THE GENERAL ASSEMBLY OF PENNSYLVANIA

SENATE BILL

No. 636

Session of 1989

INTRODUCED BY HOPPER, LINCOLN, SALVATORE, PETERSON, O'PAKE, REIBMAN, STOUT, SHAFFER, ANDREZESKI AND LYNCH, MARCH 2, 1989

REFERRED TO PUBLIC HEALTH AND WELFARE, MARCH 2, 1989

AN ACT

- 1 Regulating disclosure of health care information; and 2 prescribing penalties.
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- 21 Section 901. Severability.
- 22 Section 902. Conflicting laws.
- 23 Section 903. Effective date.
- 24 The General Assembly of the Commonwealth of Pennsylvania
- 25 hereby enacts as follows:
- 26 CHAPTER 1
- 27 GENERAL PROVISIONS
- 28 Section 101. Short title.
- 29 This act shall be known and may be cited as the Uniform
- 30 Health Care Information Act.

- 1 Section 102. Legislative findings.
- 2 The General Assembly finds and declares as follows:
- 3 (1) Health care information is personal and sensitive
- 4 information that, if improperly used or released, may do
- 5 significant harm to patient privacy interests, patient health
- 6 care interests and other patient interests.
- 7 (2) Patients need to be able to obtain access to their
- 8 own health care information as a matter of fairness to enable
- 9 them to make informed decisions about their health care and
- 10 to permit them to correct inaccurate or incomplete
- information about themselves.
- 12 (3) In order to retain the full trust and confidence of
- patients, health care providers have an interest in assuring
- that health care information is not improperly disclosed and
- in having clear and certain rules for the disclosure of
- 16 health care information.
- 17 (4) Nonhealth care providers obtain, use and disclose
- 18 health record information in many different contexts and for
- 19 many different purposes. Although this act does not regulate
- 20 the use and disclosure of health care information by
- 21 nonhealth care providers, it is the public policy of the
- 22 Commonwealth that a patient's interest in the proper use and
- 23 disclosure of that patient's health care information survives
- even when this information is held by nonhealth care
- 25 providers.
- 26 (5) The movement of patients and their health care
- 27 information across state lines, access to and exchange of
- health care information from automated data banks and the
- 29 emergence of multistate health care providers creates a
- 30 compelling need for uniform law, rules and procedures

- 1 governing the use and disclosure of health care information.
- 2 Section 103. Definitions.
- 3 The following words and phrases when used in this act shall
- 4 have the meanings given to them in this section unless the
- 5 context clearly indicates otherwise:
- 6 "Audit." An assessment, evaluation, determination or
- 7 investigation of a health care provider by a person not employed
- 8 by or affiliated with the provider to determine compliance with:
- 9 (1) statutory, regulatory, fiscal, medical or scientific
- 10 standards;
- 11 (2) a private or public program of payments to a health
- 12 care provider; or
- 13 (3) requirements for licensing, accreditation or
- 14 certification.
- 15 "Directory information." Information disclosing the presence
- 16 and the general health condition of a particular patient who is
- 17 an inpatient in a health care facility or who is currently
- 18 receiving emergency health care in a health care facility.
- 19 "General health condition." The patient's health status
- 20 described in terms of "critical," "poor," "fair," "good,"
- 21 "excellent" or terms denoting similar conditions.
- 22 "Health care." Any care, service or procedure provided by a
- 23 health care provider:
- 24 (1) to diagnose, treat or maintain a patient's physical
- 25 or mental condition; or
- 26 (2) that affects the structure or any function of the
- human body.
- 28 "Health care facility." A hospital, clinic, nursing home,
- 29 laboratory, office or similar place where a health care provider
- 30 provides health care to patients.

