations, treatment plans, impaired educator
29	programs and other corrective action plans.
30	"Surrender" shall mean the termination by consent of a

20130SB0034PN0930

- 6 -

1	certificate or eligibility to be employed as a charter or cyber
2	charter school staff member or as a contracted educational
3	provider staff member whenever the surrender occurs at any time
4	after the issuance of the certificate or the employment in a
5	charter or cyber charter school or contracted educational
6	provider.
7	"Suspension" shall mean the temporary termination of a
8	certificate, the temporary termination of the eligibility to be
9	employed as a charter or cyber charter school staff member or
10	the temporary termination of the eligibility to be employed as a
11	contracted educational provider staff member for a specific
12	period of time, for an indefinite period of time or until
13	specific conditions are met.
14	["Teach" shall mean to engage in the practice of teaching in
15	the public schools of the Commonwealth or to provide related
16	educational specialist, administrative or supervisory services
17	in such schools.]
18	"Teacher" shall mean [a] <u>any</u> person who holds a [valid]
19	Pennsylvania teaching certificate[.] <u>or who is employed as a</u>
20	contracted educational provider staff member or by a charter or
21	cyber charter school in a position for which certification would
22	be required in a public school other than a charter or cyber
23	charter school, but who is not required to hold certification
24	under the act of March 10, 1949 (P.L.30, No.14), known as the
25	"Public School Code of 1949."
26	Section 2. Section 2 of the act is amended to read:
27	Section 2. Certification Requirements <u>(a)</u> No [person
28	shall teach in a public school] <u>educator shall be employed by a</u>
29	school entity in the Commonwealth unless he has met the
30	certification requirements which are applicable to the position
201	30SB0034PN0930 - 7 -

1	in the institution in which he is employed as established by the
2	State Board [of Education which are applicable to the
3	institution where he is employed.], the State Board of Private
4	Academic Schools or the department. An educator whose
5	certificate has been revoked, suspended or surrendered is not
6	eligible for employment in a school entity in a position
7	requiring certification or for which certification would be
8	required in a public school other than a charter or cyber
9	charter school or eligible for any certificate until the
10	certificate or eligibility is reinstated in accordance with this
11	<u>act.</u>
12	(b) An educator whose eligibility to be employed as a
13	charter or cyber charter school staff member or as a contracted
14	educational provider staff member has been revoked, suspended or
15	surrendered is not eligible for employment in a school entity in
16	a position requiring certification or for which certification
17	would be required in a public school other than a charter or
18	cyber charter school or eligible for any certificate until
19	eligibility is reinstated in accordance with this act.
20	Section 3. Sections 3, 4, 5 and 6 of the act, amended
21	December 20, 2000 (P.L.918, No.123), are amended to read:
22	Section 3. Professional Standards and Practices
23	Commission(a) There is hereby created a Professional
24	Standards and Practices Commission consisting of thirteen
25	members appointed by the Governor with the advice and consent of
26	a majority of the members elected to the Senate.
27	(b) The term of office of members of the commission shall be
28	three years [except that:], with members serving fixed and
29	staggered terms so that in the first two of every three years,
30	the terms of four members expire, and in the third of every
201	30SB0034PN0930 - 8 -

20130SB0034PN0930

- 8 -

1 three years, the terms of five members expire.

2 [(1) the terms of office of four members appointed in the 3 calendar year 2000 shall expire on the third Tuesday of January 4 2001;

5 (2) the terms of office of four members appointed in the 6 calendar year 2000 shall expire on the third Tuesday of January 7 2002; and

8 (3) the terms of office of five members appointed in the 9 calendar year 2000 shall expire on the third Tuesday of January 10 2003.]

11 Vacancies shall be filled for an unexpired term in the (C) same manner as original appointments. No person shall serve for 12 more than two consecutive terms as a member of the commission; 13 however, members may continue to serve after the expiration of 14 their term until a replacement appointed by the Governor is 15 16 confirmed. The Governor may remove any member from the commission for misconduct or malfeasance in office, incapacity, 17 18 or neglect of duty. All members of the commission shall be 19 residents of the Commonwealth of Pennsylvania.

20 Section 4. Membership and Qualifications.--(a) The 21 membership of the Professional Standards and Practices 22 Commission shall consist of:

(1) [Seven classroom teachers, including one educational
specialist, broadly representative of the teaching profession
from public schools] <u>Six classroom teachers broadly</u>

26 representative of the education profession, with not more than

27 one from a school entity other than a public school.

(2) Three administrators from [public schools] <u>a school</u>
<u>entity</u>, at least one of whom shall be a commissioned officer and
one a principal, with not more than one from a school entity

20130SB0034PN0930

- 9 -

1 other than a public school.

2 (3) One administrator from an approved institution of higher
3 learning in the Commonwealth offering approved teacher education
4 programs.

5 (4) Two members from the general public, at least one of 6 whom shall be an elected public school director.

7 <u>(5) One educational specialist.</u>

(b) 8 Except for the representatives of the general public, 9 the Governor in making appointments shall consider 10 recommendations from panels of nominees submitted by Statewide educational organizations [of professional educators] which 11 12 certify that the panels include only representatives of the 13 category of professional personnel for which the panel or panels 14 of nominees are submitted. However, the Governor shall not be 15 limited to nominating members of Statewide organizations for 16 appointments to the commission.

17 (c) All members of the commission except the persons 18 representing the general public shall have been actively engaged 19 in teaching or providing related educational, administrative or 20 supervisory services in a [public school] school entity or approved institution of higher education with approved teacher 21 education programs for at least five of the eight years 22 23 immediately preceding their appointment. A person appointed to 24 the commission who leaves the Commonwealth to become domiciled 25 in another state shall have his position on the commission 26 deemed vacated. A person whose status changes to a category different from that for which that person was appointed may 27 28 continue to serve on the commission for the remainder of that 29 person's appointment or until replaced.

30 (d) The chairman of the State Board [of Education], or a

20130SB0034PN0930

- 10 -

1 member of the [board] <u>State Board</u> designated by the chairman,
2 shall be an ex officio member of the commission without voting
3 privileges.

4 (e) The members of the commission, employes of the
5 commission and agents of the commission shall in all of their
6 deliberations consider the public interest, including ensuring
7 the health, safety and welfare of students or other individuals
8 in school entities.

9 Section 5. Power and Duties.--(a) The Professional 10 Standards and Practices Commission shall have the power and its 11 duty shall be:

12 (1) To recommend to the State Board [of Education] rules and 13 regulations defining positions for which certification should be 14 required and criteria to determine qualifications, consistent 15 with this act, necessary to hold such a certificate.

16 (2) To recommend to the State Board [of Education] rules and 17 regulations providing for making a certificate permanent upon 18 evidence of such teaching experience and additional preparation 19 as may by rule be required.

20 (3) To recommend to the State Board [of Education] rules and regulations providing for [the Department of Education] the 21 22 department's investigation and determination of the 23 acceptability of programs of professional education in colleges 24 and universities of this Commonwealth issuing degrees to persons 25 who may desire to teach in the schools of this Commonwealth. The 26 commission may recommend as its own, with or without modification, standards used by other organizations engaged in 27 28 the evaluation of teacher preparation programs. In 29 [establishing] recommending standards pursuant to this clause, the commission shall consider, among other factors, the 30

20130SB0034PN0930

- 11 -

1 following:

2 (i) Ongoing research and developing theories in education.
3 (ii) The knowledge and skills necessary to effectively
4 perform professional education functions.

