THE GENERAL ASSEMBLY OF PENNSYLVANIA

HOUSE BILL No. 865 Session of 1983

INTRODUCED BY STUBAN, LAUGHLIN, CAWLEY, JAROLIN, TIGUE, PETRARCA, HASAY, SERAFINI, LUCYK, SHOWERS, BELFANTI, BLAUM, WARGO, BATTISTO, BALDWIN, PHILLIPS, BELARDI, GRIECO, LETTERMAN, W. W. FOSTER, COSLETT, KLINGAMAN, McCALL, DAVIES, LESCOVITZ, PISTELLA, FREEMAN, McHALE, CLARK, RUDY, GALLAGHER, F. E. TAYLOR, WAMBACH, KOWALYSHYN, DOMBROWSKI, STEIGHNER, KASUNIC, DALEY, MORRIS, ALDERETTE AND BOYES, APRIL 26, 1983

SENATOR TILGHMAN, APPROPRIATIONS, IN SENATE, RE-REPORTED AS AMENDED, MAY 8, 1984

AN ACT

1 2 3 4 5	impro grant appli	ve r s, l cant	he Department of Transportation to preserve and ail freight service in the Commonwealth by making oans or other assistance available to qualified s; authorizing a comprehensive rail study; making an tion APPROPRIATIONS; and making repeals.	<— <—
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10	The General Assembly of the Commonwealth of Pennsylvania				
11	hereby enacts as follows:				
12	Section 1. Short title.				
13	This act shall be known and may be cited as the Rail Freight				
14	Preservation and Improvement Act.				
15	Section 2. Findings and declaration of policy.				
16	(a) FindingsIt is hereby determined and declared as a				
17	matter of legislative finding that:				
18	(1) The welfare and vitality of the Commonwealth, the				
19	satisfactory movement of goods within the Commonwealth and				
20	the economic health of Commonwealth industries are being				
21	jeopardized by the deterioration or inadequate provision of				
22	rail freight transportation services within the Commonwealth.				
23	(2) The number of miles of rail track within the				
24	Commonwealth which have been abandoned, prepared for				
25	abandonment or over which service has been terminated, as a				
26	result of the reorganization of rail carriers in the				
27	northeast United States, has directly threatened the				
28	provision of rail freight transportation services upon which				
29	the welfare and vitality of the Commonwealth depends.				
30	(3) State assistance for the preservation,				
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rehabilitation and improvement of efficient and coordinated
 rail freight transportation services, systems and facilities
 is essential to the solution of these Statewide problems.

4 (4) The immediacy of the rail freight transportation 5 problems within the Commonwealth necessitates that a 6 comprehensive rail freight study be undertaken of the 7 Commonwealth's transportation services, systems and 8 facilities and concerning their preservation and improvement. 9 Policy declaration. -- Therefore, it is hereby declared to (b) 10 be the policy of the Commonwealth to promote the health, safety, 11 convenience and welfare of its inhabitants by the establishment of a rail freight advisory POLICY committee and by providing, 12 13 through the Department of Transportation, State financial 14 assistance for the preservation and improvement of essential 15 rail freight transportation services, systems and facilities; by 16 the conduct of a comprehensive study of such services, systems 17 and facilities; and through the coordination of the 18 Commonwealth's rail freight transportation activities with 19 Federal and local governments, transportation organizations, 20 transportation companies and other interested groups. 21 Section 3. Definitions.

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The following words and phrases when used in this act shall have the meanings given to them in this section unless the context clearly indicates otherwise:

25 "Accelerated maintenance." The replacing of ties and other 26 track and structural materials in quantities as to be sufficient 27 functionally to restore a railroad line to the level necessary 28 for compliance with Federal Railroad Administration Class I 29 Track Safety Standards for five years after completion of a 30 project.

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"Capital project." Acquisition of property for equipping,
 furnishing, constructing, reconstructing, rehabilitating or
 improving rail freight transportation systems or facilities, but
 shall not include accelerated maintenance.

5 "Committee." The Rail Freight Policy Committee created by6 this act.

7 "Department." The Department of Transportation.

8 "Municipality." Any county, city, borough, incorporated9 town, township or home rule municipality.

