THE GENERAL ASSEMBLY OF PENNSYLVANIA

HOUSE BILL

No. 2633 Session of 2022

INTRODUCED BY TOPPER AND N. NELSON, MAY 31, 2022

AS AMENDED ON SECOND CONSIDERATION, IN SENATE, OCTOBER 19, 2022

AN ACT

1 2 3 4 5	Amending Title 5 (Athletics and Sports) of the Pennsylvania Consolidated Statutes, extensively revising the Uniform Athlete Agents Act; PROVIDING FOR INTERCOLLEGIATE ATHLETICS; making RELATED AND INCONSISTENT repeals; and making an editorial change.	<
6	The General Assembly of the Commonwealth of Pennsylvania	
7	hereby enacts as follows:	
8	Section 1. The heading of Part II of Title 5 of the	
9	Pennsylvania Consolidated Statutes is amended to read:	
10	PART II	
11	[ATHLETE AGENTS] <u>ATHLETES</u>	
12	Section 2. Subparts A and B of Part II of Title 5 are	
13	repealed:	
14	[SUBPART A	
15	GENERAL PROVISIONS	
16	Chapter	
17	31. Preliminary Provisions	
18	CHAPTER 31	
19	PRELIMINARY PROVISIONS	
19	PRELIMINARY PROVISIONS	

- 1 Sec.
- 2 3101. Short title of part.
- 3 3102. Definitions.
- 4 3103. Administration.
- 5 3104. Service of process.
- 6 3105. Subpoenas.
- 7 3106. Rules and regulations.
- 8 § 3101. Short title of part.
- 9 This part shall be known and may be cited as the Uniform
- 10 Athlete Agents Act.
- 11 § 3102. Definitions.
- The following words and phrases when used in this part shall
- 13 have the meanings given to them in this section unless the
- 14 context clearly indicates otherwise:
- "Agency contract." Any contract or agreement in which an
- 16 individual or a student athlete authorizes or empowers a person
- 17 to negotiate or solicit on behalf of the individual or the
- 18 student athlete one or more professional sports services
- 19 contracts.
- 20 "Athlete agent." A person who enters into an agency contract
- 21 with an individual or a student athlete or directly or
- 22 indirectly recruits or solicits an individual or a student
- 23 athlete to enter into an agency contract. The term does not
- 24 include a spouse, parent, sibling, son, daughter or grandparent
- 25 of the individual or student athlete, an individual acting
- 26 solely on behalf of a professional sports team or a coach,
- 27 trainer or other employee of a secondary or postsecondary school
- 28 who is acting on behalf of a student athlete of the same
- 29 secondary or postsecondary school, provided that such activities
- 30 are within the scope of employment of the coach, trainer or

- 1 other employee. The term includes a person who represents to the
- 2 public that the person is an athlete agent.
- "Athletic director." An individual responsible for
- 4 administering the overall athletic program of an educational
- 5 institution or, if an educational institution has separately
- 6 administered athletic programs for male students and female
- 7 students, the athletic program for males or the athletic program
- 8 for females.
- 9 "Commission." The State Athletic Commission.
- "Contact." A communication, direct or indirect, between an
- 11 athlete agent and an individual or a student athlete to recruit
- 12 or solicit the individual or student athlete to enter into an
- 13 agency contract, including by telephonic, facsimile or other
- 14 electronic method, mail, electronic mail or personal means.
- "Conviction." A finding of guilt by a judge, jury, a plea of
- 16 quilty or a plea of nolo contendere.
- "Department." The Department of State of the Commonwealth.
- "Institution of higher education." A public or private
- 19 college or university, including a community college.
- "Intercollegiate sport." A sport played at the collegiate
- 21 level for which eligibility requirements for participation by a
- 22 student athlete are established by a national association for
- 23 the promotion or regulation of collegiate athletics.
- "Person." An individual, corporation, partnership, limited
- 25 liability company, association, joint venture, public
- 26 corporation or any other legal or commercial entity.
- "Professional sports services contract." A contract or
- 28 agreement under which an individual is employed or agrees to
- 29 render services as a player on a professional sports team, with
- 30 a professional sports organization or as a professional athlete.

- "Record." Information that is inscribed on a tangible medium
- 2 or that is stored in an electronic or other medium and is
- 3 retrievable in perceivable form.
- 4 "Registration." Registration as an athlete agent pursuant to
- 5 Chapter 33 (relating to registration).
- "State." A state of the United States, the District of
- 7 Columbia, Puerto Rico, the United States Virgin Islands or any
- 8 territory or insular possession subject to the jurisdiction of
- 9 the United States.
- "Student athlete." An individual who engages in, is eligible
- 11 to engage in or may be eligible in the future to engage in any
- 12 intercollegiate sport. If an individual is permanently
- 13 ineligible to participate in a particular intercollegiate sport,
- 14 the individual is not a student athlete for purposes of that
- 15 sport.
- 16 § 3103. Administration.
- 17 The commission shall administer this part.
- 18 § 3104. Service of process.
- By engaging in the business of an athlete agent in this
- 20 Commonwealth, a nonresident individual appoints the Secretary of
- 21 the Commonwealth as the individual's agent to accept service of
- 22 process in any civil action related to the individual's business
- as an athlete agent in this Commonwealth.
- 24 § 3105. Subpoenas.
- The commission may issue subpoenas for any relevant material
- 26 under this part.
- § 3106. Rules and regulations.
- The commission shall have the power and duty to adopt and
- 29 revise regulations in accordance with the act of June 25, 1982
- 30 (P.L.633, No.181), known as the Regulatory Review Act, as are

- 1 reasonably necessary to administer and effectuate the purposes
- 2 of this part.
- 3 SUBPART B
- 4 REGISTRATION OF ATHLETE AGENTS
- 5 Chapter
- 6 33. Registration
- 7 CHAPTER 33
- 8 REGISTRATION
- 9 Sec.
- 10 3301. Athlete agent registration.
- 11 3302. Application for registration.
- 12 3303. Issuance of registration.
- 13 3304. Denial of registration.
- 14 3305. Renewal of registration.
- 15 3306. Period of registration.
- 16 3307. Suspension, revocation, restriction or refusal to renew
- registration.
- 18 3308. Fees.
- 19 3309. Form of contract for student athletes.
- 20 3310. Notice to educational institution.
- 21 3311. Student athlete's right to cancel.
- 22 3312. Required records.
- 23 3313. Prohibited acts.
- 24 3314. Civil remedies.
- 25 3315. Administrative penalty.
- 26 3316. Bonding requirements.
- 27 3317. Exemption from registration and bonding requirement.
- 28 3318. Disposition of commission receipts.
- 29 3319. Records.
- 30 3320. Transferability of registration.

- 1 § 3301. Athlete agent registration.
- 2 (a) Certificate of registration required. -- Except as
- 3 otherwise provided in subsection (b), a person shall not
- 4 directly or indirectly serve or offer to serve as an athlete
- 5 agent in this Commonwealth before being issued a certificate of
- 6 registration under section 3303 (relating to issuance of
- 7 registration). An out-of-State agent must register if the agent
- 8 through direct or indirect contact recruits or solicits an
- 9 individual or student athlete to enter into an agency contract
- 10 or procures, offers, promises or attempts to obtain employment
- 11 for an individual or student athlete with a Pennsylvania
- 12 professional sports team as a professional athlete in this
- 13 Commonwealth where any one or more of the following conditions
- 14 apply:
- 15 (1) The athlete agent is a resident of this
- 16 Commonwealth.
- 17 (2) The athlete is a resident of this Commonwealth or is
- attending an institution of higher education in this
- 19 Commonwealth.
- 20 (3) The professional sports team has its home field or
- its corporate headquarters in this Commonwealth.
- 22 (b) Exception. -- An unregistered out-of-State agent or person
- 23 may act as an athlete agent in this Commonwealth before being
- 24 issued a certificate of registration for all purposes except
- 25 signing an agency contract if the following conditions are met:
- (1) A student athlete or another acting on behalf of the
- 27 student athlete initiates contact with the person.
- 28 (2) Within seven days after an initial act as an athlete
- agent, the person submits an application to register as an
- athlete agent in this Commonwealth.

1	(3) The unregistered out-of-State agent or person has
2	never had registration issued under this chapter or a
3	predecessor statute revoked by the commission.
4	(c) Certain contracts void An agency contract resulting
5	from conduct in violation of this section is void. The athlete
6	agent shall return any consideration received under the
7	contract.
8	§ 3302. Application for registration.
9	(a) Procedure An applicant for registration shall submit
10	an application for registration to the commission in writing on
11	a form supplied by the commission and approved by the
12	department. Except as otherwise provided in subsection (b), the
13	application must be in the name of an individual and be verified
14	by the applicant and must state, contain or be accompanied by:
15	(1) The information required by this chapter and such
16	other information as the commission may reasonably require.
17	(2) A processing fee of \$100.
18	(3) The name of the applicant and the address of the
19	applicant's principal place of business.
20	(4) The name of the applicant's business or employer, if
21	applicable.
22	(5) Any business or occupation engaged in by the
23	applicant for the five years next preceding the date of
24	submission of the application.
25	(6) A description of the applicant's:
26	(i) Formal training as an athlete agent.
27	(ii) Practical experience as an athlete agent.
28	(iii) Educational background relating to the
29	applicant's activities as an athlete agent.
30	(7) The names and addresses of three individuals not

- related to the applicant who are willing to serve as references.
 - (8) The name, sport and last known team for each individual for whom the applicant provided services as an athlete agent during the five years next preceding the date of submission of the application.
 - (9) The names and addresses of all persons who are:
 - (i) With respect to the athlete agent's business if it is not a corporation, the partners, officers, associates or profit-sharers.
 - (ii) With respect to a corporation employing the athlete agent, the officers, directors and any shareholder of the corporation with a 5% or greater interest.
 - (10) Whether the applicant or any other person named pursuant to paragraph (9) has been convicted of a crime that, if committed in this Commonwealth, would be a felony or other crime involving moral turpitude, and identify the crime.
 - (11) Whether there has been any administrative or judicial determination that the applicant or any other person named pursuant to paragraph (9) has made a false, misleading, deceptive or fraudulent representation.
- 23 (12) Any instance in which the conduct of the applicant
 24 or any other person named pursuant to paragraph (9) resulted
 25 in the imposition of a sanction, suspension or declaration of
 26 ineligibility to participate in an interscholastic or
 27 intercollegiate athletic event on a student athlete or
 28 educational institution.
- 29 (13) Any sanction, suspension or disciplinary action 30 taken against the applicant or any other person named

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- 1 pursuant to paragraph (9) arising out of occupational or
- professional conduct.
- 3 (14) Whether there has been any denial of an application
- for, suspension or revocation of or refusal to renew the
- 5 registration or licensure of the applicant or any other
- person named pursuant to paragraph (9) as an athlete agent in
- 7 any state.
- 8 (b) Out-of-State agents.--A person who has submitted an
- 9 application for and received a certificate of registration or
- 10 licensure as an athlete agent in another state may submit a copy
- 11 of the application and a valid certificate of registration or
- 12 licensure from the other state in lieu of submitting an
- 13 application in the form prescribed pursuant to subsection (a).
- 14 The commission shall accept the application and the certificate
- 15 from the other state as an application for registration in this
- 16 Commonwealth if the application to the other state:
- 17 (1) Was submitted in the other state within the six
- months next preceding the submission of the application in
- this Commonwealth and the applicant certifies the information
- contained in the application is current.
- 21 (2) Contains information substantially similar to or
- more comprehensive than that required in an application
- submitted in this Commonwealth.
- 24 (3) Was signed by the applicant.
- 25 (4) The unregistered out-of-State agent has never had
- 26 registration issued under this chapter or a predecessor
- statute revoked by the commission.
- (c) Criminal background check. -- Every applicant shall
- 29 complete an application or process as may be necessary to
- 30 request the Pennsylvania State Police to provide a copy of the