5 (iii) The liberal arts and general education requirements 6 that are the foundation of a teacher preparation program. 7 (iv) The value of student [teacher] <u>teaching</u>, laboratory 8 work and other professional experience as preparation for 9 certification.

10 (v) The cultural and demographic diversity of relevant11 student populations.

12 (vi) Other interests of the public.

13 The commission shall assess the effectiveness of educator 14 preparation programs and recommend changes to the State Board 15 [of Education] as indicated by such evaluations.

16 (4) To recommend to the State Board [of Education] changes 17 in teacher education programs based on commission conducted 18 assessments of these programs.

19 (5) To recommend to the State Board [of Education] rules and 20 regulations providing for acceptance or approval of certificates 21 to teach issued by other states, countries and bodies.

(6) To recommend to the State Board [of Education] rules and regulations providing for the department to enter into agreements with agencies of other states for reciprocal approval of teacher preparation programs.

26 (7) To recommend to the State Board [of Education] rules and 27 regulations governing examinations for the initial certification 28 of teachers.

(8) To cooperate with a national board for professionaleducation certification recognized by the commission to such

20130SB0034PN0930

- 12 -

degree as, in the commission's judgment, shall bring advantage
 to the Commonwealth.

3 (9) To establish procedures for [conducting hearings
4 pursuant to section 13 and for hearings regarding reinstatement
5 of certificates] <u>the commission's adjudication of educator</u>
6 <u>misconduct and applications for reinstatement and for conducting</u>
7 <u>public hearings, including the imposition of fines and fees</u>.

8 (9.1) To adopt requirements regarding the submission of 9 reports by the department on the processing of complaints in 10 order to ensure the timely and effective resolution of 11 complaints.

12 (10) To adopt and maintain a code for professional practice 13 and conduct that shall be applicable to any educator as defined 14 in this act, pursuant to the act of July 31, 1968 (P.L.769, No.240), referred to as the Commonwealth Documents Law. Nothing 15 16 in the code for professional practice and conduct shall be an 17 independent basis for [the suspension or revocation of a 18 certificate] discipline other than a public or private_ 19 reprimand, nor shall it pertain to questions of membership or 20 affiliation or nonaffiliation in an employe organization, or 21 participation in the actions of an employe organization, or participation or nonparticipation in the actions of an employe 22 23 organization related to the negotiation of a collective 24 bargaining agreement, a strike or other work stoppage as defined under the act of July 23, 1970 (P.L.563, No.195), known as the 25 26 "Public Employe Relations [Act," provided that the code may specify those sections the violation of which may constitute a 27 28 basis for reprimand.] Act."

29 [(11) To discipline any professional educator or charter 30 school staff member found guilty upon hearings before the

20130SB0034PN0930

- 13 -

1 commission of immorality, incompetency, intemperance, cruelty or 2 negligence or for violation of any provision of the act of May 3 29, 1931 (P.L.210, No.126), entitled, as amended, "An act to regulate the certification and the registration of persons 4 qualified to teach in accredited elementary and secondary 5 schools in this State; imposing certain duties upon the 6 7 Department of Public Instruction and the State Board of 8 Education; defining violations; providing penalties, and for appeal to the court of common pleas of Dauphin County." The 9 10 commission shall establish definitions consistent with this 11 clause.]

12 (11.1) To direct the department to [suspend or revoke the 13 certificate of a professional educator or charter school staff 14 member] <u>discipline any educator</u> in accordance with section 9.2, 15 <u>9.3, 9.4 or 9.5</u>.

16 (11.2) To impose supplemental sanctions or other conditions, corrective action, fines, costs or fees for violations of this 17 act or for reinstatement, including requiring an educator, at 18 19 the educator's own expense, to submit to the evaluation or care, 20 counseling or treatment of a physician, psychologist, therapist_ 21 or psychiatrist as designated by the commission or enter an impaired educator program or similar program approved by the 22 23 commission. 24 (11.3) To issue subpoenas in accordance with procedures set forth in this act. 25 26 To establish procedures which assure that actions (12)27 concerning discipline and reinstatement of [professional]

28 educators shall comply with due process requirements.

29 (12.1) To establish and participate in alternative dispute
 30 resolution programs to allow for flexibility, early resolution

20130SB0034PN0930

- 14 -

1 and cooperation in resolving charges filed under section 13.

2 (12.2) To develop outreach programs, professional

3 <u>development and courses designed to improve the quality of</u>
4 practice and ethical conduct in the teaching profession.

5 (13) To keep minutes of its meetings and report annually to 6 the Governor, the General Assembly, the State Board [of 7 Education], the education profession and the public and to 8 publish, from time to time, such other reports as it deems 9 appropriate.

10 (14) To adopt, pursuant to the act of July 31, 1968 11 (P.L.769, No.240), referred to as the Commonwealth Documents 12 Law, operating and procedural rules and regulations necessary to 13 carry out the purposes of this act. The commission shall hold 14 public hearings and take testimony concerning proposed 15 recommendations which shall be presented to the State Board [of 16 Education].

17 <u>(a.1)</u> Nothing in this act shall be construed to prevent 18 organizations of the education profession from adopting measures 19 designed to improve the standards and practices of ethics and 20 academic freedom among their members and in their relationships 21 with other persons and groups.

(b) All teachers' certificates in force in this Commonwealth on [the effective date of this amendatory act] February 17, <u>2001, shall continue in full force and effect, subject to all</u> the terms and conditions under which they were issued, until they expire by virtue of their own limitations, unless they are sooner annulled for the reasons and in the manner provided by law.

29 (c) Recommendations as outlined in subsection (a) shall be30 presented publicly at a scheduled State Board [of Education]

20130SB0034PN0930

- 15 -

meeting. This presentation shall be prior to any board action on
 regulations, standards or guidelines affecting teacher
 certification, professional practices, accreditation of teacher
 education programs and long range plans.

5 Section 6. Organization and Meetings of the Commission.--(a) 6 The Governor shall annually select a chairman from among the 7 membership of the commission. The chairman, or a commission 8 member designated by the chairman, shall be an ex officio member 9 of the State Board [of Education] without voting privileges or 10 assignment to either council.

11 Meetings shall be held at least five times per year at (b) 12 the call of the chairman or upon request in writing of a majority of the commission. A majority shall constitute a quorum 13 14 and a majority of such quorum shall have authority to act upon 15 any matter properly before the commission unless otherwise 16 specified in this act. [In the case of the discipline of an administrator, the commission shall act by a majority vote of a 17 special panel of at least nine members selected by the chairman, 18 19 to include no more than three teachers. The first meeting of the 20 commission shall be held within six months of the effective date 21 of this act.] Meetings of the commission shall be open to the public and the executive director of the commission shall be 22 23 responsible for seeing that notices of meetings of the 24 commission are properly circulated.

