

THE GENERAL ASSEMBLY OF PENNSYLVANIA

HOUSE BILL

No. 2491 Session of 2002

INTRODUCED BY FLEAGLE, APRIL 8, 2002

REFERRED TO COMMITTEE ON APPROPRIATIONS, APRIL 8, 2002

A SUPPLEMENT

1 To the act of July 28, 1966 (3rd Sp.Sess., P.L.87, No.3),
 2 entitled "An act providing for the establishment and
 3 operation of the University of Pittsburgh as an
 4 instrumentality of the Commonwealth to serve as a State-
 5 related university in the higher education system of the
 6 Commonwealth; providing for change of name; providing for the
 7 composition of the board of trustees; terms of trustees, and
 8 the power and duties of such trustees; authorizing
 9 appropriations in amounts to be fixed annually by the General
 10 Assembly; providing for the auditing of accounts of
 11 expenditures from said appropriations; providing for public
 12 support and capital improvements; authorizing the issuance of
 13 bonds exempt from taxation within the Commonwealth; requiring
 14 the chancellor to make an annual report of the operations of
 15 the University of Pittsburgh," making appropriations for
 16 carrying the same into effect; providing for a basis for
 17 payments of such appropriations; and providing a method of
 18 accounting for the funds appropriated and for certain fiscal
 19 information disclosure.

20 The General Assembly of the Commonwealth of Pennsylvania
 21 hereby enacts as follows:

22 Section 1. The following sums, or as much thereof as may be
 23 necessary, are hereby appropriated to the Trustees of the
 24 University of Pittsburgh for the fiscal year July 1, 2002, to
 25 June 30, 2003, for the purposes and in the amounts as shown:

26 (1) For educational and general expenses..... \$143,982,000

1	(2) For student life initiatives.....	475,000
2	(3) For instruction - Doctor of Medicine only.	6,558,000
3	(4) For operation of dental clinics in the	
4	school of dentistry.....	1,083,000
5	(5) To enhance the recruitment and retention	
6	of disadvantaged students.....	337,000
7	(6) For general maintenance and operation of	
8	the Western Psychiatric Institute and Clinic.....	8,087,000
9	(7) For the teen suicide center at the Western	
10	Psychiatric Institute and Clinic.....	522,000
11	(8) For the Graduate School of Public Health..	263,000
12	(9) For rural education outreach.....	962,000
13	(10) For laboratories and equipment.....	2,375,000
14	(11) For program initiatives.....	2,517,000
15	(12) For information technology.....	2,375,000

16 Section 2. Payments to the University of Pittsburgh on
17 account of the appropriations for all items as provided in
18 section 1 shall be made on the basis of costs during the fiscal
19 year.

20 Section 3. If necessary, the University of Pittsburgh may
21 transfer funds between the appropriations listed in section 1(1)
22 and (3), provided that the aggregate amount transferred into or
23 out of each appropriation during the fiscal year shall not
24 exceed 5% of the amount specifically appropriated for that
25 purpose.

26 Section 4. (a) Payments to the University of Pittsburgh of
27 the appropriations provided in section 1 shall be made monthly
28 during the fiscal year.

29 (b) Such monthly payments shall be made in accordance with
30 the provisions of section 2 on the basis of estimated costs. The

1 estimate of costs shall be submitted by the University of
2 Pittsburgh to the Secretary of Education, the General Assembly
3 and the State Treasurer not later than 30 days prior to the date
4 on which such payment is to be made.

5 (c) Payments to the University of Pittsburgh provided in
6 section 1(11) are contingent on the university making all
7 articulation agreements with other higher education institutions
8 available on the Internet.

9 Section 5. (a) The University of Pittsburgh shall apply the
10 moneys appropriated by this act only for such purposes as are
11 permitted in this act and shall at all times maintain proper
12 records showing the application of such moneys. Not later than
13 120 days after the close of the fiscal year to which this act
14 relates, the University of Pittsburgh shall file, with the
15 Secretary of Education, the General Assembly, the Auditor
16 General of the Commonwealth and the chief administrator of each
17 branch campus, a statement setting forth the amounts and
18 purposes of all expenditures made from moneys appropriated by
19 this act and other university accounts during said fiscal year,
20 as provided in section 2, used as a basis for receipt of any
21 appropriation during said fiscal year.

22 (b) Such statement of expenditures and costs shall be
23 reviewed by the Auditor General of the Commonwealth, and he
24 shall have the right, in respect to the moneys appropriated by
25 this act, to audit and disallow expenditures made for purposes
26 not permitted by this act and to cause such sums to be recovered
27 and paid by the University of Pittsburgh to the State Treasurer.
28 In respect to expenditures made by the university from moneys
29 other than those appropriated by this act, the Auditor General
30 shall have the right to review only, and he shall file annually

1 with the General Assembly such information concerning such
2 expenditures as the General Assembly or any of its committees
3 may require.