10 "Rail freight transportation services, systems and 11 facilities." Transportation provided by any and all persons or corporations holding out, offering or undertaking, directly or 12 13 indirectly, service for compensation to the public for the 14 transportation of property by rail; systems shall include any 15 lines, rail corridors or properties necessary in providing rail 16 freight transportation services, including easements or other 17 rights-of-way; facilities shall include freight cars, 18 locomotives, wires, poles and equipment for electrification of rail lines, rails, tracks, roadbeds, elevated structures, 19 20 buildings, stations, terminals, loading docks, sidetracks, 21 shelters, parking areas, tunnels or such similar items as may be 22 necessary in the provision of rail freight transportation 23 services.

24 "Railroad company." Any person, firm or corporation 25 rendering common carrier rail freight transportation service in 26 this Commonwealth, pursuant to authorization from the Public 27 Utility Commission or the Interstate Commerce Commission, where 28 such authorization is required by law.

29 "Secretary." The Secretary of Transportation.

30 "State Transportation Commission." The commission created in 19830H0865B2918 - 4 - section 2011 of the act of April 9, 1929 (P.L.177, No.175),
 known as The Administrative Code of 1929, or any successor
 organization.

4 "Transportation organization." Any municipal authority, mass 5 transportation, port or other authority, or any combination of two or more such entities, now existing or hereafter organized 6 under the laws of Pennsylvania, or pursuant to an interstate 7 compact, empowered to render rail freight transportation service 8 or assist in rendering rail freight transportation service in 9 10 the Commonwealth of Pennsylvania, even though it may also render 11 rail freight transportation service in adjacent states. Section 4. Rail Freight Policy Committee. 12

13 (a) Creation. -- There is hereby created a Rail Freight Policy Committee, which shall consist of thirteen members. The members 14 15 shall be the Secretary of Transportation, ex officio, who shall 16 act as chairman, the Secretary of Commerce, ex officio, the 17 Secretary of Community Affairs, ex officio, the Chairman of the 18 Public Utility Commission, ex officio, three members of the House of Representatives to be appointed by the Speaker, two 19 20 shall be members of the majority party, and one shall be a 21 member from the minority party, three members of the Senate to 22 be appointed by the President pro tempore, two shall be members 23 of the majority party, and one shall be a member from the 24 minority party, two representatives of railroad companies, one 25 of a Class I railroad and one of a Class III railroad, to be 26 appointed by the Governor, who shall have extensive experience 27 and knowledge of rail freight transportation activities throughout the Commonwealth, and one representative of a 28 29 railroad shipper, to be appointed by the Governor, who shall 30 have extensive experience and knowledge of rail freight - 5 -19830H0865B2918

transportation activities throughout the Commonwealth. Each
 member may designate a representative to serve in his or her
 stead. A member who designates a representative shall notify the
 chairman, in writing, of the designation.

5 (b) Terms of appointees.--The term of all members of the 6 committee appointed by the Governor shall be for three years, 7 however, the initial terms of these members shall be as follows:

8 (1) One year for the representative of a Class I9 railroad.

10 (2) Two years for the representative of a Class III11 railroad.

12 (3) Three years for the representative of a railroad13 shipper.

14 Any member of the committee may be reappointed for an additional 15 term or terms. Any person appointed to fill a vacancy shall 16 serve only for the unexpired term.

17 (c) Meetings and expenses. -- The committee shall meet at 18 least four times every 12 months, but may hold such additional meetings as are called by the chairman or by petition of at 19 least four committee members. The railroad and shipper members 20 21 of the committee shall be allowed per diem expenses, to be set 22 by the committee, but not to exceed \$75. The department shall 23 provide appropriate staff support to enable the committee to 24 properly carry out its functions.

(d) Powers and duties.--The power and duty of the committee shall be to advise and comment on the comprehensive rail freight rail freight attacks and comment on all phases of the rail freight transportation program activities being undertaken or financially assisted by the department, and to propose methods, strategies or technologies for improving rail freight 19830H0865B2918 - 6 - transportation services systems or facilities within the
 Commonwealth. The committee shall periodically submit reports of
 its deliberations and conclusions to the Governor, members of
 the General Assembly and the State Transportation Commission.
 The committee shall terminate its existence by ON NOVEMBER 30,
 1988.