- 1 applicant's criminal history record to the commission.
- 2 § 3303. Issuance of registration.
- Except as otherwise provided in section 3304 (relating to
- 4 denial of registration), the commission shall issue a
- 5 certificate of registration to a person:
- (1) Who complies with section 3302(a) and (c) (relating
- 7 to application for registration).
- 8 (2) Whose application has been accepted under section
- 9 3302 (b).
- 10 (3) Who is 21 years of age or older.
- 11 (4) Who possesses good moral character.
- 12 (5) Who neither at the time of application nor within
- ten years prior to the time of application has been finally
- found to have participated in any conduct that led to the
- imposition of sanctions against an individual or student
- athlete, institution of higher education or professional
- sports team by any association or organization that
- 18 establishes rules for the conduct of amateur or professional
- sports.
- 20 § 3304. Denial of registration.
- 21 (a) Grounds.--The commission may refuse to issue a
- 22 certificate of registration if the commission determines that
- 23 the applicant has engaged in conduct that has a significant
- 24 adverse effect on the applicant's fitness to serve as an athlete
- 25 agent. In making the determination, the commission may consider
- 26 whether the applicant has:
- (1) Made a materially false, misleading, deceptive or
- fraudulent representation as an athlete agent or in the
- application.
- 30 (2) Engaged in conduct that would disqualify the

- applicant from serving in a fiduciary capacity.
- 2 (3) Engaged in conduct prohibited by section 3313
- 3 (relating to prohibited acts).
- 4 (4) Had a registration or licensure as an athlete agent
- 5 suspended, revoked or denied or been refused renewal of
- 6 registration or licensure in any state.
- 7 (5) Engaged in conduct that significantly adversely
- 8 reflects on the applicant's credibility, honesty or
- 9 integrity.
- 10 (b) Additional considerations. -- In making a determination
- 11 under subsection (a), the commission shall consider:
- 12 (1) How recently the conduct occurred.
- 13 (2) The nature of the conduct and the context in which
- it occurred.
- 15 (3) Any other relevant conduct of the applicant.
- (c) Issuance of registration prohibited. -- The commission
- 17 shall not issue athlete agent registration to or renew the
- 18 athlete agent registration of a person who has been convicted of
- 19 any of the following offenses, or any comparable offense in
- 20 another jurisdiction, within ten years of the date of
- 21 application:
- (1) An offense under the act of April 14, 1972 (P.L.233,
- No.64), known as The Controlled Substance, Drug, Device and
- Cosmetic Act.
- 25 (2) An offense under the following provisions of 18
- Pa.C.S. (relating to crimes and offenses):
- 27 Section 902 (relating to criminal solicitation).
- Section 908 (relating to prohibited offensive
- weapons).
- Section 2502 (relating to murder).

1	Section 2709(a)(4), (5), (6) or (7) (relating to
2	harassment).
3	Section 2709.1 (relating to stalking).
4	Section 2901 (relating to kidnapping).
5	Section 3121 (relating to rape).
6	Section 3126 (relating to indecent assault).
7	Section 3923 (relating to theft by extortion).
8	Section 4109 (relating to rigging publicly exhibited
9	contest).
10	Section 4302 (relating to incest).
11	Section 4304 (relating to endangering welfare of
12	children).
13	Section 4305 (relating to dealing in infant
14	children).
15	Section 4701 (relating to bribery in official and
16	political matters).
16 17	political matters). Section 4902 (relating to perjury).
17	Section 4902 (relating to perjury).
17 18	Section 4902 (relating to perjury). Section 5501 (relating to riot).
17 18 19	Section 4902 (relating to perjury). Section 5501 (relating to riot). Section 5512 (relating to lotteries, etc.).
17 18 19 20	Section 4902 (relating to perjury). Section 5501 (relating to riot). Section 5512 (relating to lotteries, etc.). Section 5513 (relating to gambling devices, gambling,
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17 18 19 20 21 22 23 24 25	Section 4902 (relating to perjury). Section 5501 (relating to riot). Section 5512 (relating to lotteries, etc.). Section 5513 (relating to gambling devices, gambling, etc.). Section 5514 (relating to pool selling and bookmaking). Section 5901 (relating to open lewdness). Section 5902 (relating to prostitution and related
17 18 19 20 21 22 23 24 25 26	Section 4902 (relating to perjury). Section 5501 (relating to riot). Section 5512 (relating to lotteries, etc.). Section 5513 (relating to gambling devices, gambling, etc.). Section 5514 (relating to pool selling and bookmaking). Section 5901 (relating to open lewdness). Section 5902 (relating to prostitution and related offenses).
17 18 19 20 21 22 23 24 25 26 27	Section 4902 (relating to perjury). Section 5501 (relating to riot). Section 5512 (relating to lotteries, etc.). Section 5513 (relating to gambling devices, gambling, etc.). Section 5514 (relating to pool selling and bookmaking). Section 5901 (relating to open lewdness). Section 5902 (relating to prostitution and related offenses). Section 5903 (relating to obscene and other sexual

- Section 6301 (relating to corruption of minors).
- Section 6312 (relating to sexual abuse of children).
- 3 Section 7107 (relating to unlawful actions by athlete
- 4 agents).
- 5 (3) The commission shall not issue registration to any
- 6 person who has been found by the commission to have engaged
- in the activities of an athlete agent in this Commonwealth
- 8 without acquiring registration as required by this chapter
- 9 within 12 months prior to the date of application.
- 10 § 3305. Renewal of registration.
- 11 (a) Procedure. -- An athlete agent may apply to renew a
- 12 registration by submitting an application for renewal in writing
- 13 on a form supplied by the commission and approved by the
- 14 department. The application for renewal must be in the name of
- 15 an individual and be verified by the applicant and must state,
- 16 contain or be accompanied by:
- (1) Current information on all matters required in an
- original registration.
- (2) Such other information as the commission may
- reasonably require.
- 21 (3) A processing fee of \$100.
- (b) Out-of-state agents. -- An individual who has submitted an
- 23 application for renewal of registration or licensure in another
- 24 state, in lieu of submitting an application for renewal in the
- 25 form prescribed under subsection (a), may file a copy of the
- 26 application for renewal and a valid certificate of registration
- 27 from the other state. The commission shall accept the
- 28 application for renewal from the other state as an application
- 29 for renewal in this Commonwealth if the application to the other
- 30 state:

- 1 (1) Was submitted to the other state within the last six
- 2 months and the applicant certifies the information contained
- in the application for renewal is current.
- 4 (2) Contains information substantially similar to or
- 5 more comprehensive than that required in an application for
- 6 renewal submitted in this Commonwealth.
 - (3) Was verified by the applicant.
- 8 (4) The unregistered out-of-State agent has never had
- 9 registration issued under this chapter or a predecessor
- statute revoked by the commission.
- 11 § 3306. Period of registration.
- 12 A certificate of registration or a renewal of a registration
- 13 is valid for two years.

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- 14 § 3307. Suspension, revocation, restriction or refusal to renew
- registration.
- 16 (a) Investigation. -- The commission or its designee may
- 17 review the operations of all registered athlete agents and shall
- 18 prepare a written report for review by the commission.
- (b) Cease and desist order. -- The commission may issue an
- 20 immediate cease and desist order against an athlete agent who
- 21 has been found preliminarily by the commission to have committed
- 22 a violation of 18 Pa.C.S. § 7107 (relating to unlawful actions
- 23 by athlete agents) or a violation of this chapter. Within 20
- 24 days of issuance of the cease and desist order, the commission
- 25 shall conduct a hearing to determine whether the cease and
- 26 desist order should be dissolved or made permanent.
- (c) Registration suspension, revocation, restriction or
- 28 refusal to renew. -- The commission may suspend, revoke, restrict
- 29 or otherwise limit registration or refuse to renew a
- 30 registration for conduct that would have justified denial of

- 1 registration under section 3304(a) (relating to denial of
- 2 registration).
- 3 (d) Notice and hearing. -- The commission may deny, suspend,
- 4 revoke, restrict or otherwise limit registration or refuse to
- 5 renew a registration only after proper notice and an opportunity
- for a hearing in accordance with 2 Pa.C.S. Ch. 5 Subch. A
- 7 (relating to practice and procedure of Commonwealth agencies).
- 8 (e) Reciprocal disciplinary or corrective action. -- The
- 9 commission may deny, suspend, revoke, restrict or otherwise
- 10 limit registration or refuse to renew a registration of an
- 11 athlete agent on the basis of a disciplinary or corrective
- 12 action having been taken against the athlete agent in another
- 13 state, territory, possession or country, a branch of the Federal
- 14 Government or by an athletic association.
- 15 § 3308. Fees.
- (a) Amounts. -- An application for registration or renewal of
- 17 registration must be accompanied by a fee in the following
- 18 amount:
- (1) \$200 for an initial application for registration for
- an individual or sole proprietor and \$400 for a partnership,
- association, corporation or other legal entity.
- (2) \$150 for an application for registration based upon
- a certificate of registration or licensure issued by another
- state for an individual or sole proprietor and \$300 for a
- partnership, association, corporation or other legal entity.
- 26 (3) \$200 for an application for renewal of registration
- for an individual or sole proprietor and \$400 for a
- partnership, association, corporation or other legal entity.
- 29 (4) \$150 for an application for renewal of registration
- 30 based upon an application for renewal of registration or

- 1 licensure submitted in another state for an individual or
- sole proprietor and \$400 for a partnership, association,
- 3 corporation or other legal entity.
- 4 (b) Fee increase. -- If the revenues raised by fees, fines and
- 5 civil penalties imposed in accordance with this chapter are not
- 6 sufficient to match the expenditures necessary to carry out the
- 7 provisions of this chapter, the commission shall increase those
- 8 fees by regulation so that the revenues match the expenditures.
- 9 § 3309. Form of contract for student athletes.
- 10 (a) Form generally. -- An agency contract must be in a record
- 11 and signed by the parties.
- 12 (b) Contents. -- An agency contract must state or contain:
- 13 (1) The amount and method of calculating the
- consideration to be paid by the student athlete for services
- to be provided by the athlete agent under the contract and
- any other consideration the athlete agent has received or
- will receive from any other source for entering into the
- contract or for providing the services.
- 19 (2) The name of any person not listed in the application
- for registration or renewal who will be compensated because
- 21 the student athlete signed the agency contract.
- 22 (3) A description of any expenses that the student
- athlete agrees to reimburse.
- 24 (4) A description of the services to be provided to the
- athlete student.
- 26 (5) The duration of the contract.
- 27 (6) The date of execution.
- (c) Notice. -- An agency contract must contain in close
- 29 proximity to the signature of the student athlete a conspicuous
- 30 notice in boldface type in capital letters stating:

1 WARNING TO STUDENT ATHLETE If you sign this contract: 2 3 You may lose your eligibility to compete as a student athlete in your sport. 4 5 (2) Both you and your athlete agent are required to tell your athletic director, if you have an athletic director, and 6 7 the commission within 72 hours after entering into an agency 8 contract. 9 (3) You may cancel this contract within 14 days after 10 signing it. Cancellation of the contract may not reinstate your eligibility. 11 12 (d) Contracts not in conformity. -- An agency contract that 13 does not conform to this section is voidable by the student 14 athlete. (e) Contract copy to student athlete. -- The athlete agent 15 16 shall give a copy of the signed agency contract to the student athlete at the time of signing. 17 18 § 3310. Notice to educational institution. 19 Within 72 hours after entering into an agency contract or before the next scheduled athletic event in which the student 20 21 athlete may participate, whichever occurs first: 22 (1) The athlete agent shall give notice in a record of 23 the existence of the contract to the athletic director of the 24 educational institution at which the student athlete is enrolled or the athlete agent has reasonable grounds to 25 26 believe the student athlete intends to enroll and to the commission. 27

(2) The student athlete shall inform the athletic
director of the educational institution at which the student
athlete is enrolled that the student athlete has entered into

- an agency contract and to the commission.
- 2 § 3311. Student athlete's right to cancel.
- 3 (a) Procedure. -- A student athlete may cancel an agency
- 4 contract by giving notice in a record to the athlete agent of
- 5 the cancellation within 14 days after the contract is signed.
- 6 (b) Waiver not permitted. -- A student athlete may not waive
- 7 the right to cancel an agency contract.
- 8 (c) Consideration. -- If a student athlete cancels an agency
- 9 contract, the student athlete is not required to pay any
- 10 consideration under the contract or to return any consideration
- 11 received from the agent to induce the student athlete to enter
- 12 into the contract.
- 13 § 3312. Required records.
- (a) General rule. -- An athlete agent shall retain the
- 15 following records for a period of five years:
- (1) The name and address of each individual represented
- by the athlete agent.
- 18 (2) Any agency contract entered into by the athlete
- 19 agent.
- 20 (3) Any direct costs incurred by the athlete agent in
- 21 the recruitment or solicitation of a student athlete.
- (b) Records open to inspection. -- Records required by
- 23 subsection (a) to be retained are open to inspection by the
- 24 commission during normal business hours.
- 25 § 3313. Prohibited acts.
- An athlete agent may not:
- 27 (1) Initiate contact with a student athlete unless
- registered under this part.
- (2) Refuse or willfully fail to retain or permit
- inspection of the records required by section 3312 (relating

to required records).

