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THE GENERAL ASSEMBLY OF PENNSYLVANIA

SENATE BILL

No. 968

Session of 2007

INTRODUCED BY ERICKSON, PILEGGI, SCARNATI, WONDERLING, MADIGAN, McILHINNEY, MELLOW, TARTAGLIONE, WASHINGTON, ORIE, M. WHITE, MUSTO, KITCHEN, GORDNER, FOLMER, O'PAKE, PIPPY, TOMLINSON, RAFFERTY, VANCE, BAKER, C. WILLIAMS, D. WHITE, FERLO AND FONTANA, JUNE 11, 2007

SENATOR ERICKSON, PUBLIC HEALTH AND WELFARE, AS AMENDED, JUNE 13, 2007

AN ACT

Amending the act of March 20, 2002 (P.L.154, No.13), entitled 2 "An act reforming the law on medical professional liability; providing for patient safety and reporting; establishing the 3 Patient Safety Authority and the Patient Safety Trust Fund; 4 5 abrogating regulations; providing for medical professional liability informed consent, damages, expert qualifications, 7 limitations of actions and medical records; establishing the Interbranch Commission on Venue; providing for medical 8 9 professional liability insurance; establishing the Medical 10 Care Availability and Reduction of Error Fund; providing for medical professional liability claims; establishing the Joint 11 Underwriting Association; regulating medical professional 12 13 liability insurance; providing for medical licensure 14 regulation; providing for administration; imposing penalties; 15 and making repeals, " providing for reduction and prevention 16 of health care-associated infection. 17 The General Assembly of the Commonwealth of Pennsylvania hereby enacts as follows: Section 1. The act of March 20, 2002 (P.L.154, No.13), known 19 20 as the Medical Care Availability and Reduction of Error (Mcare) Act, is amended by adding a chapter to read: 21 CHAPTER 4 22

HEALTH CARE-ASSOCIATED INFECTIONS

- 1 Section 401. Scope.
- 2 This chapter relates to the reduction and prevention of
- 3 <u>health care-associated infections.</u>
- 4 Section 402. Definitions.
- 5 The following words and phrases when used in this chapter
- 6 shall have the meanings given to them in this section unless the
- 7 context clearly indicates otherwise:
- 8 <u>"Antimicrobial agent." A general term for drugs, chemicals</u>
- 9 or other substances that kill or slow the growth of microbes,
- 10 including, but not limited to, antibacterial drugs, antiviral
- 11 agents, antifungal agents and antiparasitic drugs.
- 12 <u>"Authority." The Patient Safety Authority.</u>
- "Colonization." The first stage of microbial infection or
- 14 the presence of nonreplicating microorganisms usually present in
- 15 host tissues that are in contact with the external environment.
- 16 "Department." The Department of Health of the Commonwealth.
- 17 "Fund." The Patient Safety Trust Fund as defined in section
- 18 305.
- 19 "Health care-associated infection." A localized or systemic
- 20 <u>condition that results from an adverse reaction to the presence</u>
- 21 of an infectious agent or its toxins that:
- 22 (1) occurs in a patient in a health care setting;
- 23 (2) was not present or incubating at the time of
- 24 <u>admission</u>, unless the infection was related to a previous
- admission to the same setting; and
- 26 (3) if occurring in a hospital setting, meets the
- 27 criteria for a specific infection site as defined by the
- 28 <u>Centers for Disease Control and Prevention and its National</u>
- 29 <u>Health Care Safety Network.</u>
- 30 "Health care facility." A hospital or nursing home licensed

