THE GENERAL ASSEMBLY OF PENNSYLVANIA

SENATE BILL

No. 411

Session of 2015

INTRODUCED BY PILEGGI, BLAKE, BAKER, COSTA, FOLMER, FONTANA, GORDNER, MENSCH, RAFFERTY, SMUCKER, STEFANO, TEPLITZ, VANCE, WARD, WILLIAMS, YAW, VULAKOVICH, BOSCOLA, YUDICHAK AND LEACH, FEBRUARY 5, 2015

SENATOR FOLMER, STATE GOVERNMENT, AS AMENDED, JUNE 30, 2015

AN ACT

Amending the act of February 14, 2008 (P.L.6, No.3), entitled 1 "An act providing for access to public information, for a 2 designated open-records officer in each Commonwealth agency, 3 local agency, judicial agency and legislative agency, for procedure, for appeal of agency determination, for judicial review and for the Office of Open Records; imposing 4 5 6 penalties; providing for reporting by State-related 7 institutions; requiring the posting of certain State contract 8 information on the Internet; and making related repeals," in 9 preliminary provisions, further providing for definitions; in 10 requirements and prohibitions, providing for Pennsylvania 11 Interscholastic Athletic Association; in access, providing 12 13 for inmate access; in procedure, further providing for access, for requests, for written requests, for production of 14 certain records and for exceptions for public records; in agency response, further providing for general rule and for 15 16 extension of time; in appeal of agency determination, further 17 providing for filing of appeal and for appeals officers; and, <--18 in judicial review, further providing for Commonwealth 19 agencies, legislative agencies and judicial agencies, for fee 20 21 limitations and for Office of Open Records-; AND, IN STATE-22 RELATED INSTITUTIONS, FURTHER PROVIDING FOR REPORTING, FOR CONTENTS OF REPORT AND FOR COPIES AND POSTING, AND PROVIDING 23 FOR CONTRACTS. 24

- 25 The General Assembly of the Commonwealth of Pennsylvania
- 26 hereby enacts as follows:
- 27 Section 1. The definitions of "confidential proprietary
- 28 information, " "independent agency, " "local agency, " "personal

- 1 financial information, " "requester" and "State-affiliated
- 2 entity" in section 102 of the act of February 14, 2008 (P.L.6,
- 3 No.3), known as the Right-to-Know Law, are amended and the
- 4 section is amended by adding definitions to read:
- 5 Section 102. Definitions.
- 6 The following words and phrases when used in this act shall
- 7 have the meanings given to them in this section unless the
- 8 context clearly indicates otherwise:
- 9 * * *
- 10 <u>"Commercial purpose."</u> The use of a record:
- 11 (1) for the purpose of selling or reselling any portion
- of the record;
- 13 (2) to obtain names and addresses from the record for
- the purpose of commercial solicitation; or
- 15 (3) in a manner through which the requester can
- reasonably expect to make a profit.
- 17 The term does not include the use of a public record by an
- 18 <u>educational or noncommercial scientific institution for</u>
- 19 scholarly or scientific research or the use of a public record
- 20 by the news media, A JOURNALIST OR AN AUTHOR for news gathering <--
- 21 or dissemination in a newspaper, periodical, BOOK, digital <--
- 22 <u>publication or radio or television news broadcast.</u>
- 23 * * *
- "Confidential proprietary information." Commercial or
- 25 financial information received or created by an agency:
- 26 (1) which is privileged or confidential; and
- 27 (2) the disclosure of which would cause substantial harm
- 28 to the competitive position of the person that submitted the
- 29 information.
- 30 * * *

- 1 "Independent agency." Any board, commission, authority or
- 2 other agency or officer of the Commonwealth, that is not subject
- 3 to the policy supervision and control of the Governor. The term
- 4 does not include a legislative or judicial agency.
- 5 * * *
- 6 "Local agency." Any of the following:
- 7 (1) Any political subdivision, intermediate unit,
- 8 charter school, cyber charter school or public trade or
- 9 vocational school.
- 10 (2) Any local, intergovernmental, regional or municipal
- 11 agency, authority, council, board, commission or similar
- 12 governmental entity. This paragraph includes an economic
- development authority and an industrial development
- 14 authority. The term does not include an office of an elected
- 15 tax collector.
- 16 (3) Any campus police department of a State-owned or
- 17 State-related college or university.
- 18 * * *
- 19 "Personal financial information." An individual's personal
- 20 credit, charge or debit card information; bank account or other
- 21 financial institution account information; bank, credit or
- 22 financial statements; account or PIN numbers; forms required to
- 23 be filed by a taxpayer with a Federal or Commonwealth taxing
- 24 authority; employee benefit election information; and other
- 25 information relating to an individual's personal finances.
- 26 * * *
- 27 "Requester." A person that is a legal resident of [the
- 28 United States] this Commonwealth and requests a record pursuant
- 29 to this act. The term includes an agency.
- 30 * * *

- 1 "State-affiliated entity." A Commonwealth authority or
- 2 Commonwealth entity. The term includes the Pennsylvania Higher
- 3 Education Assistance Agency and any entity established thereby,
- 4 the Pennsylvania Gaming Control Board, the Pennsylvania Game
- 5 Commission, the Pennsylvania Fish and Boat Commission, the
- 6 Pennsylvania Housing Finance Agency, the Pennsylvania Municipal
- 7 Retirement Board, the State System of Higher Education, a
- 8 community college, the Pennsylvania Turnpike Commission, the
- 9 Pennsylvania Public Utility Commission, the Pennsylvania
- 10 Infrastructure Investment Authority, the State Public School
- 11 Building Authority[, the Pennsylvania Interscholastic Athletic
- 12 Association] and the Pennsylvania Higher Educational Facilities
- 13 Authority. The term does not include a State-related
- 14 institution.
- 15 * * *
- 16 <u>"Time response log." A log created, received, maintained or</u>
- 17 retained by a public safety answering point (PSAP), as defined
- 18 in 35 Pa.C.S. § 5302 (relating to definitions), containing the
- 19 <u>following information:</u>
- 20 (1) The time the call was received by the PSAP.
- 21 (2) The time the PSAP contacted or dispatched the
- 22 appropriate agency for response.
- 23 (3) The time the appropriate agency responded.
- 24 (4) The time the appropriate agency arrived on the
- 25 scene.
- 26 (5) The time the appropriate agency became available.
- 27 <u>(6) The address of the incident or THE STREET BLOCK</u>
- 28 IDENTIFIER, the cross street or mile marker nearest the scene

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- of the incident.
- 30 * * *

