THE GENERAL ASSEMBLY OF PENNSYLVANIA

HOUSE BILL No. 1721 Session of 2007

INTRODUCED BY LEVDANSKY, STEIL, JOSEPHS, RUBLEY, McCALL, D. EVANS, BRENNAN, CARROLL, DePASQUALE, FRANKEL, FREEMAN, GERBER, GODSHALL, GOODMAN, GRUCELA, HARHAI, HORNAMAN, KORTZ, LEACH, PETRONE, SCAVELLO, SIPTROTH, SWANGER, TANGRETTI, R. TAYLOR, VITALI, WAGNER AND WALKO, JULY 9, 2007

REFERRED TO COMMITTEE ON STATE GOVERNMENT, JULY 9, 2007

AN ACT

| 1 | Amending the act of June 3, 1937 (P.L.1333, No.320), entitled |
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| 2 | "An act concerning elections, including general, municipal, |
| 3 | special and primary elections, the nomination of candidates, |
| 4 | primary and election expenses and election contests; creating |
| 5 | and defining membership of county boards of elections; |
| 6 | imposing duties upon the Secretary of the Commonwealth, |
| 7 | courts, county boards of elections, county commissioners; |
| 8 | imposing penalties for violation of the act, and codifying, |
| 9 | revising and consolidating the laws relating thereto; and |
| 10 | repealing certain acts and parts of acts relating to |
| 11 | elections," providing for definitions; creating the |
| 12 | Pennsylvania State Board of Elections and defining its powers |
| 13 | and duties; providing for a fair campaign code, for |
| 14 | regulations at polling places, for independent expenditures, |
| 15 | for political action committees and affiliated committees, |
| 16 | for in-kind contributions, for committee organizations, for |
| 17 | reporting by candidates and political committees, for |
| 18 | quarterly reports, for funding limitations and use and for |
| 19 | transfers; making an appropriation; and making repeals. |
| | |
| 20 | The General Assembly of the Commonwealth of Pennsylvania |
| 21 | hereby enacts as follows: |
| | nereby enacts as follows. |
| 22 | Section 1. Section 102 of the act of June 3, 1937 (P.L.1333, |
| | |
| 23 | No.320), known as the Pennsylvania Election Code, is amended by |
| 24 | adding a clause to read: |
| | - |

25 Section 102. Definitions.--The following words, when used in

this act, shall have the following meanings, unless otherwise
 clearly apparent from the context:

3 * * *

4 (z.5) The words "State board" or "State board of elections"
5 shall mean the Pennsylvania State Board of Elections established
6 by this act.

7 Section 2. Section 201 of the act, amended October 8, 2004
8 (P.L.807, No.97), is repealed:

9 [Section 201. Powers and Duties of the Secretary of the 10 Commonwealth.--The Secretary of the Commonwealth shall exercise 11 in the manner provided by this act all powers granted to him by 12 this act, and shall perform all the duties imposed upon him by 13 this act, which shall include the following:

14 (a) To determine, in accordance with the provisions of this
15 act, the forms of nomination petitions and papers, expense
16 accounts and all other forms and records, the form of which he
17 is required to determine under the provisions of this act.

(b) To examine and reexamine voting machines, and to approve or disapprove them for use in this State, in accordance with the provisions of this act.

21 (c) To certify to county boards of elections for primaries 22 and elections the names of the candidates for President and Vice-President of the United States, presidential electors, 23 24 United States senators, representatives in Congress and all 25 State offices, including senators, representatives, and judges 26 of all courts of record, and delegates and alternate delegates 27 to National Conventions, and members of State committees, and the form and wording of constitutional amendments or other 28 29 questions to be submitted to the electors of the State at large. 30 (d) To receive and determine, as hereinafter provided, the - 2 -20070H1721B2280

sufficiency of nomination petitions, certificates and papers of
 candidates for President of the United States, presidential
 electors, United States senators, representatives in Congress
 and all State offices, including senators, representatives and
 judges of all courts of record, and delegates and alternate
 delegates to National Conventions and members of State
 committees.

8 (e) To receive such reports from county boards of elections 9 as are required by this act, and to demand such additional 10 reports on special matters as he may deem necessary.

11 (e.1) To receive from county boards of elections information 12 on voting system errors or difficulties or other election data 13 pursuant to regulation.

14 (f) To receive from county boards of elections the returns 15 of primaries and elections, to canvass and compute the votes 16 cast for candidates and upon questions as required by the provisions of this act; to proclaim the results of such 17 18 primaries and elections, and to issue certificates of election 19 to the successful candidates at such elections, except in cases 20 where that duty is imposed by law on another officer or board. 21 (f.1) To develop a voluntary professional certification and 22 poll worker training program for county election officials in consultation with county boards of elections. 23

(f.2) To order a county board to conduct a recount or recanvass of an election under section 1404 for a public office which appears on the ballot in every election district in this Commonwealth or for a ballot question which appears on the ballot in every election district in this Commonwealth.

29 (g) To perform such other duties as may be prescribed by30 law.

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(h) To establish a system for the remedy of complaints
 regarding the administration of the provisions of Title III of
 the Help America Vote Act of 2002 (Public Law 107-252, 42 U.S.C.
 § 15481 et seq.)]

5 Section 3. Section 201.1 of the act, added February 19, 19866 (P.L.29, No.11), is repealed:

7 [Section 201.1. Explanation of Ballot Question.--Whenever a proposed constitutional amendment or other State-wide ballot 8 9 question shall be submitted to the electors of the Commonwealth 10 in referendum, the Attorney General shall prepare a statement in 11 plain English which indicates the purpose, limitations and effects of the ballot question on the people of the 12 13 Commonwealth. The Secretary of the Commonwealth shall include 14 such statement in his publication of a proposed constitutional 15 amendment as required by Article XI of the Constitution of 16 Pennsylvania. The Secretary of the Commonwealth shall certify such statement to the county boards of elections who shall 17 18 publish such statement as a part of the notice of elections 19 required by section 1201 or any other provision of this act. The 20 county board of elections shall also require that at least three 21 copies of such statement be posted in or about the voting room 22 outside the enclosed space with the specimen ballots and other 23 instructions and notices of penalties. In election questions 24 which affect only one county or portion thereof, the county 25 board of elections shall fulfill these requirements in the place 26 of the Attorney General and the Secretary of the Commonwealth.] 27 Section 4. Sections 202 and 203 of the act are repealed: 28 [Section 202. Records and Documents to Be Open to Public 29 Inspection. -- The records of the Secretary of the Commonwealth 30 and all returns, nomination petitions, certificates and papers, 20070H1721B2280 - 4 -

other petitions, accounts, contracts, reports and other 1 documents and records in his custody shall be open to public 2 3 inspection, and may be inspected and copied by any qualified 4 elector of the State during ordinary business hours at any time 5 when they are not necessarily being used by the Secretary of the Commonwealth, or his deputy or employes having duties to perform 6 in reference thereto: Provided, however, That such public 7 inspection thereof shall only be in the presence of the 8 Secretary of the Commonwealth, or his deputy or one of his 9 10 authorized employes, and shall be subject to proper regulation 11 for safekeeping of the records and documents, and subject to the further provisions of this act. 12

Section 203. Preservation of Records.--All documents and records in the office of the Secretary of the Commonwealth shall be preserved therein for a period of two years, unless otherwise provided in this act.]