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- 2 (3) Violate section 3301 (relating to athlete agent registration) by failing to register.
- 4 (4) Provide materially false or misleading information 5 in an application for registration or renewal of 6 registration.
 - (5) Predate or postdate an agency contract.
 - (6) Fail to notify a student athlete prior to the student athlete's signing an agency contract for a particular sport that the signing by the student athlete may make the student athlete ineligible to participate as a student athlete in that sport.
 - (7) Enter into an oral or written contract or professional sport services contract with a student athlete before the student athlete's eligibility for collegiate athletics has expired.
 - (8) Before the student athlete's eligibility for collegiate athletics has expired, give, offer or promise anything of value to:
 - (i) a student athlete;
- 21 (ii) any member of the student athlete's immediate 22 family; or
- any individual who substantially contributes 23 (iii) 24 to the economic support of the student athlete. For purposes of this subparagraph, an individual shall be 25 26 deemed to have substantially contributed to the economic 27 support of a student athlete if the individual provides 25% or more of the cost of tuition, room and board and 28 29 incidental expenses of the student athlete's education or provides to the student athlete at minimal or no cost 30

- non-college-based lodging or meals or transportation to
- 2 and from college classes.
- 3 (9) Give, offer or promise an oral or written contract
- 4 which would require the athlete agent to give, offer or
- 5 promise anything of value to any employee of an institution
- of higher education in return for a referral of a student
- athlete by the employee.
- 8 (10) Engage in the activities of an athlete agent
- 9 without a current valid registration.
- 10 (11) Violate any provision of this part or regulation of
- 11 the commission.
- 12 § 3314. Civil remedies.
- 13 (a) Right of action. -- An educational institution has a right
- 14 of action against an athlete agent or a former student athlete
- 15 for damages caused by a violation of this part or for a
- 16 violation of 18 Pa.C.S. § 7107 (relating to unlawful actions by
- 17 athlete agents). In an action under this section, the court may
- 18 award to the prevailing party costs and reasonable attorney
- 19 fees.
- 20 (b) Damages. -- Damages of an educational institution under
- 21 subsection (a) include losses and expenses incurred because, as
- 22 a result of the activities of an athlete agent or former student
- 23 athlete, the educational institution was injured by a violation
- 24 of this part or was penalized, disqualified or suspended from
- 25 participation in athletics by a national association for the
- 26 promotion and regulation of athletics, by an athletic conference
- 27 or by reasonable self-imposed disciplinary action taken to
- 28 mitigate sanctions.
- (c) Accrual of action. -- A right of action under this section
- 30 does not accrue until the educational institution discovers or

- 1 by the exercise of reasonable diligence would have discovered
- 2 the violation by the athlete agent or former student athlete.
- 3 (d) Liability. -- Any liability of the athlete agent or the
- 4 former student athlete under this section is several and not
- 5 joint.
- 6 (e) Other rights and remedies. -- This part does not restrict
- 7 rights, remedies or defenses of any person under law.
- 8 § 3315. Administrative penalty.
- 9 (a) Civil penalty. -- The commission may assess a civil
- 10 penalty against an athlete agent not to exceed \$25,000 per
- 11 violation of this part or per violation of 18 Pa.C.S. § 7107
- 12 (relating to unlawful actions by athlete agents).
- 13 (b) Injunctive relief. -- The commission may, in the name of
- 14 the people of this Commonwealth, through the Office of Attorney
- 15 General, apply for injunctive relief in any court of competent
- 16 jurisdiction to enjoin any person from committing any act in
- 17 violation of this chapter. Injunctive relief shall be in
- 18 addition to and not in lieu of all penalties and other remedies
- 19 in this chapter.
- 20 § 3316. Bonding requirements.
- 21 (a) Amount.--Before any athlete agent registration is
- 22 issued, the applicant shall be required to execute and file a
- 23 surety bond with the commission in such reasonable amount, but
- 24 not less than \$20,000, as the commission shall require.
- (b) Bond forms. -- All bonds shall be upon forms supplied by
- 26 the commission and which have been approved by the department.
- 27 All bonds shall be accompanied by a \$25 filing fee.
- (c) Conditions of bond. -- The surety bond shall be
- 29 conditioned upon the following:
- 30 (1) Compliance with this chapter.

- 1 (2) The payment of all sums due a person at the time the
- 2 sums are due and payable.
- 3 (3) The payment of damages suffered by any person as a
- 4 result of intentional or unintentional misstatements,
- 5 misrepresentation, fraud, deceit or unlawful or negligent
- acts of the student athlete agent while acting as a student
- 7 athlete agent.
- 8 (d) Alternate security.--
- 9 (1) In lieu of a surety bond, a registrant may deposit
- with the commission cash, a certified check or a letter of
- credit in an equivalent amount. The provisions of this
- section regarding bonds shall apply to the alternate security
- provided for in this subsection.
- 14 (2) The security shall not be returned to a registrant
- until one year after the student athlete agent's registration
- has expired. After that time if there are no claims against
- the registered athlete agent, the alternate security shall be
- returned to the depositor.
- (e) Recovery on bond. -- Recovery may be had on a bond or
- 20 deposit of alternate security in the same manner as penalties
- 21 are recoverable at law.
- 22 § 3317. Exemption from registration and bonding requirement.
- (a) Immediate family members. -- Athlete agents who are
- 24 representing an immediate family member are exempt from the
- 25 provisions of this chapter.
- 26 (b) Definition.--As used in this section, the term
- 27 "immediate family" means a spouse, parent, sibling, son,
- 28 daughter or grandparent.
- 29 § 3318. Disposition of commission receipts.
- All fees, civil penalties, forfeitures and other moneys

- 1 collected under this chapter and the regulations of the
- 2 commission shall be paid into the Athletic Commission
- 3 Augmentation Account.
- 4 § 3319. Records.
- 5 A record of all persons registered under this chapter shall
- 6 be kept in the office of the commission and shall be open to
- 7 public inspection and copying upon payment of a nominal fee for
- 8 copying the record.
- 9 § 3320. Transferability of registration.
- 10 No registration issued under this chapter shall be assignable
- or transferable. In the event of a corporate change of status,
- 12 the entity must register within 90 days.]
- 13 Section 3. Title 5 is amended by adding a chapter CHAPTERS <--
- 14 to read:
- 15 <u>CHAPTER 35</u>
- 16 <u>ATHLETE AGENTS</u>
- 17 Sec.
- 18 3501. Short title of chapter.
- 19 <u>3502. Definitions.</u>
- 20 3503. Commission; authority; procedure.
- 21 <u>3504</u>. Athlete agent; registration required; void contract.
- 22 <u>3505</u>. Registration as athlete agent; application; requirements;
- 23 reciprocal registration.
- 24 3506. Certificate of registration; issuance or denial; renewal.
- 25 3507. Suspension, revocation or refusal to renew registration.
- 26 3508. Temporary registration.
- 27 <u>3509</u>. Registration and renewal fees.
- 28 <u>3510. Required form of agency contract.</u>
- 29 3511. Notice to educational institution.
- 30 3512. Student athlete's right to cancel.

- 1 3513. Required records.
- 2 3514. Prohibited conduct.
- 3 3515. Criminal penalty.
- 4 <u>3516. Civil remedy.</u>
- 5 <u>3517. Civil penalty.</u>
- 6 <u>3518. Uniformity of application and construction.</u>
- 7 3519. Relation to Electronic Signatures in Global and National

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- 8 Commerce Act.
- 9 <u>3520. Disposition of receipts.</u>
- 10 3521. REGISTERED ATHLETE AGENT DIRECTORY.
- 11 § 3501. Short title of chapter.
- 12 This chapter shall be known and may be cited as the Revised
- 13 Uniform Athlete Agents Act (2015).
- 14 § 3502. Definitions.
- The following words and phrases when used in this chapter
- 16 shall have the meanings given to them in this section unless the
- 17 context clearly indicates otherwise:
- 18 "Agency contract." An agreement in which a student athlete
- 19 authorizes a person to negotiate or solicit on behalf of the
- 20 <u>student athlete a professional-sports-services contract or an</u>
- 21 endorsement contract.
- 22 "Athlete agent." The following apply:
- 23 (1) The term means an individual, whether or not
- 24 registered under this chapter, who:
- 25 (i) directly or indirectly:
- 26 (A) recruits or solicits a student athlete to
- 27 <u>enter into an agency contract; or</u>
- 28 (B) for compensation, procures employment or
- offers, promises, attempts or negotiates to obtain
- 30 employment for a student athlete as a professional

1	athlete or member of a professional sports team or
2	organization;
3	(ii) for compensation or in anticipation of
4	compensation related to a student athlete's participation
5	<pre>in athletics:</pre>
6	(A) serves the student athlete in an advisory
7	capacity on a matter related to finances, business
8	pursuits or career management decisions, unless the
9	individual is an employee of an educational
10	institution acting exclusively as an employee of the
11	educational institution for the benefit of the
12	educational institution; or
13	(B) manages the business affairs of the student
14	athlete by providing assistance with bills, payments,
15	contracts or taxes; or
16	(iii) in anticipation of representing a student
17	athlete for a purpose related to the student athlete's
18	<pre>participation in athletics:</pre>
19	(A) gives consideration to the student athlete
20	or another person;
21	(B) serves the student athlete in an advisory
22	capacity on a matter related to finances, business
23	pursuits or career management decisions; or
24	(C) manages the business affairs of the student
25	athlete by providing assistance with bills, payments,
26	contracts or taxes.
27	(2) The term does not include an individual who:
28	(i) acts solely on behalf of a professional sports
29	team or organization; or
30	(ii) IS A COACH, TRAINER OR OTHER EMPLOYEE OF A

1	SECONDARY OR POSTSECONDARY SCHOOL WHO IS ACTING ON BEHALF
2	OF A STUDENT ATHLETE OF THE SAME SECONDARY OR
3	POSTSECONDARY SCHOOL, IF THE ACTIVITIES ARE WITHIN THE
4	SCOPE OF EMPLOYMENT OF THE COACH, TRAINER OR OTHER
5	EMPLOYEE; OR
6	(III) is a licensed, registered or certified
7	professional and offers or provides services to a student
8	athlete customarily provided by members of the
9	profession, unless the individual:
10	(A) also recruits or solicits the student
11	athlete to enter into an agency contract;
12	(B) also, for compensation, procures employment
13	or offers, promises, attempts or negotiates to obtain
14	employment for the student athlete as a professional
15	athlete or member of a professional sports team or
16	organization; or
17	(C) receives consideration for providing the
18	services calculated using a different method than for
19	an individual who is not a student athlete.
20	"Athletic director." The individual responsible for
21	administering:
22	(1) the overall athletic program of an educational
23	institution; or
24	(2) if an educational institution has separately
25	administered athletic programs for male students and female
26	students, the athletic program for males or the athletic
27	program for females, as appropriate.
28	"Commission." The State Athletic Commission.
29	"Communicating or attempting to communicate." Contacting or
30	attempting to contact by an in-person meeting, a record or any

- 1 other method which conveys or attempts to convey a message.
- 2 <u>"Educational institution."</u> Any public or private elementary
- 3 school, secondary school, technical or vocational school,
- 4 <u>community college, college or university.</u>
- 5 <u>"Endorsement contract." An agreement under which a student</u>
- 6 <u>athlete is employed or receives consideration to use on behalf</u>
- 7 of the other party value that the student athlete may have
- 8 <u>because of publicity, reputation, following or fame obtained</u>
- 9 because of athletic ability or performance.
- 10 "Enroll." To register for courses and attend athletic
- 11 practice or class.
- 12 <u>"Enrolled."</u> Registered for courses and attending athletic
- 13 <u>practice or class.</u>
- "Intercollegiate sport." A sport played at the collegiate
- 15 <u>level for which eligibility requirements for participation by a</u>
- 16 <u>student athlete are established by a national association which</u>
- 17 promotes or regulates collegiate athletics.
- 18 "Interscholastic sport." A sport played between educational
- 19 institutions which are not community colleges, colleges or
- 20 universities.
- 21 "Licensed, registered or certified professional." An
- 22 individual licensed, registered or certified as an attorney, a
- 23 dealer in securities, a financial planner, an insurance agent, a
- 24 real estate broker or sales agent, a tax consultant, an
- 25 <u>accountant or a member of a profession who is licensed</u>,
- 26 registered or certified by the State or a nationally recognized
- 27 <u>organization which licenses, registers or certifies members of</u>
- 28 the profession on the basis of experience, education or testing.
- 29 The term does not include an athlete agent.
- 30 "Person." Any individual, estate, business or nonprofit