25 Section 4. Sections 7 and 8 of the act, amended December 14, 26 1989 (P.L.612, No.71), are amended to read:

27 Section 7. Expenses.--Members of the commission shall 28 receive no compensation for their services, but shall be 29 reimbursed for their actual and necessary expenses incurred in 30 the performance of official commission business. A member of the

20130SB0034PN0930

- 16 -

commission, who is an employe of an agency of the Commonwealth, 1 2 or any of its political subdivisions [including school 3 districts], or of a school entity, shall be permitted to attend commission meetings and perform other commission duties without 4 loss of income or other benefits. A State agency or any 5 political subdivision of this Commonwealth, including a school 6 entity, required to employ a substitute for a member of the 7 commission who is absent from his employment while performing 8 commission business shall be reimbursed by the [Department of 9 10 Education] department from funds appropriated for the general government operations of the [Department of Education] 11 12 department for the actual amount of any costs incurred upon 13 presentation of a request for reimbursement and documentation of 14 such cost. A member of the commission who is employed by a 15 private employer shall be reimbursed by the [Department of 16 Education] department, from funds appropriated for the general government operations of the [Department of Education] 17 18 department, for any income lost, pursuant to guidelines 19 established by the commission, as a result of attendance at 20 commission meetings or performance of other official commission 21 duties upon presentation of a request for reimbursement and 22 documentation of such loss.

Section 8. Commission Staff.--(a) There shall be an 23 24 executive director of the commission who shall serve as the 25 executive officer and secretary of the commission. The 26 commission and the secretary shall jointly employ and fix the 27 compensation of the executive director. The executive director, 28 with approval of the commission and the secretary, may employ 29 additional professional and clerical personnel as may be 30 necessary to carry out the duties and responsibilities of the

20130SB0034PN0930

- 17 -

1 commission. The [Department of Education] <u>department</u> shall
2 provide adequate space and equipment to facilitate the
3 activities of the commission.

The Governor, through his General Counsel, shall provide 4 (b) such legal advice and assistance as the commission may require. 5 Section 5. Sections 9, 9.1 and 9.2 of the act, amended or 6 7 added December 20, 2000 (P.L.918, No.123), are amended to read: 8 Section 9. Complaints and Department Investigations. -- (a) [A proceeding to discipline a professional educator shall be 9 initiated by the filing of a complaint with the department by 10 any interested party within one year from the date of the 11 occurrence of any alleged action specified under section 12 13 5(a)(11), or from the date of its discovery. Complaints 14 involving sexual abuse or exploitation of a child or a student 15 may be filed beyond the date of the alleged occurrence or date 16 of its discovery up until five years after the child or student 17 reaches 18 years of age. If the alleged action is of a 18 continuing nature, the date of its occurrence is the last date 19 on which the conduct occurred.] The filing of a written educator misconduct complaint with the department will initiate the 20 21 department's review and investigation of an educator. 22 (b) For purposes of this act, the department may file an_ 23 educator misconduct complaint. 24 The department may by regulation prescribe standards for (C) 25 the filing of complaints. The complaint shall, at a minimum: 26 be in a written form prescribed by the department; (1)

27 (2) specify the nature and character of the [charges]

28 <u>allegations of misconduct</u>; and

(3) be verified [under oath by the complaining party] by the
30 complainant or a duly authorized agent of the [complaining

20130SB0034PN0930

- 18 -

1 party] complainant and made subject to the penalties of 18_

2 Pa.C.S. § 4904 (relating to unsworn falsification to

3 <u>authorities</u>).

The commission[, and its individual members,] may not 4 (d) file a complaint [or initiate a disciplinary proceeding on their 5 own motion, except that if, in]. If an individual commissioner 6 7 in his or her personal capacity or in the performance of 8 [commission business, the commission, or any of its individual members,] his or her professional responsibilities as an employe 9 of a school entity uncovers evidence of educator misconduct that 10 11 would appear to [require discipline, the commission may transmit 12 such evidence to the department where such evidence will be 13 treated as] warrant discipline under this act, the individual 14 commissioner may file a complaint in accordance with the provisions of this act. 15

16 [(f)] <u>(e)</u> Upon receipt of a complaint, the department shall 17 promptly review it and all other complaints and information 18 relating to the [professional] educator.

(1) If the facts alleged are not <u>legally</u> sufficient to warrant discipline <u>under this act</u>, the department shall dismiss the complaint and provide written notice of such dismissal to the [complaining party and to the affected professional] complainant and to the educator.

(2) If the facts alleged are deemed <u>legally</u> sufficient to
warrant discipline[, the department shall notify the affected
professional educator and the complaining party in writing of
the sufficiency of the complaint and] <u>under this act, the</u>
<u>department shall provide written notice of the legal sufficiency</u>
<u>of the complaint to the educator, the current and former school</u>
<u>entity in which the educator is or was employed and the</u>

20130SB0034PN0930

- 19 -

1 <u>complainant.</u>

(2.1) If the facts alleged are deemed legally sufficient to 2 3 warrant discipline, the department may conduct a preliminary investigation to determine whether there is probable cause to 4 believe that grounds for discipline exist. The department shall 5 be provided, upon request to the complainant, the educator and 6 7 the current and former school entity in which the educator is or 8 was employed, any [documents] relevant information and documentary and physical evidence it may reasonably require in 9 10 pursuit of its preliminary investigation. [Such request shall be 11 made in writing to the professional educator or the current or 12 prior employer.]

13 (3) If the department determines that probable cause does 14 not exist, [it shall issue a written notice to the affected 15 professional educator, the complaining party and the current or 16 prior employer if the employer was notified of the complaint. If a finding of probable cause is made, the department shall so 17 notify the affected professional educator and the complaining 18 19 party and may immediately conduct an investigation pursuant to 20 section 12 or transmit its preliminary findings to the local 21 school governing board of the school entity in which the affected professional educator is or was last serving, to allow 22 23 the local school board to investigate and comment upon the 24 appropriateness of professional discipline.] the department shall dismiss the complaint and provide a written notice of such 25 26 dismissal to the educator, the complainant and the current and 27 former school entity in which the educator is or was employed. (4) If the department determines that probable cause exists, 28 29 the department shall provide written notice to the educator, the complainant and the current and former school entity in which 30

- 20 -

the educator is or was employed and may immediately conduct an_ 1 investigation, which may include directing the school entity to 2 3 investigate and comment upon the appropriateness of professional discipline in accordance with section 11. 4 5 (f) In conducting its investigations under this act, the department may investigate any and all allegations of misconduct 6 7 in the complaint or complaints and any other misconduct 8 concerning the educator that is discovered in the course of the 9 investigations. Section 9.1. [Reporting to Department] Mandatory 10 Reporting.--(a) The [superintendent, assistant superintendent, 11 12 executive director of an intermediate unit, chief administrator 13 of an area vocational-technical school, administrator of a 14 charter school or their designees shall report any] chief school 15 administrator or his designee shall file all of the following 16 [to] information with the department in writing on a form prescribed by the department: 17 18 (1)[The dismissal of a certificated employe] Any educator 19 who has been provided with notice of intent to dismiss or remove for cause, notice of nonrenewal for cause, notice of removal 20 from eligibility lists for cause or notice of a determination 21 not to reemploy for cause. The report shall be filed within [30] 22 15 days after [an administrative decision by an arbitrator or 23 24 the local board of school directors] notice is provided by a school entity. 25 26 (2) [Conduct that has resulted in a criminal indictment or conviction for a crime set forth in section 111(e)(1) through 27 28 (3) of the act of March 10, 1949 (P.L.30, No.14), known as the "Public School Code of 1949," or other crime that involves moral 29