Section 5. Section 204 of the act, amended or added December 9, 2002 (P.L.1246, No.150) and May 12, 2006 (P.L.178, No.45), is repealed:

[Section 204. Voting Standards Development Board.--(a)
There is hereby established within the Department of State a
Voting Standards Development Board comprised of seven members
for the purpose of developing uniform and nondiscriminatory
standards that define what constitutes a vote.

(b) The board shall be comprised of the following members:
(1) The Secretary of the Commonwealth or his designee.
(2) Two county directors of election appointed by the
President pro tempore of the Senate, one of whom shall be from a
county in which votes are cast on paper ballots.

30 (3) One county director of elections appointed by the 20070H1721B2280 - 5 - Minority Leader of the Senate, who shall be from a county in
 which votes are cast on punch card voting systems.

3 (4) Two county directors of election appointed by the 4 Speaker of the House of Representatives, one of whom shall be 5 from a county in which votes are cast on direct recording 6 electronic voting systems.

7 (5) One county director of elections appointed by the
8 Minority Leader of the House of Representatives, who shall be
9 from a county in which votes are cast on optical scan voting
10 systems.

11 (c) The Secretary of the Commonwealth shall serve as chair 12 of the board. Each member shall serve until the expiration of 13 his term. A vacancy shall be filled in the same manner as the 14 original appointment.

15 (d) The board shall meet as needed to fulfill the 16 requirements of this section.

17 (e) Four members of the board shall constitute a quorum, and 18 an affirmative vote of a majority of the members of the board is 19 required for the issuance of standards in accordance with 20 subsection (h).

(f) The board may establish any rules necessary for its operation, consistent with the provisions of subsection (e). (g) The members of the board shall receive no compensation for their services on the board but shall be reimbursed by the department for ordinary and necessary expenses incurred in the performance of their duties.

27 (h) (1) The board shall have the power and duty to develop 28 uniform and nondiscriminatory standards that define what 29 constitutes a valid vote cast through a paper ballot and what 30 constitutes a valid vote through each type of electronic voting 20070H1721B2280 - 6 - system used in the Commonwealth. On or before July 1, 2003, the
 board shall adopt standards for paper ballots and each type of
 electronic voting system. The department shall cause these
 standards to be published as a notice in the Pennsylvania
 Bulletin.

6 (2) The standards adopted by the board and published by the 7 Department of State in the Pennsylvania Bulletin Volume 33 8 Number 31 on August 2, 2003, shall, for the general election in 9 2004 and any primary, municipal, special and general election in 10 2006 and 2007, have the force and effect of law.] 11 Section 6. Section 205 of the act, added December 9, 2002

12 (P.L.1246, No.150), is repealed:

13 [Section 205. State Plan Advisory Board.--(a) There is 14 hereby established within the Department of State a State Plan 15 Advisory Board comprised of fifteen members for the purpose of 16 advising the Secretary of the Commonwealth on the development of 17 the State Plan required by the Help America Vote Act of 2002 18 (Public Law 107-252, 42 U.S.C. § 15301 et seq.).

(b) The board shall be comprised of the following members:
(1) One director of elections from a county of the first
class.

(2) One director of elections from a county of the secondclass.

(3) The chairperson of the political party with the highestnumber of registered voters in the Commonwealth.

26 (4) The chairperson of the political party with the second27 highest number of registered voters in the Commonwealth.

28 (5) Eleven members appointed by the Secretary of the29 Commonwealth as follows:

30 (i) Seven directors of elections, one from a county of the 20070H1721B2280 - 7 - second class A and one each from a county of the third, fourth,
 fifth, sixth, seventh and eighth class.

3 (ii) One representative of an organization of disabled4 Pennsylvania veterans.

5 (iii) One representative of an organization of blind and6 visually impaired Pennsylvanians.

7 (iv) Two representatives of the public at large, who shall8 be registered electors of the Commonwealth.

9 (c) The board shall elect a chairman from among its members. 10 Each member appointed by the secretary shall serve for a term of 11 five years. A vacancy shall be filled in the same manner as the 12 original appointment.

13 (d) The board shall meet as needed to fulfill the14 requirements of this section.

15 (e) Eight members of the board shall constitute a quorum. A
16 vote of the majority of the members of the board is required for
17 the issuance of recommendations in accordance with subsection
18 (h).

(f) The board may establish any rules necessary for its operation consistent with the provisions of subsection (e). (g) The members of the board shall receive no compensation for their services on the board but shall be reimbursed by the department for ordinary and necessary expenses incurred in the performance of their duties.

(h) The board shall have the power and duty to advise the
Secretary of the Commonwealth on the development of the State
Plan, which the secretary must develop and submit to the Federal
Election Assistance Commission in accordance with the Help
America Vote Act of 2002. The board shall make recommendations
on all aspects of the State Plan described in section 254 of the
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1 Help America Vote Act of 2002.]