- 1 entity, public corporation, government or governmental
- 2 subdivision, agency or instrumentality or other legal entity.
- 3 "Professional-sports-services contract." An agreement under
- 4 which an individual is employed as a professional athlete or
- 5 <u>agrees to render services as a player on a professional sports</u>
- 6 team or with a professional sports organization.
- 7 "Record." Information that is inscribed on a tangible medium
- 8 or that is stored in an electronic or other medium and is
- 9 <u>retrievable in perceivable form.</u>
- 10 "Recruit or solicit." An attempt to influence the choice of
- 11 <u>an athlete agent by a student athlete or, if the student athlete</u>
- 12 is a minor, a parent or quardian of the student athlete. The
- 13 term does not include giving advice on the selection of a
- 14 particular athlete agent in a family, coaching or social
- 15 <u>situation unless the individual giving the advice does so</u>
- 16 because of the receipt or anticipated receipt of an economic
- 17 benefit, directly or indirectly, from the athlete agent.
- 18 "Registration." Registration as an athlete agent under this
- 19 chapter.
- "Secretary." The Secretary of the Commonwealth.
- 21 "Sign." With present intent to authenticate or adopt a
- 22 record:
- 23 (1) to execute or adopt a tangible symbol; or
- 24 (2) to attach to or logically associate with the record
- an electronic symbol, sound or process.
- 26 "State." A state of the United States, the District of
- 27 Columbia, Puerto Rico, the United States Virgin Islands or any
- 28 territory or insular possession subject to the jurisdiction of
- 29 <u>the United States.</u>
- 30 "Student athlete." An individual who is eligible to attend

- 1 <u>an educational institution and engages in, is eligible to engage</u>
- 2 <u>in or may be eliqible in the future to engage in, any</u>
- 3 <u>interscholastic or intercollegiate sport. The term does not</u>
- 4 <u>include an individual permanently ineligible to participate in a</u>
- 5 particular interscholastic or intercollegiate sport for that
- 6 sport.
- 7 § 3503. Commission; authority; procedure.
- 8 (a) Regulations. -- The commission may promulgate regulations
- 9 to implement this chapter.
- 10 (b) Agent for service of process. -- By acting as an athlete
- 11 agent in this Commonwealth, a nonresident individual appoints
- 12 the secretary as the individual's agent for service of process
- 13 <u>in a civil action in this Commonwealth related to the individual</u>
- 14 <u>acting as an athlete agent in this Commonwealth.</u>
- 15 <u>(c) Subpoenas.--The commission may issue a subpoena for</u>
- 16 material which is relevant to the administration of this
- 17 chapter.
- 18 § 3504. Athlete agent; registration required; void contract.
- 19 (a) Prohibition. -- Except as specified in subsection (b), an
- 20 individual may not act as an athlete agent in this Commonwealth
- 21 without holding a certificate of registration under this
- 22 chapter.
- 23 (b) Acting as athlete agent.--Before being issued a
- 24 certificate of registration under this chapter, an individual
- 25 may act as an athlete agent in this Commonwealth for all
- 26 purposes except signing an agency contract, if:
- 27 (1) a student athlete or another person acting on behalf
- 28 of the student athlete initiates communication with the
- 29 individual; and
- 30 (2) not later than seven days after an initial act which

- 1 requires the individual to register as an athlete agent, the
- 2 individual submits an application for registration as an
- 3 <u>athlete agent in this Commonwealth.</u>
- 4 (c) Contract void. -- An agency contract resulting from
- 5 <u>conduct in violation of this section is void, and the athlete</u>
- 6 agent shall return consideration received under the contract.
- 7 § 3505. Registration as athlete agent; application;
- 8 <u>requirements; reciprocal registration.</u>
- 9 (a) Application. -- Except as specified in subsection (b), an
- 10 applicant for registration as an athlete agent must SHALL submit <--
- 11 an application for registration to the commission in a form
- 12 prescribed by the commission. The applicant must SHALL be an <--
- 13 <u>individual</u>, and the application must be signed by the applicant
- 14 <u>under penalty of perjury.</u> SUBJECT TO THE PROVISIONS OF 18 <--
- 15 PA.C.S. §§ 4902 (RELATING TO PERJURY), 4903 (RELATING TO FALSE
- 16 SWEARING) AND 4904 (RELATING TO UNSWORN FALSIFICATION TO
- 17 AUTHORITIES). The application must SHALL contain at least the <-
- 18 <u>following:</u>
- 19 (1) Name, date and place of birth of the applicant and
- 20 all of the following contact information for the applicant:
- 21 <u>(i) Address of the applicant's principal place of</u>
- business.
- 23 (ii) Work and mobile telephone numbers.
- 24 (iii) Any means of communicating electronically,
- including a facsimile number, an electronic mail address
- and personal and business or employer websites.
- 27 (2) Name of the applicant's business or employer, if
- applicable, including for each business or employer its
- 29 mailing address, telephone number, organization form and the
- 30 nature of the business.

1	(3) Each social media account with which the applicant
2	or the applicant's business or employer is affiliated.
3	(4) Each business or occupation in which the applicant
4	engaged within five years before the date of the application,
5	including self-employment and employment by others, and any
6	professional or occupational license, registration or
7	certification held by the applicant during that time.
8	(5) Description of the applicant's:
9	(i) formal training as an athlete agent;
10	(ii) practical experience as an athlete agent; and
11	(iii) educational background relating to the
12	applicant's activities as an athlete agent.
13	(6) Statement listing the:
14	(i) name of each student athlete for whom the
15	applicant acted as an athlete agent within five years
16	before the date of the application or, if the student
17	athlete is a minor, name of the parent or guardian of the
18	student athlete; and
19	(ii) student athlete's sport and last known team.
20	(7) Name and address of each person that:
21	(i) is a partner, member, officer, manager,
22	associate or profit sharer or directly or indirectly
23	holds an equity interest of 5% or greater of the athlete
24	agent's business if the business is not a corporation;
25	<u>and</u>
26	(ii) is an officer or director of a corporation
27	employing the athlete agent or a shareholder having an
28	interest of five percent or greater in the corporation.
29	(8) Description of the status of each application by the
30	applicant or a person named under paragraph (7) for a Federal

1	of state business, professional of occupational incense,
2	other than as an athlete agent, from a Federal or state
3	agency. This paragraph includes the denial, refusal to renew,
4	suspension, withdrawal or termination of the license and each
5	reprimand or censure related to the license.
6	(9) Whether the applicant or a person named under
7	paragraph (7) has pleaded guilty or no contest to, has been
8	convicted of or has charges pending for an offense which
9	involves moral turpitude or would constitute a felony if
10	committed in this Commonwealth. For an offense subject to
11	this paragraph, the application must identify:
12	(i) the offense;
13	(II) THE STATE AND DATE OF THE OFFENSE OR
14	DISCIPLINARY ACTION;
15	(ii) (III) the law enforcement agency involved; and <
16	(iii) (IV) if applicable, the date of the plea or <
17	conviction and the penalty imposed.
18	(10) Whether, within 15 years before the date of
19	application, the applicant or a person named under paragraph
20	(7) has been a defendant or respondent in a civil proceeding,
21	including a proceeding under 20 Pa.C.S. Ch. 55 Subch. C
22	(relating to appointment of guardian; bonds; removal and
23	discharge). For a proceeding under this paragraph, the
24	application must SHALL state the date and a full explanation <
25	of the proceeding.
26	(11) Whether the applicant or a person named under
27	paragraph (7) has an unsatisfied judgment or a judgment of
28	continuing effect, including an order under 23 Pa.C.S. Ch. 37
29	(relating to alimony and support) or 43 (relating to support
30	matters generally), which is not current at the date of the

1	application.
2	(12) Whether, within 10 years before the date of
3	application, the applicant or a person named under paragraph
4	(7) was adjudicated bankrupt or was an owner of a business
5	that was adjudicated bankrupt.
6	(13) Whether there has been any administrative or
7	judicial determination that the applicant or a person named
8	under paragraph (7) made a false, misleading, deceptive or
9	fraudulent representation.
10	(14) Each instance in which conduct of the applicant or
11	a person named under paragraph (7) resulted in:
12	(i) imposition on a student athlete of a sanction,
13	suspension or declaration of ineligibility to participate
14	in an interscholastic, intercollegiate or professional
15	athletic event; or
16	(ii) imposition of a sanction on an educational
17	institution.
18	(15) Each sanction, suspension or disciplinary action
19	taken against the applicant or a person named under paragraph
20	(7) arising out of occupational or professional conduct.
21	(16) Whether there has been a denial of an application
22	for, suspension or revocation of, refusal to renew or
23	abandonment of the registration of the applicant or a person
24	named under paragraph (7) as an athlete agent in any state.
25	(17) Each state in which the applicant currently is
26	registered as an athlete agent or has applied to be
27	registered as an athlete agent.
28	(18) If the applicant is certified or registered by a
29	professional league or players association:
30	(i) name of the league or association;

1	(ii) date of certification or registration and, if
2	applicable, date of expiration of the certification or
3	registration;
4	(iii) date of denial of an application for,
5	suspension or revocation of, refusal to renew, withdrawal
6	of or termination of the certification or registration;
7	<u>and</u>
8	(iv) date of reprimand or censure related to the
9	certification or registration.
10	(19) Additional information required by the commission.
11	(b) Alternative application Instead of proceeding under
12	subsection (a), an individual registered as an athlete agent in
13	another state may apply for registration as an athlete agent in
14	this Commonwealth by submitting to the commission:
15	(1) a copy of the application for registration in the
16	<pre>other state;</pre>
17	(2) a statement which identifies every material change
18	in the information on the application or verifies there is no
19	material change in the information, signed under penalty of
20	perjury; and
21	(3) a copy of the certificate of registration from the
22	other state.
23	(c) Certificate of registration The commission shall issue
24	a certificate of registration to an individual who applies for
25	registration under subsection (b) if the commission determines
26	all of the following:
27	(1) The application and registration requirements of the
28	other state are substantially similar to or more restrictive
29	than this chapter.
30	(2) The registration has not been revoked or suspended

- 1 by the other state.
- 2 (3) No action involving the individual's conduct as an
- 3 <u>athlete agent is pending against the individual or the</u>
- 4 <u>individual's registration in any state.</u>
- 5 (d) Implementation. -- For purposes of implementing subsection
- 6 (c), the commission shall:
- 7 (1) cooperate with national organizations concerned with
- 8 <u>athlete agent issues and with agencies in other states which</u>
- 9 <u>register athlete agents to develop a common registration form</u>
- and determine which states have laws that are substantially
- 11 similar to or more restrictive than this chapter; and
- 12 (2) exchange information, including information related
- 13 <u>to actions taken against registered athlete agents or their</u>
- registrations, with those organizations and agencies.
- 15 (e) Criminal history background check. -- An individual who
- 16 applies for registration under subsection (a) or (b) shall
- 17 submit to the commission, pursuant to 18 Pa.C.S. Ch. 91
- 18 (relating to criminal history record information), a report of
- 19 criminal history record information from the Pennsylvania State
- 20 Police or a statement from the Pennsylvania State Police that
- 21 the Pennsylvania State Police central repository contains no
- 22 such information relating to the individual. The criminal
- 23 history record information shall be limited to that which is
- 24 disseminated pursuant to 18 Pa.C.S. § 9121(b)(2) (relating to
- 25 general regulations).
- 26 § 3506. Certificate of registration; issuance or denial;
- 27 <u>renewal.</u>
- 28 (a) Issuance. -- Except as specified in subsection (b), the
- 29 <u>commission shall issue a certificate of registration to an</u>
- 30 applicant for registration who complies with section 3505(a)