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7 Section 5. Comprehensive rail freight study.

8 (a) Initiation of study.--The department shall undertake, 9 either through its own staff or through the use of a consultant 10 or consultants, or both, a comprehensive study of rail freight 11 transportation services, systems and facilities within the 12 Commonwealth and recommendations for their preservation and 13 improvement to include at least the following elements:

14 (1) Identification of the rail network required to15 support Pennsylvania's industries.

16 (2) Identification of strategies to be used by the 17 Commonwealth in assisting in the preservation of essential 18 rail lines:

(i) a review of the department's criteria for
evaluating potential projects, including alternate
transportation costs, hazardous materials, etc.; and

(ii) identification of types of assistance whichwould be appropriate in different problem situations.

24 (3) Identification of major heavy, high and wide freight
25 flows and define a base rail network corresponding to those
26 flows; identify ways in which the department could act to
27 preserve clearances on heavy, high and wide routes in the
28 event of track alterations or removal.

29 (4) Identification of Commonwealth strategies in the 30 event the Conrail system is broken up or if Conrail is sold 19830H0865B2918 - 7 - 1 to another railroad which only maintains main line services.

2 (5) Analysis of disposition of Commonwealth owned branch
3 lines as well as remaining route miles that have not been
4 acted upon by Conrail.

5 (6) Identification of all other modes involved in rail 6 freight movements and assess intermodal needs, including 7 motor freight transfer facilities, port access and air 8 freight movement.

9 (b) Utilization of study.--The department shall consider the 10 conclusions of the comprehensive rail freight study in the 11 implementation of its programs designed to preserve and improve 12 the rail freight transportation services, systems and facilities 13 within the Commonwealth, including the development of new 14 initiatives, where required.

(c) Appropriation.--The sum of \$750,000, or as much thereof as may be necessary, is hereby appropriated to the department for the exclusive purpose of carrying out the comprehensive rail freight study, including department administrative expenses. Unexpended funds appropriated shall remain available for expenditure until November 30, 1988.

21 Section 6. Program authority.

(a) Authority.--The department is hereby authorized, withinthe limitation herein provided to:

(1) Provide operating subsidy grants to railroad
companies, transportation organizations or municipalities to
defray, or assist in defraying, the net deficit incurred by
such entities in providing essential rail freight

28 transportation services within the Commonwealth.

29 (2) Provide grants to railroad companies, transportation 30 organizations or municipalities to defray, or assist in 19830H0865B2918 - 8 - defraying, the cost of accelerated maintenance projects
 performed on rail lines within the Commonwealth.

3 (3) Undertake capital projects and to provide capital 4 project grants to railroad companies, transportation 5 organizations or municipalities. The department shall not operate or provide directly rail freight transportation 6 7 services on its own rail lines or on lines owned by others, 8 nor shall a capital project be undertaken in order to enable 9 the department to provide directly rail freight transportation services. 10

(4) Acquire by purchase, lease, eminent domain 11 12 proceedings, gift or otherwise, all and any property, in such 13 estate as determined by the secretary, for promoting the purposes of this act, including the property of a public 14 15 utility. All municipalities and corporations are hereby 16 authorized to donate property to the department. Eminent 17 domain proceedings shall be in accordance with the act of 18 June 22, 1964 (Sp.Sess., P.L.84, No.6), known as the Eminent 19 Domain Code, and the department is empowered to join with any 20 municipality or transportation organization in obtaining any 21 property through the eminent domain proceedings.

Undertake research, studies, analysis and planning, 22 (5) 23 to make grants to railroad companies, transportation 24 organizations and municipalities for research, studies analysis and planning, relating to any phase of rail freight 25 26 transportation services, systems and facilities, including 27 the management, operation, capital requirements and economic 28 feasibility thereof, and any preparation of engineering and 29 architectural surveys, plans and specifications and other similar activities preliminary to and in preparation for 30 - 9 -19830H0865B2918

construction, acquisition or improved operation of rail
 freight transportation services, systems and facilities
 within the Commonwealth.