20130SB0034PN0930

30

- 21 -

turpitude. The report shall be filed within 30 days of the

receipt of information and shall include all available 1 2 information relating to the conduct resulting in the charge or 3 conviction.] Any educator who has been arrested or indicted for or convicted of any crime that is graded a misdemeanor or 4 felony. For purposes of this section, the term conviction shall 5 include a plea of guilty or nolo contendere. The report shall be 6 7 filed within 15 days of discovery of the indictment, arrest or 8 conviction. 9 (3) [Information which constitutes reasonable cause to 10 believe that a certificated employe has caused physical injury to a student or child as a result of negligence or malice or 11 12 has] Any educator against whom allegations have been made that the educator has: 13 14 (i) committed sexual abuse or exploitation involving a child or student [or child.]; or 15 16 (ii) engaged in sexual misconduct with a child or student. The report shall be filed within [60] 15 days of the [receipt of 17 18 the information.] discovery of the allegations of misconduct. 19 (3.1) Information which constitutes reasonable cause to suspect that an educator has caused physical injury to a child 20 or student as a result of negligence or malice. The report shall 21 22 be filed within 15 days of the discovery of the information. 23 (4) Any educator who has resigned, retired or otherwise 24 separated from employment after a school entity has received information of alleged misconduct under this act. The report 25 26 shall be filed within 15 days of the separation from employment, notwithstanding any termination agreement to the contrary that 27 28 the school entity may enter into with the educator. 29 (5) Any educator who is the subject of a report filed by the 30 school entity under the reporting requirements of 23 Pa.C.S. Ch.

- 22 -

20130SB0034PN0930

63 (relating to child protective services). The report shall be 1 filed within 15 days of the filing of the child protective 2 3 services report. (6) Any educator who the school entity knows to have been 4 named as the perpetrator of an indicated or founded report of 5 child abuse or named as an individual responsible for injury or_ 6 abuse in an indicated or founded report for a school employe 7 under 23 Pa.C.S. Ch. 63. The report shall be filed within 15 8 9 days of discovery of the child protective services report. 10 The superintendent, assistant superintendent, executive [(b)] director of an intermediate unit, chief administrator of an area 11 12 vocational-technical school and administrator of a charter 13 school or their designees shall comply with the provisions of 14 subsection (a). Failure to comply shall be deemed a violation of 15 subsection (a) by the chief school administrator of that school 16 entity and may result in disciplinary action against the chief 17 school administrator.] 18 (c) An educator who is arrested or indicted for or convicted 19 of any crime enumerated under section 111(e) and (f) (F.1) of <--the act of March 10, 1949 (P.L.30, No.14), known as the "Public 20 21 School Code of 1949," shall report the indictment, arrest or conviction to the school entity at which the educator is 22 23 currently employed within 72 hours of the indictment or 24 conviction. 25 (d) An educator who knows of any action, inaction or conduct 26 which constitutes sexual abuse or exploitation or sexual misconduct under this act shall file a mandatory report with the 27 department and shall report such misconduct to his or her chief_ 28

29 school administrator and immediate supervisor. The report shall

30 be filed within 15 days of the discovery of the sexual abuse or

20130SB0034PN0930

1 <u>exploitation or sexual misconduct.</u>

2 (e) All reports submitted to the department shall include an

3 inventory of all information and documentary and physical

4 evidence in possession or control of the school entity relating

5 to the misconduct resulting in the report and the name and

6 contact information for the current custodian of the items

7 listed in the inventory. The school entity shall provide

8 promptly to the department any documents or items requested

9 after the department reviews the inventory.

10 Section 9.2. [Imposition of Discipline.--] <u>Discipline for</u> 11 <u>Criminal Offenses.--(a)</u> The commission shall [do all of the 12 following regarding suspension or revocation of a professional 13 certificate]:

14 Direct the department to immediately suspend the (1)certificate [of a professional] and employment eligibility of an 15 educator indicted for a crime set forth in section 111(e)(1) 16 through (3) of the act of March 10, 1949 (P.L.30, No.14), known 17 as the "Public School Code of 1949," or the attempt, 18 19 solicitation or conspiracy to commit any crime set forth in that 20 section if the commission, after notice and hearing if requested, determines that the [professional] educator poses a 21 threat to the health, safety or welfare of [a student] students 22 23 or other [individual in a school] persons in the schools of this_ 24 <u>Commonwealth</u> in accordance with the following:

(i) Within 15 days of [the receipt] <u>service</u> of notice of
charges issued by the department, the [professional] educator
may request a hearing before the commission <u>on the question of</u>
<u>whether the certification or employment eligibility should be</u>
<u>immediately suspended</u>. The commission or a [committee] <u>panel</u> of
members of the commission shall hold a hearing within [15] <u>30</u>

20130SB0034PN0930

- 24 -

days of the receipt of the request for hearing. If the educator_ 1 2 fails to request a hearing, the commission or a panel of members of the commission promptly shall convene a meeting to consider 3 the request for immediate suspension. The commission or 4 [committee] panel shall issue a decision within 20 days after 5 the conclusion of the meeting or hearing, including receipt of 6 7 the transcript or filing of any briefs. The [professional] 8 educator may appeal the decision of the commission pursuant to section [15] 15(a), except that an appeal filed shall not 9

10 operate as a stay of the discipline.

11 The commission may elect not to direct the department (ii) 12 to suspend the certificate [of a professional] and employment 13 eligibility of any educator indicted for a crime under this 14 subsection if the [professional] educator files an affidavit 15 attesting that during the pendency of the criminal [charge the 16 professional] proceeding the educator will not be employed in a position that requires [professional] certification or involves 17 18 direct contact with children or students. The commission shall 19 not accept an affidavit when the allegations that form the basis 20 of the criminal proceeding involve sexual misconduct or sexual abuse or exploitation of a child or student. 21

(iii) The commission shall direct the department to immediately lift a suspension upon receipt of certified court documents establishing that the charges have been dismissed or otherwise removed.

(iv) [The commission may reinstate the certificate of a
professional] <u>Upon petition by the educator, the commission may</u>
<u>direct the department to reinstate the certificate and</u>
<u>employment eligibility of an</u> educator suspended under this
paragraph or release the [professional] educator from an

20130SB0034PN0930

- 25 -

affidavit under subparagraph (ii) if the [professional educator 1 2 participates in] educator is admitted into an accelerated 3 rehabilitative disposition program as a result of the indictment and the commission determines that the [professional] educator 4 does not pose a threat to the health, safety or welfare of 5 students or other individuals in a school. The commission shall 6 7 conduct an expedited hearing, if requested, for an applicant for 8 reinstatement or release under this subparagraph. Notwithstanding the provisions of this subparagraph, an 9 10 applicant shall not be reinstated if the indictment was for an 11 offense under 18 Pa.C.S. Ch. 31 (relating to sexual offenses) 12 [where the victim is a minor.] prior to successful completion of

13 <u>the accelerated rehabilitative disposition program and dismissal</u>
14 <u>of the criminal charges.</u>