- 1 "Health care information." Any information, whether oral or
- 2 recorded in any form or medium, that identifies or can readily
- 3 be associated with the identity of a patient and relates to the
- 4 patient's health care. The term includes any record of
- 5 disclosures of health care information.
- 6 "Health care provider." A person who is licensed, certified
- 7 or otherwise authorized by the laws of this Commonwealth to
- 8 provide health care in the ordinary course of business or
- 9 practice of a profession. The term does not include a person who
- 10 provides health care solely through the sale or dispensing of
- 11 drugs or medical devices.
- 12 "Institutional review board." Any board, committee, or other
- 13 group formally designated by an institution or authorized under
- 14 Federal or State law to review, approve the initiation of, or
- 15 conduct periodic review of research programs to assure the
- 16 protection of the rights and welfare of human research subjects.
- 17 "Maintain." As related to health care information, to hold,
- 18 possess, preserve, retain, store or control that information.
- 19 "Patient." An individual who receives or has received health
- 20 care. The term includes a deceased individual who has received
- 21 health care.
- 22 "Person." An individual, corporation, business trust,
- 23 estate, trust, partnership, association, joint venture,
- 24 government, political subdivision or instrumentality or any
- 25 other legal or commercial entity.
- 26 CHAPTER 2
- 27 DISCLOSURE OF HEALTH CARE INFORMATION
- 28 Section 201. Disclosures by health care providers.
- 29 (a) Disclosure.--Except as authorized in section 204, a
- 30 health care provider, individuals who assist the health care

- 1 provider in the delivery of health care, and agents and
- 2 employees of a health care provider may not disclose health care
- 3 information about a patient to any other person without the
- 4 patient's written authorization. Disclosure made under the
- 5 patient's written authorization must conform to the
- 6 authorization.
- 7 (b) Receipt recorded.--A health care provider shall
- 8 maintain, in conjunction with the patient's recorded health care
- 9 information, a record of all persons who have received or
- 10 examined, in whole or in part, the recorded health care
- 11 information during the preceding three years, except for persons
- 12 who have examined the recorded health care information under
- 13 section 204(a)(1) or (2). The disclosure record shall include
- 14 the name, address and institutional affiliation, if any, of each
- 15 person receiving or examining the recorded health care
- 16 information; the date of the receipt or examination; and, to the
- 17 extent practicable, a description of the information disclosed.
- 18 Section 202. Patient authorization to health care provider for
- 19 disclosure.
- 20 (a) Patient authorization. -- A patient may authorize a health
- 21 care provider to disclose the patient's health care information.
- 22 A health care provider shall honor an authorization and provide
- 23 a copy of the recorded health care information, if requested,
- 24 except in those situations in which a health care provider may
- 25 deny a patient access to health care information under section
- 26 302. A health care provider may charge a fee, not to exceed the
- 27 health care provider's actual cost, for providing the health
- 28 care information and is not required to honor the authorization
- 29 until the fee is paid.
- 30 (b) Contents.--To be valid, a disclosure authorization to a

- 1 health care provider must comply with all of the following:
- 2 (1) Be in writing, dated and signed by the patient.
- 3 (2) Identify the nature of the information to be
- 4 disclosed.
- 5 (3) Identify the person to whom the information is to be
- 6 disclosed.
- 7 (c) Authorization no waiver.--Except as provided by this
- 8 act, the signing of an authorization by a patient does not
- 9 constitute a waiver of rights a patient has under other
- 10 statutes, the rules of evidence or common law.
- 11 (d) Record retention. -- A health care provider shall retain
- 12 authorizations or revocations in conjunction with the health
- 13 care information from which disclosures were made.
- 14 (e) Limitation.--Except for authorizations to provide
- 15 information to third-party health care payors, no authorization
- 16 may permit the release of health care information relating to
- 17 future health care that the patient receives more than six
- 18 months after the authorization was signed.
- 19 (f) Validity.--An authorization in effect on the effective
- 20 date of this act remains valid for a period of 30 months after
- 21 the effective date of this act unless an earlier date is
- 22 specified or unless it is revoked under section 204. Health care
- 23 information disclosed under an authorization is otherwise
- 24 subject to this act. An authorization written after the
- 25 effective date of this act becomes invalid after the expiration
- 26 date contained in the authorization, which (date) may not be
- 27 more than 30 months after issue. If the authorization does not
- 28 contain an expiration date, it expires six months after the date
- 29 it is signed.
- 30 Section 203. Patient revocation of authorization for