4 (6) Undertake demonstration projects and to make grants 5 to railroad companies, transportation organizations and 6 municipalities for demonstration projects, including the 7 development, testing and demonstration of new facilities, 8 equipment, techniques and methods of providing rail freight 9 transportation services, systems and facilities within the 10 Commonwealth.

11 (7) Undertake marketing activities and to make grants to 12 railroad companies, transportation organizations and 13 municipalities for marketing activities, designed to foster the fullest and most efficient utilization of rail freight 14 15 transportation services, systems and facilities within the 16 Commonwealth. Marketing activities may be undertaken by 17 contract with the department or by subcontract with a grantee 18 of the department, upon approval of the subcontract by the 19 secretary.

20 (8) Undertake audits of any project being financially
21 assisted by the department. The department may hire outside
22 auditors to perform such functions or may direct its grantees
23 to engage such auditors and include the expense thereof as an
24 eligible program cost.

(b) Guidelines for grants.--The following shall govern allgrants made under the authority of subsection (a):

(1) A responsible level of local financial
participation, to be determined by the department, shall be
required for each category of project authorized by this
section.

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1 (2) A grant shall be made only upon application filed by 2 a grantee reviewed and approved by the department. No grant 3 shall exceed the amount requested by a grantee. An amended 4 grant request need not be filed by a grantee where a proposed 5 contract amendment does not exceed the amount previously 6 requested.

7 A grant may be made with reference to any (3) 8 appropriate project regardless of when it was first commenced 9 or considered and regardless of whether the costs with 10 respect thereto shall have been incurred prior to the time the project is undertaken or the project grant is applied for 11 12 or made. In the case of a project involving accelerated 13 maintenance or rehabilitation, grants shall be made by the department only after receipt of satisfactory documentation 14 concerning the condition of the rail facilities involved 15 16 before and after the accelerated maintenance or 17 rehabilitation. Where the department contracts for a grant 18 based upon estimated costs, payments may be made thereunder 19 prior to the time costs are actually incurred, however, the 20 department shall adjust the payments under any agreement 21 before the end of the fiscal year involved, based upon the most recent available actual data. 22

(4) No agreement shall impair, suspend, contract,
enlarge or extend or affect in any manner the powers of the
Pennsylvania Public Utility Commission, the Interstate
Commerce Commission or any other regulatory agency having
jurisdiction over rail freight transportation services,
systems and facilities.

29 (c) Loan authority.--The department is hereby authorized to30 make loans of Federal funds to railroad companies,

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transportation organizations or municipalities, in accordance
 with the requirements of any Federal law establishing a loan
 program, for the rehabilitation and improvement of rail freight
 transportation systems and facilities.

5 Section 7. Contracts; procurement and sale of property;
6 competition in award of contracts.

7 (a) Contractual authority. The department shall have the
8 (A) CONTRACTUAL AUTHORITY.--

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9 THE DEPARTMENT SHALL HAVE THE power and authority to (1)10 enter into contracts and to make joint contracts of purchase 11 with any railroad company, transportation organization or 12 municipality in any manner complying with the law applicable 13 to the entity. The department shall have power and authority 14 to make contracts for the improvement of any rights-of-way, 15 roadbeds or rolling stock, electrification systems, other 16 transportation systems, or any parts thereof, constituting a 17 project without advertisement for competitive bids, where 18 such work is to be done at cost by the personnel and with the 19 facilities of the railroad company, local transportation 20 organization or municipality on whose system the property is 21 to be used. Any other provision of law to the contrary 22 notwithstanding, the department may sell under a system of 23 public competitive bidding,

24 ANY OTHER PROVISION OF LAW TO THE CONTRARY (2) 25 NOTWITHSTANDING, THE DEPARTMENT MAY SELL, transfer, lease or 26 grant any license to, easement over or any other interest in 27 all, or any part of, the rail properties and other property 28 acquired under the provisions of this or any other rail-29 related act to any responsible person, firm, corporation, municipality or instrumentality thereof, municipal authority, 30 19830H0865B2918 - 12 -

1 transportation authority, the Federal Government or any 2 branch or agency thereof, for continued operation or other 3 use compatible with the operation of a railroad or any public 4 purpose, when approval for the continued operation or other 5 public purpose is granted by the Interstate Commerce 6 Commission of the United States, when such approval is 7 required. In the event the department THE SALE BY THE 8 DEPARTMENT OF ANY RAIL PROPERTY OR OTHER PROPERTY ACOUIRED 9 UNDER THE PROVISIONS OF THIS OR ANY OTHER RAIL RELATED ACT TO 10 ANY RESPONSIBLE PERSON, FIRM OR CORPORATION SHALL BE BY A 11 SYSTEM OF PUBLIC COMPETITIVE BIDDING.