| 2 | Section 7. The act is amended by adding sections to read: |
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| 3 | Section 206. The Pennsylvania State Board of Elections(a) |
| 4 | The Pennsylvania State board of elections is hereby established |
| 5 | as an independent board within the executive branch of the |
| 6 | Commonwealth. The State board of elections shall consist of six |
| 7 | members appointed by the Governor with the advice and consent of |
| 8 | two-thirds of all members of the Senate. No more than three |
| 9 | members of the State board appointed under this subsection may |
| 10 | be affiliated with the same political party. No two members |
| 11 | shall reside in the same county. |
| 12 | (b) Members of the State board of elections shall serve for |
| 13 | a term of six years except that of the members first appointed |
| 14 | (i) two of the members not affiliated with the same political |
| 15 | party shall be appointed for terms of two years; (ii) two of the |
| 16 | members not affiliated with the same political party shall be |
| 17 | appointed for four-year terms; and (iii) two of the members not |
| 18 | affiliated with the same political party shall be appointed for |
| 19 | <u>six-year terms.</u> |
| 20 | (c) No member shall be appointed to more than one full six- |
| 21 | year term; Provided, however, That a member of the State board |
| 22 | of elections may serve until his successor has been appointed |
| 23 | and qualified. |
| 24 | (d) Members shall be chosen on the basis of their maturity, |
| 25 | experience, integrity, impartiality and good judgment. Each |
| 26 | person appointed as a member of the State board of elections |
| 27 | shall be a citizen and legal resident of the Commonwealth for a |
| 28 | period of not less than one year. |
| 29 | (e) No individual, while a member or employe of the State |
| 30 | board shall: |
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| 1 | (1) Hold public office or campaign for any public office. |
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| 2 | (2) Hold office in any political party or political |
| 3 | <u>committee.</u> |
| 4 | (3) Actively participate in any political campaign. |
| 5 | (4) Directly or indirectly attempt to influence any decision |
| 6 | by a governmental body, other than a court of law, or as a |
| 7 | representative of the State board on a matter within the |
| 8 | jurisdiction of the State board. |
| 9 | (5) Be employed by the Commonwealth in any other capacity |
| 10 | whether or not for compensation. |
| 11 | (f) A majority of the State board by resolution, shall |
| 12 | declare vacant the position on the State board of any member who |
| 13 | takes part in activities prohibited by subsection (e). An |
| 14 | individual appointed to fill a vacancy occurring other than by |
| 15 | the expiration of a term of office shall be appointed for the |
| 16 | unexpired term of the member he succeeds and is eligible for |
| 17 | appointment to one full six-year term thereafter. |
| 18 | (g) The State board shall elect a chairman and a vice |
| 19 | <u>chairman from among its members for a term of one year. No</u> |
| 20 | member may serve as chairman more often than once during any |
| 21 | term of office to which he is appointed. The chairman and the |
| 22 | vice chairman shall not be affiliated with the same political |
| 23 | party. The vice chairman shall act as chairman in the absence or |
| 24 | disability of the chairman or in the event of a vacancy in such |
| 25 | <u>office.</u> |
| 26 | (h) The State board shall meet at least once a month and at |
| 27 | such other times as it deems necessary. |
| 28 | (i) Four members of the State board shall constitute a |
| 29 | quorum and the vote of a majority of the members present is |
| 30 | required for any action or recommendation of the State board. |
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The chairman and any four members of the State board may call a 1 2 meeting, provided advance written notice is mailed to each 3 member and to any person who requests notice of such meetings. 4 (j) Members of the State board shall be compensated at a 5 rate of seventeen thousand five hundred dollars (\$17,500) per year and shall receive reimbursement for their actual and 6 necessary expenses while performing the business of the State 7 board of elections. 8 9 (k) The State board shall employ an executive director, a 10 general counsel and such other staff as is necessary to carry 11 out its duties pursuant to this act. The executive director 12 shall be responsible for the administrative operations of the 13 State board of elections and shall perform such other duties as 14 may be delegated or assigned to him by the members of the State 15 board, except the State board shall not delegate the making of 16 regulations to the executive director. A general counsel shall 17 be the chief legal officer of the State board and shall have the 18 same powers and duties as prescribed in Chapter 4 of the act of 19 October 15, 1980 (P.L.950, No.164), known as the "Commonwealth 20 Attorneys Act. " The State board of elections may obtain the 21 services of experts and consultants as necessary to carry out 22 its duties pursuant to this act. 23 Section 207. Powers and Duties of the Pennsylvania State 24 Election Board. -- The Pennsylvania State Election Board shall 25 exercise in the manner provided by this act all powers granted 26 to it by this act, and shall perform all the duties imposed upon 27 it by this act, which shall include the following: 28 (1) The State board of elections shall assume all the powers and duties which this act, or any other act, imposes upon the 29 30 Secretary of the Commonwealth or the Department of State

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| 1 | relating to the conduct of elections and voter registration. The |
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| 2 | Secretary of the Commonwealth shall, however, retain those |
| 3 | responsibilities placed on the office by Article XI of the |
| 4 | <u>Constitution of Pennsylvania.</u> |
| 5 | (2) To issue instructions and promulgate rules and |
| 6 | regulations relating to the administration of and the insurance |
| 7 | of uniformity in the election process, election campaign |
| 8 | practices and campaign financing practices consistent with the |
| 9 | provisions of law. |
| 10 | (3) To determine the forms of nomination petitions and |
| 11 | papers, expense accounts and all other forms and records, in |
| 12 | accordance with this act. |
| 13 | (4) To examine and reexamine voting machines and electronic |
| 14 | voting systems, and to approve or disapprove them for use in |
| 15 | this Commonwealth, in accordance with the provisions of this |
| 16 | <u>act.</u> |
| 17 | (5) To receive and determine, as hereinafter provided, the |
| 18 | sufficiency of nomination petitions, certificates and papers of |
| 19 | candidates for President of the United States, presidential |
| 20 | <u>electors, United States senators, representatives in Congress</u> |
| 21 | and all State offices, including senators, representatives and |
| 22 | judges of all courts of record, and delegates and alternate |
| 23 | delegates to National Conventions and members of State |
| 24 | committees. |
| 25 | (6) To certify to county boards of elections for primaries |
| 26 | and elections the names of the candidates for President and |
| 27 | Vice-President of the United States, presidential electors, |
| 28 | United States senators, representatives in Congress and all |
| 29 | State offices, including senators, representatives, and judges |
| 30 | of all courts of record, and delegates and alternate delegates |
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to National Conventions, and members of State committees, and 1 the form and wording of constitutional amendments or other 2 3 questions to be submitted to the electors of the State at large. 4 (7) To receive such reports from county boards of elections 5 as are required by this act, and to demand such additional reports on special matters as it may deem necessary. 6 7 (8) To receive from county boards of elections the returns 8 of primaries and elections, to canvass and compute the votes 9 cast for candidates and upon questions as required by the 10 provisions of this act; to proclaim the results of such 11 primaries and elections, and to issue certificates of election to the successful candidates at such elections, except in cases 12 where that duty is imposed by law on another officer or board. 13 14 (9) To serve as the State clearing house for information in 15 respect to the administration of elections and pursuant to that 16 duty, the State board shall enter into contracts for the purpose of conducting independent studies of the administration of 17 18 elections. Studies made under this paragraph shall be published 19 by the State board and copies made available to the General 20 Assembly and to the general public upon payment of the cost of 21 duplication. Nothing in this paragraph shall be construed to 22 authorize the State board to include comments or recommendations 23 in any study. If the need arises for any comment or 24 recommendations, they shall be included as an appendix to such 25 study. (10) To conduct any investigation necessary to carry out the 26 27 provisions of this act. 28 (11) To conduct private or public hearings. (12) To administer oaths or affirmations, subpoena 29 witnesses, compel their attendance, examine them under oath or 30