- disclosure.
- 2 A patient may revoke a disclosure authorization to a health
- 3 care provider at any time unless disclosure is required to
- 4 effectuate payments for health care that has been provided or
- 5 unless other substantial action has been taken in reliance on
- 6 the authorization. A patient may not maintain an action against
- 7 the health care provider for disclosures made in good faith
- 8 reliance on an authorization if the health care provider has no
- 9 notice of the revocation of the authorization.
- 10 Section 204. Disclosures without patient authorization.
- 11 (a) Limited disclosure. -- A health care provider may disclose
- 12 health care information about a patient without the patient's
- 13 authorization to the extent the recipient needs to know the
- 14 information, if any of the following apply:
- 15 (1) The disclosure is to persons who are providing
- 16 health care to the patient.
- 17 (2) The disclosure is to other persons who require
- 18 health care information for health care education; to provide
- 19 planning, quality assurance, peer review, administrative,
- 20 legal, financial or actuarial services to the health care
- 21 provider; or for assisting the health care provider in the
- 22 delivery of health care. This paragraph only applies if the
- 23 health care provider reasonably believes that these persons:
- 24 (i) will not use or disclose the health care
- information for any other purpose; and
- 26 (ii) will take appropriate steps to assure that the
- 27 health care information is protected.
- 28 (3) The disclosure is to other health care providers who
- 29 have previously provided health care to the patient, to the
- 30 extent necessary to provide health care to the patient,

- unless the patient has instructed the health care provider not to make the disclosure.
- 3 (4) The health care provider reasonably believes that 4 disclosure will avoid or minimize an imminent danger to the 5 health or safety of the patient or any other individual.
 - (5) The disclosure is to immediate family members of the patient, or any other individual with whom the patient is known to have a close personal relationship and is made in accordance with good medical or other professional practice. This paragraph does not apply if the patient has instructed the health care provider not to make the disclosure.
 - (6) The disclosure is to a health care provider who is the successor in interest to the health care provider maintaining the health care information.
 - (7) The disclosure is for use in a research project that an institutional review board has determined:
 - (i) is of sufficient importance to outweigh the intrusion into the privacy of the patient that would result from the disclosure;
 - (ii) is impracticable without the use or disclosure of the health care information in individually identifiable form;
- 23 (iii) contains reasonable safeguards to protect the 24 information from redisclosure;
 - (iv) contains reasonable safeguards to protect
 against identifying, directly or indirectly, a patient in
 a report of the research project; and
- (v) contains procedures to remove or destroy, at the earliest opportunity, consistent with the purposes of the project, information that would enable the patient to be

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- identified, unless an institutional review board
- 2 authorizes retention of identifying information for
- 3 purposes of another research project.
- 4 (8) The disclosure is to a person who obtains
- 5 information for purposes of an audit. This paragraph only
- 6 applies if the person agrees in writing:
- 7 (i) to remove or destroy, at the earliest
- 8 opportunity, consistent with the purpose of the audit,
- 9 information that would enable the patient to be
- 10 identified; and
- 11 (ii) not to further disclose the information, except
- 12 to accomplish the audit or to report unlawful or improper
- conduct involving health care payment fraud by a health
- care provider or patient or to report other unlawful
- 15 conduct by the health care provider.
- 16 (9) The disclosure is to officials of penal or other
- 17 custodial institutions while the patient is detained.
- 18 (b) Allowable disclosure. -- A health care provider may
- 19 disclose health care information about a patient without the
- 20 patient's authorization if the disclosure is any of the
- 21 following:
- 22 (1) Directory information. This paragraph does not apply
- 23 if the patient has instructed the health care provider not to
- 24 make the disclosure.
- 25 (2) To Federal, State or local public health
- 26 authorities, to the extent that the health care provider is
- 27 required by law to report health care information or that the
- disclosure is needed to protect the public health.
- 29 (3) To Federal, State or local law enforcement
- 30 authorities to the extent required by law.