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12 IN THE EVENT THE DEPARTMENT determines that there is (3) 13 no need for continued operation of a railroad on any rail 14 properties or other properties acquired under the provisions 15 of this or any other rail-related act, and that the 16 properties are not needed for any other public purpose, the 17 department may sell under a system of public competitive 18 bidding, transfer, (UNDER A SYSTEM OF PUBLIC COMPETITIVE 19 BIDDING), TRANSFER, lease or grant any license to, easement 20 over or any other interest in all or any part of the 21 properties to any responsible person, firm, corporation, 22 municipality or instrumentality thereof, municipal authority, 23 transportation or to the Federal Government or any branch or 24 agency thereof for any worthwhile purpose, as determined by 25 the department. At least 30 days prior to the sale of any 26 property as authorized by this section,

27 (4) AT LEAST 30 DAYS PRIOR TO THE SALE OF ANY PROPERTY <-
 28 AS AUTHORIZED BY PARAGRAPH (2) OR (3), the department shall
 29 provide written notice thereof to the chairmen of the
 30 Transportation Committees of the Senate and House of
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1 Representatives.

(b) Competitive bids.--Except in the purchase of unique 2 3 articles, or articles which for any other reason cannot be 4 obtained in the open market, and except as herein specifically 5 provided, competitive bids shall be secured before any purchase or sale, by contract, or otherwise, is made or before any 6 contract is awarded for construction, alterations, supplies, 7 equipment, repairs or maintenance or for rendering any services 8 9 to the department other than professional services; and the 10 purchase shall be made from or the contract shall be awarded to 11 the lowest responsible bidder; or a sale to the highest responsible bidder. No purchase of any unique article or other 12 13 articles which cannot be obtained in the open market shall be 14 made without express approval of the secretary where the amount 15 involved is in excess of \$5,000.

16 (c) Advertisement.--Except as herein specifically provided 17 otherwise, all purchases and sales in excess of \$5,000 shall be 18 awarded after advertising in a newspaper of general circulation 19 in the area where the property is to be used not less than two 20 weeks prior to the bid opening. Bids shall be publicly opened and read aloud at a date, time and place designated in the 21 22 invitation to bid. In all cases of purchases or sales in excess 23 of \$5,000 authorized hereunder to be made without competitive 24 bidding except purchases from or sales to a transportation 25 organization or contracts with a transportation company under 26 subsection (a), invitations to bid shall be sent not less than 27 one week prior to the bid opening to at least three potential 28 bidders who are qualified technically and financially to submit 29 bids, or in lieu thereof a memorandum shall be kept on file 30 showing that less than three potential bidders so qualified 19830H0865B2918 - 14 -

exist in the market area within which it is practicable to
 obtain bids.

3 (d) Negotiation of certain contracts.--Purchases or sales
4 under \$5,000 may be negotiated with or without competitive
5 bidding under sound procurement procedures as promulgated and
6 established by the secretary.

7 Waiver of competitive bid requirement. -- Competitive (e) bidding requirements may be waived if it is determined in such 8 manner as the secretary may, by regulation, provide that an 9 10 emergency directly and immediately affecting customer service, 11 public health, safety or welfare requires immediate delivery of supplies, materials, or equipment. A record of circumstances 12 13 explaining the emergency shall be submitted to the secretary and kept on file. 14

(f) Departmental property.--Contracts for the sale or lease of property owned by the department shall be awarded after competitive bidding as shown in subsection (c), except where a contract is entered into with any municipality or agency or instrumentality of the Commonwealth, transportation organization, railroad company or Federal agency.

(g) Restriction.--Requirements shall not be split into partsfor the purpose of avoiding the provisions of this section.