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1 affirmation and require the production of any books, records, documents or other evidence it may deem relevant or material. 2 3 (13) To seek immunity in accordance with the provisions of 4 42 Pa.C.S. § 5946 (relating to competency of certain witnesses 5 where political subdivision is a party), in any investigation relating to any crime or offense with respect to which, by 6 express provisions of statute, a competent authority is 7 8 authorized to confer immunity; Provided, however, That such 9 immunity shall be conferred only after the Attorney General and 10 appropriate district attorney are afforded the opportunity to be 11 heard respecting any objections which either may have to the conferring thereof. 12 13 (14) To institute or direct a county board of elections to 14 institute such judicial proceedings as may be necessary to 15 enforce compliance with any provision of this act or any 16 regulation promulgated thereunder including, but not limited to, 17 application, on notice served upon the respondent in the manner 18 directed by the court at least six hours prior to the time of return thereon, to the court of common pleas, for an order 19 20 prohibiting the continued or threatened violation thereof of for such other or further relief as the court may deem just and 21 22 proper. 23 (15) To develop an electronic reporting system to process the statements of campaign receipts, contributions, transfers 24 25 and expenditures required to be filed with the State board of 26 elections pursuant to the provisions of this act. 27 (16) To establish a training program on the electronic 28 reporting system required in subsection 16 and make it available 29 to any candidate or committee. 30 (17) To recommend legislation and administrative measures as