- 1 Section 2. The act is amended by adding sections to read:
- 2 Section 307. Pennsylvania Interscholastic Athletic Association.
- For purposes of this act, the Pennsylvania Interscholastic
- 4 Athletic Association is considered to be a local agency and
- 5 shall provide public records in accordance with this act.
- 6 <u>Section 508. Inmate access.</u>
- 7 (a) Status. -- Except as provided in subsection (b), an inmate
- 8 may not be a requester for purposes of this act.
- 9 (b) Records. -- An agency shall provide an inmate with copies
- 10 of the following records as they pertain directly to the inmate
- 11 if the disclosure of the record will not diminish the safety or
- 12 security of any person or correctional facility:
- 13 (1) Criminal records relating to the criminal commitment
- of the inmate.
- 15 <u>(2) Institutional housing information.</u>
- 16 (3) The inmate's financial records.
- 17 (4) The inmate's work records.
- 18 (5) The inmate's educational records.
- 19 (6) The inmate's disciplinary records.
- 20 (7) Disciplinary, housing and other policies adopted by
- 21 the correctional institution or the Department of
- 22 Corrections.
- 23 (8) A record relating to any Federal or State benefit
- received by the inmate or for which the inmate is eligible.
- 25 (9) The inmate's tax records.
- 26 (10) The inmate's voting records.
- 27 (11) Records relating to any license issued to the
- inmate by a Commonwealth or local agency.
- 29 <u>(c) Applicability.--This section shall not prohibit an</u>
- 30 agency from voluntarily permitting an inmate to have access to

- 1 records not listed under subsection (b) or prevent an agency
- 2 from complying with a lawful subpoena or court order.
- 3 Section 3. Sections 701, 702, 703 and 707 of the act are
- 4 amended to read:
- 5 Section 701. Access.
- 6 (a) General rule. -- Unless otherwise provided by law, a
- 7 public record, legislative record or financial record shall be
- 8 accessible for inspection and duplication in accordance with
- 9 this act. A record being provided to a requester shall be
- 10 provided in the medium, computer file format or other format
- 11 requested if it exists in that medium, computer file format or
- 12 <u>other format</u>; otherwise, it shall be provided in the medium in
- 13 which it exists. Public records, legislative records or
- 14 financial records shall be available for access during the
- 15 regular business hours of an agency.
- 16 (b) Construction. -- Nothing in this act shall be construed to
- 17 require access to any computer either of an agency or individual
- 18 employee of an agency.
- 19 Section 702. Requests.
- 20 Agencies may fulfill verbal[, written or anonymous verbal] or
- 21 written requests for access to records under this act. If the
- 22 requester wishes to pursue the relief and remedies provided for
- 23 in this act, the request for access to records must be a written
- 24 request.
- 25 Section 703. Written requests.
- A written request for access to records may be submitted in
- 27 person, by mail, by e-mail, by facsimile or, to the extent
- 28 provided by agency rules, by any other electronic means. A
- 29 written request must be addressed to the open-records officer
- 30 designated pursuant to section 502 or to the administrative

- 1 office of the agency. [Employees of an] The administrative_
- 2 office of the agency shall [be directed to] promptly forward
- 3 requests for records to the open-records officer of the agency
- 4 <u>that received the request</u>. A written request [should] <u>shall</u>
- 5 include the NAME AND physical mailing address of the requester, <--
- 6 shall identify or describe the records sought with sufficient
- 7 specificity to enable the agency to ascertain which records are
- 8 being requested and shall include the name and address to which
- 9 the agency should address its response. [A] Except as required_
- 10 <u>under section 707(d), a</u> written request need not include any
- 11 explanation of the requester's reason for requesting or intended
- 12 use of the records unless otherwise required by law. A written <--
- 13 request must include the name and address of the requester and
- 14 the name of the agency to which the request is addressed.
- 15 Section 707. Production of certain records.
- 16 (a) General rule.--If, in response to a request, an agency
- 17 produces a record that is not a public record, legislative
- 18 record or financial record, the agency shall notify any third
- 19 party that provided the record to the agency, the person that is
- 20 the subject of the record and the requester.
- 21 (b) Requests for trade secrets. -- An agency shall notify a
- 22 third party of a request for a record if the third party
- 23 provided the record and included a written statement signed by a
- 24 representative of the third party that the record contains a
- 25 trade secret or confidential proprietary information.
- 26 Notification shall be provided within five business days of
- 27 receipt of the request for the record. The third party shall
- 28 have five business days from receipt of notification from the
- 29 agency to provide input on the release of the record. The agency
- 30 shall deny the request for the record or release the record

- 1 within ten business days of the provision of notice to the third
- 2 party and shall notify the third party of the decision.
- 3 (c) Transcripts.--
- 4 (1) Prior to an adjudication becoming final, binding and
- 5 nonappealable, a transcript of an administrative proceeding
- shall be provided to a requester by the agency stenographer
- or a court reporter, in accordance with agency procedure or
- 8 an applicable contract.
- 9 (2) Following an adjudication becoming final, binding
- and nonappealable, a transcript of an administrative
- 11 proceeding shall be provided to a requester in accordance
- with the duplication rates established in section 1307(b).
- 13 (3) This subsection shall not be construed to require an
- 14 <u>agency to transcribe a proceeding solely for purposes of</u>
- responding to a request under this act.
- 16 (d) Commercial requests. -- An agency may require a requester
- 17 to certify in writing whether the request is for a commercial
- 18 purpose. Certification shall be submitted on a form developed by
- 19 the Office of Open Records that shall provide a checkoff for the
- 20 requester to use to indicate whether the request is for a
- 21 commercial purpose. A requester that submits a false written
- 22 statement shall be subject to 18 Pa.C.S. § 4904 (relating to
- 23 unsworn falsification to authorities).
- 24 (e) Home address.--If a request includes a home address of
- 25 an employee of the agency, the agency must notify the subject of
- 26 the request at least 14 days prior to release of the record. If
- 27 the subject of the request notifies the agency that the
- 28 exception under section 708(b)(1)(ii) applies, the agency shall
- 29 <u>determine if the home address shall be withheld.</u>
- 30 Section 4. Section 708(b)(10)(i) and (ii), (13), (17) and

- 1 (18) and (c) of the act are amended and subsection (b) is
- 2 amended by adding paragraphs to read:
- 3 Section 708. Exceptions for public records.