15 (2) Direct the department to revoke the certificate [of a 16 professional] and employment eligibility of an educator who has been convicted of a crime set forth in section 111(e)(1) through 17 18 (3) of the "Public School Code of 1949," [or] a crime involving moral turpitude, [or an equivalent crime in Federal court or a 19 20 court of another state, territory or nation,] or the attempt, solicitation or conspiracy to commit any crime set forth in this 21 section upon the filing of a certified copy of the verdict or 22 judgment or sentence of the court with the commission. The 23 24 commission shall direct the department to immediately reinstate a certificate and employment eligibility upon receipt of 25 26 certified court documents establishing that the conviction was reversed [on appeal]. For purposes of this paragraph, the term 27 28 "conviction" shall include a plea of guilty or nolo contendere. 29 Direct the department to discipline a professional [(3) educator upon receipt of a certified copy of an adjudication 30

20130SB0034PN0930

```
- 26 -
```

from the appropriate licensing authority in another state, 1 2 territory or nation imposing discipline for grounds, other than a conviction under paragraph (2), that are comparable to grounds 3 for discipline under this act. Upon receipt of the adjudication, 4 the commission shall issue an order directing that the 5 professional educator show cause why the imposition of identical 6 7 or comparable discipline in this Commonwealth would be 8 unwarranted. The professional educator shall respond within 30 days of receipt of the order. The final adjudication by an 9 10 appropriate licensing authority of another jurisdiction shall be conclusive as to the misconduct of a professional educator under 11 this act. Within 30 days after the time alloted for the 12 13 professional educator to respond to the order to show cause, the 14 commission may impose the identical or comparable discipline unless the professional educator demonstrates that: 15

16 (i) the discipline would result in a grave injustice; 17 (ii) the discipline is substantially different from what 18 would have been imposed for similar conduct in this 19 Commonwealth; or

20 (iii) the procedure used in the other jurisdiction did not 21 provide due process.

(4) Direct reinstatement of a certificate revoked, suspended
or surrendered in lieu of discipline in accordance with this
act.]

(b) Nothing in this section shall be construed to prevent the department from pursuing discipline under this act against any educator who has been acquitted, who has participated in an alternative disposition program or for whom the criminal charges were otherwise withdrawn or dismissed. Section 6. The act is amended by adding sections to read:

20130SB0034PN0930

- 27 -

- 1 <u>Section 9.3. Imposition of Discipline on Additional</u>
- 2 Grounds.--(a) The commission shall direct the department to
- 3 impose discipline against any educator for conduct found by the
- 4 <u>commission to constitute:</u>
- 5 <u>(1) Immorality.</u>
- 6 <u>(2) Incompetency.</u>
- 7 <u>(3) Intemperance.</u>
- 8 <u>(4) Cruelty.</u>
- 9 <u>(5) Negligence.</u>
- 10 (6) Sexual misconduct.
- 11 <u>(7) Sexual abuse or exploitation.</u>
- 12 (8) A violation of the code for professional practice and
- 13 conduct adopted pursuant to section 5(a)(10).
- 14 (9) Illegal use of professional title as set forth in the
- 15 act of May 29, 1931 (P.L.210, No.126), entitled "An act to
- 16 regulate the certification and the registration of persons
- 17 <u>qualified to teach in accredited elementary and secondary</u>
- 18 schools in this State; imposing certain duties upon the
- 19 Department of Public Instruction and the State Board of
- 20 Education; defining violations; providing penalties, and for
- 21 appeal to the court of common pleas of Dauphin County."
- 22 (10) Failure to comply with duties under this act, including
- 23 the mandatory reporting duties set forth in section 9.1.
- 24 (11) Actions taken by an educator to threaten, coerce or
- 25 discriminate or otherwise retaliate against an individual who in
- 26 good faith reports actual or suspected misconduct under this act
- 27 or against complainants, victims, witnesses or other individuals_
- 28 participating or cooperating in proceedings under this act.
- 29 (b) The commission shall establish definitions consistent
- 30 with this section.
- 20130SB0034PN0930

1	Section 9.4. Imposition of Discipline on Founded and
2	Indicated Reports(a) Notwithstanding any provision of 23
3	Pa.C.S. Ch. 63 (relating to child protective services), the
4	commission shall:
5	(1) Direct the department to revoke the certificate and
6	employment eligibility of an educator who is named as the
7	perpetrator of a founded report of child abuse or named as an
8	individual responsible for injury or abuse in a founded report
9	for a school employe under 23 Pa.C.S. Ch. 63 upon receipt of
10	documentation verifying the founded report.
11	(2) Direct the department to discipline an educator who is
12	named as the perpetrator of an indicated report of child abuse
13	or named as an individual responsible for injury or abuse in an
14	indicated report for a school employe under 23 Pa.C.S. Ch. 63.
15	Upon receipt of documentation verifying the indicated report
16	after final determination by the Department of Public Welfare,
17	the department shall issue an order directing that the educator
18	show cause why the imposition of discipline against the
19	educator's certificate and employment eligibility would be
20	unwarranted. The educator shall respond within 30 days of
21	service of the order. The commission shall order appropriate
22	discipline against the educator's certificate and employment
23	eligibility unless the educator demonstrates that:
24	(i) the discipline would result in a grave injustice or be
25	unwarranted; or
26	(ii) the procedure used in the administrative procedure
27	under 23 Pa.C.S. Ch. 63 did not provide due process.
28	(3) Direct the department to immediately reinstate a
29	certificate and employment eligibility upon receipt of a
30	certified document establishing that a founded or indicated
0.04	

20130SB0034PN0930

- 29 -

report of child abuse or founded or indicated report for a 1 2 school employe was reversed or determined to be unfounded. 3 (b) Nothing in this section shall be construed to prevent the department from pursuing discipline under this act against 4 any educator for whom a founded or indicated report of child 5 6 abuse or founded or indicated report for a school employe was 7 reversed or determined to be unfounded. (c) For purposes of this section, "final determination"_ 8 9 shall mean a final order issued by the Department of Public 10 Welfare Bureau of Hearings and Appeals or the Secretary of Public Welfare in resolution of any administrative appeal of an 11 indicated report or the expiration of the time period in which 12 13 the subject of an indicated report may request an administrative 14 appeal. Section 9.5. Reciprocal Discipline. -- (a) Upon receipt of a 15 16 certified copy of an adjudication from the appropriate licensing authority in another state, territory or nation imposing 17 discipline for grounds that are comparable to the grounds for 18 discipline under this act, the department may issue an order 19 directing that the educator show cause why the imposition of 20 identical or comparable discipline in this Commonwealth would be 21 unwarranted. The final adjudication by an appropriate licensing 22 23 authority of another jurisdiction shall be conclusive as to the 24 misconduct of an educator under this section. The educator shall respond within 30 days of service of the order to show cause. 25 26 The commission may direct the department to impose the identical 27 or comparable discipline unless the educator demonstrates that: 28 (1) the discipline would result in a grave injustice; 29 (2) the discipline is substantially different from what would have been imposed for similar conduct in this 30