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| 1 | it finds appropriate to promote uniform, fair, honest and |
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| 2 | efficiently administered elections. |
| 3 | (18) To monitor the adequacy and effectiveness of the |
| 4 | election laws and report thereon at least annually to the |
| 5 | Governor and the General Assembly. |
| 6 | (19) To compile the information required with respect to the |
| 7 | operation of the National Voter Registration Act of 1993 (Public |
| 8 | Law 103-31, 42 U.S.C. § 1973gg et Seq.) and report the |
| 9 | information annually to the Governor, the General Assembly and |
| 10 | the Federal Election Commission together with an assessment of |
| 11 | the operation of such acts and any recommendations for change |
| 12 | and improvements in compliance. |
| 13 | (20) To take all appropriate steps to encourage the broadest |
| 14 | possible voter participation in elections including the |
| 15 | administration of a program of voter registration form |
| 16 | distribution by participating State agencies as prescribed by |
| 17 | the "Pennsylvania Voter Registration Act." |
| 18 | (21) To provide written advice to any person upon his |
| 19 | request with respect to such person's duties under this act. |
| 20 | Such advice shall be provided within five working days of the |
| 21 | request, provided the time may be extended for good cause. It |
| 22 | shall be evidence of good faith conduct in any civil or criminal |
| 23 | proceeding, if the requester, at least five working days prior |
| 24 | to the alleged violation requested written advice from the State |
| 25 | board in good faith, disclosed truthfully all the material facts |
| 26 | and committed the acts either in reliance of the advice or |
| 27 | because of the failure of the State board to provide advice |
| 28 | within five days of the requests or such later extended time. |
| 29 | (22) To perform such duties as may be prescribed by law. |
| 30 | Section 208. Explanation of Ballot QuestionWhenever a |
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| 1 | proposed constitutional amendment or other State-wide ballot |
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| 2 | question shall be submitted to the electors of the Commonwealth |
| 3 | in referendum, the State board shall prepare a statement in |
| 4 | plain English which indicates the purpose, limitations and |
| 5 | effects of the ballot question on the people of the |
| 6 | Commonwealth. The State board of elections shall certify the |
| 7 | statement to the Secretary of the Commonwealth who shall include |
| 8 | it in his publication of a proposed constitutional amendment as |
| 9 | required by Article XI of the Constitution of Pennsylvania. The |
| 10 | State board shall certify such statement to the county boards of |
| 11 | elections who shall publish such statement as a part of the |
| 12 | notice of elections required by section 1201 or any other |
| 13 | provision of this act. The county board of elections shall also |
| 14 | require that at least three copies of such statement be posted |
| 15 | in or about the voting room outside the enclosed space with the |
| 16 | specimen ballots and other instructions and notices of |
| 17 | penalties. In election questions which affect only one county or |
| 18 | portion thereof, the county board of elections shall fulfill |
| 19 | these requirements in the place of the Attorney General and the |
| 20 | Secretary of the Commonwealth. |
| 21 | Section 209. Records and Documents to be Open to Public |
| 22 | InspectionThe records of the State board of elections and all |
| 23 | returns, nomination petitions, certificates and papers, other |
| 24 | petitions, accounts, contracts, reports and other documents and |
| 25 | records in its custody shall be open to public inspection, and |
| 26 | may be inspected and copied by any qualified elector of the |
| 27 | State during ordinary business hours at any time when they are |
| 28 | not necessarily being used by the State board, or its Executive |
| 29 | Director or one of his authorized employes, and shall be subject |
| 30 | to proper regulation for safekeeping of the records and |
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| 1 | documents, and subject to the further provisions of this act. |
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| 2 | Section 210. Preservation of RecordsAll documents and |
| 3 | records in the office of the State board of elections shall be |
| 4 | preserved therein for a period of five years, unless otherwise |
| 5 | provided in this act. |
| б | Section 211. State Board of Elections; Enforcement Powers |
| 7 | (a) The State board of elections shall have jurisdiction of, |
| 8 | and be responsible for, the execution and enforcement of the |
| 9 | provisions of Articles XVI and XVI-A of this act and other |
| 10 | statutes governing campaigns, elections and related procedures. |
| 11 | (b) Whenever the State board of elections or other board of |
| 12 | elections shall determine, on its own initiative or upon |
| 13 | complaint, or otherwise, that there is substantial reason to |
| 14 | believe a violation of this act or regulation promulgated |
| 15 | thereunder has occurred, it shall expeditiously make an |
| 16 | investigation which may include investigation of reports and |
| 17 | statements made or failed to be made by the complainant and any |
| 18 | political committee supporting his candidacy if the complainant |
| 19 | is a candidate or, if the complaint was made by an officer or |
| 20 | member of a political committee, of reports and statements made |
| 21 | or failed to be made by such political committee and any |
| 22 | candidates supported by it. A county board of elections shall |
| 23 | have jurisdiction over campaign expense reports or statements |
| 24 | filed in its office; Provided however, That the State board of |
| 25 | elections, in lieu of making such an investigation, may direct |
| 26 | the appropriate county board of elections to conduct an |
| 27 | investigation. The State board of elections may request, and |
| 28 | shall receive, the assistance of the Pennsylvania State Police |
| 29 | in any investigation it shall conduct. |
| 30 | (c) If, after an investigation, the State board of elections |
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| 1 | or county board of elections finds reasonable grounds to believe |
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| 2 | that a violation warranting criminal prosecution has taken |
| 3 | place, it shall forthwith refer the matter to the proper law |
| 4 | enforcement officer in accordance with section 1642 (a) and (b) |
| 5 | and shall make available to such law enforcement officer all |
| 6 | relevant papers, documents, testimony and findings relevant to |
| 7 | its investigation. In the case where the State board has |
| 8 | directed the county board to conduct an investigation, the |
| 9 | county board shall report their findings to the State board who |
| 10 | may refer the matter in accordance with this subsection. |
| 11 | (d) The State board of elections or in the case of reports |
| 12 | filed originally at the county, the county board of elections |
| 13 | may, where appropriate, commence a judicial proceeding with |
| 14 | respect to the filing or failure to file any statement of |
| 15 | receipts, expenditures or contributions, under the provisions of |
| 16 | this act. The State board of elections may direct the |
| 17 | appropriate board of elections to commence the proceeding. |
| 18 | (e) The State board of elections may promulgate rules and |
| 19 | regulations consistent with law to effectuate the provisions of |
| 20 | this section. |
| 21 | Section 212. Fair Campaign Code(a) In addition to the |
| 22 | powers and duties elsewhere enumerated in this act, the State |
| 23 | board of elections, after holding public hearings, shall adopt a |
| 24 | "fair campaign code" setting forth ethical standards of conduct |
| 25 | for persons, political parties and committees engaged in |
| 26 | election campaigns. |
| 27 | (b) Copies of the code shall be provided to each candidate, |
| 28 | political party or political committee, no later than March 31 |
| 29 | of each year, upon request, by the board of elections with which |
| 30 | the candidate, political party or political committee must file |
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| 1 | campaign expense reports pursuant to Article XVI of this act. |
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| 2 | (c) All candidates who voluntarily agree to adhere to the |
| 3 | code shall file a signed copy of the code with the State board |
| 4 | of elections or county board of elections as the case may be. |
| 5 | Section 213. Powers and Duties of State Board of Elections |
| 6 | Respecting Elections and Crimes Against Elective Franchise |
| 7 | Authority is hereby conferred upon the State board of elections |
| 8 | to appoint a special investigator to institute investigation of |
| 9 | cases arising under this act, and to appoint such additional |
| 10 | special investigators and employes as it may deem necessary, and |
| 11 | fix their compensation, within the limits of appropriation |
| 12 | available therefor and assign enforcing this act. Moneys |
| 13 | appropriated for carrying out the provisions of this section |
| 14 | shall be paid out of the State Treasury upon certification of |
| 15 | the State board. |
| 16 | The State board or any of its special investigators shall |
| 17 | <u>have power to issue subpoenas or subpoenas duces tecum,</u> |
| 18 | administer oaths and examine witnesses under oath, for the |
| 19 | purpose of investigating any matter within the jurisdiction |
| 20 | herein prescribed for the purpose of aiding the State board in |
| 21 | enforcing the provisions of this act. Such subpoenas shall be |
| 22 | issued in the name of the State board of elections. Such |
| 23 | subpoenas may be served by any special investigator or by any |
| 24 | police officer or peace officer. |
| 25 | Any person who shall omit, neglect or refuse to obey a |
| 26 | subpoena attested in the name of the State board of elections or |
| 27 | who shall refuse to testify under or in pursuance thereof shall |
| 28 | be forwarded to the court for contempt proceedings. |
| 29 | Any special investigator may call upon any member of the |
| 30 | police, sheriff, deputy sheriff, constable or other public |
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officer, or any person, to assist him in carrying out the 1 provisions of this section. Any officer or person who shall fail 2 3 to render the assistance so demanded or who shall willfully 4 hinder or delay such special investigator in the exercise of any 5 power or the performance of any duty shall be quilty of a misdemeanor of the third degree. 6 7 Section 8. Section 1220(d) is amended to read: 8 Section 1220. Regulations in Force at Polling Places. --* * * 9 (d) All persons, except election officers, clerks, machine 10 inspectors, overseers, watchers, persons in the course of 11 voting, persons lawfully giving assistance to voters, investigators or other representatives or members of the county 12 13 or State board of elections, and peace and police officers, when 14 permitted by the provisions of this act, must remain at least 15 ten (10) feet distant from the polling place during the progress 16 of the voting. * * * 17 18 Section 9. Section 1621(d), (e) and (l) of the act, added October 4, 1978 (P.L.893, No.171) and July 21, 1979 (P.L.189, 19 20 No.63), are amended and the section is amended by adding clauses 21 to read: 22 Section 1621. Definitions. -- As used in this article, the 23 following words have the following meanings: * * * 24 25 (d) The word "expenditure" shall mean: 26 The payment, distribution, loan or advancement of money (1)27 or any valuable thing by a candidate, political committee or other person for the purpose of influencing the outcome of an 28 election; Provided, however, That such payment, distribution, 29 30 loan or advancement of money or any valuable thing must be made 20070H1721B2280 - 20 -

only for legitimate and verifiable campaign expenses and not for 1 any inherently personal purpose. "Inherently personal purpose" 2 3 means a purpose that, by its nature, confers a personal benefit, including a home mortgage, rent, utility payment, clothing 4 5 purchase, noncampaign automobile expense, country club membership, vacation or a trip of a noncampaign nature, 6 household food items, tuition payments, admission to a sporting 7 event, concert, theater or other form of entertainment. 8 9 (2) The payment, distribution, loan, advance or transfer of 10 money or other valuable thing between or among political 11 committees; 12 (3) The providing of a service or other valuable thing for 13 the purpose of influencing the outcome of a nomination or 14 election of any person to any public office to be voted for in this Commonwealth; or 15 16 (4) The payment or providing of money or other valuable 17 thing by any person other than a candidate or political 18 committee, to compensate any person for services rendered to a 19 candidate or political committee. 20 (5) The term "expenditure" shall not include campaign expenditures made by a candidate for which the candidate is 21 reimbursed by his or her political committee within the 22 23 reporting period the expenditure was made. Such expenditure made

24 by the candidate is subject, however, to the requirements of 25 section 1626(c).