- 1 (relating to registration as athlete agent; application;
- 2 <u>requirements; reciprocal registration</u>).
- 3 (b) Refusal.--The commission may refuse to issue a
- 4 <u>certificate of registration to an applicant for registration</u>
- 5 under section 3505(a) if the commission determines that the
- 6 applicant has engaged in conduct that significantly adversely
- 7 reflects on the applicant's fitness to act as an athlete agent.
- 8 In making the determination, the commission may consider whether
- 9 the applicant has:
- 10 (1) pleaded quilty or no contest to, has been convicted
- of or has charges pending for an offense which involves moral
- 12 <u>turpitude or would constitute a felony if committed in this</u>
- 13 Commonwealth;
- 14 (2) made a materially false, misleading, deceptive or
- fraudulent representation in the application or as an athlete
- 16 agent;
- 17 (3) engaged in conduct which would disqualify the
- 18 applicant from serving in a fiduciary capacity;
- 19 (4) violated section 3514 (relating to prohibited
- 20 conduct);
- 21 (5) had a registration as an athlete agent suspended,
- 22 revoked or denied in any state;
- 23 (6) been refused renewal of registration as an athlete
- 24 agent in any state;
- 25 <u>(7) engaged in conduct resulting in:</u>
- 26 (i) imposition on a student athlete of a sanction,
- 27 <u>suspension or declaration of ineligibility to participate</u>
- in an interscholastic, intercollegiate or professional
- 29 athletic event; or
- 30 (ii) imposition of a sanction on an educational

1	<pre>institution; or</pre>
2	(8) engaged in conduct which adversely reflects on the
3	applicant's credibility, honesty or integrity.
4	(c) Determination In making a determination under
5	subsection (b), the commission shall consider:
6	(1) how recently the conduct occurred;
7	(2) the nature of the conduct and the context in which
8	it occurred; and
9	(3) other relevant conduct of the applicant.
10	(d) Renewal of registration An athlete agent registered
11	under subsection (a) may apply to renew the registration by
12	submitting an application for renewal in a form prescribed by
13	the commission. The applicant must SHALL sign the application <-
14	under penalty of perjury and include current information on all
15	matters required in an original application for registration.
16	(e) Renewal in other state
17	(1) An athlete agent registered under section 3505(c)
18	may renew the registration by:
19	(i) proceeding under subsection (d); or
20	(ii) if the registration in the other state has been
21	renewed, submitting to the commission copies of the
22	application for renewal in the other state and the
23	renewed registration from the other state.
24	(2) The commission shall renew the registration if the
25	<pre>commission determines that:</pre>
26	(i) the registration requirements of the other state
27	are substantially similar to or more restrictive than
28	this chapter;
29	(ii) the renewed registration has not been suspended
3 0	or revoked: and

- 1 (iii) no action involving the individual's conduct
- 2 <u>as an athlete agent is pending against the individual or</u>
- 3 <u>the individual's registration in any state.</u>
- 4 (f) Validity. -- A certificate of registration or renewal of
- 5 registration under this chapter is valid for two years.
- 6 § 3507. Suspension, revocation or refusal to renew
- 7 <u>registration.</u>
- 8 (a) Authority. -- The commission may limit, suspend, revoke or
- 9 refuse to renew a registration of an individual registered under
- 10 section 3506(a) (relating to certificate of registration;
- 11 issuance or denial; renewal) for conduct which would have
- 12 <u>justified refusal to issue a certificate of registration under</u>
- 13 <u>section 3506(b).</u>
- 14 (b) Suspension or revocation. -- The commission may suspend or
- 15 <u>revoke the registration of an individual registered under</u>
- 16 <u>section 3505(c) (relating to registration as athlete agent;</u>
- 17 application; requirements; reciprocal registration) or renewed
- 18 under section 3506(e) for a reason for which the commission
- 19 <u>could have refused to grant or renew registration or for conduct</u>
- 20 which would justify refusal to issue a certificate of
- 21 registration under section 3506(b).
- 22 (c) Notice and hearing. -- The commission may deny, suspend,
- 23 revoke, restrict or otherwise limit registration or refuse to
- 24 renew a registration only after proper notice and an opportunity
- 25 for a hearing in accordance with 2 Pa.C.S. Ch. 5 Subch. A
- 26 (relating to practice and procedure of Commonwealth agencies).
- 27 § 3508. Temporary registration.
- The commission may issue a temporary certificate of
- 29 registration as an athlete agent while an application for
- 30 registration or renewal of registration is pending.

- 1 § 3509. Registration and renewal fees.
- 2 (a) Registration fee. -- An application for registration or
- 3 renewal of registration shall be accompanied by a fee in the
- 4 <u>following amounts:</u>
- 5 (1) For an initial application for registration or an
- 6 <u>application for renewal of registration for an individual or</u>
- 7 sole proprietor, \$200.
- 8 (2) For an application for registration or an
- 9 application for renewal of registration based upon a
- 10 certificate of registration or licensure issued by another
- 11 <u>state for an individual or a sole proprietor, \$150.</u>
- 12 (b) Fee increase. -- The commission may increase the fees in
- 13 <u>subsection (a), by regulation, if the revenues raised by fees,</u>
- 14 fines and civil penalties imposed under this chapter are not
- 15 sufficient to cover the costs of the commission to implement the
- 16 provisions of this chapter. Any increase in fee may not exceed
- 17 the amounts necessary to fund the commission to carry out the
- 18 provisions of this chapter.
- 19 § 3510. Required form of agency contract.
- 20 (a) Requirement. -- An agency contract must SHALL be in a <--
- 21 <u>record signed by the parties.</u>
- 22 (b) Contents of contract.--An agency contract must SHALL <--
- 23 contain:
- 24 (1) a statement that the athlete agent is registered as
- an athlete agent in this Commonwealth and a list of any other
- 26 states in which the athlete agent is registered as an athlete
- 27 agent;
- 28 (2) the amount and method of calculating the
- 29 consideration to be paid by the student athlete for services
- 30 to be provided by the athlete agent under the contract and

1	any other consideration the athlete agent has received or
2	will receive from any other source for entering into the
3	contract or providing the services;
4	(3) the name of any person that:
5	(i) is not listed in the athlete agent's application
6	for registration or renewal of registration; and
7	(ii) will be compensated because the student athlete
8	signed the contract;
9	(4) a description of any expenses the student athlete
10	agrees to reimburse;
11	(5) a description of the services to be provided to the
12	student athlete;
13	(6) the duration of the contract; and
14	(7) the date of execution.
15	(c) Notice Subject to subsection (g), an agency contract
16	must SHALL contain a conspicuous notice in boldface type and in <
17	substantially the following form:
18	WARNING TO STUDENT ATHLETE
19	IF YOU SIGN THIS CONTRACT:
20	(1) IF YOU ENTER INTO NEGOTIATIONS FOR, OR SIGN, A
21	PROFESSIONAL-SPORTS-SERVICES CONTRACT, YOU MAY LOSE YOUR
22	ELIGIBILITY TO COMPETE AS A STUDENT ATHLETE IN YOUR SPORT;
23	(2) IF YOU HAVE AN ATHLETIC DIRECTOR, WITHIN 72 HOURS
24	AFTER SIGNING THIS CONTRACT OR BEFORE THE NEXT SCHEDULED
25	ATHLETIC EVENT IN WHICH YOU PARTICIPATE, WHICHEVER OCCURS
26	FIRST, BOTH YOU AND YOUR ATHLETE AGENT MUST SHALL NOTIFY YOUR <
27	ATHLETIC DIRECTOR THAT YOU HAVE ENTERED INTO THIS CONTRACT
28	AND PROVIDE THE NAME AND CONTACT INFORMATION OF THE ATHLETE
29	AGENT; AND
30	(3) YOU MAY CANCEL THIS CONTRACT WITHIN 14 DAYS AFTER

- 1 SIGNING IT.
- 2 (d) Signed acknowledgment. -- An agency contract must SHALL be <--
- 3 accompanied by a separate record:
- 4 <u>(1) signed by:</u>
- 5 <u>(i) the student athlete; or</u>
- 6 (ii) if the student athlete is a minor, the parent
- 7 <u>or quardian of the student athlete; and</u>
- 8 (2) acknowledging that signing the contract may result
- 9 <u>in the loss of the student athlete's eligibility to</u>
- 10 participate in the student athlete's sport.
- 11 (e) Voided contract. -- A student athlete or, if the student
- 12 athlete is a minor, the parent or guardian of the student
- 13 athlete may void an agency contract which does not conform to
- 14 this section. If the contract is voided, consideration received
- 15 from the athlete agent under the contract to induce entering
- 16 into the contract is not required to be returned.
- 17 (f) Copies.--At the time an agency contract is executed, the
- 18 athlete agent shall give the student athlete or, if the student
- 19 athlete is a minor, the parent or guardian of the student
- 20 athlete a copy in a record of the contract and the separate
- 21 acknowledgment required by subsection (d).
- 22 (q) Minors.--If a student athlete is a minor the notice
- 23 under subsection (c) must SHALL be revised in accordance with
- 24 subsection (d) (1) (ii).
- 25 § 3511. Notice to educational institution.
- 26 (a) (Reserved).
- 27 (b) Athlete agent notice. -- If an athlete agent and a student
- 28 <u>athlete enter into an agency contract</u>, all of the following
- 29 <u>apply:</u>
- 30 (1) The athlete agent shall give notice in a record of

1	the existence of the agency contract to the athletic director
2	of:
3	(i) the educational institution at which the student
4	athlete is enrolled; or
5	(ii) an educational institution at which the athlete
6	agent has reasonable grounds to believe the student
7	athlete intends to enroll.
8	(2) Notice under paragraph (1) shall be given not later
9	than the earlier of:
10	(i) 72 hours after entering into an agency contract;
11	<u>or</u>
12	(ii) before the next scheduled athletic event in
13	which the student athlete may participate.
14	(c) Student athlete notice If a student athlete and an
15	athlete agent enter into an agency contract, all of the
16	<pre>following apply:</pre>
17	(1) The student athlete shall inform the athletic
18	director of the educational institution at which the student
19	athlete is enrolled:
20	(i) that the student athlete has entered into an
21	agency contract; and
22	(ii) of the name and contact information of the
23	athlete agent.
24	(2) Notice under paragraph (1) shall be given not later
25	than the earlier of:
26	(i) 72 hours after entering into an agency contract;
27	<u>or</u>
28	(ii) before the next scheduled athletic event in
29	which the student athlete may participate.
30	(d) Notice after enrollment

1	(1) This subsection applies if:
2	(i) an athlete agent enters into an agency contract
3	with a student athlete; and
4	(ii) the student athlete subsequently enrolls in an
5	educational institution.
6	(2) If paragraph (1) applies, the athlete agent shall
7	notify the athletic director of the educational institution
8	of the existence of the contract not later than 72 hours
9	after the athlete agent knew or should have known the student
10	athlete enrolled.
11	(e) Notice of relationship
12	(1) This subsection applies if:
13	(i) an athlete agent has a relationship with a
14	student athlete; and
15	(ii) the student athlete subsequently:
16	(A) enrolls in an educational institution; and
17	(B) receives an athletic scholarship from the
18	educational institution.
19	(2) If paragraph (1) applies, the athlete agent shall
20	notify the educational institution of the relationship not
21	later than 10 days after the enrollment if the athlete agent
22	knows or should have known of the enrollment and:
23	(i) the relationship was motivated in whole or part
24	by the intention of the athlete agent to recruit or
25	solicit the student athlete to enter an agency contract
26	in the future; or
27	(ii) the athlete agent directly or indirectly
28	recruited or solicited the student athlete to enter an
29	agency contract before the enrollment.
30	(f) Notice prior to communication An athlete agent shall

- 1 give notice in a record to the athletic director of the
- 2 educational institution at which a student athlete is enrolled
- 3 before the athlete agent communicates or attempts to communicate
- 4 with:
- 5 (1) the student athlete or, if the student athlete is a
- 6 minor, a parent or guardian of the student athlete, to
- 7 <u>influence the student athlete or parent or quardian to enter</u>
- 8 <u>into an agency contract; or</u>
- 9 (2) another individual to have that individual influence
- the student athlete or, if the student athlete is a minor,
- 11 <u>the parent or guardian of the student athlete to enter into</u>
- 12 <u>an agency contract.</u>
- 13 (g) Communication initiated by student athlete.--If a
- 14 communication or attempt to communicate with an athlete agent is
- 15 <u>initiated by a student athlete or another individual on behalf</u>
- 16 of the student athlete, the athlete agent shall notify in a
- 17 record the athletic director of the educational institution at
- 18 which the student athlete is enrolled. The notification must <-
- 19 SHALL be made not later than 10 days after the communication or <--
- 20 attempt.
- 21 (h) Notification of violation. An educational institution <--
- 22 which becomes aware of a violation of this chapter by an athlete
- 23 agent shall provide notice of the violation to:
- 24 (1) the commission; and
- 25 (2) any professional league or players association with
- 26 which the athlete agent is licensed or registered, if the
- 27 <u>educational institution is aware of the licensure or</u>
- 28 registration.
- 29 (i) Notification of agency contract. The athletic director
- 30 of the educational institution at which the student athlete is