20130SB0034PN0930

1 <u>Commonwealth; or</u>

(3) the procedure used in the other jurisdiction did not 2 3 provide due process. (b) Nothing in this section shall be construed to prevent 4 the department from pursuing discipline against any educator 5 disciplined in another state, territory or nation under other 6 7 sections of this act. 8 Section 9.6. Unavailability of Certain Defense and Mitigating Factor. -- The consent of a child or a student to 9 10 engage in sexual misconduct or sexual abuse or exploitation may_ 11 not be a defense or a mitigating factor in any discipline 12 proceeding under this act. 13 Section 7. Section 10 of the act, amended December 20, 2000 14 (P.L.918, No.123), is repealed: 15 [Section 10. Confidentiality.--(a) All information relating 16 to any complaints, including the identity of the complainant, or 17 any proceedings relating to or resulting from such complaints, 18 shall remain confidential, unless or until discipline, other 19 than a private reprimand, is ordered, any provision of law to 20 the contrary notwithstanding. Should proceedings, after all 21 appeals, result in discipline, other than private reprimand, such discipline and all records pertaining thereto shall become 22 23 public. There shall be no ex-parte communication on any pending 24 matter regarding discipline. 25 This section shall not prohibit any person from (b) 26 disclosing information previously made public as a result of 27 action by a school entity to dismiss a certified employe for 28 cause or as a result of a certified employe having been formally

30 another offense requiring mandatory revocation of a certificate.

charged with or convicted of a crime of moral turpitude or

20130SB0034PN0930

29

- 31 -

(c) The provisions of this section shall not apply to
 reinstatements.

3 (d) Nothing in this section shall be construed to deny a
4 professional educator access to information necessary to prepare
5 a defense in a disciplinary proceeding under this act.]
6 Section 8. Sections 11, 12, 13, 14, 15 and 16 of the act,
7 amended December 20, 2000 (P.L.918, No.123), are amended to
8 read:

9 Section 11. Duties of [Local School Board Officials] School 10 Entities.--(a) Upon receipt of [the preliminary findings] notification in writing from the department, a [local school 11 board shall investigate] school entity shall investigate the 12 13 allegations of misconduct as directed by the department and may pursue the local disciplinary procedures established by law or 14 by collective bargaining agreement for adjudication of 15 16 complaints against [professional educators. The local school board shall inform the department within 90 days of receipt of 17 18 the preliminary findings whether the local school board will pursue disciplinary action and whether the board believes that 19 20 professional disciplinary action by the commission is warranted] 21 an educator.

22 [The school board, when its local investigation is (b) 23 completed, may make a definite] Within 90 days of receipt of the 24 written notification from the department directing the school entity to conduct an investigation, the school entity shall 25 26 inform the department of the outcome of its investigation and whether it will pursue local employment action and may make a 27 28 recommendation concerning discipline[. The school board shall 29 notify the affected professional educator of any such recommendation and shall provide to the department] under this 30 20130SB0034PN0930 - 32 -

act. The department, upon request by a school entity, may extend 1 the 90-day reporting period. In reporting the outcome of its 2 investigation, the school entity shall provide the department 3 with: 4 5 Its findings [and], a summary of the evidence gathered (1)6 and an inventory of all documentary and physical evidence related to the allegations of misconduct and the name and 7 8 contact information for the current custodian of items listed in 9 the inventory. 10 (2) Any other relevant information which the department may request, including information related to individuals 11 12 interviewed by the [local school board.] school entity. 13 (b.1) If the school entity makes a recommendation concerning 14 discipline, it shall notify the educator of such recommendation. 15 (c) A school [district, intermediate unit, area vocational-16 technical school or charter school,] entity and any official or employe thereof[,] shall cooperate with the department during 17 18 [all stages of the disciplinary process.] its review, 19 investigation or prosecution and promptly shall provide the department with any relevant information and documentary and 20 21 physical evidence that the department may reasonably request. 22 (d) When the department receives information at any time 23 during the course of its review, investigation or prosecution of 24 misconduct that the educator is currently employed by a school entity not previously notified under this act, the department 25 26 shall notify the school entity of the complaint, investigation and charges so that the school entity may exercise its duties 27 28 and rights under this act. 29 (e) A school entity is prohibited from entering into any agreement with an educator or educator association whereby a 30

- 33 -

school entity agrees not to comply with its mandatory reporting
 duties or other duties outlined in this act. Any agreement or
 provision of an agreement contrary to this subsection is void
 and unenforceable.

5 Section 12. Department Action After Investigation. -- After completion of [an] <u>a preliminary or full</u> investigation, the 6 7 department may dismiss the [charges] complaint, determine that 8 appropriate and sufficient punishment has been imposed by the 9 [local school board, or initiate hearing procedures] school entity, participate in alternative dispute resolution process, 10 11 enter into a written settlement agreement with the educator or 12 initiate the formal adjudicatory hearing process with the filing 13 of charges with the commission. If the complaint is dismissed or 14 [it is determined that appropriate and sufficient punishment has 15 been imposed by the local school board] otherwise resolved 16 without the filing of charges with the commission, the 17 department shall inform the [professional] educator, the 18 complainant and the [local school board of the determination] 19 school entity of its resolution of the complaint. 20

Section 13. Hearing.--(a) Upon determination to initiate 21 [hearing procedures] the formal adjudicatory hearing process, 22 the department shall[,] within 30 days[,] send a written notice 23 to the [affected professional] educator advising of the charges 24 and of his right to request a hearing within 30 days of 25 [receipt] service of such notice. A copy of the written notice 26 of the charges shall be served upon the [professional educator's current or prior employer] current and former school entity in_ 27 which the educator is or was employed. The notice of charges 28 29 shall set forth all acts or omissions which the department asserts constitute misconduct and warrant discipline, which need 30

- 34 -

1 not be limited to the allegations in the complaint or

2 <u>complaints</u>, and may include allegations of misconduct that were
3 <u>discovered in the course of the department's investigation of a</u>
4 <u>complaint or complaints</u>.

5 (b) Notwithstanding any other provision of this act, if the 6 department in its discretion determines that immediate 7 discipline is necessary to protect the health, safety or welfare 8 of students or other persons in the schools of this 9 Commonwealth, it [shall] <u>may</u> request that the commission modify 10 the procedure set forth in this section and schedule an 11 expedited hearing.

12 (c) The hearing shall be held in accordance with the 13 following procedures <u>unless otherwise specified in this act or</u> 14 <u>ordered by the commission</u>:

(1) Within [45] <u>15</u> days of receiving a request for a hearing, the commission shall appoint a hearing officer from a list of impartial third parties qualified to conduct such hearings. The list shall have been previously agreed upon jointly by the Governor's General Counsel and at least twothirds of the commission, and shall have at least five names which shall be chosen on a rotating basis.

(2) The burden of proof shall be on the department, which
shall act as prosecutor, to establish <u>by a preponderance of the</u>
<u>evidence</u> that grounds for discipline exist.

(3) The [professional] educator against whom the [charge is] <u>charges are made shall have the right to be represented by</u> counsel and to present evidence and argument in accordance with rules of procedure promulgated by the commission.

(4) [The governing board of the school entity in which the30 affected professional educator is or was last employed may

20130SB0034PN0930

- 35 -

intervene, for cause shown, in accordance with 1 Pa. Code § 1 2 35.28] After the filing of charges against an educator with the commission, the current or former school entity in which the 3 educator is or was employed may intervene as of right in the 4 disciplinary proceeding. Admission as an intervener shall not be 5 construed as conferring full party status on the school entity, 6 7 and interveners are granted no rights which survive discontinuance or resolution of the disciplinary matter before 8

9 <u>the commission</u>.