4 Section 6. A report shall be submitted to the Governor and
5 the Appropriations and Education Committees of the Senate and
6 House of Representatives and shall include data for all
7 programs, except for the Doctor of Medicine program. The report,
8 to be submitted prior to September 1, 2003, shall cover the 12-
9 month period beginning with the summer term 2002 and shall
10 include:

11 (1) The following counts and distributions for each term
12 during the period:

13 (i) The definitions and numbers of faculty members employed
14 full time, of faculty members employed part time, of full-time
15 students enrolled in graduate courses, of full-time students
16 enrolled in undergraduate courses, of part-time students
17 enrolled in graduate courses and of part-time students enrolled
18 in undergraduate courses.

19 (ii) The total numbers of undergraduate student credit
20 hours, divided into lower division and upper division course
21 levels, and of graduate student credit hours, divided into three
22 course levels--master's, first professional and doctoral.

23 (iii) The number of different courses scheduled by level of
24 instruction and the number of sections of individual instruction
25 scheduled by level of instruction, each further subdivided by
26 two-digit Classification of Instructional Program (CIP)
27 categories of instructional programs of higher education as
28 defined by the National Center for Education Statistics, United
29 States Department of Education.

30 (iv) The number of terms scheduled and the dates thereof.

1 (2) For the summer term and the following academic year in
2 total and for each two-digit CIP program category, a
3 classification of faculty members or other professional
4 employees by title, including: professor, associate professor,
5 assistant professor, instructor, lecturer, research associate,
6 librarian and academic administrator; faculty members or other
7 professional employees under each title to be subdivided by type
8 of assignment: teaching and nonteaching; and each such set of
9 faculty members or other professional employees to be further
10 subdivided by type of employment: full-time or part-time; and
11 the following aggregates for each such subdivided
12 classification:

13 (i) The number of faculty and other professional employees
14 and their full-time equivalence in instructional and
15 noninstructional functions.

16 (ii) The sum of credits assigned to undergraduate classroom
17 courses and the sum of credits assigned to graduate classroom
18 courses taught, divided into lower division, upper division,
19 master's, first professional and doctoral course levels.

20 (iii) The sum of credits assigned to undergraduate
21 individual instruction courses and the sum of credits assigned
22 to graduate individual instruction courses taught, divided into
23 lower division, upper division, master's, first professional and
24 doctoral course levels.

25 (iv) The sum of undergraduate classroom student credit hours
26 and the sum of graduate classroom student credit hours
27 generated, divided into lower division, upper division,
28 master's, first professional and doctoral course levels.

29 (v) The sum of undergraduate individual instruction student
30 credit hours and the sum of graduate individual instruction

1 student credit hours generated, divided into lower division,
2 upper division, master's, first professional and doctoral course
3 levels.

4 (vi) The total salary paid for instructional functions and
5 for noninstructional functions and the amount of this salary
6 paid for each of these functions from university funds, Federal
7 funds and other funds.

8 (3) For each term of the period covered for each faculty
9 member employed full time identified by two-digit CIP program
10 category and title, the report shall contain an analysis of the
11 average hours per week spent in university-related activities,
12 stating specifically hours spent in undergraduate classroom
13 contact and graduate classroom contact, hours spent in
14 preparation, hours spent in research and hours spent in public
15 service.

16 Section 7. In addition to the requirements in section 6
17 relative to this appropriation, the report covering the 12-month
18 period beginning with the summer term 2002 shall include for all
19 programs of the university:

20 (1) Minimum number of credits required for a baccalaureate
21 degree and for a master's degree.

22 (2) Number of bachelor's degrees, master's degrees, first
23 professional degrees and doctoral degrees awarded in 1998, 1999,
24 2000, 2001, 2002 and estimated 2003.

25 Section 8. (a) The following words and phrases when used in
26 this section shall have the meanings given to them in this
27 subsection unless the context clearly indicates otherwise:

28 "Academic and administrative support units." Any
29 organizational entity, as defined in the organizational manual
30 of the university, that reports directly to the president of the

1 university, chief academic officer or vice president, including
2 the office of the president, chief academic officer and vice
3 president.

4 "Expenditures." Disbursements or payments of State
5 appropriations, tuition and fees supporting operational,
6 educational or other general categories of expenses as defined
7 in: the generally accepted accounting principles as prescribed
8 by the National Association of College and University Business
9 Officers, the American Institute of Certified Public
10 Accountants, or by their successors, or by any other recognized
11 authoritative body; the "Commonwealth of Pennsylvania Budget
12 Instructions for the State System of Higher Education, State-
13 Related Universities and Non-State-Related Colleges and
14 Universities"; and the financial reporting policies and
15 standards promulgated by the Commonwealth of Pennsylvania and by
16 the Federal Government that apply to the University of
17 Pittsburgh.

18 "Revenue." All State appropriations and tuition and fees.

19 (b) The University of Pittsburgh shall disclose the
20 following:

21 (1) Revenue and expenditure budgets of the university's
22 academic and administrative support units for the current fiscal
23 year.

