## THE GENERAL ASSEMBLY OF PENNSYLVANIA

## SENATE BILL

No. 28

Session of 2021

INTRODUCED BY PHILLIPS-HILL, MARTIN, AUMENT, STEFANO, J. WARD, DiSANTO AND MASTRIANO, JANUARY 20, 2021

REFERRED TO INTERGOVERNMENTAL OPERATIONS, JANUARY 20, 2021

## AN ACT

- 1 Providing for the administration of permits by State agencies,
- for a tracking system for permit applications, for the
- establishment of permit programs, for third-party review of
- 4 permit decision delays and for annual reports.
- 5 The General Assembly of the Commonwealth of Pennsylvania
- 6 hereby enacts as follows:
- 7 Section 1. Short title.
- 8 This act shall be known and may be cited as the Permit
- 9 Administration Act.
- 10 Section 2. Definitions.
- 11 The following words and phrases when used in this act shall
- 12 have the meanings given to them in this section unless the
- 13 context clearly indicates otherwise:
- 14 "Applicant." A person, municipality, municipal authority,
- 15 political subdivision, State agency or an agency of the Federal
- 16 Government, which submits an application for a permit to a State
- 17 agency.
- 18 "Application." A submission to a State agency by an
- 19 applicant which seeks any of the following:

- 1 (1) A new permit.
- 2 (2) A permit renewal.
- 3 (3) A permit amendment.
- 4 (4) A permit modification.
- 5 (5) A permit transfer.
- 6 (6) A change of ownership of a permit.
- 7 "Completeness review." The process by which a State agency's
- 8 staff reviews an application to determine if the application
- 9 satisfies all of the applicable statutory and regulatory
- 10 requirements.
- "Incomplete application." An application which does not
- 12 include all required documents and information necessary to
- 13 perform a completeness review.
- 14 "Permit." An authorization issued by a State agency which
- 15 approves the performance of a regulated activity. The term
- 16 includes authorization permits, plan approvals and registrations
- 17 under a general permit. The term does not include the following:
- 18 (1) A certification, license or permit issued to an
- individual for personal use.
- 20 (2) A certification, license or permit issued by the
- 21 Pennsylvania Game Commission.
- 22 "Permit decision." The issuance or denial of a permit.
- "Permit decision delay." The failure of a State agency to
- 24 issue a permit decision within:
- 25 (1) the time period specified by statute or regulation,
- including the time period specified under 4 Pa. Code Ch. 7a
- 27 Subch. H (relating to permit decision guarantee for the
- Department of Environmental Protection); or
- 29 (2) 30 days after the submission of the permit
- 30 application if there is no time period specified by statute

- or regulation, including 4 Pa. Code Ch. 7a Subch. H.
- 2 "Permit program." The program designed for the operation and
- 3 management of permits which are subject to permit decision
- 4 delays.
- 5 "Processing time." Beginning when the permit satisfies the
- 6 completeness review, the total number of business days allowed
- 7 by statute, regulation or State agency policy before a State
- 8 agency must take final action on a permit decision.
- 9 "State agency." Any office, department, authority, board or
- 10 commission of the executive branch which issues permits.
- "Technical review." A review of the technical aspects of an
- 12 application to determine if the application satisfies all of the
- 13 applicable statutory and regulatory requirements for permit
- 14 issuance.
- "Technically deficient application." An application that
- 16 does not include all necessary documents and information in
- 17 sufficient detail to perform a technical review.
- 18 "Third-party professional." An individual in this
- 19 Commonwealth who possesses all of the requisite certifications
- 20 and qualifications of an occupation relating to a permit
- 21 administered by a State agency.
- 22 Section 3. Initial review of permits by State agencies.
- 23 Within 60 days after the effective date of this section, a
- 24 State agency shall review the State agency's permit decisions
- 25 and permit decision delays during the immediately preceding
- 26 calendar year and submit a report of findings to the General
- 27 Assembly.
- 28 Section 4. Compilation of permits.
- 29 (a) List of permits. -- A State agency shall compile, maintain
- 30 and make available a complete list of all types of permits

- 1 issued by the State agency. The list, including any revisions to
- 2 the list, shall be transmitted to the Legislative Reference
- 3 Bureau for publication in the Pennsylvania Bulletin and shall be
- 4 posted on the State agency's publicly accessible Internet
- 5 website. The list shall include, but not be limited to, the
- 6 following information:
- 7 (1) The program under which each permit is issued.
- 8 (2) The statutory and regulatory authority for each
- 9 permit.
- 10 (3) The time frame when the State agency must issue each
- 11 permit.
- 12 (4) The average time frame within which each permit is
- 13 actually issued.
- 14 (b) Time limit.--A State agency shall have 90 days from the
- 15 effective date of this section to complete the initial list
- 16 required under subsection (a).
- 17 Section 5. Tracking system for permit application.
- 18 (a) Establishment. -- A State agency shall establish, maintain
- 19 and make available a secure tracking system for applicants to
- 20 track the status of applications on the State agency's publicly
- 21 accessible Internet website within 180 days of the effective
- 22 date of this section.
- 23 (b) Notice. -- Within five business days after receiving an
- 24 application, a State agency shall notify an applicant in writing
- 25 or by electronic means of the receipt and provide information
- 26 instructing the applicant in the utilization of the tracking
- 27 system established under subsection (a).
- 28 (c) System contents. -- The tracking system shall include all
- 29 of the following:
- 30 (1) The processing time for each permit, the statutory

