THE GENERAL ASSEMBLY OF PENNSYLVANIA

HOUSE BILL

No. 1816 Session of 2011

INTRODUCED BY CUTLER, CREIGHTON, EVERETT, MARSICO, MILNE, MOUL, MULLERY, MUNDY, MURT, PAYTON, QUINN, ROSS AND SCHRODER, AUGUST 22, 2011

REFERRED TO COMMITTEE ON JUDICIARY, AUGUST 22, 2011

AN ACT

1 2 3	Amending Title 71 (State Government) of the Pennsylvania Consolidated Statutes, establishing the Appellate Court Nominating Commission.
4	The General Assembly of the Commonwealth of Pennsylvania
5	hereby enacts as follows:
6	Section 1. Title 71 of the Pennsylvania Consolidated
7	Statutes is amended by adding a part to read:
8	<u>PART III</u>
9	JUDICIAL MATTERS
10	<u>Chapter</u>
11	21. Appellate Court Nominating Commission
12	CHAPTER 21
13	APPELLATE COURT NOMINATING COMMISSION
14	Sec.
15	2101. Definitions.
16	2102. Establishment and purpose.
17	2103. Membership, qualifications and operation.

2104. Judicial nominee applicants.

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- 1 § 2101. Definitions.
- 2 The following words and phrases when used in this chapter
- 3 shall have the meanings given to them in this section unless the
- 4 <u>context clearly indicates otherwise:</u>
- 5 "Bar association." An organization that represents the
- 6 <u>interests of its members who are members of the bar of the</u>
- 7 Supreme Court and who practice in the legal profession. The term
- 8 does not include any association that does not have a majority
- 9 <u>of members who are Commonwealth residents.</u>
- 10 "Business organization." An organization that represents the
- 11 <u>interests of persons engaged in commercial</u>, industrial or
- 12 agricultural enterprises.
- 13 "Civic group." A Pennsylvania nonprofit corporation that is
- 14 exempt from Federal income tax under section 501(c)(3) of the
- 15 Internal Revenue Code of 1986 (Public Law 99-514, 26 U.S.C. §
- 16 501(c)(3)). The term does not include any organization formed
- 17 for a religious purpose or any organization that does not have a
- 18 majority of members who are Commonwealth residents.
- 19 "Commission." The Appellate Court Nominating Commission
- 20 established under section 14 of Article V of the Constitution of
- 21 Pennsylvania and under section 2102 (relating to establishment
- 22 and purpose).
- 23 "Department." The Department of State of the Commonwealth.
- "Law school." A graduate school located in this
- 25 Commonwealth, accredited by the American Bar Association and
- 26 offering study leading to the degree of Juris Doctor.
- 27 <u>"Law school dean" or "dean." The administrator in charge of</u>
- 28 a law school.
- 29 "Lobbyist." As defined in 65 Pa.C.S. § 13A03 (relating to
- 30 definitions).

- 1 <u>"Professional association."</u> An organization that represents
- 2 the interests of its members who practice a profession. The term
- 3 <u>does not include associations that represent lawyers or any</u>
- 4 association that does not have a majority of members who are
- 5 Commonwealth residents.
- 6 <u>"Public members." Members of the commission appointed under</u>
- 7 <u>section 2103(a)(3) (relating to membership, qualifications and</u>
- 8 <u>operation</u>).
- 9 "Public safety association." An organization that represents
- 10 the interests of its members who are engaged in an occupation
- 11 <u>responsible for public safety, including law enforcement</u>
- 12 <u>agencies</u>, <u>victims'</u> rights groups, fire departments or emergency
- 13 <u>medical service providers. The term does not include</u>
- 14 <u>associations that represent attorneys or any association that</u>
- 15 does not have a majority of members who are Commonwealth
- 16 residents.
- 17 "Secretary." The Secretary of the Commonwealth.
- 18 "Union." An organization of employees, not dominated or
- 19 controlled by any employer or any employer organization, having
- 20 among its purposes that of collective bargaining as to the terms
- 21 and conditions of employment. The term includes a federation of
- 22 such organizations. The term does not include any organization
- 23 that does not have a majority of members who are Commonwealth
- 24 residents.
- 25 § 2102. Establishment and purpose.
- 26 Under section 14 of Article V of the Constitution of
- 27 Pennsylvania, there is established an Appellate Court Nominating
- 28 <u>Commission within the Executive Department. The purpose of the</u>
- 29 commission shall be to nominate individuals for the offices of
- 30 justice of the Supreme Court and judge of the Superior Court and