- 1 (4) Pursuant to compulsory process in accordance with
- 2 section 205.
- 3 Section 205. Compulsory process.
- 4 (a) Official proceedings.--Health care information shall not
- 5 be disclosed by a health care provider pursuant to compulsory
- 6 legal process or discovery in any judicial, legislative or
- 7 administrative proceeding unless any of the following apply:
- 8 (1) The patient has consented in writing to the release
- 9 of the health care information in response to compulsory
- 10 process or a discovery request.
- 11 (2) The patient has waived the right to claim
- 12 confidentiality for the health care information sought.
- 13 (3) The patient is a party to the proceeding and has
- 14 placed the patient's physical or mental condition at issue.
- 15 (4) The patient's physical or mental condition is
- relevant to the execution or witnessing of a will.
- 17 (5) The physical or mental condition of a deceased
- 18 patient is placed in issue by a person claiming or defending
- through or as a beneficiary of the patient.
- 20 (6) A patient's health care information is to be used in
- 21 the patient's commitment proceeding.
- 22 (7) The health care information is for use in a law
- 23 enforcement proceeding or investigation in which a health
- care provider is the subject or is a party. Health care
- information obtained under this paragraph may not be used in
- 26 a proceeding against the patient unless the matter relates to
- payment for the patient's health care.
- 28 (8) The health care information is relevant to a
- 29 proceeding brought under Chapter 8.
- 30 (9) A court has determined that particular health care

- 1 information should be subject to compulsory legal process or
- 2 discovery because the party seeking the information has
- demonstrated that the interest in access outweighs the
- 4 patient's privacy interest.
- 5 (b) Notice.--If health care information is sought under
- 6 subsection (a)(2), (4) or (5) or in a civil proceeding or
- 7 investigation under subsection (a)(9), the person seeking
- 8 discovery or compulsory process shall mail a notice, by first-
- 9 class mail to the patient or the patient's attorney of record,
- 10 of the compulsory process or discovery request at least ten days
- 11 before presenting the certificate required under subsection (c)
- 12 to the health care provider. The court may, for good cause
- 13 shown, determine that the notification should be waived or
- 14 modified.
- 15 (c) Written certification.--
- 16 (1) Service of compulsory process or discovery requests
- upon a health care provider must be accompanied by a written
- 18 certification, signed by the person seeking to obtain health
- 19 care information or an authorized representative and
- 20 identifying at least one paragraph of subsection (a) under
- 21 which compulsory process or discovery is being sought. The
- 22 certification must also state, in the case of information
- 23 sought under subsection (a)(2), (4) or (5) or in a civil
- 24 proceeding under subsection (a)(9), that the notice
- 25 provisions of subsection (b) have been complied with. A
- 26 person shall sign the certification only if the person
- 27 reasonably believes that the paragraph of subsection (a)
- 28 identified in the certification provides an appropriate basis
- for the use of discovery or compulsory process.
- 30 (2) Unless otherwise ordered by the court, the health

- 1 care provider shall maintain a copy of the process and the
- written certification as a permanent part of a patient's
- 3 health care information.
- 4 (d) No waiver.--Production of health care information under
- 5 this section, in and of itself, does not constitute a waiver of
- 6 a privilege, objection or defense existing under other law.
- 7 CHAPTER 3
- 8 EXAMINATION AND COPYING OF RECORDS
- 9 Section 301. Requirements and procedures for patient
- 10 examination and copying.
- 11 (a) Information provided. -- Upon receipt of a written request
- 12 from a patient to examine or copy all or part of the patient's
- 13 recorded health care information, a health care provider, as
- 14 promptly as required under the circumstances but no later than
- 15 ten days after receiving the request, shall do one of the
- 16 following:
- 17 (1) Make the information available for examination
- 18 during regular business hours and provide a copy, if
- 19 requested, to the patient.
- 20 (2) If the information does not exist or cannot be
- 21 found, inform the patient.
- 22 (3) If the health care provider does not maintain the
- 23 information, inform the patient and provide the name and
- 24 address, if known, of the health care provider who maintains
- 25 the information.
- 26 (4) If the information is in use or unusual
- 27 circumstances have delayed handling the request, inform the
- 28 patient and specify in writing the reasons for the delay and
- 29 the earliest date, not later than 21 days after receipt of
- 30 the request, when the information will be available for