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- 5 (b) Exceptions. -- Except as provided in subsections (c) and
- 6 (d), the following are exempt from access by a requester under
- 7 this act:
- 8 * * *
- 9 (5.1) The payment records of a person receiving services
- from a municipal water or sewer authority or other municipal
- 11 <u>authority</u>, <u>municipality or cooperative that provides</u>
- 12 <u>electricity</u>, <u>water</u>, <u>sewer</u>, <u>storm</u> <u>water</u>, <u>natural</u> <u>gas or</u>
- 13 <u>similar service. The authority, municipality or cooperative</u>
- 14 <u>shall establish a process to provide a clearance certificate</u>
- to a person to certify that all fees and charges owed have
- been paid. A reasonable fee for a clearance certificate may
- 17 be charged. This paragraph shall not apply to reports of
- 18 aggregate payments made by a municipality, authority or
- 19 cooperative to assist low-income consumers or other consumers
- in obtaining services. If funds are owed, the amount of the
- 21 delinquency shall appear on the clearance certificate.
- 22 * * *
- 23 (10) <u>As follows:</u>
- 24 (i) A record that reflects, contains or includes:
- 25 (A) The internal, predecisional deliberations of
- an agency, its members, employees or officials or
- 27 predecisional deliberations between agency members,
- employees or officials and members, employees or
- 29 officials of another agency, including predecisional
- deliberations relating to a budget recommendation,

- legislative proposal, legislative amendment,

 contemplated or proposed policy or course of action

 or any research, memos or other documents used in the

 predecisional deliberations.
 - (B) The strategy to be used to develop or achieve the successful adoption of a budget, legislative proposal or regulation.
 - (ii) Subparagraph (i) (A) shall apply to agencies subject to 65 Pa.C.S. Ch. 7 (relating to open meetings) in a manner consistent with 65 Pa.C.S. Ch. 7. A record which is not otherwise exempt from access under this act and which is presented to a quorum for deliberation in accordance with 65 Pa.C.S. Ch. 7 shall be a public record regardless of whether a vote occurs at the meeting.

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personal financial information relating to, an individual who lawfully makes a donation to or for the benefit of an agency unless the donation is intended for or restricted to providing remuneration or personal tangible benefit to a named public official or employee of the agency, including lists of potential donors compiled by an agency to pursue donations, donor profile information or personal identifying information relating to a donor.

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- 26 (17) [A] Except for a safety inspection report made
 27 pursuant to Federal or State law, a record of an agency
 28 relating to a noncriminal investigation, including:
 - (i) Complaints submitted to an agency.
- 30 (ii) Investigative materials, notes, correspondence

- 1 and reports. (iii) A record that includes the identity of a 2 confidential source, including individuals subject to the 3 act of December 12, 1986 (P.L.1559, No.169), known as the 4 Whistleblower Law. 5 (iv) A record that includes information made 6 7 confidential by law. 8 (v) Work papers underlying an audit. (vi) A record that, if disclosed, would do any of 9 10 the following: 11 (A) Reveal the institution, progress or result 12 of an agency investigation, except the imposition of 13 a fine or civil penalty, the suspension, modification 14 or revocation of a license, permit, registration, 15 certification or similar authorization issued by an 16 agency or an executed settlement agreement unless the agreement is determined to be confidential by a 17 18 court. 19 Deprive a person of the right to an 20 impartial adjudication. 21 Constitute an unwarranted invasion of 22 privacy. 23 Hinder an agency's ability to secure an 24 administrative or civil sanction. 25 Endanger the life or physical safety of an (E) individual. 26
- (18) Emergency dispatches as follows: 27
- 28 (i) Records or parts of records, except time 29 response logs, pertaining to audio recordings, telephone or radio transmissions received by emergency dispatch

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- 1 personnel, including 911 recordings.
- 2 (ii) This paragraph shall not apply to a 911
- 3 recording, or a transcript of a 911 recording, if the
- 4 agency or a court determines that the public interest in
- 5 disclosure outweighs the interest in nondisclosure.
- 6 <u>(iii) The home address of the individual who</u>
- 7 <u>accesses emergency dispatch.</u>
- 8 * * *
- 9 (31) An agency's financial institution account numbers,
- 10 routing numbers, credit card numbers and passwords.
- 11 (32) A record of any of the following:
- 12 <u>(i) A volunteer ambulance service.</u>
- 13 <u>(ii) A volunteer fire company.</u>
- 14 <u>(iii) A volunteer rescue company.</u>
- 15 (iv) A volunteer water rescue company.
- 16 (v) A volunteer organization that provides hazardous
- materials response services.
- 18 (vi) A volunteer organization that provides
- 19 <u>emergency medical services</u>.
- Section 506(d)(1) shall apply to a volunteer organization
- 21 under this paragraph that contracts with a local agency to
- 22 provide services to the local agency.
- 23 (c) Financial records. -- The exceptions set forth in
- 24 subsection (b) shall not apply to financial records, except that
- 25 an agency may redact that portion of a financial record
- 26 protected under subsection (b) (1), (2), (3), (4), (5), (6),
- 27 <u>(11)</u>, <u>(13)</u>, (16) or (17). An agency shall not disclose the
- 28 identity of an individual performing an undercover or covert law
- 29 enforcement activity.
- 30 * * *

- 1 Section 5. Sections 901, 902 and 1101 of the act are amended
- 2 to read:
- 3 Section 901. General rule.
- 4 (a) Determination. -- Upon receipt of a written request for
- 5 access to a record, an agency shall make a good faith effort to
- 6 determine if the record requested is a public record,
- 7 legislative record or financial record and whether the agency
- 8 has possession, custody or control of the identified record, and
- 9 to respond as promptly as possible under the circumstances
- 10 existing at the time of the request. All applicable fees shall
- 11 be paid in order to receive access to the record requested.
- 12 <u>(b) Time for response.--</u>The time for response shall not
- 13 exceed, in the case of a request made in person or submitted by
- 14 regular mail, e-mail, web form, facsimile or similar means, five
- 15 business days from the date the written request is received by
- 16 the open-records officer for an agency. If the agency fails to
- 17 send the response within five business days of receipt of the
- 18 written request for access, the written request for access shall
- 19 be deemed denied.
- 20 Section 902. Extension of time.
- 21 (a) Determination. -- Upon receipt of a written request for
- 22 access, the open-records officer for an agency shall determine
- 23 if one of the following applies:
- 24 (1) the request for access requires redaction of a
- record in accordance with section 706;
- 26 (2) the request for access requires the retrieval of a
- 27 record stored in a remote location;
- 28 (3) a timely response to the request for access cannot
- 29 be accomplished due to bona fide and specified staffing
- 30 limitations;

- 1 (4) a legal review is necessary to determine whether the 2 record is a record subject to access under this act;
 - (5) the requester has not complied with the agency's policies regarding access to records;
 - (6) the requester refuses to pay applicable fees authorized by this act; [or]
- 7 (7) the extent or nature of the request precludes a response within the required time period[.]; or
- 9 (8) time is required to provide notice under section
 10 707(e).
- 11 (b) Notice.--

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- 12 (1) Upon a determination that one of the factors listed 13 in subsection (a) applies, the open-records officer shall 14 send written notice to the requester within five business 15 days of receipt of the request for access under subsection 16 (a).
 - (2) The notice shall include a statement notifying the requester that the request for access is being reviewed, the reason for the review, a reasonable date that a response is expected to be provided and an estimate of applicable fees owed when the record becomes available. If the date that a response is expected to be provided is in excess of 30 days, following the five business days allowed for in section 901, the request for access shall be deemed denied unless the requester has agreed in writing to an extension to the date specified in the notice.
 - (3) If the requester agrees to the extension, the request shall be deemed denied on the day following the date specified in the notice if the agency has not provided a response by that date.