1	<u>Commonwealth Court.</u>
2	§ 2103. Membership, qualifications and operation.
3	(a) Composition The commission shall be comprised of the
4	<pre>following:</pre>
5	(1) Four legislative appointees. The following shall
6	apply to the members appointed under this paragraph:
7	(i) Appointments are as follows:
8	(A) One individual appointed by the President
9	pro tempore of the Senate.
10	(B) One individual appointed by the Minority
11	Leader of the Senate.
12	(C) One individual appointed by the Speaker of
13	the House of Representatives.
14	(D) One individual appointed by the Minority
15	Leader of the House of Representatives.
16	(ii) Each of the members appointed under
17	subparagraph (i) must be members of the bar of the
18	Supreme Court but may not be justices, judges or
19	magisterial district judges.
20	(iii) None of the members appointed under
21	subparagraph (i) may be members of the General Assembly
22	or their staff or a relative of a member of the General
23	Assembly. For purposes of this subparagraph, a relative
24	shall include a spouse, child, mother, father, sister or
25	brother.
26	(iv) The members shall be residents of at least four
27	different counties at the time of appointment and during
28	their terms. If a member becomes a resident of a county
29	of that of another member appointed by the General
30	Assembly, the member shall forfeit his or her membership,

Τ	and the General Assembly shall appoint another member
2	under this section.
3	(2) Four members appointed by the Governor. The
4	following shall apply to members appointed under this
5	paragraph:
6	(i) The members may not be attorneys licensed to
7	practice law in any state and may not be justices, judges
8	or magisterial district judges.
9	(ii) The members shall be residents of at least four
10	different counties at the time of appointment and during
11	their terms. If a member becomes a resident of a county
12	of that of another member appointed by the Governor, the
13	member shall forfeit his or her membership, and the
14	Governor shall appoint another member under this section.
15	(iii) No more than two individuals shall be
16	registered in the same political party.
17	(iv) None of the members may be an employee of the
18	Executive Department or a relative of an employee of the
19	Executive Department. For purposes of this subparagraph,
20	a relative shall include a spouse, child, mother, father,
21	sister or brother.
22	(3) Seven public members. The following shall apply to
23	members appointed under this paragraph:
24	(i) One public member shall be a dean of one of the
25	law schools located in this Commonwealth, who shall be
26	<pre>selected as follows:</pre>
27	(A) Within 30 days following the effective date
28	of this section and at least 120 days prior to the
29	expiration of each term of the law school dean member
30	of the commission, the secretary shall notify each

1	law school dean that a majority of the law school
2	deans are to choose from among themselves a law
3	school dean to serve as a member of the commission.
4	(B) Within 60 days following receipt of the
5	notification under clause (A), the deans shall choose
6	who among them will be a member of the commission.
7	(C) As soon as practicable following their
8	selection, the deans shall send a letter to the
9	secretary, signed by a majority of the deans,
10	notifying the secretary of their selection.
11	(D) Upon expiration of a dean's term on the
12	commission, the same process shall be used to fill
13	the vacancy, except that the successor dean shall
14	come from a different law school than the outgoing
15	dean.
16	(ii) Six public members, one each selected by bar
17	associations, business organizations, civic
18	organizations, professional associations, public safety
19	organizations and unions. The following shall apply to
20	members selected under this subparagraph:
21	(A) Within 30 days following the effective date
22	of this section and at least 120 days prior to the
23	expiration of each term of the public members of the
24	commission other than the law school dean member, the
25	secretary shall transmit a notice for publication to
26	two newspapers in every county in this Commonwealth
27	and to the Legislative Reference Bureau for
28	publication in the Pennsylvania Bulletin that will
29	alert the organizations described under subparagraph
30	(ii) that they may apply to be included in the