- 1 examination or copying or when the request will be otherwise
- 2 disposed of.
- 3 (5) Deny the request, in whole or in part, under section
- 4 302 and inform the patient.
- 5 (b) Implementation. -- In implementing this section:
- 6 (1) Upon request, the health care provider shall provide
- 7 an explanation of any code or abbreviation used in the health
- 8 care information.
- 9 (2) If the particular health care information requested
- 10 is not maintained by the health care provider in the
- 11 requested form, the health care provider is not required to
- create a new record or reformulate an existing record to make
- the health care information available in the requested form.
- 14 (3) The health care provider may charge a fee, not to
- 15 exceed the health care provider's actual cost, for providing
- the health care information and is not required to permit
- examination or copying until the fee is paid.
- 18 Section 302. Denial of examination and copying.
- 19 (a) Access denial. -- A health care provider may deny access
- 20 to health care information by the patient if the health care
- 21 provider reasonably concludes that any of the following apply:
- 22 (1) Knowledge of the health care information would be
- 23 injurious to the health of the patient.
- 24 (2) Knowledge of the health care information could
- reasonably be expected to lead to the patient's
- 26 identification of an individual who provided the information
- 27 in confidence and under circumstances in which
- 28 confidentiality was appropriate.
- 29 (3) Knowledge of the health care information could
- 30 reasonably be expected to cause danger to the life or safety

- 1 of an individual.
- 2 (4) The health care information was compiled and is used
- 3 solely for litigation, quality assurance, peer review or
- 4 administrative purposes.
- 5 (5) Access to the health care information is otherwise
- 6 prohibited by law.
- 7 (b) Separate access.--If a health care provider denies an
- 8 examination and copying request under this section, the
- 9 provider, to the extent possible, shall segregate health care
- 10 information for which access has been denied under subsection
- 11 (a) from information for which access may not be denied and
- 12 permit the patient to examine or copy the disclosable
- 13 information.
- 14 (c) Alternate selection.--If a health care provider denies a
- 15 patient's request for examination and copying, in whole or in
- 16 part, under subsection (a)(1) or (3), the provider shall permit
- 17 examination and copying of the record by another health care
- 18 provider who is selected by the patient and who is licensed,
- 19 certified or otherwise authorized under the laws of this
- 20 Commonwealth to treat the patient for the same condition as the
- 21 original health care provider. The health care provider
- 22 maintaining the health care information shall inform the patient
- 23 of the patient's right to select another health care provider
- 24 for this purpose.
- 25 CHAPTER 4
- 26 CORRECTION AND AMENDMENT OF RECORDS
- 27 Section 401. Requests for correction or amendment.
- 28 (a) Correction. -- For purposes of accuracy or completeness, a
- 29 patient may request in writing that a health care provider
- 30 correct or amend the patient's health care information to which

- 1 a patient has access under section 301.
- 2 (b) Amendment.--As promptly as required under the
- 3 circumstances, but no later than ten days after receiving a
- 4 request from a patient to correct or amend the patient's health
- 5 care information, the health care provider shall do one of the
- 6 following:
- 7 (1) Make the requested correction or amendment and
- 8 inform the patient of the action and of the patient's right
- 9 to have the correction or amendment sent to previous
- 10 recipients of the health care information in question.
- 11 (2) If the record no longer exists or cannot be found,
- 12 inform the patient.
- 13 (3) If the health care provider does not maintain the
- 14 record, inform the patient and provide the patient with the
- name and address, if known, of the person who maintains the
- 16 record.
- 17 (4) If the record is in use or unusual circumstances
- have delayed the handling of the correction or amendment
- 19 request, inform the patient and specify in writing the
- 20 earliest date, not later than 21 days after receipt of the
- 21 request, when the correction or amendment will be made or
- 22 when the request will otherwise be disposed of.
- 23 (5) Inform the patient in writing of the provider's
- 24 refusal to correct or amend the record as requested, the
- reason for the refusal and the patient's right to add a
- 26 statement of disagreement and to have that statement sent to
- 27 previous recipients of the disputed health care information.
- 28 Section 402. Procedures for adding corrections or amendments or
- 29 statements of disagreement.
- 30 (a) Marking.--In making any correction or amendment, the