- 1 or otherwise regulated to provide health care services under the
- 2 laws of this Commonwealth.
- 3 <u>"Health payor." An individual or entity providing a group</u>
- 4 <u>health</u>, <u>sickness or accident policy</u>, <u>subscriber contract or</u>
- 5 program issued or provided by an entity subject to any one of
- 6 the following:
- 7 (1) The act of June 2, 1915 (P.L.736, No.338), known as
- 8 <u>the Workers' Compensation Act.</u>
- 9 (2) The act of May 17, 1921 (P.L.682, No.284), known as
- 10 The Insurance Company Law of 1921.
- 11 (3) The act of December 29, 1972 (P.L.1701, No.364),
- 12 <u>known as the Health Maintenance Organization Act.</u>
- 13 (4) The act of May 18, 1976 (P.L.123, No.54), known as
- 14 the Individual Accident and Sickness Insurance Minimum
- 15 <u>Standards Act.</u>
- 16 (5) 40 Pa.C.S. Ch. 61 (relating to hospital plan
- 17 <u>corporations</u>).
- 18 "Medicaid." The program established under Title XIX of the
- 19 Social Security Act (49 Stat. 620, 42 U.S.C. § 1396 et seq.).
- 20 "Medicare." The program established under section 1886 of
- 21 the Social Security Act (49 Stat. 620, 42 U.S.C. § 1395ww).
- 22 "Methicillin Resistant Staphylococcus Aureus" or "MRSA." A
- 23 strain of bacteria that is resistant to certain antibiotics and
- 24 <u>is difficult to treat medically.</u>
- 25 "Multidrug resistant organism" or "MDRO." Microorganisms,
- 26 predominantly bacteria, that are resistant to one or more
- 27 classes of antimicrobial agents.
- 28 "Nationally recognized standards." Standards developed by
- 29 <u>organizations specializing in the control of infectious diseases</u>
- 30 such as the Society for the Healthcare Epidemiology of America

- 1 (SHEA), the Association for Professionals in Infection Control
- 2 and Epidemiology (APIC) and the Infectious Disease Society of
- 3 America (IDSA) and such methods, recommendations and guidelines
- 4 <u>developed by the Centers for Disease Control and Prevention</u>
- 5 (CDC) and its National Healthcare Safety Network.
- 6 Section 403. Infection control plan.
- 7 (a) Development and compliance. -- Within 120 days of the
- 8 <u>effective date of this section</u>, a health care facility shall
- 9 <u>develop and implement an internal infection control plan that</u>
- 10 shall be established for the purpose of improving the health and
- 11 safety of patients and health care workers and shall include:
- 12 (1) A multidisciplinary committee including
- 13 representatives from each of the following if applicable to
- that specific health care facility:
- (i) Medical staff.
- 16 <u>(ii) Administration.</u>
- 17 (iii) Laboratory.
- 18 (iv) Nursing.
- 19 (v) Pharmacy.
- 20 <u>(vi) The community.</u>
- 21 (2) Effective measures for the detection, control and
- 22 prevention of health care-associated infections.
- 23 (3) An active culture surveillance process and policies.
- 24 (4) A system to identify and designate patients known to
- 25 be colonized or infected with MRSA or other MDRO.
- 26 (5) The procedure for identifying other high-risk
- 27 patients admitted to the facility who shall receive routine
- 28 <u>cultures and screenings.</u>
- 29 <u>(6) An outreach process for notifying a receiving health</u>
- 30 care facility of any patient known to be colonized prior to

1 transfer within or between facilities. 2 (7) A required infection-control intervention protocol 3 which includes: 4 (i) Infection control precautions, based on 5 nationally recognized standards, for general surveillance of infected or colonized patients. 6 (ii) Treatment protocols based on evidence-based 7 8 standards. (iii) Isolation procedures. 9 (iv) Physical plant operations related to infection 10 11 control. (v) Appropriate use of antimicrobial agents and 12 13 antibiotics. (vi) Mandatory educational programs for personnel. 14 15 (vii) Fiscal and human resource requirements. 16 (b) Department review.--The department shall review each health care facility's infection control plan to ensure 17 18 compliance with this section in accordance with the department's authority under 28 Pa. Code § 146 (relating to infection 19 20 control) during its regular licensure inspection process. 21 (c) Notification. -- Upon review of its infection control 22 plan, a health care facility shall notify all health care 23 workers and medical staff of the health care facility of the infection control plan. Compliance with the infection control 24 25 plan shall be required as a condition of employment or credentialing at the health care facility. 26 Section 404. Patient Safety Authority jurisdiction. 27 (a) Health care facility reports to authority. -- The 28 occurrence of a health care-associated infection in a health 29 care facility shall be deemed a serious event or incident as 30