Τ	process or appointing members or the commission. The
2	notice shall describe details of the application
3	process and shall include a form of application to be
4	used. The notice and the form of application shall be
5	posted on the department's Internet website.
6	(B) An organization described under this
7	subparagraph that desires to participate in the
8	nomination process shall submit an application to the
9	secretary. All applications shall be submitted by the
10	date occurring 30 days after the date of publication
11	in the Pennsylvania Bulletin of the notice described
12	under clause (A) and shall include all of the
13	<pre>following:</pre>
14	(I) The name and address of the organization
15	and the name and telephone number of a contact
16	person. The organization must indicate that its
17	principal place of business is in this
18	Commonwealth.
19	(II) A statement as to the specific category
20	for which the organization wishes to be
21	considered. An organization may only submit an
22	application for one of the categories listed
23	under this subparagraph.
24	(III) A statement of the number of members
25	of the organization who are Commonwealth
26	residents as of the date of the application.
27	(IV) Any other information required by the
28	department. Any person who makes a false
29	statement on an application submitted under this
30	subparagraph shall be subject to prosecution

Τ	under 18 Pa.C.S. §§ 4903 (relating to false
2	swearing) and 4904 (relating to unsworn
3	falsification to authorities).
4	(C) Within 15 days following the last day for
5	receipt of applications under clause (B), the
6	secretary shall notify the five organizations in each
7	of the categories listed under this subparagraph with
8	the highest number of members who are Commonwealth
9	residents that they are eligible to submit the name
10	of a person in their organization who meets the
11	requirements of subsection (b) who desires to be
12	considered for selection as a member of the
13	commission. The names shall be submitted in writing
14	to the secretary within 15 days following receipt of
15	the notification required under this clause.
16	(D) Within ten days following the last day for
17	receipt of the names of individuals permitted to be
18	submitted by organizations under clause (C), the
19	secretary shall publicly draw lots from each of the
20	six categories of organizations to determine who
21	shall serve as the public member of the commission
22	from each category.
23	(E) Upon expiration of a public member's term on
24	the commission, the same selection process shall be
25	used to fill the vacancy, except as provided in
26	subsection (c)(4)(iv).
27	(b) Qualifications of members
28	(1) All members of the commission shall have been a
29	resident of this Commonwealth for at least one year prior to
30	the member's appointment.

1	(2) All members of the commission must be 18 years of
2	age or older as of the date of their appointment.
3	(3) During his or her term a member may not do any of
4	the following:
5	(i) Hold any office in any political party or
6	political organization or hold any elected or appointed
7	<pre>public office, compensated or uncompensated, except that</pre>
8	members may serve as part-time solicitors to a political
9	subdivision.
10	(ii) Be employed by the unified judicial system.
11	(4) No person who is a lobbyist may be appointed to
12	serve on the commission.
13	(5) In making appointments to the commission, each
14	nominating or appointing authority shall take into
15	consideration that the commission should include both men and
16	women, as well as individuals who represent racially and
17	ethnically diverse backgrounds and who reflect the geographic
18	diversity of this Commonwealth. Members of the General
19	Assembly shall not be eligible for appointment to the
20	commission for a period of one year following their service
21	in the General Assembly. Members of the Judiciary shall not
22	be eligible for appointment to the commission for a period of
23	one year following their service on the Judiciary. Governors
24	and Lieutenant Governors shall not be eligible for
25	appointment to the commission for a period of one year
26	following their service as Governor or Lieutenant Governor.
27	(c) Terms of office, removal and vacancies
28	(1) Except as provided under paragraph (2), commission
29	members shall serve a term of four years.
30	(2) Limitations on terms shall be as follows:

1	(i) The original members of the commission shall
2	have the following terms:
3	(A) The legislative appointees shall have the
4	<pre>following terms:</pre>
5	(I) The appointee of the President pro
6	tempore of the Senate shall serve for four years.
7	(II) The appointee of the Speaker of the
8	House of Representatives shall serve for three
9	<u>years.</u>
10	(III) The appointee of the Minority Leader
11	of the Senate shall serve for two years.
12	(IV) The appointee of the Minority Leader of
13	the House of Representatives shall serve for one
14	<u>year.</u>
15	(B) The Governor's appointees shall have the
16	<pre>following terms:</pre>
17	(I) One appointee shall serve for four
18	<u>years.</u>
19	(II) One appointee shall serve for three
20	<u>years.</u>
21	(III) One appointee shall serve for two
22	<u>years.</u>
23	(IV) One appointee shall serve for one year.
24	(C) The public members shall serve for four
25	<u>years.</u>
26	(ii) A member who has served a full term shall not
27	be permitted to serve on the commission until four years
28	have elapsed from the end of that member's term.
29	(iii) For purposes of this paragraph, service for a
30	period of two years or less shall not be considered a