24 (2) The actual revenue and expenditures for the prior year
25 in the same format as the information reported under paragraph
26 (1).

27 (3) For any defined project or program which is the subject
28 of a specific line item appropriation from the General Fund, the
29 university shall disclose the following:

30 (i) Revenue and expenditure budgets of the defined program

1 or project for the current fiscal year.

2 (ii) The actual revenue and expenditures of the defined
3 program or project for the prior year in the same format as the
4 information reported under paragraph (1).

5 (4) The revenue and expenditures of any auxiliary enterprise
6 which is directly funded in whole or in part by tuition or a
7 State appropriation for the current fiscal year.

8 (c) The university shall provide the following additional
9 information for the prior fiscal year for each academic or
10 administrative support unit, for each defined project or program
11 and for any auxiliary enterprise:

12 (1) The number of employees by academic rank and by
13 classification the number of administrators, staff, clerical and
14 technical service employees.

15 (2) Median and mean salary by academic rank and by
16 classification the median and mean salaries of administrators,
17 staff, clerical and technical service employees.

18 (3) Nonsalary compensation as a percentage of salary.
19 Nonsalary compensation shall include, but not be limited to,
20 medical benefits, life insurance benefits, pension benefits,
21 leave benefits, employer Social Security payments and workers'
22 compensation benefits.

23 (4) A statement of the university's retirement policies.

24 (5) A policy statement relating to a reduction of tuition
25 for employees' family members.

26 (6) A list of purchase of service contracts which exceed
27 \$1,000 by category of service, including, but not limited to,
28 legal, instructional, management, accounting, architecture,
29 public relations and maintenance. The list shall contain the
30 name and address of the contractor, a statement of the nature of

1 the duties of the contractor and the academic and administrative
2 support unit for which the duties are performed. If a purchase
3 of service contract exceeds 10% of the total aggregate
4 expenditure of the contract category per academic or
5 administrative support unit, then the contracted amount shall
6 also be listed.

7 (7) A list of purchase of goods contracts which exceed
8 \$1,000. The list shall contain the name and address of the
9 contractor and a list of the goods purchased and the academic or
10 administrative support unit for which such goods were
11 contracted. If a purchase of goods contract exceeds 10% of the
12 total aggregate expenditure per academic or administrative
13 support unit, then the contracted amount shall also be listed.

14 (8) A list by academic or administrative support unit in the
15 aggregate, of the expenses of travel, subsistence and lodging,
16 whether provided or reimbursed.

17 (d) The university shall submit a report of the information
18 under subsections (b) and (c) to the Education Committee of the
19 Senate and the Appropriations Committee of the Senate and the
20 Education Committee of the House of Representatives and the
21 Appropriations Committee of the House of Representatives. In
22 addition, the university shall submit a copy of the report to
23 each of the following:

- 24 (1) Governor's Office.
- 25 (2) Secretary of Education.
- 26 (3) State Treasurer.
- 27 (4) Auditor General.
- 28 (5) Joint State Government Commission.

29 Each such institution shall maintain a copy of the report in the
30 institution's library and shall submit a copy to each of the

1 four State regional library resource centers.

2 (e) A university's report required to be submitted under
3 this section shall be submitted within 180 days of the close of
4 the university's current fiscal year.

5 (f) The Joint State Government Commission shall develop a
6 statistical comparison analysis recognizing differences in
7 missions from the reports made under this section. A majority of
8 the members of the commission may request additional
9 documentation, except for salary or identity of individuals,
10 necessary to complete the comparative analysis. The comparison
11 shall be provided to the Education Committee of the Senate and
12 the Appropriations Committee of the Senate and the Education
13 Committee of the House of Representatives and the Appropriations
14 Committee of the House of Representatives and the four State
15 regional libraries.

16 (g) The university shall make a copy of the minutes of each
17 public meeting of the institution's board of trustees, as well
18 as a copy of the institution's integrated postsecondary
19 education data systems report, available for public inspection
20 in the institution's library.

21 Section 9. The University of Pittsburgh shall provide full,
22 complete and accurate information as may be required by the
23 Department of Education or the chairman or the minority chairman
24 of the Appropriations Committee of the Senate or the chairman or
25 the minority chairman of the Appropriations Committee of the
26 House of Representatives.

27 Section 10. The University of Pittsburgh shall present and
28 report its financial statements required under the provisions of
29 this act in accordance with: the generally accepted accounting
30 principles as prescribed by the National Association of College

1 and University Business Officers, the American Institute of
2 Certified Public Accountants, or their successors, or by any
3 other recognized authoritative body; the "Commonwealth of
4 Pennsylvania Budget Instructions for the State System of Higher
5 Education, State-Related Universities and Non-State-Related
6 Colleges and Universities"; and the financial reporting policies
7 and standards promulgated by the Commonwealth of Pennsylvania
8 and by the Federal Government that apply to the University of
9 Pittsburgh.

10 Section 11. This act shall take effect July 1, 2002, or
11 immediately, whichever is later.