- 1 applicable as defined in section 302 and shall be reported to
- 2 the authority within 24 hours of the health care facility's
- 3 confirmation of its occurrence. The report to the authority
- 4 shall be in form and manner prescribed by the authority and
- 5 shall not include the name of any patient or any other
- 6 identifiable individual information. The occurrence of a health
- 7 <u>care-associated infection shall otherwise be subject to other</u>
- 8 <u>requirements of Chapter 3.</u>
- 9 (b) Report submission. -- Within 60 days of the effective date
- 10 of this section, a health care facility shall begin reporting
- 11 health care-associated infections in its facility as serious
- 12 events or incidents, consistent with the requirements of this
- 13 section.
- 14 (c) Duties.--In addition to its existing responsibilities,
- 15 the authority is responsible for all of the following:
- (1) Establishing uniform definitions based on nationally
- 17 recognized standards for the identification and reporting of
- 18 health care-associated infections.
- 19 (2) Developing and implementing uniform reporting
- 20 <u>requirements utilizing the uniform definitions established</u>
- 21 under paragraph (1), which a health care facility shall
- 22 follow for purposes of reporting health care-associated
- 23 infections:
- 24 (i) to the authority pursuant to subsection (b);
- 25 <u>(ii) to the Health Care Cost Containment Council</u>
- 26 pursuant to section 6(c)(7) of the act of July 8, 1986
- 27 (P.L.408, No.89), known as the Health Care Cost
- 28 <u>Containment Act; and</u>
- 29 (iii) to any other State agency, including
- independent State agencies.

1	(3) Developing a methodology using nationally recognized
2	standards for determining and assessing the rate of health
3	care-associated infections that occur in health care
4	facilities in this Commonwealth as compared with the rate of
5	health care assessed CARE-ASSOCIATED infections occurring in
6	health care facilities on a nationwide basis.
7	(4) Publishing a notice in the Pennsylvania Bulletin
8	stating the uniform reporting requirements established
9	pursuant to this subsection and the effective date for the
10	commencement of required reporting by health care facilities
11	consistent with this chapter, which, at a minimum, shall
12	begin 120 days after publication of the notice.
13	(5) Issuing advisories under section 304(a)(7).
14	(6) Including a separate category for providing
15	information about health care-associated infections in the
16	annual report under section 304(c).
17	(7) Appointing an advisory panel of health care-
18	associated infection control experts to assist in carrying
19	out the requirements of this chapter.
20	Section 405. Payment for performing routine cultures and
21	screenings.
22	The cost of routine cultures and screenings performed on
23	patients in compliance with a health care facility's infection
24	control plan shall be considered a reimbursable cost to be paid
25	by health payors and Medicaid.
26	Section 406. Incentive payment.
27	(a) General ruleCommencing on January 1, 2009, a health
28	care facility that achieves at least a 10% reduction for that
29	facility in the total number of reported health care-associated
30	infections over the preceding year shall be eligible to receive