(e) [The words "independent expenditure" shall mean an expenditure by a person made for the purpose of influencing an election without cooperation or consultation with any candidate or any political committee authorized by that candidate and which is not made in concert with or at the request or 20070H1721B2280 - 21 -

suggestion of any candidate or political committee or agent 1 thereof.] 2 3 The words "independent expenditure" shall mean an expenditure 4 by a person for a communication expressly advocating the election or defeat of a clearly identified candidate which is 5 not made with the cooperation or prior consent of, in 6 7 consultation or concert with or at the request or suggestion of 8 a candidate or any agent or authorized committee of the 9 candidate. Mere knowledge alone of the occurrence of an 10 expenditure shall not preclude it from being an independent 11 expenditure. For purposes of this definition: 12 (1) The word "agent" shall mean any person who has actual 13 oral or written authority, either express or implied, to make or to authorize the making of expenditures on behalf of a 14 15 candidate, or shall mean any person who has been placed in a 16 position within the campaign organization where it would reasonably appear that in the ordinary course of campaign-17 18 related activities he may authorize expenditures. (2) The words "clearly identified candidate" shall mean that 19 20 the name of the candidate appears, a photograph or drawing of 21 the candidate appears or the identity of the candidate is 22 otherwise apparent by unambiguous reference. 23 (3) The words "expressly advocating" shall mean any 24 communication that advocates the election or defeat of a 25 candidate by: 26 (i) containing the name of the candidate, a picture of the 27 candidate or expressions such as "vote for", "elect", "support", 28 "vote against", "defeat" or "reject" or a campaign slogan or 29 words that in context can have no reasonable meaning other than to advocate the election or defeat of one or more clearly 30

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| 1 | identified candidates; |
|-----|---|
| 2 | (ii) referring to one or more clearly identified candidates |
| 3 | in a paid advertisement that is transmitted through radio or |
| 4 | television within 60 calendar days preceding the date of an |
| 5 | election of the candidate; or |
| б | (iii) expressing unmistakable and unambiguous support for or |
| 7 | opposition to one or more clearly identified candidates when |
| 8 | taken as a whole and with limited reference to external events |
| 9 | such as the proximity to an election. |
| 10 | The word "expressly advocating" does not include the publication |
| 11 | or distribution of a communication that: |
| 12 | (A) Presents information in an educational manner solely |
| 13 | about the voting record or position on a campaign issue of two |
| 14 | (2) or more candidates. |
| 15 | (B) Is not made in coordination with a candidate, political |
| 16 | party or agent of the candidate or party, or a candidate's agent |
| 17 | or a person who is coordinating with a candidate or a |
| 18 | candidate's agent. |
| 19 | (C) Does not contain a phrase such as "vote for," "reelect," |
| 20 | <u>"support," "vote against," "defeat," or "reject" or other slogan</u> |
| 21 | or words along with the name or picture of the candidate that in |
| 22 | context can have no reasonable meaning other than to urge the |
| 23 | election or defeat of one or more clearly identified candidates. |
| 24 | (4) The words "made with the cooperation or prior consent |
| 25 | of, in consultation or concert with or at the request or |
| 26 | suggestion of a candidate or any agent or authorized committee |
| 27 | of the candidate" shall mean any arrangement, coordination or |
| 28 | direction by the candidate or his agent prior to the |
| 29 | publication, distribution, display or broadcast of the |
| 30 | communication. An expenditure shall be presumed to be so made |
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1 when it is:

(i) Based on information about the candidate's plans, 2 3 projects or needs provided to the expending person by the candidate or by the candidate's agents, with a view toward 4 5 having an expenditure made. (ii) Made by or through any person who is or has been 6 authorized to raise or expend funds, who is or has been an 7 8 officer of an authorized committee, including a political party 9 committee, or who is or has been receiving any form of compensation from the candidate, the candidate's committee or 10 11 agent. * * * 12 13 (1) The words "Political Action Committee" shall mean any political committee as defined in subsection (h) which receives 14 15 contributions and makes expenditures to, or on behalf of, any candidate other than a candidate's own authorized political 16 17 committees or the political committees of any State, county, 18 city, borough, township, ward or other regularly constituted 19 party committee of any political party or political body. A 20 political action committee which is established, maintained or controlled by a sponsoring organization such as a corporation, 21 22 labor organization, membership association or trade association 23 shall include in its registered name the full name of its sponsoring organization. 24 25 * * * (n) The words "affiliate" or "affiliated committee" shall 26 27 include: 28 (1) Any committee established or authorized by a candidate as part of his or her campaign for the same election for office. 29 30 (2) Any committee established, financed, maintained or

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| T | controlled by the same corporation, labor organization, person |
|-----|---|
| 2 | or group of persons, including any parent, subsidiary, branch, |
| 3 | division, department or local unit thereof. Local units may |
| 4 | <u>include, in appropriate cases, a franchisee, licensee or</u> |
| 5 | regional association. |
| 6 | (o) The words "in-kind contribution" shall mean a |
| 7 | contribution of goods, services, property or any valuable thing |
| 8 | offered free or at less than the usual and normal charge for |
| 9 | such goods or services, but shall not include any legal or |
| 10 | accounting services rendered to or on behalf of any political |
| 11 | committee of a political party, an authorized committee of a |
| 12 | candidate or any other political committee, if such services are |
| 13 | solely for the purpose of ensuring compliance with this article. |
| 14 | Such legal or accounting services, however, shall be reported |
| 15 | pursuant to section 1631. |
| 16 | Section 10. Section 1622(b) of the act, added October 4, |
| 17 | 1978 (P.L.893, No.171), is amended to read: |
| 18 | Section 1622. Organization of Political Committees; |
| 19 | Treasurer and Assistant Treasurer; Records of Candidate and |
| 20 | Committees |
| 21 | * * * |
| 22 | (b) Every candidate [who authorizes a committee or |
| 23 | committees,] for public office must authorize a political |
| 24 | committee to receive and disburse funds on behalf of this |
| 25 | candidacy, <u>and</u> shall name a sole treasurer[, irrespective of the |
| 26 | number of committees so authorized,] to receive and disburse all |
| 27 | funds for said [committees.] <u>committee. No more than one such</u> |
| 28 | committee shall be formed per office sought. Nothing herein |
| 29 | shall be construed to prohibit a candidate from receiving or |
| 30 | expending moneys on his behalf or a treasurer of a political |
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1 controlled by the same corporation, labor organization, person

party committee or a committee authorized to receive and 1 distribute funds on behalf of more than one (1) candidate from 2 receiving or expending moneys on behalf of said candidates, 3 4 notwithstanding the appointment of a sole treasurer. A sole 5 treasurer may delegate authority, in writing, to any number of assistant treasurers to receive and disburse moneys collected on 6 behalf of a candidate for election. Nothing in this section 7 shall prohibit authorized individuals from selling tickets or 8 9 soliciting funds when funds are deposited in the campaign 10 account of the candidate.