- 1 enrolled, or the athletic director's designee, shall give notice
- 2 in a record of the existence of the agency contract to the
- 3 commission within 72 hours of receiving notice under subsections

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- 4 (b), (c), (d), (e), (f) and (g).
- 5 (H) NOTIFICATION OF VIOLATION. -- THE FOLLOWING APPLY:
- 6 (1) AN EDUCATIONAL INSTITUTION THAT BECOMES AWARE OF A
- 7 VIOLATION OF THIS CHAPTER BY AN ATHLETE AGENT SHALL PROVIDE
- 8 NOTICE IN A RECORD OF THE VIOLATION TO THE COMMISSION. THE
- 9 <u>NOTICE SHALL BE PROVIDED ON A FORM AND IN A MANNER SPECIFIED</u>
- 10 BY THE COMMISSION.
- 11 (2) IF THE COMMISSION RECEIVES NOTICE OF A VIOLATION
- 12 <u>UNDER PARAGRAPH (1), THE COMMISSION SHALL NOTIFY ANY</u>
- 13 PROFESSIONAL LEAGUE OR PLAYERS ASSOCIATION WITH WHICH THE
- 14 <u>ATHLETE AGENT IS LICENSED OR REGISTERED.</u>
- 15 (I) NOTIFICATION OF AGENCY CONTRACT. -- A STUDENT ATHLETE AND
- 16 AN ATHLETE AGENT SHALL GIVE NOTICE IN A RECORD OF THE EXISTENCE
- 17 OF AN AGENCY CONTRACT TO THE COMMISSION WITHIN 72 HOURS OF
- 18 ENTERING INTO THE AGENCY CONTRACT.
- 19 (J) DESIGNEE.--
- 20 (1) AN ATHLETIC DIRECTOR MAY DESIGNATE AN INDIVIDUAL WHO
- 21 IS AN EMPLOYEE OF THE ATHLETIC DEPARTMENT TO BE RESPONSIBLE
- 22 FOR RECEIVING OR MAKING THE NOTICES REQUIRED UNDER THIS
- 23 CHAPTER.
- 24 (2) IF THE ATHLETIC DIRECTOR DESIGNATES AN INDIVIDUAL
- 25 UNDER PARAGRAPH (1), THE DESIGNATION SHALL BE MADE IN
- 26 WRITING.
- 27 § 3512. Student athlete's right to cancel.
- 28 (a) Right.--A student athlete or, if the student athlete is
- 29 <u>a minor</u>, the parent or guardian of the student athlete may
- 30 cancel an agency contract by giving notice in a record of

- 1 cancellation to the athlete agent not later than 14 days after
- 2 the contract is signed.
- 3 (b) Waiver prohibited.--A student athlete or, if the student
- 4 athlete is a minor, the parent or guardian of the student
- 5 athlete may not waive the right to cancel an agency contract.
- 6 (c) Return of consideration. -- If a student athlete, parent
- 7 or quardian cancels an agency contract, the student athlete,
- 8 parent or quardian is not required to pay consideration under
- 9 the contract or return consideration received from the athlete
- 10 agent to influence the student athlete to enter into the
- 11 <u>contract.</u>
- 12 § 3513. Required records.
- 13 (a) Records. -- An athlete agent shall create and retain for
- 14 <u>five years records of the following:</u>
- 15 (1) the name and address of each individual represented
- by the athlete agent;
- 17 (2) each agency contract entered into by the athlete
- 18 agent; and
- 19 (3) the direct costs incurred by the athlete agent in
- 20 the recruitment or solicitation of each student athlete to
- 21 enter into an agency contract.
- 22 (b) Inspection. -- Records under subsection (a) shall be open
- 23 to inspection by the commission.
- 24 § 3514. Prohibited conduct.
- 25 An athlete agent may not intentionally do any of the
- 26 following:
- 27 <u>(1) Give a student athlete or, if the student athlete is</u>
- a minor, a parent or quardian of the student athlete
- 29 materially false or misleading information or make a
- 30 materially false promise or representation with the intent to

1	<u>influence</u> the student athlete, parent or guardian to enter
2	into an agency contract.
3	(2) Furnish anything of value to a student athlete or
4	another individual if the thing of value may result in loss
5	of the student athlete's eligibility to participate in the
6	athlete's sport, unless:
7	(i) the athlete agent notifies the athletic director
8	of the educational institution at which the student
9	athlete is enrolled or at which the athlete agent has
L O	reasonable grounds to believe the athlete intends to
1	enroll, not later than 72 hours after giving the thing of
_2	value; and
L3	(ii) the student athlete or, if the student athlete
4	is a minor, a parent or guardian of the student athlete
15	acknowledges to the athlete agent in a record that
6	receipt of the thing of value may result in loss of the
L 7	athlete's eligibility to participate in the student
8	athlete's sport.
_9	(3) Initiate contact, directly or indirectly, with a
20	student athlete or, if the student athlete is a minor, a
21	parent or guardian of the student athlete, to recruit or
22	solicit the student athlete, parent or guardian to enter an
23	agency contract unless registered under this chapter.
24	(4) Fail to create, retain or permit inspection of the
25	records required by section 3513 (relating to required
26	records).
27	(5) Fail to register when required under section 3504
28	(relating to athlete agent; registration required; void
29	<pre>contract).</pre>
30	(6) Provide materially false or misleading information

- in an application for registration or renewal of
- 2 <u>registration</u>.
- 3 (7) Predate or postdate an agency contract.
- 4 (8) Fail to notify a student athlete or, if the student
- 5 <u>athlete is a minor, a parent or quardian of the student</u>
- 6 athlete, before the student athlete, parent or quardian signs
- 7 <u>an agency contract for a particular sport that the signing</u>
- 8 may result in loss of the student athlete's eligibility to
- 9 participate in the student athlete's sport.
- 10 (9) Encourage another individual to do any of the
- prohibited activities under paragraph (1), (2), (3), (4),
- 12 (5), (6), (7) or (8) on behalf of the athlete agent.
- 13 (10) Encourage another individual to assist any other
- individual in doing any of the prohibited activities under
- paragraph (1), (2), (3), (4), (5), (6), (7) or (8) on behalf
- of the athlete agent.
- 17 § 3515. Criminal penalty.
- 18 An athlete agent who violates section 3514 (relating to
- 19 prohibited conduct) commits a misdemeanor of the third degree.
- 20 § 3516. Civil remedy.
- 21 (a) Cause of action.--
- 22 (1) An educational institution or a student athlete may
- bring an action for damages against an athlete agent if the
- 24 educational institution or student athlete is adversely
- 25 <u>affected by an act or omission of the athlete agent in</u>
- 26 <u>violation of this chapter.</u>
- 27 (2) An educational institution is adversely affected by
- an act or omission of an athlete agent only if, because of
- 29 <u>the act or omission, the educational institution:</u>
- 30 (i) is suspended or disqualified from participation

1	in an interscholastic or intercollegiate sports event by
2	or under the rules of a state or national federation or
3	association which promotes or regulates interscholastic
4	or intercollegiate sports; or
5	(ii) suffers financial damage.
6	(3) A student athlete is adversely affected by an act or
7	omission of an athlete agent only if all of the following
8	<pre>apply:</pre>
9	(i) At the time of the the act or omission, the
10	student athlete was enrolled in an educational
11	institution.
12	(ii) Because of the act or omission, the student
13	<pre>athlete:</pre>
14	(A) is suspended or disqualified from
15	participation in an interscholastic or
16	intercollegiate sports event by or under the rules of
17	a state or national federation or association which
18	promotes or regulates interscholastic or
19	<pre>intercollegiate sports; or</pre>
20	(B) suffers financial damage.
21	(b) Recovery A plaintiff that prevails in an action under
22	this section may recover actual damages and court costs. An
23	athlete agent found liable under this section forfeits any right
24	of payment for anything of benefit or value provided to the
25	student athlete and shall refund consideration paid to the
26	athlete agent by or on behalf of the student athlete.
27	§ 3517. Civil penalty.
28	(a) Administrative penalty The commission may assess an
29	administrative penalty against an athlete agent not to exceed
30	\$50,000 for a violation of this chapter.

- 1 (b) Administrative agency law. -- A penalty under this section
- 2 <u>is subject to 2 Pa.C.S. Chs. 5 Subch. A (relating to practice</u>
- 3 and procedure of Commonwealth agencies) and 7 Subch. A (relating
- 4 to judicial review of Commonwealth agency action).
- 5 § 3518. Uniformity of application and construction.
- 6 <u>In applying and construing this uniform act, consideration</u>
- 7 must SHALL be given to the need to promote uniformity of the law <--
- 8 with respect to its subject matter among states that enact it.
- 9 § 3519. Relation to Electronic Signatures in Global and
- National Commerce Act.
- To the extent permitted by section 102 of the Electronic
- 12 Signatures in Global and National Commerce Act (Public Law 106-
- 13 229, 15 U.S.C. § 7002), this chapter may supersede provisions of
- 14 that act.
- 15 § 3520. Disposition of receipts.
- All fees, civil penalties, forfeitures and other money
- 17 collected under this chapter and the regulations of the
- 18 commission shall be paid into the Athletic Commission
- 19 Augmentation Account.
- 20 § 3521. REGISTERED ATHLETE AGENT DIRECTORY.
- 21 THE COMMISSION SHALL DEVELOP AND MAINTAIN A DATABASE FOR ALL

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- 22 REGISTRATIONS OF AN ATHLETE AGENT UNDER THIS CHAPTER. THE
- 23 DATABASE SHALL BE MADE AVAILABLE ON THE COMMISSION'S PUBLICLY
- 24 ACCESSIBLE INTERNET WEBSITE.
- 25 <u>CHAPTER 37</u> <--
- 26 INTERCOLLEGIATE ATHLETICS
- 27 SEC.
- 28 3701. SCOPE OF CHAPTER.
- 29 <u>3702. DEFINITIONS.</u>
- 30 3703. COMPENSATION REGARDING COLLEGE STUDENT ATHLETE'S NAME,

- 1 IMAGE OR LIKENESS RIGHTS.
- 2 3704. PROFESSIONAL REPRESENTATION.
- 3 3705. SCHOLARSHIPS.
- 4 <u>3706</u>. CONTRACTS.
- 5 3707. VIOLATIONS AND CLAIMS.
- 6 3708. SETTLEMENTS.
- 7 3709. SEVERABILITY.
- 8 § 3701. SCOPE OF CHAPTER.
- 9 THIS CHAPTER RELATES TO COLLEGE STUDENT ATHLETE COMPENSATION
- 10 RELATED TO NAME, IMAGE OR LIKENESS AND PROFESSIONAL
- 11 REPRESENTATION.
- 12 § 3702. DEFINITIONS.
- 13 THE FOLLOWING WORDS AND PHRASES WHEN USED IN THIS CHAPTER
- 14 SHALL HAVE THE MEANINGS GIVEN TO THEM IN THIS SECTION UNLESS THE
- 15 CONTEXT CLEARLY INDICATES OTHERWISE:
- 16 "ATHLETE AGENT." AS DEFINED IN SECTION 3502 (RELATING TO
- 17 DEFINITIONS).
- 18 "COLLEGE STUDENT ATHLETE." AN INDIVIDUAL ENROLLED AT AN
- 19 INSTITUTION OF HIGHER EDUCATION WHO PARTICIPATES IN
- 20 INTERCOLLEGIATE ATHLETICS FOR THE INSTITUTION OF HIGHER
- 21 EDUCATION. THE TERM DOES NOT INCLUDE AN INDIVIDUAL WHOSE
- 22 PARTICIPATION IS OR WAS IN A COLLEGE INTRAMURAL SPORT, CLUB
- 23 SPORT OR IN A PROFESSIONAL SPORT OUTSIDE OF INTERCOLLEGIATE
- 24 ATHLETICS.
- 25 "INSTITUTION OF HIGHER EDUCATION." ANY OF THE FOLLOWING:
- 26 (1) A UNIVERSITY WITHIN THE STATE SYSTEM OF HIGHER
- EDUCATION.
- 28 (2) THE PENNSYLVANIA STATE UNIVERSITY, THE UNIVERSITY OF
- 29 <u>PITTSBURGH, TEMPLE UNIVERSITY, LINCOLN UNIVERSITY OR ANY</u>
- 30 OTHER INSTITUTION DESIGNATED AS STATE-RELATED BY THE