- 1 Section 1101. Filing of appeal. 2 [(a) Authorization.--3 If a written request for access to a record is] (a) Authorization. -- The following shall apply: 4 5 (1) (i) If a written request for access to a record is denied or deemed denied, the requester may file an appeal 6 7 with the Office of Open Records or judicial, legislative 8 or other appeals officer designated under section 503(d) 9 within [15] 20 business days of the [mailing] postmark or <u>e-mail</u> date of the agency's response or within [15] <u>20</u> 10 business days of a deemed denial, whichever comes first. 11 12 (ii) The appeal shall [state the grounds upon which 13 the requester asserts that the record is a public record, 14 legislative record or financial record and shall address 15 any grounds stated by the agency for delaying or denying 16 the request.] include the following: 17 (A) A copy of the original request. 18 (B) The agency denial. 19 (C) A list of the records that were denied. 20 (D) ANY OTHER INFORMATION THE REQUESTER BELIEVES <--21 TO BE RELEVANT. 22 (iii) The office shall provide a form on its 23 publicly accessible Internet website which may be used by 24 the requester to file the appeal. 25 Except as provided in section 503(d), in the case of 26 an appeal of a decision by a Commonwealth agency or local 27 agency, the Office of Open Records shall assign an appeals officer to review the denial. 28 29 (b) Determination. --
- 30 Unless the requester agrees otherwise, the appeals

- 1 officer shall make a final determination which shall be
- 2 mailed to the requester and the agency within 30 days of
- 3 receipt of the appeal filed under subsection (a). The appeals
- 4 <u>officer may extend this deadline by up to 15 days by</u>
- 5 providing notice to both parties. If a hearing or in-camera
- 6 review is held under section 1102(a)(2), the appeals officer
- 7 <u>may extend the deadline up to 90 additional days.</u>
- 8 (1.1) If the issue before the office is substantially
- 9 <u>the same as an issue currently on appeal to Commonwealth</u>
- 10 Court, the appeals officer may stay the appeal until
- 11 <u>Commonwealth Court issues a decision on the matter.</u>
- 12 (2) If the <u>office or other</u> appeals officer fails to
- issue a final determination within 30 days <u>or as otherwise</u>
- 14 <u>provided under paragraph (1)</u>, the appeal is deemed denied.
- 15 (3) Prior to issuing a final determination, a hearing
- may be conducted. The determination by the appeals officer
- shall be a final order. The appeals officer shall provide a
- 18 written explanation of the reason for the decision to the
- 19 requester and the agency.
- 20 (c) Direct interest.--
- 21 (1) A person other than the agency or requester with a
- direct interest in the record subject to an appeal under this
- 23 section may, within 15 days following receipt of actual
- knowledge of the appeal but no later than the date the
- appeals officer issues an order, file a written request to
- 26 provide information or to appear before the appeals officer
- or to file information in support of the requester's or
- 28 agency's position.
- 29 (2) The appeals officer may grant a request under
- 30 paragraph (1) if:

- 1 (i) no hearing has been held;
- 2 (ii) the appeals officer has not yet issued its
- 3 order; and
- 4 (iii) the appeals officer believes the information
- 5 will be probative.
- 6 (3) Copies of the written request shall be sent to the
- 7 agency and the requester.
- 8 Section 6. Section 1102(a) of the act is amended and the
- 9 section is amended by adding a subsection to read:
- 10 Section 1102. Appeals officers.
- 11 (a) Duties. -- An appeals officer designated under section 503
- 12 shall do all of the following:
- 13 (1) Set a schedule for the requester and the open-
- records officer to submit documents in support of their
- positions.
- 16 (2) Review all information filed relating to the
- 17 request. The appeals officer may hold a hearing or conduct an
- in-camera review, in which case the appeals officer may
- extend the deadline in section 1101(b)(1) by up to 90 days. A
- decision to hold or not to hold a hearing is not appealable.
- 21 The appeals officer may admit into evidence testimony,
- 22 evidence and documents that the appeals officer believes to
- 23 be reasonably probative and relevant to an issue in dispute.
- 24 The appeals officer may limit the nature and extent of
- 25 evidence found to be cumulative.
- 26 (3) Consult with agency counsel as appropriate.
- 27 (4) Issue a final determination on behalf of the Office
- of Open Records or other agency.
- 29 * * *
- 30 (c) Review. -- The Office of Open Records may require an

- 1 agency to submit a record for the purpose of conducting an in-
- 2 camera review to determine if the record is a public record.
- 3 Section 7. Section 1301 heading and (a) of the act are
- 4 amended to read:
- 5 Section 1301. Commonwealth agencies, legislative agencies
- 6 [and]_ judicial agencies and the Office of Open
- Records.
- 8 (a) General rule. -- Within 30 days of the mailing date of the
- 9 final determination of the appeals officer relating to a
- 10 decision of a Commonwealth agency, a legislative agency [or] _ a
- 11 judicial agency or the Office of Open Records issued under
- 12 section 1101(b) or the date a request for access is deemed
- 13 denied, a requester or the agency may file a petition for review
- 14 or other document as might be required by rule of court with the
- 15 Commonwealth Court. The court shall notify the Commonwealth
- 16 agency, legislative agency, judicial agency or Office of Open
- 17 Records of the appeal. The decision of the court shall contain
- 18 findings of fact and conclusions of law based upon the evidence
- 19 as a whole. The decision shall clearly and concisely explain the
- 20 rationale for the decision.
- 21 * * *
- 22 Section 8. Section 1307(h) of the act is amended and the
- 23 section is amended by adding a subsection to read:
- 24 Section 1307. Fee limitations.
- 25 * * *
- 26 (q.1) Additional fees.--
- 27 (1) Notwithstanding subsection (e), each agency may
- 28 <u>assess fees for responding to commercial requests under this</u>
- 29 act. In addition to fees under subsections (a) and (b),
- 30 <u>reasonable standard charges may be charged for document</u>