- 1 health care provider shall:
- 2 (1) Add the amending information as a part of the health
- 3 record.
- 4 (2) Mark the challenged entries as corrected or amended
- 5 entries and indicate the place in the record where the
- 6 corrected or amended information is located, in a manner
- 7 practicable under the circumstances.
- 8 (b) Refusal.--If the health care provider maintaining the
- 9 health care information refuses to make a patient's proposed
- 10 correction or amendment, the provider shall:
- 11 (1) Permit the patient to file, as a part of the health
- 12 care information, a concise statement of the correction or
- amendment requested and the reasons for it.
- 14 (2) Mark the challenged entry to indicate that the
- patient claims the entry is inaccurate or incomplete and
- indicate the place in the record where the statement of
- 17 disagreement is located, in a manner practicable under the
- 18 circumstances.
- 19 Section 403. Dissemination of corrected or amended information
- or statements of disagreement.
- 21 (a) Persons designated.--A health care provider, upon
- 22 request of the patient, shall take reasonable steps to provide
- 23 copies of corrected or amended information or of the statement
- 24 of disagreement to all persons designated by the patient who are
- 25 identified in the health care information as having examined or
- 26 received copies of the information sought to be corrected or
- 27 amended.
- 28 (b) Fee.--A health care provider may charge the patient for
- 29 the provider's actual cost in distributing corrected or amended
- 30 information or the statement of disagreement, unless the

provider's error necessitated the correction or amendment. 1 2 CHAPTER 5 3 NOTICE OF INFORMATION PRACTICES 4 Section 501. Content and dissemination of notice. 5 (a) Notice. -- A health care provider who provides health care at a health care facility that the provider operates and who 6 maintains health care information shall create a notice of 7 information practices that contains substantially the following: 9 Notice 10 We keep records of the health care services we provide 11 you. You may ask us to see and copy those records that we 12 maintain. You may also ask us to correct those records. 13 We will not disclose your records to others unless you 14 direct us to do so, or unless the law authorizes or 15 compels us to do so. You may see your records, or get 16 more information about them, at 17 (b) Posted copy. -- The health care provider shall post a copy 18 of the provider's notice of information practices in a 19 conspicuous place in the health care facility and, upon request, 20 provide patients or prospective patients with a copy of the notice. 21 22 CHAPTER 6 23 PERSONS AUTHORIZED TO ACT FOR PATIENTS 24 Section 601. Health care representatives. 25 (a) Agency. -- A person authorized to consent to health care 26 for another may exercise the rights of that person under this 27 act to the extent necessary to effectuate the terms or purposes of the grant of authority. A patient who is a minor and who is 28 29 authorized to consent to health care without parental consent under the laws of this Commonwealth may exclusively exercise the

- 1 rights of a patient under this act as to information pertaining
- 2 to health care to which the minor lawfully consented.
- 3 (b) Good faith.--A person authorized to act for a patient
- 4 shall act in good faith to represent the best interests of the
- 5 patient.
- 6 Section 602. Representatives of deceased patients.
- 7 A personal representative of a deceased patient may exercise
- 8 the deceased patient's rights under this act. If there is no
- 9 personal representative or if the personal representative is
- 10 discharged, a deceased patient's rights under this act may be
- 11 exercised by persons who are authorized by law to act for the
- 12 deceased patient.
- 13 CHAPTER 7
- 14 SECURITY SAFEGUARDS AND RECORD RETENTION
- 15 Section 701. Duty to adopt security safeguards.
- 16 A health care provider shall implement reasonable security
- 17 safeguards for health care information it maintains.
- 18 Section 702. Retention of records.
- 19 A health care provider shall maintain existing health care
- 20 information for at least one year following receipt of an
- 21 authorization to disclose that health care information under
- 22 section 202 and during the pendency of a request for examination
- 23 and copying under section 301 or a request for correction or
- 24 amendment under section 401.
- 25 CHAPTER 8
- 26 CIVIL REMEDIES AND CRIMINAL SANCTIONS
- 27 Section 801. Criminal penalty.
- 28 (a) Prohibited disclosure. -- A person who, with knowledge or
- 29 reason to know that disclosure is prohibited, intentionally
- 30 discloses health care information in violation of this act