- 1 COMMONWEALTH.
- 2 (3) THE THADDEUS STEVENS COLLEGE OF TECHNOLOGY.
- 3 (4) A COMMUNITY COLLEGE AS DEFINED IN ARTICLE XIX-A OF
- 4 THE ACT OF MARCH 10, 1949 (P.L.30, NO.14), KNOWN AS THE
- 5 PUBLIC SCHOOL CODE OF 1949.
- 6 (5) A COLLEGE ESTABLISHED UNDER ARTICLE XIX-G OF THE
- 7 PUBLIC SCHOOL CODE OF 1949.
- 8 <u>(6) AN INSTITUTION OF HIGHER EDUCATION LOCATED IN AND</u>
- 9 <u>INCORPORATED OR CHARTERED BY THE COMMONWEALTH AND ENTITLED TO</u>
- 10 CONFER DEGREES AS SPECIFIED IN 24 PA.C.S. § 6505 (RELATING TO
- 11 POWER TO CONFER DEGREES) AND AS PROVIDED FOR BY THE STANDARDS
- 12 AND QUALIFICATIONS PRESCRIBED BY THE STATE BOARD OF EDUCATION
- 13 <u>UNDER 24 PA.C.S. CH. 65 (RELATING TO PRIVATE COLLEGES,</u>
- 14 <u>UNIVERSITIES AND SEMINARIES).</u>
- 15 (7) A PRIVATE SCHOOL LICENSED UNDER THE ACT OF DECEMBER
- 16 <u>15, 1986 (P.L.1585, NO.174), KNOWN AS THE PRIVATE LICENSED</u>
- 17 SCHOOLS ACT.
- 18 (8) A FOREIGN CORPORATION APPROVED TO OPERATE AN
- 19 EDUCATIONAL ENTERPRISE UNDER 22 PA. CODE CH. 36 (RELATING TO
- 20 FOREIGN CORPORATION STANDARDS).
- 21 "NCAA." THE NATIONAL COLLEGIATE ATHLETIC ASSOCIATION.
- 22 § 3703. COMPENSATION REGARDING COLLEGE STUDENT ATHLETE'S NAME,
- 23 IMAGE OR LIKENESS RIGHTS.
- 24 (A) COMPENSATION ALLOWED. -- A COLLEGE STUDENT ATHLETE MAY
- 25 EARN COMPENSATION FOR THE USE OF THE COLLEGE STUDENT ATHLETE'S
- 26 NAME, IMAGE OR LIKENESS UNDER THIS CHAPTER. THE COMPENSATION
- 27 SHALL BE COMMENSURATE WITH THE MARKET VALUE OF THE COLLEGE
- 28 STUDENT ATHLETE'S NAME, IMAGE OR LIKENESS. THE COMPENSATION MAY
- 29 NOT BE PROVIDED IN EXCHANGE, IN WHOLE OR IN PART, FOR A CURRENT
- 30 OR PROSPECTIVE COLLEGE STUDENT ATHLETE TO ATTEND, PARTICIPATE OR

- 1 PERFORM AT A PARTICULAR INSTITUTION OF HIGHER EDUCATION.
- 2 (B) PROHIBITION REGARDING INSTITUTIONS OF HIGHER
- 3 EDUCATION.--AN INSTITUTION OF HIGHER EDUCATION MAY NOT UPHOLD A
- 4 RULE, REQUIREMENT, STANDARD OR OTHER LIMITATION THAT PREVENTS A
- 5 COLLEGE STUDENT ATHLETE OF THE INSTITUTION OF HIGHER EDUCATION
- 6 FROM EARNING COMPENSATION THROUGH THE USE OF THE COLLEGE STUDENT
- 7 ATHLETE'S NAME, IMAGE OR LIKENESS RIGHTS.
- 8 (C) PROHIBITIONS REGARDING INTERCOLLEGIATE ATHLETIC
- 9 ENTITIES. -- AN ATHLETIC ASSOCIATION, CONFERENCE OR OTHER GROUP OR
- 10 ORGANIZATION WITH AUTHORITY OVER INTERCOLLEGIATE ATHLETICS,
- 11 INCLUDING THE NCAA, MAY NOT:
- 12 <u>(1) PREVENT A COLLEGE STUDENT ATHLETE FROM EARNING</u>
- 13 <u>COMPENSATION THROUGH THE USE OR LICENSE OF THE COLLEGE</u>
- 14 <u>STUDENT ATHLETE'S NAME, IMAGE OR LIKENESS RIGHTS.</u>
- 15 (2) PREVENT AN INSTITUTION OF HIGHER EDUCATION FROM
- 16 FULLY PARTICIPATING IN INTERCOLLEGIATE ATHLETICS AS A RESULT
- 17 OF A COLLEGE STUDENT ATHLETE'S USE OF THE COLLEGE STUDENT
- 18 ATHLETE'S NAME, IMAGE OR LIKENESS RIGHTS TO SEEK
- 19 COMPENSATION.
- 20 (D) ROYALTY PAYMENT. -- A PERSON THAT PRODUCES A COLLEGE TEAM
- 21 JERSEY, A COLLEGE TEAM VIDEO GAME OR COLLEGE TEAM TRADING CARDS
- 22 FOR THE PURPOSE OF MAKING A PROFIT SHALL MAKE A ROYALTY PAYMENT
- 23 TO EACH COLLEGE STUDENT ATHLETE WHOSE NAME, IMAGE, LIKENESS OR
- 24 OTHER INDIVIDUALLY IDENTIFIABLE FEATURE IS USED.
- 25 § 3704. PROFESSIONAL REPRESENTATION.
- 26 (A) PROHIBITIONS.--
- 27 (1) AN INSTITUTION OF HIGHER EDUCATION, ATHLETIC
- ASSOCIATION, CONFERENCE OR OTHER GROUP OR ORGANIZATION WITH
- 29 <u>AUTHORITY OVER INTERCOLLEGIATE ATHLETICS, INCLUDING THE NCAA,</u>
- 30 MAY NOT INTERFERE WITH OR PREVENT A COLLEGE STUDENT ATHLETE

- 1 FROM FULLY PARTICIPATING IN INTERCOLLEGIATE ATHLETICS FOR
- 2 OBTAINING PROFESSIONAL REPRESENTATION IN RELATION TO
- 3 CONTRACTS OR LEGAL MATTERS, INCLUDING REPRESENTATION PROVIDED
- 4 BY ATHLETE AGENTS OR LEGAL REPRESENTATION PROVIDED BY
- 5 ATTORNEYS, IN RELATION TO A COLLEGE STUDENT ATHLETE'S USE OF
- 6 THE COLLEGE STUDENT ATHLETE'S NAME, IMAGE OR LIKENESS RIGHTS.
- 7 (2) AN ATHLETIC ASSOCIATION, CONFERENCE OR OTHER GROUP
- 8 OR ORGANIZATION WITH AUTHORITY OVER INTERCOLLEGIATE
- 9 <u>ATHLETICS, INCLUDING THE NCAA, MAY NOT PREVENT AN INSTITUTION</u>
- 10 OF HIGHER EDUCATION FROM FULLY PARTICIPATING IN
- 11 INTERCOLLEGIATE ATHLETICS WITHOUT PENALTY TO THE INSTITUTION
- OR INDIVIDUAL STUDENT AS A RESULT OF A COLLEGE STUDENT
- 13 <u>ATHLETE OBTAINING PROFESSIONAL REPRESENTATION IN RELATION TO</u>
- 14 <u>CONTRACTS OR LEGAL MATTERS, INCLUDING REPRESENTATION PROVIDED</u>
- BY ATHLETE AGENTS OR LEGAL REPRESENTATION PROVIDED BY
- 16 ATTORNEYS, IN RELATION TO A COLLEGE STUDENT ATHLETE'S USE OF
- 17 THE COLLEGE STUDENT ATHLETE'S NAME, IMAGE OR LIKENESS RIGHTS.
- 18 (B) QUALIFICATIONS.--PROFESSIONAL REPRESENTATION OBTAINED BY
- 19 A COLLEGE STUDENT ATHLETE SHALL BE FROM A PERSON:
- 20 (1) ACTING AS AN ATHLETE AGENT IN ACCORDANCE WITH
- 21 CHAPTER 35 (RELATING TO ATHLETE AGENTS); OR
- 22 (2) ADMITTED TO PRACTICE LAW BY A COURT OF RECORD OF
- THIS COMMONWEALTH.
- 24 (C) CONTENTS.--A CONTRACT BY WHICH A COLLEGE STUDENT ATHLETE
- 25 AUTHORIZES AN ATHLETE AGENT, ACTING IN ACCORDANCE WITH CHAPTER
- 26 35, TO NEGOTIATE OR SOLICIT COMPENSATION FOR THE USE OF THE
- 27 COLLEGE STUDENT ATHLETE'S NAME, IMAGE OR LIKENESS SHALL INCLUDE
- 28 A STATEMENT THAT THE CONTRACT DOES NOT OBLIGATE THE COLLEGE
- 29 STUDENT ATHLETE TO USE THE ATHLETE AGENT FOR ANY SERVICE BEYOND
- 30 PROFESSIONAL REPRESENTATION IN RELATION TO THE USE OF THE NAME,

- 1 IMAGE OR LIKENESS.
- 2 § 3705. SCHOLARSHIPS.
- 3 (A) ELIGIBILITY.--EARNING COMPENSATION FROM THE USE OF A
- 4 COLLEGE STUDENT ATHLETE'S NAME, IMAGE OR LIKENESS RIGHTS MAY NOT
- 5 AFFECT THE COLLEGE STUDENT ATHLETE'S SCHOLARSHIP ELIGIBILITY,
- 6 <u>DURATION OR RENEWAL</u>.
- 7 (B) COMPENSATION.--
- 8 (1) FOR PURPOSES OF THIS CHAPTER, AN ATHLETICS GRANT-IN-
- 9 AID OR STIPEND SCHOLARSHIP FROM AN INSTITUTION OF HIGHER
- 10 EDUCATION IN WHICH A COLLEGE STUDENT ATHLETE IS ENROLLED MAY
- 11 NOT BE CONSIDERED TO LIMIT A COLLEGE STUDENT ATHLETE'S RIGHT
- 12 TO USE THE COLLEGE STUDENT ATHLETE'S NAME, IMAGE OR LIKENESS
- 13 RIGHTS, EXCEPT AS EXPRESSLY PROVIDED IN THIS SECTION.
- 14 (2) AN ATHLETICS GRANT-IN-AID OR STIPEND SCHOLARSHIP MAY
- NOT BE REVOKED OR REDUCED AS A RESULT OF A COLLEGE STUDENT
- 16 ATHLETE EARNING COMPENSATION UNDER THIS CHAPTER, EXCEPT IF
- 17 OTHERWISE MANDATED BY FEDERAL OR STATE STUDENT AID
- 18 **GUIDELINES.**
- 19 § 3706. CONTRACTS.
- 20 (A) OPPORTUNITIES. -- AN INSTITUTION OF HIGHER EDUCATION,
- 21 ATHLETIC ASSOCIATION, CONFERENCE OR OTHER GROUP OR ORGANIZATION
- 22 WITH AUTHORITY OVER INTERCOLLEGIATE ATHLETICS MAY NOT BE
- 23 REQUIRED TO IDENTIFY, CREATE, FACILITATE, NEGOTIATE OR ENABLE
- 24 OPPORTUNITIES FOR A COLLEGE STUDENT ATHLETE TO EARN COMPENSATION
- 25 FOR THE COLLEGE STUDENT ATHLETE'S USE OF THE COLLEGE STUDENT
- 26 ATHLETE'S NAME, IMAGE OR LIKENESS RIGHTS.
- 27 (B) USE OF TRADEMARKS.--THIS CHAPTER SHALL NOT ESTABLISH OR
- 28 GRANT A RIGHT TO A COLLEGE STUDENT ATHLETE TO USE THE NAME,
- 29 TRADEMARKS, SERVICES MARKS, LOGOS, SYMBOLS OR ANY OTHER
- 30 INTELLECTUAL PROPERTY, REGISTERED OR UNREGISTERED, OF AN