10 (5) The hearing shall be closed[, unless the affected professional educator requests that it be open to the public. If 11 12 the hearing is open, the hearing officer, in his discretion, may 13 close any portion of the hearing for good cause shown. If the 14 hearing is closed,] and only the department, commission members 15 and staff, the [affected professional educator and his or her 16 representatives, any intervenors] educator and his or her 17 counsel, any intervener or its counsel, if applicable, and any 18 [material] witnesses shall be permitted to attend. [Students 19 attending school in the district which employs the professional 20 educator shall not be permitted to attend any hearing except as witnesses duly subpoenaed to testify with respect to the charges 21 made.] Where a witness is a child or student, the commission or 22 23 its hearing officers may in their discretion permit a parent or 24 quardian to be in attendance during the testimony of the child 25 or student.

26 (6) The department may recommend to the hearing officer and27 commission appropriate discipline.

(7) [The hearing officer shall, within 60] <u>Within 90</u> days
after the conclusion of the hearing, [issue a decision
concerning whether] <u>receipt of the transcript and submission of</u>

20130SB0034PN0930

- 36 -

written legal memoranda, the hearing officer shall issue a 1 2 proposed report concerning whether the department has met its 3 burden of establishing that misconduct has occurred and whether discipline should be imposed. [A decision] The proposed report 4 shall include findings of fact and conclusions of law and 5 specify the <u>recommended</u> discipline. 6 7 Section 14. [Decision] Proposed Report by Hearing Officer .--8 The [decision] proposed report of the hearing officer shall (a) [become final unless] be accepted by the commission unless: 9 (1) the [professional] educator or the department files 10 [exceptions or a brief on] exceptions in accordance with 1 Pa. 11 12 Code §§ 35.211 (relating to procedure to except to proposed 13 report) and 35.212 (relating to content and form of briefs on 14 exceptions) within 30 days of the date [of] the [recommended 15 decision.] proposed report was mailed; 16 (2) within 60 days of the date the proposed report was mailed, the commission initiates a review of the proposed report 17 18 in the absence of exceptions; or 19 (3) within 60 days of the date the proposed report was mailed, the commission reopens the proceeding for the reception 20 of further evidence in accordance with 1 Pa. Code § 35.233 21 22 (relating to reopening by agency action). 23 (b) [The commission shall promptly consider] After_ 24 consideration of exceptions to the hearing officer's [decision. 25 The commission by a majority vote of the full membership] 26 proposed report or further evidence or its review under this 27 section, the commission shall accept, modify or reject the 28 hearing officer's [decision, except that, in the case of 29 discipline of an administrator, all exceptions shall be taken by 30 a special panel of at least nine members of the commission 20130SB0034PN0930 - 37 -

selected by the chairperson, which will include no more than
 three teachers] proposed report.

3 [(c) Within 45 days after receiving the decision from the 4 hearing officer and the exceptions thereto, the commission shall 5 issue a written opinion and order affirming, reversing or 6 modifying the hearing officer's decision and imposing 7 discipline, if any.]

8 Section 15. Appeal.--(a) An order of the commission 9 regarding discipline [of a professional] <u>or reinstatement of an</u> 10 educator may be appealed only by the department or the 11 [professional] educator as an adjudication by a [State] 12 <u>Commonwealth</u> agency in the manner provided by law.

(b) An appeal filed under subsection (a) shall operate as a stay of the discipline [until the determination] <u>imposed under</u> <u>this act until the resolution</u> of the appeal, except where:

16 (1) the grounds for discipline include sexual misconduct or 17 sexual abuse or exploitation;

18 (2) the commission's decision to discipline is accompanied 19 by a finding that immediate discipline is necessary to protect 20 the health, safety or welfare of students or other persons in 21 the schools of this Commonwealth; or

22 (3) the discipline imposed is the result of a negotiated 23 settlement between the parties or is imposed under section 9.2. 24 Where the commission's adjudication [is in favor of the (C) professional educator] finds no educator misconduct under this 25 26 act, the charges pertaining to the disciplinary proceeding shall be expunged from any personal or professional file of the 27 28 [professional] educator maintained by the department [and/or the 29 local school entity.] and the school entity unless the school entity has taken or is pursuing local disciplinary action 30

20130SB0034PN0930

- 38 -

1 against the educator.

2 (d) The commission shall make all adjudications imposing

3 discipline, other than a private reprimand, available on a

4 publicly accessible Internet website and shall cooperate with

5 the department in maintaining a central online registry on a

6 publicly accessible Internet website of charter and cyber

7 charter school staff members and contracted educational provider

8 staff members whose eligibility for employment has been

9 <u>suspended</u>, revoked, surrendered or otherwise disciplined

10 pursuant to this act.

11 Section 16. Reinstatement.--(a) [Any professional] An 12 educator whose certificate [has] or employment eligibility has 13 been suspended, revoked or surrendered may apply to the 14 commission for an order lifting the suspension or reinstating the certificate. The commission shall order the lifting of the 15 suspension or reinstatement if the commission determines it 16 17 would be just and proper. The commission shall seek and consider 18 recommendations from the department prior to ordering the 19 lifting of the suspension or reinstatement of the certificate 20 and employment eligibility and shall conduct hearings on the 21 application at the request of the [professional] educator in accordance with procedures [of this act] established by the 22 23 commission in accordance with this act. The commission shall 24 also seek and may consider recommendations from the school entity or entities in which the educator was employed at the 25 26 time of the misconduct. For purposes of determining whether it is just and proper to lift a suspension or reinstate a 27 28 certificate, the commission may consider: 29 The conduct which resulted in discipline. (1)30 (2) Other past conduct of the applicant.

20130SB0034PN0930

- 39 -

1 (3) The applicant's current attitude toward past conduct.

2 (4) Rehabilitation efforts and activities.

3 (4.1) Evidence of compliance with any conditions imposed as 4 part of the discipline.

5 (5) References and letters of support [or] of or in
6 opposition to reinstatement.

7 (b) The commission shall not lift the suspension or
8 reinstate the certificate [of a professional] or employment
9 eligibility of an educator if the suspension or revocation
10 resulted from any of the following:

11 (1) A finding of guilt by the commission for sexual abuse or 12 exploitation.

13 (2) Surrender of a certificate [in lieu of discipline] or
14 <u>employment eligibility</u> for conduct relating to sexual abuse or
15 exploitation.

(c) The commission shall not lift the suspension or
reinstate the certificate <u>or employment eligibility</u> of [a
professional] <u>an</u> educator convicted of an offense [under 18
Pa.C.S. (relating to crimes and offenses)] set forth in section
111(e)(1) through (3) of the act of March 10, 1949 (P.L.30,
No.14), known as the "Public School Code of 1949" for the time
period set forth in that section.

23 Section 9. Section 17 of the act, amended December 20, 2000 24 (P.L.918, No.123), is repealed:

[Section 17. Unauthorized Release of Information.--(a) Except as otherwise provided in section 10, a member, staff member or employee of the commission, the Department of Education, or any local school entity who releases or gives out information received at a commission meeting or hearing or through any disciplinary proceedings conducted pursuant to this

20130SB0034PN0930

- 40 -

act, without authorization of the commission, is guilty of a
 misdemeanor of the third degree.