- 1 <u>search, retrieval, review and redaction for documents for</u>
- 2 <u>commercial use.</u>
- 3 (2) Fees under paragraph (1) must be calculated at no
- 4 more than the hourly wage of the lowest-paid public employee
- 5 of the agency who is capable of searching, retrieving,
- 6 reviewing and providing for redaction of the information
- 7 <u>necessary to comply with the request.</u>
- 8 (3) A fee may not be charged under paragraph (2) for the
- 9 <u>first hour of search and retrieval time for all commercial</u>
- 10 <u>requests from a single requester in a 90-day period.</u>
- 11 (h) Prepayment.--Prior to granting a request for access in
- 12 accordance with this act, an agency may require a requester to
- 13 prepay an estimate of the fees authorized under this section if
- 14 the fees required to fulfill the request are expected to exceed
- 15 [\$100] \$50.
- Section 9. Section 1310 of the act is SECTIONS 1310, 1502, <--
- 17 1503 AND 1504 OF THE ACT ARE amended to read:
- 18 Section 1310. Office of Open Records.
- 19 (a) Establishment. -- There is established [in the Department
- 20 of Community and Economic Development an] the Office of Open
- 21 Records, as an independent agency. The office shall do all of
- 22 the following:
- 23 (1) Provide information relating to the implementation
- and enforcement of this act.
- 25 (2) Issue advisory opinions to agencies and requesters.
- 26 (3) Provide annual training courses to agencies, public
- officials and public employees on this act and 65 Pa.C.S. Ch.
- 7 (relating to open meetings).
- 29 (4) Provide annual, regional training courses to local
- 30 agencies, public officials and public employees.

- 1 Assign appeals officers to review appeals of 2 decisions by Commonwealth agencies or local agencies, except as provided in section 503(d), filed under section 1101 and 3 issue orders and opinions. The office shall employ or 4 5 contract with attorneys to serve as appeals officers to 6 review appeals and, if necessary, to hold hearings on a 7 regional basis under this act. Each appeals officer must 8 comply with all of the following:
 - (i) Complete a training course provided by the Office of Open Records prior to acting as an appeals officer.
 - (ii) If a hearing is necessary, hold hearings regionally as necessary to ensure access to the remedies provided by this act.
- 15 (iii) Comply with the procedures under section 16 1102(b).
- 17 (6) Establish an informal mediation program to resolve 18 disputes under this act.
- 19 (7) Establish an Internet website with information 20 relating to this act, including information on fees, advisory 21 opinions and decisions and the name and address of all open 22 records officers in this Commonwealth.
- 23 (8) Conduct a biannual review of fees charged under this act.
- 25 (9) Annually report on its activities and findings to 26 the Governor and the General Assembly. The report shall be 27 posted and maintained on the Internet website established 28 under paragraph (7).
- 29 (b) Executive director. -- Within 90 days of the effective 30 date of this section, the Governor shall appoint an executive

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- 1 director of the office who shall serve for a term of six years.
- 2 Compensation shall be set by the Executive Board established
- 3 under section 204 of the act of April 9, 1929 (P.L.177, No.175),
- 4 known as The Administrative Code of 1929. The executive director
- 5 may serve no more than two terms.
- 6 (c) Limitation.--The executive director shall not seek
- 7 election nor accept appointment to any political office during
- 8 his tenure as executive director and for one year thereafter.
- 9 (d) Staffing. -- The executive director shall appoint
- 10 attorneys to act as appeals officers and additional clerical,
- 11 technical and professional staff as may be appropriate and may
- 12 contract for additional services as necessary for the
- 13 performance of the executive director's duties. The compensation
- 14 of attorneys and other staff shall be set by the Executive
- 15 Board. The appointment of attorneys shall not be subject to the
- 16 act of October 15, 1980 (P.L.950, No.164), known as the
- 17 Commonwealth Attorneys Act.
- 18 (e) Duties.--
- 19 (1) The executive director shall ensure that the duties
- of the Office of Open Records are carried out and shall
- 21 monitor cases appealed to the Office of Open Records.
- 22 (2) The Department of Community and Economic Development
- 23 <u>shall provide payroll and administrative support to the</u>
- 24 office.
- 25 (3) The executive director shall promulgate regulations
- to administer this act. Initial rulemaking under this
- 27 <u>paragraph shall be initiated no later than December 31, 2015.</u>
- 28 (e.1) Public comment. -- The office shall abstain from public
- 29 comment about a pending proceeding before the office. This
- 30 subsection shall not prohibit employees of the office from

- 1 making public statements in the course of official duties, from
- 2 issuing written advisory opinions, from making general comments
- 3 on this act that are not related to a specific pending case or
- 4 from explaining the procedures of the office.
- 5 (f) Appropriation. -- The appropriation for the office shall
- 6 be in a separate line item and shall be under the jurisdiction
- 7 of the executive director.
- 8 (g) Participation. -- The office may participate as amicus
- 9 <u>curiae in an appeal from a decision of the office and may, upon</u>

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- 10 approval of an appellate court, participate in oral argument.
- 11 Section 10. This act shall take effect in 60 days.
- 12 SECTION 1502. [REPORTING] FILING.
- 13 NO LATER THAN MAY 30 OF EACH YEAR, <u>UNLESS OTHERWISE PROVIDED</u>
- 14 UNDER THIS CHAPTER, A STATE-RELATED INSTITUTION SHALL FILE [WITH
- 15 THE GOVERNOR'S OFFICE, THE GENERAL ASSEMBLY, THE AUDITOR GENERAL
- 16 AND THE STATE LIBRARY] THE INFORMATION SET FORTH IN SECTION 1503
- 17 UNDER THE REQUIREMENTS OF SECTION 1504.
- 18 SECTION 1503. CONTENTS OF [REPORT] FILING.
- 19 (A) INFORMATION INCLUDED. -- THE [REPORT] FILING REQUIRED
- 20 UNDER SECTION 1502 SHALL INCLUDE THE FOLLOWING:
- 21 (1) EXCEPT AS PROVIDED IN PARAGRAPH (4), ALL INFORMATION
- 22 REQUIRED BY FORM 990 OR AN EQUIVALENT FORM OF THE UNITED
- 23 STATES DEPARTMENT OF THE TREASURY, INTERNAL REVENUE SERVICE,
- 24 ENTITLED THE RETURN OF ORGANIZATION EXEMPT FROM INCOME TAX,
- 25 REGARDLESS OF WHETHER THE STATE-RELATED INSTITUTION IS
- 26 REQUIRED TO FILE THE FORM BY THE FEDERAL GOVERNMENT.
- 27 (2) THE SALARIES OF ALL OFFICERS AND DIRECTORS OF THE
- 28 STATE-RELATED INSTITUTION.
- 29 (3) THE HIGHEST 25 SALARIES PAID TO EMPLOYEES OF THE
- 30 INSTITUTION THAT ARE NOT INCLUDED UNDER PARAGRAPH (2).