1	<u>full term.</u>
2	(3) Except as provided in this clause, commission
3	members may only be removed by a majority vote of the other
4	members of the commission then serving for a violation of the
5	commission's rules governing the conduct of members. The
6	commission shall remove a member who, during the course of
7	the member's term, no longer meets the qualifications of
8	<u>subsection (b)(1),(2),(3) or (4).</u>
9	(4) In the event of a vacancy on the commission, the
10	following shall apply:
11	(i) If the vacancy occurs for a reason stated under
12	paragraph (3), the vacancy shall be filled for the
13	remainder of the term in the same manner the outgoing
14	member was initially appointed, except that, if the
15	vacancy is created because a public member selected under
16	subsection (a)(3)(ii) is removed from the commission, the
17	organization that appointed the member shall select a new
18	member.
19	(ii) If a vacancy occurs as a result of the
20	expiration of the term of a legislative or gubernatorial
21	appointee, a new member shall be appointed in the same
22	manner as the member was initially appointed.
23	(iii) If a vacancy occurs as a result of the
24	expiration of the term of a public member selected under
25	subsection (a)(3)(i), the vacancy shall be filled in the
26	same manner as the member was originally selected.
27	(iv) If a vacancy occurs as a result of the
28	expiration of the term of a public member selected under
29	subsection (a)(3)(ii), the secretary shall fill the

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vacancy in the same manner as the member was initially

1	selected, except that the organization that nominated the
2	commission member whose vacancy is being filled may not
3	be permitted to participate in the process to select the
4	immediately succeeding commission member.
5	(d) Operation
6	(1) From among the commission's members, the commission
7	shall select a chairperson, vice chairperson and other
8	officers as the commission shall determine.
9	(2) The commission shall establish its own rules of
LO	procedure and rules governing the conduct of its members.
L1	(3) Nine members shall constitute a quorum. A majority
L2	of the members present at any meeting of the commission shall
L3	be required for all actions of the commission.
L 4	(4) Members shall not be compensated for their service
L 5	as members of the commission but shall be reimbursed for
L 6	expenses necessarily incurred in the discharge of their
L 7	official duties in accordance with Commonwealth policy in
L 8	effect for agencies under the Governor's jurisdiction.
L 9	(5) The department shall provide administrative support
20	for the commission.
21	§ 2104. Judicial nominee applicants.
22	(a) Solicitation
23	(1) When it is known that a vacancy will occur, the
24	commission shall publicly announce the vacancy no later than
25	90 days prior to the expected date of the vacancy.
26	(2) If an unexpected vacancy occurs, the commission
27	shall publicly announce the vacancy within 30 days following
28	the vacancy.
29	(3) The commission shall develop the form of application
30	to be submitted and shall make the form available at the time

Applications may be submitted by individuals desiring to considered for nomination to fill a vacancy commencing to	
3 considered for nomination to fill a vacancy commencing v	
	<u>vith</u>
4 the date of the public announcement and ending on the date	ate_
5 <u>occurring 30 days later.</u>	
6 (b) Review of applications and evaluation of applicants	3. <u></u>
7 (1) The commission shall review and perform an init	<u>cial</u>
8 screening of all applications submitted to fill vacancie	es.
9 (2) The commission shall use the initial screening	_
process to produce a list containing names of those	
11 applicants who meet the requirements outlined in Article	e V,
12 <u>section 14(h) of the Constitution of Pennsylvania, with</u>	the
goal of including at least 50% of those applicants who	
submitted applications. The applicants on the list shall	<u>L</u>
15 <u>undergo further evaluation and consideration by the</u>	
16 <u>commission.</u>	
17 (3) Names and biographical information, including	
resumes, educational history, employment history and	
community service pertaining to the list of applicants to	vho_
will undergo further evaluation and consideration as	
discussed in paragraph (2), shall be made public. The	
22 commission may conduct interviews of the applicants on	this
list and may interview other individuals with knowledge	of_
the applicants on this list. These interviews shall be	
25 <u>conducted in executive session. The names and biographic</u>	cal_
information of the initial applicants who will not be	
27 <u>considered for further evaluation shall remain confident</u>	<u>cial.</u>
28 (4) The commission may obtain any appropriate or	
relevant documentation that relates to the applicant's	
suitability to serve as a justice or judge, including	