3 (b) Any material witness or his or her representative who releases or gives out information received at a commission 4 meeting or hearing involving disciplinary proceedings, or who 5 releases or gives out information obtained as a result of direct 6 7 involvement in the investigation of a professional educator or in any disciplinary proceedings conducted pursuant to this act, 8 without authorization of the commission, is quilty of a 9 misdemeanor of the third degree unless this information was 10 11 known to the material witness or his or her representative prior 12 to that meeting, hearing or investigation.]

Section 10. Section 17.1 of the act, added December 20, 2000 (P.L.918, No.123), is amended to read:

15 Section 17.1. Immunity From Liability. -- (a) Notwithstanding 16 any other provision of law, no person shall be subject to civil 17 liability for filing a complaint or a report or for providing 18 information to or cooperating with the department or the 19 commission in the course of an investigation or proceeding 20 conducted under this act. [This section shall not apply to malicious action by any person or the provision of false 21 information if the person knew or had reason to know that the 22 23 information was false.]

24 (b) A school entity which provides information about the
 25 professional conduct of a former or current employe to a

26 prospective employer of that employe is immune from civil

27 <u>liability for the disclosure of the information.</u>

28 (c) This section shall not apply to malicious action by any

29 person or school entity or the provision of false information if

30 the person or school entity knew, or had reason to know, that

20130SB0034PN0930

- 41 -

1 the information was false.

2	Section 11. The act is amended by adding a section to read:
3	Section 17.2. Confidentiality(a) Except as otherwise
4	provided in this act, all information relating to any complaints
5	or any proceedings relating to or resulting from such
6	complaints, including the identity of the complainant, shall
7	remain confidential, unless or until discipline is imposed,
8	other than a private reprimand or a supplemental sanction deemed
9	private by the commission, any provision of law to the contrary
10	notwithstanding unless otherwise specified in this act. All
11	records pertaining to proceedings resulting in public
12	discipline, excluding those records that are privileged or
13	otherwise protected from release, shall become public after the
14	exhaustion of all appeals except where the commission has
15	determined that immediate discipline is necessary. Records
16	pertaining to immediate discipline proceedings are public at the
17	time that the immediate discipline is imposed.
18	(b) Any person who releases or gives out information deemed
19	confidential under this act, without authorization of the
20	commission or as authorized by this act, commits a misdemeanor
21	of the third degree.
22	(c) This section shall not prohibit any person from
23	disclosing information previously made public as a result of
24	action by a school entity to discipline or dismiss an employe
25	for cause or as a result of an employe's having been formally
26	indicted for or convicted of a crime or from disclosing
27	information that was known or available independently of the
28	disciplinary proceeding.
29	(d) The provisions of this section shall not apply to
30	information relating to reinstatements or to proceedings under

20130SB0034PN0930

- 42 -

1 <u>section 9.2 or 9.5.</u>

2	(e) The commission may order the release of confidential
3	information upon petition of any interested party when it is
4	just and proper. Petitions for release of information deemed
5	confidential under this section shall be filed with the
6	commission in accordance with procedures established by the
7	<u>commission.</u>
8	(f) Nothing in this section shall be construed to:
9	(1) Deny an educator access to information necessary to
10	prepare a defense in a disciplinary proceeding under this act.
11	(2) Prevent the department or a school entity from
12	investigating or prosecuting allegations of misconduct.
13	(3) Prevent the commission, the department or a school
14	entity from providing information to, or consulting with, a law
15	enforcement, child protective services or licensing agency of
16	the Commonwealth or other state or jurisdiction.
17	(4) Prevent a school entity from disclosing information
18	developed in the course of investigations conducted by the
19	school entity whether conducted independently or by direction of
20	the department in any disciplinary proceeding against the
21	educator.
22	(g) An individual contacted in the course of the
23	department's investigation and prosecution shall be subject to
24	the confidentiality provisions of this section.
25	Section 12. Section 18 of the act, amended December 20, 2000
26	(P.L.918, No.123), is amended to read:
27	Section 18. Commission Proceedings and Procedures(a) The
28	commission shall conduct its <u>disciplinary</u> proceedings in
29	accordance with the provisions of this act and Title 2 of the
30	Pennsylvania Consolidated Statutes (relating to administrative
201	30SB0034PN0930 - 43 -

law and procedure), unless otherwise specified in this act; if 1 2 any inconsistency arises, the provisions of this act shall be 3 controlling. [Any] In all disciplinary proceedings conducted by or on behalf of the commission, the educator is entitled to 4 represent himself or be represented by legal counsel. In all 5 public hearings conducted by the commission, a person is 6 7 entitled to be heard by the commission in person, in writing, 8 through counsel or through his or her designated representative[,] in accordance with procedures adopted pursuant 9 to this act. The commission shall enter as a matter of record 10 the minutes of each meeting, every vote taken by the commission 11 12 and every official act of the commission.

13 [(b) In all investigations or disciplinary proceedings, the 14 commission is authorized to issue subpoenas as provided for by 15 law to compel the attendance and testimony of witnesses and the 16 production of books, records, documents and other evidentiary 17 material. A professional educator shall have five days from 18 service to respond to a subpoena.]

19 (c) No commissioner shall vote in any case where:

20 (1) the [professional] educator who is the subject of the 21 proceeding is employed by the same school entity[; or] <u>as the</u> 22 <u>commissioner;</u>

(2) the [professional] educator who is the subject of the proceeding is a member of a Statewide [professional] educator organization of which the commissioner is an officer, director or employe[.];

27 (3) the commissioner has filed a misconduct complaint as
 28 permitted under this act against the educator; or

29 (4) the commissioner has any personal and independent

30 knowledge of the educator or issues in the case that would

20130SB0034PN0930

- 44 -

1	compromise the commissioner's ability to make an impartial
2	decision.
3	Section 13. The act is amended by adding sections to read:
4	Section 18.2. Subpoenas(a) The commission or its legal
5	counsel, as its designee, shall have the power:
6	(1) To issue investigatory subpoenas upon petition by the
7	department for purposes of reviewing a complaint and
8	investigating alleged educator misconduct under this act. Notice
9	of the subpoena shall be issued to the educator who is the
10	subject of the complaint or investigation in accordance with
11	bylaws promulgated by the commission.
12	(2) To issue subpoenas after the filing of charges as
13	provided for under the provisions of this act, commission bylaws
14	and 2 Pa.C.S. (relating to administrative law and procedure) to
15	compel the attendance and testimony of witnesses and the
16	production of books, records, documents and other evidentiary
17	material.
18	(b) A subpoena issued under this act shall clearly indicate
19	on its face that the subpoena is issued in connection with a
20	confidential proceeding and a breach of confidentiality by the
21	persons or entity subpoenaed may result in a civil penalty or
22	misdemeanor.
23	Section 18.3. Disposition of Fees and Fines CollectedAll
24	fees, fines, costs and civil penalties shall be paid into the
25	State Treasury through the department and credited to a
26	restricted receipts account in the General Fund that shall be
27	known as the Professional Educator Discipline Account, which is
28	hereby established. Funds in the account may be utilized to the
29	extent of expenditures incurred by the department and the
30	commission in the implementation of their respective duties
2013	30SB0034PN0930 - 45 -

- 1 <u>under this act.</u>
- 2 Section 14. This act shall take effect in 60 days.