- and regulatory authority and State agency policy establishing
- 2 the processing time.
- 3 (2) The dates associated with the receipt of each
- 4 permit, completeness review, technical review, elevated
- 5 review, if necessary, and the final permit decision.
- 6 (3) The estimated time remaining for each incomplete
- 7 phase of the permit approval process.
- 8 (4) The identity and contact information for the State
- 9 agency employee assigned to answer questions about the
- 10 application process.
- 11 Section 6. Notice of incomplete and technically deficient
- 12 applications.
- 13 (a) Notice. -- If a State agency finds an incomplete
- 14 application or technically deficient application, the State
- 15 agency shall notify the applicant in writing or by electronic
- 16 means of all of the following:
- 17 (1) The statute or regulation which requires a
- 18 correction or additional information within the application.
- 19 (2) The reasons why the application is not in
- 20 conformance with the statute or regulation specified under
- 21 paragraph (1) in clear language that is readily
- 22 understandable by a layperson.
- 23 (3) The correction or additional information needed for
- 24 the State agency to issue the permit.
- 25 (b) Time limit. -- The following apply:
- 26 (1) If an application is determined to be incomplete,
- 27 the State agency shall notify the applicant of the
- determination within 10 business days of receipt of the
- application.
- 30 (2) If an application is determined to be technically

- deficient, the State agency shall notify the applicant of the
- 2 determination within 20 business days after the conclusion of
- 3 a completeness review.
- 4 Section 7. Notice of permit changes and expiration.
- 5 A State agency shall notify a permit holder in writing or by
- 6 electronic means of any of the following:
- 7 (1) The expiration date of a permit 60 days before the
- 8 permit's expiration date.
- 9 (2) A change to a statute or regulation which may affect
- 10 the permit.
- 11 (3) A change in permit fees which may affect the renewal
- of the permit.
- 13 Section 8. Validity of permits.
- 14 A permit issued prior to the effective date of a statute or
- 15 regulation altering the requirements for the permit shall remain
- 16 valid under the provisions by which the permit was granted,
- 17 unless otherwise agreed to by all parties.
- 18 Section 9. Third-party review of permit decision delays.
- 19 (a) Establishment.--Within 180 days of the effective date of
- 20 this section, a State agency shall establish a program to review
- 21 permit decision delays and resolve issues causing permit
- 22 decision delays.
- 23 (b) Third-party. -- A State agency shall contract with a
- 24 third-party professional to administer a program established
- 25 under subsection (a) in accordance with 62 Pa.C.S. Pt. I
- 26 (relating to Commonwealth procurement code). Payments to a
- 27 third-party professional under this subsection shall consist of
- 28 the remittance of any fees collected by a State agency from
- 29 applicants whose applications are subject to a permit decision
- 30 delay.

- 1 (c) Review.--A State agency shall, immediately after
- 2 establishing a program under subsection (a), refer applications
- 3 that have been submitted to the State agency and are subject to
- 4 permit decision delay to a third-party professional for review
- 5 and resolution. A permit application that becomes subject to
- 6 permit decision delay after the establishment of a program under
- 7 subsection (a) shall be submitted by the State agency to a
- 8 third-party professional for review and resolution no later than
- 9 three business days after the application becomes subject to
- 10 permit decision delay.
- 11 (d) Issuance. -- After a third-party professional's review of
- 12 an application which is subject to a permit decision delay and
- 13 the resolution of all issues causing the delay, the third-party
- 14 professional shall transmit the application to the State agency
- 15 for issuance of the permit.
- 16 Section 10. Annual reports.
- No later than January 31 of each year, a State agency shall
- 18 submit a report to the General Assembly that, at a minimum,
- 19 shall contain the following information from the immediately
- 20 preceding calendar year:
- 21 (1) The number of applications received.
- 22 (2) The number of applications reviewed by the State
- agency that received a decision without being referred to a
- third-party professional.
- 25 (3) The average time frame for permit decisions from the
- 26 State agency on applications that received a decision without
- 27 being referred to a third-party professional.
- 28 (4) The number of applications reviewed by third-party
- 29 professionals.
- 30 (5) The average time frame for contracted third-party

- 1 professionals to complete an application review.
- 2 (6) The number of State agency employees reviewing
- 3 permit applications as organized by each regional office of
- 4 the State agency, if applicable, and the number of
- 5 applications each State employee reviewed.
- 6 Section 11. Construction.
- 7 Nothing in this act shall be construed to limit or otherwise
- 8 alter a State agency's authority to revoke a permit for failure
- 9 to comply with the laws of this Commonwealth.
- 10 Section 12. Effective date.
- 11 This act shall take effect in 60 days.