Τ	(3.1) FOR A STATE-RELATED INSTITUTION WITH 2,500
2	EMPLOYEES OR MORE, THE NEXT HIGHEST 175 SALARIES PAID TO
3	EMPLOYEES OF THE INSTITUTION THAT ARE NOT INCLUDED UNDER
4	PARAGRAPH (2). THE SALARIES OF FACULTY MEMBERS MUST BE
5	PRESENTED IN SALARY RANGES COMPRISED OF BANDS OF NO MORE THAN
6	\$75,000 WITH INDIVIDUAL FACULTY MEMBER NAMES ITEMIZED
7	ALPHABETICALLY IN THE RESPECTIVE SALARY RANGE. THE
8	INSTITUTION DEPARTMENT TO WHICH A FACULTY MEMBER IS ASSIGNED
9	MUST BE PRESENTED WITH THE NAME OF THE FACULTY MEMBER.
0	(4) THE [REPORT] <u>FILING</u> SHALL NOT INCLUDE INFORMATION
1	RELATING TO INDIVIDUAL DONORS.
2	(5) REVENUE AND EXPENDITURE BUDGETS OF THE STATE-RELATED
3	INSTITUTION'S ACADEMIC AND ADMINISTRATIVE SUPPORT UNITS FOR
	THE CURRENT FISCAL YEAR.
	(6) THE ACTUAL REVENUE AND EXPENDITURES FOR THE PRIOR
	YEAR.
	(7) FOR ANY DEFINED PROJECT OR PROGRAM WHICH IS THE
	SUBJECT OF A SPECIFIC LINE ITEM APPROPRIATION FROM THE
	GENERAL FUND, THE STATE-RELATED INSTITUTION SHALL DISCLOSE
	THE FOLLOWING:
	(I) REVENUE AND EXPENDITURE BUDGETS OF THE DEFINED
	PROGRAM OR PROJECT FOR THE CURRENT FISCAL YEAR.
	(II) THE ACTUAL REVENUE AND EXPENDITURES OF THE
	DEFINED PROGRAM OR PROJECT FOR THE PRIOR YEAR.
	(8) THE REVENUE AND EXPENDITURES OF ANY AUXILIARY
	ENTERPRISE WHICH IS DIRECTLY FUNDED IN WHOLE OR IN PART BY
	TUITION OR A STATE APPROPRIATION FOR THE CURRENT FISCAL YEAR.
	(9) THE MOST RECENT AUDITED FINANCIAL STATEMENT FOR THE
	STATE-RELATED INSTITUTION.
	(10) THE FOLLOWING INFORMATION FOR THE PRIOR FISCAL YEAR

Τ	FOR EACH ACADEMIC OR ADMINISTRATIVE SUPPORT UNIT, FOR EACH
2	DEFINED PROJECT OR PROGRAM AND FOR AN AUXILIARY ENTERPRISE:
3	(I) THE NUMBER OF EMPLOYEES BY ACADEMIC RANK.
4	(II) THE NUMBER OF ADMINISTRATORS, STAFF, CLERICAL
5	AND TECHNICAL SERVICE EMPLOYEES, BY CLASSIFICATION.
6	(III) MEDIAN AND MEAN SALARY BY ACADEMIC RANK.
7	(IV) MEDIAN AND MEAN SALARIES OF ADMINISTRATORS,
8	STAFF, CLERICAL AND TECHNICAL SERVICE EMPLOYEES, BY
9	CLASSIFICATION.
10	(V) NONSALARY COMPENSATION AS A PERCENTAGE OF
11	SALARY. NONSALARY COMPENSATION SHALL INCLUDE:
12	(A) MEDICAL BENEFITS.
13	(B) LIFE INSURANCE BENEFITS.
14	(C) PENSION BENEFITS.
15	(D) LEAVE BENEFITS.
16	(E) EMPLOYER SOCIAL SECURITY PAYMENTS.
17	(F) WORKERS' COMPENSATION BENEFITS.
18	(VI) A STATEMENT OF THE STATE-RELATED INSTITUTION'S
19	RETIREMENT POLICIES.
20	(VII) A POLICY STATEMENT RELATING TO A REDUCTION OF
21	TUITION FOR EMPLOYEES' FAMILY MEMBERS.
22	(VIII) A LIST BY ACADEMIC OR ADMINISTRATIVE SUPPORT
23	UNIT IN THE AGGREGATE OF THE EXPENSES OF TRAVEL,
24	SUBSISTENCE AND LODGING, WHETHER PROVIDED OR REIMBURSED.
25	(B) PROVIDING INFORMATION EACH STATE-RELATED INSTITUTION
26	SHALL PROVIDE FULL, COMPLETE AND ACCURATE INFORMATION AS MAY BE
27	REQUIRED BY THE DEPARTMENT OF EDUCATION OR THE CHAIRMAN OR
28	MINORITY CHAIRMAN OF THE APPROPRIATIONS COMMITTEE OF THE SENATE
29	OR THE CHAIRMAN OR MINORITY CHAIRMAN OF THE APPROPRIATIONS
30	COMMITTEE OF THE HOUSE OF REPRESENTATIVES.

1	(C) FINANCIAL STATEMENTS EACH STATE-RELATED INSTITUTION
2	SHALL PRESENT AND REPORT ITS FINANCIAL STATEMENTS REQUIRED UNDER
3	THE PROVISIONS OF THIS ACT IN ACCORDANCE WITH:
4	(1) THE GENERALLY ACCEPTED ACCOUNTING PRINCIPLES AS
5	PRESCRIBED BY THE NATIONAL ASSOCIATION OF COLLEGE AND
6	UNIVERSITY BUSINESS OFFICERS, THE AMERICAN INSTITUTE OF
7	CERTIFIED PUBLIC ACCOUNTANTS OR BY ANOTHER RECOGNIZED
8	AUTHORITATIVE BODY.
9	(2) THE "COMMONWEALTH OF PENNSYLVANIA BUDGET
10	INSTRUCTIONS FOR THE STATE SYSTEM OF HIGHER EDUCATION, STATE-
11	RELATED UNIVERSITIES AND NON-STATE-RELATED COLLEGES AND
12	UNIVERSITIES."
13	(3) THE FINANCIAL REPORTING POLICIES AND STANDARDS
14	PROMULGATED BY THE COMMONWEALTH OF PENNSYLVANIA AND BY THE
15	FEDERAL GOVERNMENT THAT APPLY TO THE STATE-RELATED
16	INSTITUTIONS.
17	(D) NONPREFERRED APPROPRIATIONS
18	(1) IN A YEAR A STATE-RELATED INSTITUTION RECEIVES A
19	NONPREFERRED APPROPRIATION, A REPORT SHALL BE SUBMITTED PRIOR
20	TO SEPTEMBER 1 AND MUST COVER THE 12-MONTH PERIOD BEGINNING
21	WITH THE SUMMER TERM OF THE PRECEDING YEAR. THE REPORT SHALL
22	<pre>INCLUDE:</pre>
23	(I) THE FOLLOWING COUNTS AND DISTRIBUTIONS FOR EACH
24	TERM DURING THE PERIOD:
25	(A) THE DEFINITION AND NUMBER OF:
26	(I) FACULTY MEMBERS EMPLOYED FULL TIME.
27	(II) FACULTY MEMBERS EMPLOYED PART TIME.
28	(III) FULL-TIME STUDENTS ENROLLED IN A
29	GRADUATE COURSE.
30	(IV) FULL-TIME STUDENTS ENROLLED IN AN