11 * * *

Section 11. Section 1626(a), (b), (d), (e) and (g) of the act, amended or added October 4, 1978 (P.L.893, No.171), July 14 11, 1980 (P.L.600, No.128) and July 10, 1981 (P.L.256, No.84), are amended and the section is amended by adding a subsection to read:

Section 1626. Reporting by Candidate and PoliticalCommittees and other Persons.--

19 (a) Each treasurer of a political committee and each 20 candidate for election to public office shall file with the 21 appropriate supervisor reports of receipts and expenditures on 22 forms, designed by the [Secretary of the Commonwealth] State board of elections, if the amount received or expended or 23 24 liabilities incurred shall exceed the sum of two hundred fifty 25 dollars (\$250). Should such an amount not exceed two hundred 26 fifty dollars (\$250), then the candidate or, in the case of a 27 political committee, the treasurer of the committee shall file a 28 sworn statement to that effect with the appropriate supervisor 29 rather than the report required by this section[.]: Provided, 30 however, That if the amount received or expended by a candidate 20070H1721B2280 - 26 -

1 does not exceed two hundred fifty dollars (\$250) he or she may 2 comply with this section by signing an affidavit to that effect 3 on his/her political committee's report or statement.

4 Each report shall include the following information: (b) 5 (1)The full name, mailing address, specific occupation and specific name of the employer, if any, or the principal place of 6 business, if self-employed, of each person who has made one or 7 more contributions to or for such committee or candidate within 8 9 the reporting period in an aggregate amount or value in excess 10 of [two hundred fifty dollars (\$250)] one hundred dollars 11 (\$100), together with the amount and date of such contributions. The accuracy of the information furnished to the candidate or 12 13 committee shall be the responsibility of the contributor.

14 (2) The full name and mailing address of each person [who] 15 and political committee that has made one or more contributions 16 to or for such committee or candidate within the reporting 17 period in an aggregate amount or value in excess of fifty 18 dollars (\$50), together with the amount and date of such 19 contributions. The accuracy of the information furnished by the 20 contributor shall be the responsibility of the contributor.

(3) The total sum of individual contributions made to or for such committee or candidate during the reporting period and not reported under clauses (1) and (2): Provided, however, That when individual contributions under fifty dollars (\$50) made to one single fundraising event in which the total sum raised was two thousand five hundred dollars (\$2,500) or more the report must list the names and addresses of all contributors to that

28 <u>fundraiser</u>.

29 (4) Each and every expenditure, the date made, the full name 30 and address of the person to whom made and the purpose for which 20070H1721B2280 - 27 - 1 such expenditure was made.

2 (5) Any unpaid debts and liabilities, with the nature and
3 amount of each, the date incurred and the full name and address
4 of the person owed.

5 (6) The account shall include any unexpended balance of
6 contributions or other receipts appearing from the last account
7 filed.

8 * * *

9 (d) [Pre-election reports] <u>Reports</u> by candidates for <u>all</u> 10 <u>public</u> offices to be voted for [by the electors of the State at 11 large] and all political committees, which have expended money for the purpose of influencing the election of such candidate, 12 13 shall be filed not later than the sixth Tuesday before and the 14 second Friday before an election, provided that the initial pre-15 election report [of each month and] shall be complete as of 16 fifty (50) days prior to the election and the subsequent pre-17 election report shall be complete as of fifteen (15) days prior 18 to the election. [Pre-election reports by all other candidates and political committees which have received contributions or 19 20 made expenditures for the purpose of influencing an election 21 shall be filed not later than the second Friday before an 22 election, provided that such report be complete as of fifteen 23 (15) days prior to the election.]

(e) All candidates or political committees, required to file 24 25 under this section, shall also file [a] an initial post-election 26 report not later than thirty (30) days after an election which 27 shall be complete as of twenty (20) days after the election[.] 28 and a subsequent post-election report on January 31 of the year 29 after the election which shall be complete as of December 31 of 30 the prior year. Candidates defeated in the primary election must 20070H1721B2280 - 28 -

file the initial post-election report by the deadline specified 1 in this subsection and continue to file reports in accordance 2 3 with section 1627. In the case of a special election the initial 4 post-election report shall be complete as of ten (10) days after 5 such special election.

6

7 Every person, other than a political committee or [(q) candidate, who makes independent expenditures expressly 8 advocating the election or defeat of a clearly identified 9 10 candidate, or question appearing on the ballot, other than by 11 contribution to a political committee or candidate, in an aggregate amount in excess of one hundred dollars (\$100) during 12 13 a calendar year shall file with the appropriate supervisor, on a 14 form prepared by the Secretary of the Commonwealth, a report 15 which shall include the same information required of a candidate 16 or political committee receiving such a contribution and, 17 additionally, the name of the candidate or question supported or 18 opposed. Reports required by this subsection shall be filed on 19 dates on which reports by political committees making 20 expenditures are required to report under this section.] 21 * * *

22 (k) All reports filed with the State board of elections 23 shall be filed via electronic media in the manner prescribed by the State board. All such reports shall be accompanied by the 24 affidavit prescribed by section 1629 of this act. Any candidate 25 26 or political committee not able to file the report or statement 27 required by this section using electronic media shall request an 28 exemption from the State board of elections. The candidate or political committee upon approval of the State board of 29 elections shall file reports and statements on forms developed 30 20070H1721B2280

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1 by the State board.