- 1 commits a misdemeanor of the third degree and shall, upon
- 2 conviction, be sentenced to pay a fine of not more than \$10,000
- 3 or to imprisonment for not more than one year, or both.
- 4 (b) Misrepresentation. -- A person who, by intentionally
- 5 misrepresenting that person's identity or purpose or entitlement
- 6 to health care information or by bribery, theft or trespass,
- 7 examines or obtains health care information maintained by a
- 8 health care provider to which the person would not otherwise be
- 9 entitled commits a misdemeanor of the third degree and shall,
- 10 upon conviction, be sentenced to pay a fine of not more than
- 11 \$10,000 or to imprisonment for not more than one year, or both.
- 12 (c) False certification. -- A person who, with knowledge that
- 13 a certification under section 205(c)(1) or a disclosure
- 14 authorization under section 202 is false, intentionally presents
- 15 the certification or disclosure authorization to a health care
- 16 provider commits a misdemeanor of the third degree and shall,
- 17 upon conviction, be sentenced to pay a fine of not more than
- 18 \$10,000 or to imprisonment for not more than one year, or both.
- 19 Section 802. Civil enforcement.
- 20 The Attorney General or appropriate local law enforcement
- 21 official may maintain a civil action to enforce this act. The
- 22 court may order relief authorized by section 803.
- 23 Section 803. Civil remedies.
- 24 (a) Action for relief.--A person aggrieved by a violation of
- 25 this act may maintain an action for relief as provided in this
- 26 section.
- 27 (b) Compliance.--The court may order the health care
- 28 provider or other person to comply with this act and may order
- 29 other appropriate relief.
- 30 (c) Good faith reliance.--A health care provider who relies

- 1 in good faith upon a certification under section 205(c)(1) is
- 2 not liable for disclosures made in reliance on that
- 3 certification.
- 4 (d) Burden of proof.--In an action by a patient alleging
- 5 that health care information was improperly withheld under
- 6 Chapter 3, the burden of proof is on the health care provider to
- 7 establish that the information was properly withheld.
- 8 (e) Damages.--If the court determines that there is a
- 9 violation of this act, the aggrieved person is entitled to
- 10 recover damages for pecuniary losses sustained as a result of
- 11 the violation; in addition, if the violation results from
- 12 willful or grossly negligent conduct, the aggrieved person may
- 13 recover up to \$5,000 as penal damages.
- 14 (f) Attorney fees.--If a plaintiff prevails, the court may
- 15 assess reasonable attorney fees and all other expenses
- 16 reasonably incurred in the litigation.
- 17 (q) Statute of limitations.--An action under this act is
- 18 barred unless the action is commenced within two years after the
- 19 cause of action relief arises.
- 20 CHAPTER 9
- 21 MISCELLANEOUS PROVISIONS
- 22 Section 901. Severability.
- 23 The provisions of this act are severable. If any provision of
- 24 this act or its application to any person or circumstance is
- 25 held invalid, the invalidity shall not affect other provisions
- 26 or applications of this act which can be given effect without
- 27 the invalid provision or application.
- 28 Section 902. Conflicting laws.
- 29 This act does not restrict a health care provider from
- 30 complying with obligations imposed by Federal health care

- payment programs or Federal law. If there is a conflict between 1
- 2 a provision of this act and a provision of the act of July 8,
- 3 1986 (P.L.408, No.89), known as the Health Care Cost Containment
- 4 Act, the Health Care Cost Containment Act shall prevail.
- Section 903. Effective date. 5
- 6 This act shall take effect in 60 days.