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SENATE OF PA
SECRETARY'S OFFICE

THE GOVERNOR



COMMONWEALTH OF PENNSYLVANIA
OFFICE OF THE GOVERNOR
HARRISBURG

MAJOR GENERAL, PENNSYLVANIA AIR NATIONAL GUARD

April 17, 2012

To the Honorable, the Senate
of the Commonwealth of Pennsylvania:

In conformity with law, I have the honor hereby to nominate for the advice and consent of the Senate, Brigadier General Roy E. Uptegraff, III, 213 Meadow Ridge Court, McKees Rocks 15136, Allegheny County, Forty-second Senatorial District, for appointment as Major General, LINE, with assignment as Air National Guard Assistant to the Commander, Air Mobility Command, Pennsylvania Air National Guard, to serve until terminated, vice new position.

A handwritten signature in black ink, reading "Tom Corbett".

TOM CORBETT
Governor

STATEMENT OF FINANCIAL INTERESTS
PLEASE PRINT NEATLY

01 LAST NAME FIRST NAME MI SUFFIX
U P T E G R A F F R O Y E

02 ADDRESS City State Zip Code Area Code Phone
300 TANKER ROAD CORAOPOLIS PA 15108 (412) 776 7359

NOTE: IF YOU ARE INCLUDING ATTACHMENTS, DO NOT INCLUDE ANYTHING THAT BEARS YOUR SOCIAL SECURITY NUMBER OR FINANCIAL ACCOUNT NUMBERS.

03 STATUS Check applicable block or blocks, more than one block may be marked. (See instructions on page 2)
A ☐ Candidate (including write-in) C ☒ Public Official (Current) D ☒ Public Employee (Current) E ☐ Check this block if you are filing as a solicitor
B ☒ Nominee C ☐ Public Official (Former) D ☐ Public Employee (Former) ☐ Check this block if you are amending an original filing

04 PUBLIC POSITION OR PUBLIC OFFICE (administrator, member, Commissioner, job title, etc.) ☐ seeking ☒ hold ☐ held
A W I N G C O M M A N D E R ☒ seeking ☐ hold ☐ held
B N A T I O N A L G U A R D A D V I S O R A M C H Q S

05 GOVERNMENTAL ENTITY in which you are/were an Official, Employee, Candidate or Nominee (e.g., dept, agency, authority, borough, board, commission, county, school district, twp, etc.)
A P E N N S Y L V A N I A A I R N A T I O N A L
B G U A R D

06 OCCUPATION OR PROFESSION (This may be the same as block 4)
171st ARW
WING COMMANDER
07 YEAR The information in blocks 8 through 15 below represents financial interests for the PRIOR calendar year indicated: 2011

08 REAL ESTATE INTERESTS (See instructions on page 2) If NONE, check this box. ☒

09 CREDITORS (See instructions on page 2). Creditor (Name and Address) If NONE, check this box. ☒
Name: Address:

10 DIRECT OR INDIRECT SOURCES OF INCOME including (but not limited to) all employment. (See instructions on pg. 2) ONLY IF NONE check this block ☐
DFAS - JFLL/IN, 8899 EAST 56th St. Indianapolis, IN 46249-1200
DEFENSE FINANCE & ACCTG SERV. RM 1907, 1240 EAST 9th ST. CLEVELAND, OH 44199
TRIAD ENERGY CORP 1616 S. Voss, SUITE 650, HOUSTON, TEXAS 77057

11 GIFTS (See instructions on page 2) If NONE, check this box. ☒
Source of Gift

Address of Source of Gift Circumstances (including description) of Gift Value of Gift

12 TRANSPORTATION, LODGING, HOSPITALITY (See instructions on page 2) If NONE, check this box. ☒
Source (Name and Address)

Address of Source of Hospitality Value of Hospitality

13 OFFICE, DIRECTORSHIP OR EMPLOYMENT IN ANY BUSINESS (See instructions on page 2) If NONE, check this box. ☒
Business Entity (Name and Address)

Name: Address: Position Held

14 FINANCIAL INTEREST IN ANY LEGAL ENTITY IN BUSINESS FOR PROFIT (See instructions on page 2) If NONE, check this box. ☒
Name and Address of Business

Interest Held

15 BUSINESS INTERESTS TRANSFERRED TO IMMEDIATE FAMILY MEMBER (See instructions on page 2) If NONE, check this box. ☒
Business (Name and Address)

Transferee (Name and Address) Interest Held Relationship Date Transferred

The undersigned hereby affirms that the foregoing information is true and correct to the best of said person's knowledge, information and belief; said affirmation being made subject to the penalties prescribed by 18 Pa.C.S. §4904 (unsworn falsification to authorities) and the Public Official and Employee Ethics Act, 65 Pa.C.S. §1109(b).

Signature [Signature] Enter Current Date 30 JANUARY 2012

THIS FORM IS CONSIDERED DEFICIENT IF ANY BLOCK ABOVE IS NOT COMPLETED. MAKE A COPY FOR YOUR RECORDS.