1	information submitted by the public. The commission shall
2	have the power to subpoena documents from the Disciplinary
3	Board of the Supreme Court, the Judicial Conduct Board, the
4	Court of Judicial Discipline, other agencies of the
5	legislative, executive and judicial branches and local
6	agencies and authorities.
7	(5) Following its evaluation of the list of applicants
8	defined in paragraph (2), the commission shall produce a list
9	of at least eight applicants, provided that eight applicants
10	are deemed highly qualified, from which the final five
11	recommended candidates shall be selected. This list of at
12	least eight applicants shall be made public, and the
13	applicants on this list shall be interviewed in public
14	session. The commission may retire to executive session to
15	conduct further interviews with the applicants, as well as
16	interviews of others with knowledge of the applicants.
17	(6) The commission shall meet in executive session to
18	deliberate and vote on the applicants.
19	(c) Selection
20	(1) From the applications received and reviewed under
21	subsection (b), the commission shall agree on five names of
22	the most qualified applicants whose names will be recommended
23	to the Governor. In order for an individual's name to appear
24	on the list, the individual must meet all of the following
25	requirements:
26	(i) Notwithstanding the provisions of section
27	2103(d)(3), (relating to membership, qualifications and
28	operation), has received affirmative votes from at least
20	oight of the members of the semmission

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(ii) Is a licensed member in good standing of the

Τ	par of the Supreme Court.
2	(iii) Has either practiced law, served as a judge of
3	a Federal court, served on a court or courts of record of
4	this Commonwealth or been engaged in a law-related
5	occupation for an aggregate of at least ten years prior
6	to the date of the nomination.
7	(iv) Has demonstrated integrity, judicial
8	temperament, professional competence and experience and
9	commitment to the community.
10	(2) The commission shall consider that each of the
11	appellate courts should include both men and women who come
12	from racially and ethnically diverse backgrounds and who
13	reflect the geographic diversity of this Commonwealth.
14	(d) Presentation of applicants to the Governor
15	(1) The list of the most qualified applicants shall be
16	presented to the Governor no later than 30 days prior to the
17	expected date of the vacancy or, with respect to an
18	unexpected vacancy, no later than 60 days after the vacancy
19	occurs.
20	(2) The names of the applicants on the list shall appear
21	in alphabetical order. The commission shall submit
22	biographical information regarding each applicant along with
23	the list of names.
24	(3) Only one list may be provided to the Governor for
25	each vacancy. If there is more than one vacancy on the same
26	court, separate lists shall be submitted to the Governor for
27	each vacancy, and the lists shall contain no more than two
28	names in common.
29	(4) In the event of death or withdrawal of a person
30	whose name appears on the list presented to the Governor, the

- 1 <u>commission shall submit to the Governor an addendum to the</u>
- 2 list providing the name of a new applicant selected in the
- 3 <u>same manner as the other individuals on the list.</u>
- 4 <u>(e) Publication.--</u>
- 5 (1) Immediately following submission to the Governor,
- 6 the list shall be submitted to the Senate and shall be made
- 7 <u>available to the public.</u>
- 8 (2) Except for the list under paragraph (1), all
- 9 <u>information submitted to or developed by the commission</u>
- 10 concerning applicants and all executive session proceedings,
- deliberations and votes of the commission shall remain
- 12 <u>confidential and shall not be subject to disclosure.</u>
- 13 Section 2. The Secretary of the Commonwealth shall transmit
- 14 notice to the Legislative Reference Bureau for publication in
- 15 the Pennsylvania Bulletin of passage of a constitutional
- 16 amendment providing for an Appellate Court Nominating
- 17 Commission.
- 18 Section 3. This act shall take effect as follows:
- 19 (1) Section 2 and this section shall take effect
- 20 immediately.
- 21 (2) The remainder of this act shall take effect upon
- publication of the notice under section 2.