1	UNDERGRADUATE COURSE.
2	(V) PART-TIME STUDENTS ENROLLED IN A
3	GRADUATE COURSE.
4	(VI) PART-TIME STUDENTS ENROLLED IN AN
5	UNDERGRADUATE COURSE.
6	(B) THE TOTAL NUMBER OF:
7	(I) UNDERGRADUATE STUDENT CREDIT HOURS,
8	DIVIDED INTO LOWER DIVISION AND UPPER DIVISION
9	COURSE LEVELS.
10	(II) GRADUATE STUDENT CREDIT HOURS, DIVIDED
11	INTO THREE COURSE LEVELS: MASTER'S, FIRST
12	PROFESSIONAL AND DOCTORAL.
13	(C) THE NUMBER OF DIFFERENT COURSES SCHEDULED BY
14	LEVEL OF INSTRUCTION AND THE NUMBER OF SECTIONS OF
15	INDIVIDUAL INSTRUCTION SCHEDULED BY LEVEL OF
16	INSTRUCTION, EACH FURTHER SUBDIVIDED BY TWO-DIGIT
17	CLASSIFICATION OF INSTRUCTIONAL PROGRAM (CIP)
18	CATEGORIES OF INSTRUCTIONAL PROGRAMS OF HIGHER
19	EDUCATION AS DEFINED BY THE NATIONAL CENTER FOR
20	EDUCATION STATISTICS, UNITED STATES DEPARTMENT OF
21	EDUCATION.
22	(D) THE NUMBER OF TERMS SCHEDULED AND THE DATES
23	OF THE TERMS.
24	(II) FOR THE SUMMER TERM AND THE FOLLOWING ACADEMIC
25	YEAR IN TOTAL AND FOR EACH TWO-DIGIT CIP PROGRAM
26	<u>CATEGORY:</u>
27	(A) A CLASSIFICATION OF FACULTY MEMBERS OR OTHER
28	PROFESSIONAL EMPLOYEES BY TITLE, INCLUDING:
29	(I) PROFESSOR.
30	(II) ASSOCIATE PROFESSOR.

1	(III) ASSISTANT PROFESSOR.
2	(IV) INSTRUCTOR.
3	(V) LECTURER.
4	(VI) RESEARCH ASSOCIATE.
5	(VII) LIBRARIAN AND ACADEMIC ADMINISTRATOR.
6	(B) FACULTY MEMBERS OR OTHER PROFESSIONAL
7	EMPLOYEES UNDER EACH TITLE TO BE SUBDIVIDED INTO
8	TEACHING AND NONTEACHING.
9	(C) EACH SET OF FACULTY MEMBERS OR OTHER
10	PROFESSIONAL EMPLOYEES TO BE FURTHER SUBDIVIDED BY
11	FULL-TIME OR PART-TIME EMPLOYMENT.
12	(D) THE FOLLOWING AGGREGATES FOR EACH
13	SUBDIVIDED CLASSIFICATION:
14	(I) THE NUMBER OF FACULTY AND OTHER
15	PROFESSIONAL EMPLOYEES AND THE FULL-TIME
16	EQUIVALENCE IN INSTRUCTIONAL AND NONINSTRUCTIONAL
17	FUNCTIONS.
18	(II) THE SUM OF CREDITS ASSIGNED TO
19	UNDERGRADUATE CLASSROOM COURSES AND THE SUM OF
20	CREDITS ASSIGNED TO GRADUATE CLASSROOM COURSES
21	TAUGHT DIVIDED INTO LOWER DIVISION, UPPER
22	DIVISION, MASTER'S, FIRST PROFESSIONAL AND
23	DOCTORAL COURSE LEVELS.
24	(III) THE SUM OF CREDITS ASSIGNED TO
25	UNDERGRADUATE INDIVIDUAL INSTRUCTION COURSES AND
26	THE SUM OF CREDITS ASSIGNED TO GRADUATE
27	INDIVIDUAL INSTRUCTION COURSES TAUGHT DIVIDED
28	INTO LOWER DIVISION, UPPER DIVISION, MASTER'S,
28 29	INTO LOWER DIVISION, UPPER DIVISION, MASTER'S, FIRST PROFESSIONAL AND DOCTORAL COURSE LEVELS.

1	STUDENT CREDIT HOURS AND THE SUM OF GRADUATE
2	CLASSROOM STUDENT CREDIT HOURS GENERATED, DIVIDED
3	INTO LOWER DIVISION, UPPER DIVISION, MASTER'S,
4	FIRST PROFESSIONAL AND DOCTORAL COURSE LEVELS.
5	(V) THE SUM OF UNDERGRADUATE INDIVIDUAL
6	INSTRUCTION STUDENT CREDIT HOURS AND THE SUM OF
7	GRADUATE INDIVIDUAL INSTRUCTION STUDENT CREDIT
8	HOURS GENERATED, DIVIDED INTO LOWER DIVISION,
9	UPPER DIVISION, MASTER'S, FIRST PROFESSIONAL AND
10	DOCTORAL COURSE LEVELS.
11	(VI) THE TOTAL SALARY PAID FOR INSTRUCTIONAL
12	FUNCTIONS AND FOR NONINSTRUCTIONAL FUNCTIONS AND
13	THE AMOUNT OF SALARY PAID FOR EACH OF THESE
14	FUNCTIONS FROM STATE-RELATED INSTITUTION FUNDS,
15	FEDERAL FUNDS AND OTHER FUNDS.
16	(III) FOR EACH TERM OF THE PERIOD COVERED FOR EACH
17	FACULTY MEMBER EMPLOYED FULL TIME IDENTIFIED BY TWO-DIGIT
18	CIP PROGRAM CATEGORY AND TITLE, THE REPORT SHALL CONTAIN
19	AN ANALYSIS OF THE AVERAGE HOURS PER WEEK SPENT:
20	(A) IN STATE-RELATED INSTITUTION-RELATED
21	ACTIVITIES, STATING SPECIFICALLY HOURS SPENT IN
22	UNDERGRADUATE CLASSROOM CONTACT AND GRADUATE
23	CLASSROOM CONTACT HOURS SPENT IN PREPARATION.
24	(B) IN RESEARCH.
25	(C) IN PUBLIC SERVICE.
26	(2) THE REPORT COVERING THE 12-MONTH PERIOD SHALL
27	INCLUDE FOR EACH PROGRAM OF THE STATE-RELATED INSTITUTION:
28	(I) MINIMUM NUMBER OF CREDITS REQUIRED FOR A
29	BACCALAUREATE DEGREE AND FOR A MASTER'S DEGREE.
30	(II) NUMBER OF BACHELOR'S DEGREES, MASTER'S DEGREES,