- 1 INSTITUTION OF HIGHER EDUCATION, ATHLETIC ASSOCIATION,
- 2 CONFERENCE OR OTHER GROUP OR ORGANIZATION WITH AUTHORITY OVER
- 3 INTERCOLLEGIATE ATHLETICS, IN FURTHERANCE OF THE COLLEGE STUDENT
- 4 ATHLETE'S OPPORTUNITIES TO EARN COMPENSATION FOR THE COLLEGE
- 5 STUDENT ATHLETE'S USE OF THE COLLEGE STUDENT ATHLETE'S NAME,
- 6 IMAGE OR LIKENESS RIGHTS.
- 7 (C) ENFORCEMENT.--THIS CHAPTER SHALL NOT LIMIT THE RIGHT OF
- 8 AN INSTITUTION OF HIGHER EDUCATION TO ESTABLISH AND ENFORCE ANY
- 9 OF THE FOLLOWING:
- 10 (1) ACADEMIC STANDARDS, REQUIREMENTS, REGULATIONS OR
- 11 OBLIGATIONS FOR THE INSTITUTION OF HIGHER EDUCATION'S COLLEGE
- 12 <u>STUDENT ATHLETES.</u>
- 13 (2) TEAM RULES OF CONDUCT OR OTHER RULES OF CONDUCT.
- 14 (3) STANDARDS OR POLICIES REGARDING THE GOVERNANCE OR
- OPERATION OF OR PARTICIPATION IN INTERCOLLEGIATE ATHLETICS.
- 16 (4) DISCIPLINARY RULES AND STANDARDS GENERALLY
- 17 APPLICABLE TO ALL STUDENTS OF THE INSTITUTION OF HIGHER
- 18 EDUCATION.
- 19 (D) PROHIBITION.--A COLLEGE STUDENT ATHLETE MAY NOT EARN
- 20 COMPENSATION AS A RESULT OF THE USE OF THE COLLEGE STUDENT
- 21 ATHLETE'S NAME, IMAGE OR LIKENESS IN CONNECTION WITH A PERSON,
- 22 COMPANY OR ORGANIZATION RELATED TO OR ASSOCIATED WITH THE
- 23 DEVELOPMENT, PRODUCTION, DISTRIBUTION, WHOLESALING OR RETAILING
- 24 OF ANY OF THE FOLLOWING:
- 25 (1) ADULT ENTERTAINMENT PRODUCTS AND SERVICES.
- 26 (2) ALCOHOL PRODUCTS.
- 27 (3) CASINOS AND GAMBLING, INCLUDING SPORTS BETTING, THE
- 28 LOTTERY AND BETTING IN CONNECTION WITH VIDEO GAMES, ONLINE
- 29 GAMES AND MOBILE DEVICES.
- 30 (4) TOBACCO AND ELECTRONIC SMOKING PRODUCTS AND DEVICES.

1	(5) PRESCRIPTION PHARMACEUTICALS.
2	(6) A CONTROLLED DANGEROUS SUBSTANCE.
3	(E) PROHIBITION
4	(1) AN INSTITUTION OF HIGHER EDUCATION MAY PROHIBIT A
5	COLLEGE STUDENT ATHLETE'S INVOLVEMENT IN NAME, IMAGE OR
6	LIKENESS ACTIVITIES THAT CONFLICT WITH EXISTING INSTITUTIONAL
7	SPONSORSHIP ARRANGEMENTS AT THE TIME THE COLLEGE STUDENT
8	ATHLETE DISCLOSES A CONTRACT TO THE INSTITUTION OF HIGHER
9	EDUCATION AS REQUIRED UNDER SUBSECTION (F).
10	(2) AN INSTITUTION OF HIGHER EDUCATION, AT THE
11	INSTITUTION OF HIGHER EDUCATION'S DISCRETION, MAY PROHIBIT A
12	COLLEGE STUDENT ATHLETE'S INVOLVEMENT IN NAME, IMAGE OR
13	LIKENESS ACTIVITIES BASED ON OTHER CONSIDERATIONS, SUCH AS
14	CONFLICT WITH INSTITUTIONAL VALUES, AS DEFINED BY THE
15	INSTITUTION OF HIGHER EDUCATION.
16	(3) AN INSTITUTION OF HIGHER EDUCATION SHALL HAVE
17	POLICIES THAT SPECIFY THE NAME, IMAGE OR LIKENESS ACTIVITIES
18	IN WHICH COLLEGE STUDENT ATHLETES MAY OR MAY NOT ENGAGE.
19	(F) DISCLOSURE THE FOLLOWING APPLY:
20	(1) A COLLEGE STUDENT ATHLETE WHO PROPOSES TO ENTER INTO
21	A CONTRACT PROVIDING COMPENSATION TO THE COLLEGE STUDENT
22	ATHLETE FOR USE OF THE COLLEGE STUDENT ATHLETE'S NAME, IMAGE
23	OR LIKENESS RIGHTS SHALL DISCLOSE THE CONTENTS OF THE
24	CONTRACT PRIOR TO EXECUTION OF THE CONTRACT TO AN OFFICIAL OF
25	THE INSTITUTION OF HIGHER EDUCATION, WHO IS DESIGNATED BY THE
26	INSTITUTION OF HIGHER EDUCATION.
27	(2) AN INSTITUTION OF HIGHER EDUCATION SHALL HAVE
28	POLICIES THAT SPECIFY WHEN THE CONTRACT SHALL BE DISCLOSED
29	AND THE CONTENTS OF THE CONTRACT TO BE DISCLOSED IN
30	ACCORDANCE WITH PARAGRAPH (1).

- 1 (3) IF AN INSTITUTION OF HIGHER EDUCATION RECEIVES
- 2 DIRECT FINANCIAL COMPENSATION FROM A THIRD PARTY ARISING FROM
- 3 THE THIRD PARTY'S CONTRACT WITH A COLLEGE STUDENT ATHLETE
- 4 RELATING TO THE USE OF A COLLEGE STUDENT ATHLETE'S NAME,
- 5 <u>IMAGE OR LIKENESS, THE INSTITUTION SHALL BE REQUIRED TO</u>
- 6 <u>DISCLOSE IN A TIMELY MANNER THE FINANCIAL RELATIONSHIP</u>
- 7 BETWEEN THE INSTITUTION AND THE THIRD PARTY TO THE COLLEGE
- 8 STUDENT ATHLETE. THIS SECTION SHALL NOT APPLY TO COMPENSATION
- 9 RECEIVED BY THE INSTITUTION OF HIGHER EDUCATION FOR THE USE
- 10 OF THE INSTITUTION'S INTELLECTUAL PROPERTY.
- 11 § 3707. VIOLATIONS AND CLAIMS.
- 12 (A) PROTECTIONS AND RIGHT TO ADJUDICATE. -- A COLLEGE STUDENT
- 13 ATHLETE, INSTITUTION OF HIGHER EDUCATION OR CONFERENCE SHALL NOT
- 14 <u>BE DEPRIVED OF A PROTECTION PROVIDED UNDER STATE LAW WITH</u>
- 15 RESPECT TO A CONTROVERSY THAT ARISES IN THIS COMMONWEALTH AND
- 16 SHALL HAVE THE RIGHT TO ADJUDICATION IN THIS COMMONWEALTH OF A
- 17 CLAIM THAT ARISES IN THIS COMMONWEALTH.
- 18 (B) PRIVATE CIVIL ACTION. -- A COLLEGE STUDENT ATHLETE SHALL
- 19 HAVE THE RIGHT TO PURSUE A PRIVATE CIVIL ACTION AGAINST A PERSON
- 20 THAT VIOLATES THIS CHAPTER. THE COURT SHALL AWARD COSTS AND
- 21 REASONABLE ATTORNEY FEES TO A PREVAILING PLAINTIFF IN AN ACTION
- 22 BROUGHT AGAINST A PERSON VIOLATING THIS CHAPTER.
- 23 § 3708. SETTLEMENTS.
- 24 LEGAL SETTLEMENTS MADE ON OR AFTER JUNE 30, 2021, MAY NOT
- 25 PERMIT NONCOMPLIANCE WITH THIS CHAPTER.
- 26 § 3709. SEVERABILITY.
- THE PROVISIONS OF THIS CHAPTER ARE SEVERABLE. IF ANY
- 28 PROVISION OF THIS CHAPTER OR THE APPLICATION OF ANY PROVISION OF
- 29 THIS CHAPTER IS HELD TO BE INVALID, THAT INVALIDITY SHALL NOT
- 30 AFFECT ANY OTHER PROVISION OR APPLICATION OF ANY OTHER PROVISION

- 1 THAT CAN BE GIVEN EFFECT WITHOUT THE INVALID PROVISION OR
- 2 APPLICATION.
- 3 Section 4. Repeals are as follows:
- 4 (1) The General Assembly declares that the repeal under
- 5 paragraph (2) is necessary to effectuate the addition of 5
- 6 Pa.C.S. Ch. 35.
- 7 (2) 18 Pa.C.S. § 7107 is repealed insofar as it is
- 8 inconsistent with this act.
- 9 Section 5. This act shall take effect in 60 days.

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- 10 (3) THE GENERAL ASSEMBLY DECLARES THAT THE REPEAL UNDER <--
- 11 PARAGRAPH (4) IS NECESSARY TO EFFECTUATE THE ADDITION OF 5
- 12 PA.C.S. CH. 37.
- 13 (4) ARTICLE XX-K OF THE ACT OF MARCH 10, 1949 (P.L.30,
- 14 NO.14), KNOWN AS THE PUBLIC SCHOOL CODE OF 1949, IS REPEALED.
- 15 SECTION 5. THE ADDITION OF 5 PA.C.S. CH. 37 IS A
- 16 CONTINUATION OF ARTICLE XX-K OF THE ACT OF MARCH 10, 1949
- 17 (P.L.30, NO.14), KNOWN AS THE PUBLIC SCHOOL CODE OF 1949. THE
- 18 FOLLOWING APPLY:
- 19 (1) ALL ACTIVITIES INITIATED UNDER ARTICLE XX-K OF THE
- 20 PUBLIC SCHOOL CODE OF 1949 SHALL CONTINUE AND REMAIN IN FULL
- 21 FORCE AND EFFECT AND MAY BE COMPLETED UNDER 5 PA.C.S. CH. 37.
- 22 ORDERS, REGULATIONS, RULES AND DECISIONS WHICH WERE MADE
- 23 UNDER ARTICLE XX-K OF THE PUBLIC SCHOOL CODE OF 1949 AND
- 24 WHICH ARE IN EFFECT ON THE EFFECTIVE DATE OF SECTION 4(4) OF
- 25 THIS ACT SHALL REMAIN IN FULL FORCE AND EFFECT UNTIL REVOKED,
- 26 VACATED OR MODIFIED UNDER 5 PA.C.S. CH. 37. CONTRACTS,
- 27 OBLIGATIONS AND COLLECTIVE BARGAINING AGREEMENTS ENTERED INTO
- 28 UNDER ARTICLE XX-K OF THE PUBLIC SCHOOL CODE OF 1949 ARE NOT
- 29 AFFECTED NOR IMPAIRED BY THE REPEAL OF ARTICLE XX-K OF THE
- 30 PUBLIC SCHOOL CODE OF 1949.

- 1 (2) ANY DIFFERENCE IN LANGUAGE BETWEEN 5 PA.C.S. CH. 37
- 2 AND ARTICLE XX-K OF THE PUBLIC SCHOOL CODE OF 1949 IS
- 3 INTENDED ONLY TO CONFORM TO THE STYLE OF THE PENNSYLVANIA
- 4 CONSOLIDATED STATUTES AND IS NOT INTENDED TO CHANGE OR AFFECT
- 5 THE LEGISLATIVE INTENT, JUDICIAL CONSTRUCTION OR
- 6 ADMINISTRATION AND IMPLEMENTATION OF ARTICLE XX-K OF THE
- 7 PUBLIC SCHOOL CODE OF 1949.
- 8 SECTION 6. THIS ACT SHALL TAKE EFFECT IMMEDIATELY.