- 1 an incentive payment. For calendar year 2010 and thereafter, the
- 2 Department of Public Welfare shall consult with the authority to
- 3 <u>establish appropriate percentage benchmarks for the reduction of</u>
- 4 health care-associated infections in health care facilities in
- 5 order to be eligible for an incentive payment pursuant to this
- 6 section.
- 7 (b) Distribution of funds.--Funds for the purpose of
- 8 implementing this section shall be appropriated to the
- 9 Department of Public Welfare and distributed to eliqible health
- 10 care facilities as set forth in this section. Incentive payments
- 11 to health care facilities shall be limited to funds available
- 12 for this purpose.
- 13 <u>Section 407</u>. <u>Duties of Department of Health</u>.
- 14 The department is responsible for the following:
- 15 (1) The development of a public health awareness
- campaign on health care-associated infections to be known as
- 17 the Community Awareness Program. The program shall provide
- 18 information to the public on causes and symptoms of health
- 19 care-associated infections, diagnosis and treatment
- 20 <u>prevention methods and the proper use of antibiotics.</u>
- 21 (2) The consideration and determination of the
- 22 feasibility of establishing an active surveillance program
- 23 involving other entities, such as athletic teams,
- 24 <u>correctional facilities or other en</u>tities to identify those
- 25 persons in the community that are actively colonized and at
- 26 <u>risk of susceptibility to and transmission of MRSA bacteria.</u>
- 27 Section 408. Nursing home assessment to Patient Safety
- 28 <u>Authority.</u>
- 29 (a) Assessment.--Commencing January 1, 2008, each nursing
- 30 <u>home shall pay the department a surcharge on its licensing fee</u>

- 1 as necessary to provide sufficient revenues to operate the
- 2 <u>authority for its responsibilities under this chapter. The total</u>
- 3 <u>assessment for all nursing homes shall not be more than</u>
- 4 \$1,000,000. The department shall transfer the total assessment
- 5 amount to the fund within 30 days of receipt.
- 6 (b) Base amount.--For each succeeding calendar year, the
- 7 authority shall determine the appropriate assessment amount and
- 8 the department shall assess each nursing home its proportionate
- 9 share of the authority's budget for its responsibilities under
- 10 this chapter. The total assessment amount shall not be more than
- 11 \$1,000,000 in fiscal year 2007-2008 and shall be increased
- 12 <u>according to the Consumer Price Index in each succeeding fiscal</u>
- 13 year.
- 14 (c) Expenditures.--Money appropriated to the fund under this
- 15 <u>chapter shall be expended by the authority to implement this</u>
- 16 <u>chapter</u>.
- 17 (d) Dissolution.--In the event that the fund is discontinued
- 18 or the authority is dissolved by operation of law, any balance
- 19 paid by nursing homes remaining in the fund, after deducting
- 20 administrative costs of liquidation, shall be returned to the
- 21 <u>nursing homes in proportion to their financial contributions to</u>
- 22 the fund in the preceding licensing period.
- 23 (e) Failure to pay surcharge.--If after 30 days' notice a
- 24 nursing home fails to pay a surcharge levied by the department
- 25 <u>under this chapter, the department may assess an administrative</u>
- 26 penalty of \$1,000 per day until the surcharge is paid.
- 27 <u>Section 409. Scope of reporting.</u>
- This chapter shall satisfy the sole and exclusive requirement
- 29 for health care facilities to report health care-associated
- 30 infections to the Commonwealth.

- 1 Section 410. Penalties.
- 2 (a) Violation of Health Care Facilities Act. -- The failure of
- 3 <u>a health care facility to report a health care-associated</u>
- 4 <u>infection as a serious event or incident as required by this</u>
- 5 <u>chapter or the failure of a health care facility to develop,</u>
- 6 <u>implement and comply with its infection control plan in</u>
- 7 accordance with the requirements of section 403 shall be a
- 8 violation of the act of July 19, 1979 (P.L.130, No.48), known as
- 9 <u>the Health Care Facilities Act.</u>
- 10 (b) Administrative penalty. -- In addition to any penalty that
- 11 may be imposed under the Health Care Facilities Act or under 18
- 12 Pa.C.S. Ch. 32 (relating to abortion), a health care facility
- 13 which fails to report a health care-associated infection as a
- 14 serious event or incident may be subject to an administrative
- 15 penalty of \$1,000 per day imposed by the department.
- 16 Section 2. This act shall take effect in 30 days.