- 1 FIRST PROFESSIONAL DEGREES AND DOCTORAL DEGREES AWARDED
- 2 FOR THE PREVIOUS FIVE YEARS AND THOSE ESTIMATES FOR THAT
- 3 YEAR.
- 4 (E) MINUTES.--EACH STATE-RELATED INSTITUTION SHALL MAKE A
- 5 COPY OF THE MINUTES OF EACH PUBLIC MEETING OF THE INSTITUTION'S
- 6 BOARD OF TRUSTEES AVAILABLE FOR PUBLIC INSPECTION IN THE
- 7 <u>INSTITUTION'S LIBRARY IN PRINT FORMAT AND ONLINE ON THE</u>
- 8 INSTITUTION'S PUBLICLY ACCESSIBLE INTERNET WEBSITE. THE MINUTES
- 9 SHALL BE MAINTAINED IN PRINT AND ONLINE FOR NO FEWER THAN 20
- 10 YEARS.
- 11 (F) DATA SYSTEMS REPORT.--EACH STATE-RELATED UNIVERSITY
- 12 SHALL MAKE A COPY OF THE INSTITUTION'S INTEGRATED POSTSECONDARY
- 13 EDUCATION DATA SYSTEMS REPORT AVAILABLE FOR PUBLIC INSPECTION IN
- 14 THE INSTITUTION'S LIBRARY IN PRINT FORMAT AND ONLINE ON THE
- 15 INSTITUTION'S PUBLICLY ACCESSIBLE INTERNET WEBSITE.
- 16 SECTION 1504. [COPIES AND POSTING] REQUIREMENTS FOR INFORMATION
- 17 IN FILINGS.
- 18 [A STATE-RELATED INSTITUTION SHALL MAINTAIN, FOR AT LEAST
- 19 SEVEN YEARS, A COPY OF THE REPORT IN THE INSTITUTION'S LIBRARY
- 20 AND SHALL PROVIDE FREE ACCESS TO THE REPORT ON THE INSTITUTION'S
- 21 INTERNET WEBSITE.] A STATE-RELATED INSTITUTION SHALL MAKE THE
- 22 INFORMATION REQUIRED BY SECTION 1502 ACCESSIBLE TO THE PUBLIC IN
- 23 ACCORDANCE WITH THE FOLLOWING:
- 24 (1) THE INFORMATION SHALL BE POSTED ONLINE ON THE
- 25 INSTITUTION'S PUBLICLY ACCESSIBLE INTERNET WEBSITE IN A
- 26 SEARCHABLE, SORTABLE AND DOWNLOADABLE DATABASE FORMAT THAT IS
- 27 <u>FREELY ACCESSIBLE BY THE PUBLIC. TO THE EXTENT POSSIBLE, A</u>
- 28 STATE-RELATED INSTITUTION SHALL POST THE INFORMATION ONLINE
- 29 <u>IN A SIMILAR MANNER IN ORDER TO FACILITATE EASY PUBLIC</u>
- 30 REVIEW. THE INFORMATION OF PRIOR YEARS SHALL BE MAINTAINED

- 1 FOR NO FEWER THAN TEN YEARS.
- 2 (2) THE INFORMATION SHALL BE MAINTAINED IN PRINT FORMAT
- 3 IN THE INSTITUTION'S LIBRARY FOR NO FEWER THAN TEN YEARS.
- 4 (3) THE INFORMATION SHALL BE PROVIDED IN ELECTRONIC
- 5 FORMAT TO THE DEPARTMENT OF EDUCATION AND THE JOINT STATE
- 6 <u>GOVERNMENT COMMISSION</u>.
- 7 (4) THE INFORMATION SHALL BE PROVIDED IN PRINT FORMAT TO
- 8 THE GENERAL ASSEMBLY AND TO EACH OF THE STATE REGIONAL
- 9 <u>LIBRARY RESOURCE CENTERS.</u>
- 10 SECTION 10. THE ACT IS AMENDED BY ADDING A SECTION TO READ:
- 11 SECTION 1505. CONTRACTS.
- 12 (A) SCOPE.--BY MAY 30 OF EACH YEAR, A STATE-RELATED
- 13 INSTITUTION SHALL FILE WITH THE GOVERNOR'S OFFICE, THE SECRETARY
- 14 OF THE SENATE, THE CHIEF CLERK OF THE HOUSE OF REPRESENTATIVES
- 15 AND THE STATE LIBRARY AN ANNUAL LIST OF CONTRACTS IN EXCESS OF
- 16 \$5,000 FOR THE PURCHASE OF ALL GOODS AND THIRD-PARTY SERVICES.
- 17 (B) CONTENTS. -- THE LIST SHALL INCLUDE ALL OF THE FOLLOWING:
- 18 (1) DATE OF EXECUTION.
- 19 (2) AMOUNT OF THE CONTRACT.
- 20 (3) BEGINNING DATE OF THE CONTRACT.
- 21 (4) END DATE OF THE CONTRACT, IF APPLICABLE.
- 22 (5) THE NAME AND ADDRESS OF THE VENDOR.
- 23 (6) SUBJECT MATTER OF THE CONTRACT.
- 24 (C) PRIVILEGE.--THIS SECTION SHALL NOT APPLY TO A CONTRACT
- 25 FOR SERVICES PROTECTED BY A PRIVILEGE.
- 26 (D) EVIDENCE. -- THIS SECTION:
- 27 (1) SHALL APPLY TO A PURCHASE ORDER EVIDENCING NEW
- 28 OBLIGATIONS; BUT
- 29 (2) SHALL NOT APPLY TO A PURCHASE ORDER EVIDENCING
- 30 FULFILLMENT OF AN EXISTING CONTRACT.

- 1 (E) POSTING. -- INFORMATION UNDER THIS SECTION SHALL BE POSTED
- 2 AND MAINTAINED ON THE INSTITUTION'S INTERNET WEBSITE.
- 3 SECTION 11. THIS ACT SHALL TAKE EFFECT AS FOLLOWS:
- 4 (1) THE AMENDMENT OR ADDITION OF SECTIONS 1501, 1503 AND
- 5 1505 OF THE ACT SHALL TAKE EFFECT JULY 1, 2015, OR
- 6 IMMEDIATELY, WHICHEVER IS LATER.
- 7 (2) THIS SECTION SHALL TAKE EFFECT IMMEDIATELY.
- 8 (3) THE REMAINDER OF THIS ACT SHALL TAKE EFFECT DECEMBER
- 9 31, 2015.