(h) Rejection of bids.--The department shall have the right to reject any or all bids or parts of any or all bids, whenever, in the opinion of the secretary, such rejection is necessary for the protection of the interest of the Commonwealth. In every case, a record shall be made, setting forth the reason for the rejection which record shall thereafter be kept on file.

29 (i) Rules and regulations.--The secretary shall adopt rules 30 and regulations to effectuate the provisions of this section. 19830H0865B2918 - 15 - 1 (j) Assignments.--The secretary shall have the power to 2 accept the assignment from any railroad company, transportation 3 organization or municipality of all or any interest in any 4 lawfully made contract for the procurement and purchase of any 5 asset deemed necessary or desirable by the secretary in 6 connection with any project.

7 Section 8. Cooperation with other governments and private8 interests.

Cooperation .-- The department is directed to administer 9 (a) 10 all projects under this act with such flexibility as to promote 11 and encourage full cooperation and financial participation of Federal, State and local governments, agencies and 12 13 instrumentalities, as well as private interests, so as to result 14 in as effective and economical a program as possible. The 15 department shall respond to the requirements of any Federal rail 16 freight transportation legislation now existing or enacted into 17 law in the future, to the extent permitted under the laws of 18 this Commonwealth, in order to enhance rail freight transportation services, systems and facilities within the 19 20 Commonwealth.

(b) Agreements.--The department is hereby authorized to enter into agreements providing for mutual cooperation within it and any Federal agency and any transportation organization, or transportation company, or one or more of them, in any or all projects, including joint applications for Federal grants.

(c) Purpose of act.--It is the purpose and intent of this act to authorize the department to do any and all other things necessary or desirable to secure the financial aid or cooperation of any of the department's projects, and to do and perform all things which may be required by any statute of the 19830H0865B2918 - 16 - United States of America or by the lawful requirements of any
 Federal agency authorized to administer any program of Federal
 aid to transportation.

4 Section 9. Rules and regulations of department.

5 In order to effectuate and enforce the provisions of this act, the department is authorized to promulgate necessary rules 6 and regulations and prescribe conditions and procedures in order 7 to assure compliance in carrying out the purposes for which 8 9 grants may be made hereunder. The rules and regulations shall 10 also provide for the observance of the relevant safety standards 11 of any regulatory body having jurisdiction to promulgate such 12 standards, but the department shall not be authorized hereby to 13 do anything or suffer or permit any action which will violate 14 any agreement with a transportation organization or company or 15 any Federal agency, or impair, suspend, contract, enlarge or 16 extend, or affect in any manner the powers of the Pennsylvania 17 Public Utility Commission, or of the Interstate Commerce 18 Commission, which by law are applicable to the railroad company, 19 transportation organization or municipality involved.

20 Section 10. Grants by transportation organizations or

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municipalities.

Any transportation organization or municipality shall be and is hereby authorized to make annual grants from current revenues in order to participate in the rail freight transportation projects and to enter into long-term agreements providing for the payment of the same.

27 Section 11. Limitation on decisions; findings and regulations28 made by the secretary.

All decisions, findings and regulations made by the secretary go pursuant to this act shall be for the purpose of this act only 19830H0865B2918 - 17 - 1 and shall not constitute evidence before any regulatory body of

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2 this Commonwealth or any other jurisdiction.

3 SECTION 12. APPROPRIATION.

4 THE SUM OF \$500,000, OR AS MUCH THEREOF AS MAY BE NECESSARY, 5 IS HEREBY APPROPRIATED TO THE DEPARTMENT OF TRANSPORTATION FOR THE FISCAL YEAR JULY 1, 1983 TO JUNE 30, 1984 TO CARRY OUT THE 6 7 PROVISIONS OF THIS ACT. 8 Section 12 13 12. Repeals. 9 Sections 6(a), 7(a) and 13 of the act of February 11, 1976 10 (P.L.14, No.10), known as the Pennsylvania Rural and Intercity 11 Common Carrier Surface Transportation Assistance Act, are 12 repealed. 13 Section 13 14 13. Effective date. 14 This act shall take effect immediately. EXCEPT FOR THE 15 PROVISIONS OF SECTION 7 WHICH SHALL TAKE EFFECT IMMEDIATELY, 16 THIS ACT SHALL TAKE EFFECT IN 60 DAYS.