2 Section 12. Section 1627 of the act, amended July 11, 1980
3 (P.L.591, No.127) and July 11, 1980 (P.L.625, No.129), is
4 amended to read:

5 Section 1627. [Annual Reports] <u>Quarterly Reports;</u>
6 <u>Termination of Committees.--</u>

7 All political committees and candidates, [including (a) those committees and candidates] not filing reports under 8 section 1626 (d) and (e), shall file [a report on January 31 of 9 10 each year which shall be complete as of December 31 of the prior 11 year. Such reports shall be filed annually at this time until there is no balance or debt in the report of the candidate or 12 13 political committee. Such reports shall be cumulative. However, 14 if there has been no change in the account, then the candidate 15 or political committee shall file a statement to that effect 16 with the appropriate supervisor. Each form designated by the 17 Secretary of the Commonwealth for filing a report or statement 18 required by section 1626(e) shall contain a block which may be 19 marked by the candidate or political committee designating it a 20 termination report or statement. If such report or statement is 21 so designated, or if an authorized candidate elects to file no 22 report or statement pursuant to section 1626.1, no annual report 23 need be filed under this section unless contributions were 24 received or expenditures made subsequent to the time period for 25 filing of such termination report. However, no candidate or 26 political committee may terminate by way of a statement where 27 the unpaid balance indicated in the previous report was greater 28 than two hundred fifty dollars (\$250). In the case of annual 29 reports said report shall cover the campaign activity of a 30 candidate or political committee from the last prior report or 20070H1721B2280 - 30 -

statement.] quarterly reports. Such reports shall be filed on 1 the fifteenth day following the last day of the third, sixth, 2 3 ninth and twelfth month of each year and shall be complete as of 4 the end of such months. Reports must be filed until such time that there is no balance or debt in the report of the candidate 5 or political committee. Such reports shall be cumulative. A 6 report must be filed even if there was no change in the account 7 8 since the last filing. Each form designated by the State board of elections for filing a report required by section 1626(e) 9 10 shall contain a block which may be marked by the candidate or political committee designating it a termination report or 11 12 statement. No candidate or political committee may terminate unless such candidate or committee has a zero balance. No 13 14 candidate or committee may terminate by way of a statement. In 15 the case of quarterly reports, said report shall cover the 16 campaign activity of a candidate or political committee from the 17 last prior report or statement. Once terminated, a political 18 committee must comply with sections 1623 and 1624 before receiving contributions or making expenditures. 19 [(b) Any political committee required to be registered under 20 21 this act and not reporting under section 1626 shall file an 22 annual report under this section. However, if a political 23 committee makes aggregate expenditures as defined in section

24 1621 in an amount less than two hundred fifty dollars (\$250) or 25 incurs aggregate debt in an amount less than two hundred fifty 26 dollars (\$250) during the calendar year to influence an 27 election, it need not file an annual report; provided that this 28 exception shall not be applicable to a candidate's political 29 committee or to a State or county committee of a political party 30 or political body or to a political action committee of a 20070H1721B2280 - 31 -

1 corporation or unincorporated association.]

2 Section 13. Section 1628 of the act, amended February 13,
3 1998 (P.L.72, No.18), is amended to read:

4 Section 1628. Late Contributions [and Independent 5 Expenditures].--Any candidate or political committee, authorized by a candidate and created solely for the purpose of influencing 6 an election on behalf of that candidate, which receives any 7 contribution or pledge of five hundred dollars (\$500) or more[, 8 9 and any person making an independent expenditure, as defined by 10 this act, of five hundred dollars (\$500) or more] after the 11 final pre-election report has been deemed completed shall report such contribution[, pledge or expenditure] or pledge to the 12 13 appropriate supervisor. Such report shall be sent by the 14 candidate, chairman or treasurer of the political committee 15 within twenty-four (24) hours of receipt of the contribution. 16 [It shall be the duty of the supervisor to confirm the substance 17 of such report.] The report shall be made by telegram, mailgram, 18 overnight mail [or], facsimile or other electronic transmission. Any candidate in his own behalf, or chairman, treasurer or 19 20 candidate [in] on behalf of the political committee or the 21 candidate's campaign committee may also comply with this section 22 by appearing personally before such supervisor and reporting 23 such late contributions or pledges.

Section 14. The act is amended by adding a section to read:
<u>Section 1628.1. Independent Expenditures.--</u>

26 (a) An expenditure not defined under section 1621 as an
27 independent expenditure shall be an in-kind contribution to the
28 candidate and an expenditure by the candidate, unless otherwise
29 exempted.

30(b) The financing of the dissemination, distribution or20070H1721B2280- 32 -

| 1 | republication, in whole or in part, of any broadcast or any |
|-----|---|
| 2 | written, graphic or other form of campaign materials prepared by |
| 3 | the candidate, his campaign committees or their authorized |
| 4 | agents shall be considered a contribution for the purpose of |
| 5 | <u>contribution limitations and reporting responsibilities by the</u> |
| 6 | person making the expenditure but shall not be considered an |
| 7 | expenditure by the candidate or his authorized committees unless |
| 8 | made with the cooperation or with the prior consent of, or in |
| | |
| 9 | consultation with, or at the request or suggestion of, a |
| 10 | candidate or any authorized agent or committee thereof. |
| 11 | (c) Every person who makes an independent expenditure |
| 12 | aggregating in excess of two hundred fifty dollars (\$250) during |
| 13 | a calendar year in any election shall file a signed statement |
| 14 | and a copy of the material paid for with the independent |
| 15 | expenditure in accordance with the provisions of section 1631 |
| 16 | within twenty-four (24) hours of making the expenditure. In |
| 17 | addition, the person who makes an independent expenditure shall |
| 18 | send a copy of the material submitted to each candidate for |
| 19 | whose benefit the expenditures were made in that race by |
| 20 | overnight mail, facsimile or courier service. |
| 21 | (d) The signed statement submitted by the person making the |
| 22 | expenditure shall include the following information: |
| 23 | (1) The reporting person's name, mailing address, occupation |
| 24 | and name of employer, if any, or in the case of a separate |
| 25 | segregated committee, the name and address of the connected |
| 26 | organization. |
| 27 | (2) The name and mailing address of the person to whom the |
| 28 | <u>expenditure was made.</u> |
| 29 | (3) The amount, date and purpose of each expenditure. |
| 30 | (4) A statement which indicated whether the expenditure was |
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1 in support of or in opposition to a candidate, together with the candidate's name and office sought. 2 3 (5) A notarized certification under penalty of perjury as to 4 whether the expenditure was made with the cooperation or prior 5 consent of, in consultation or concert with or at the request or suggestion of any candidate or any authorized committee or agent 6 7 of any candidate. 8 (6) The identification of each person who made a 9 contribution in excess of two hundred dollars (\$200) to the 10 person filing the report, which contribution was made for the 11 purpose of furthering the reported independent expenditure. 12 (e) Within twenty-four (24) hours of receipt of the material 13 described in subsection (d), each candidate for whose benefit 14 the expenditure was made shall file a statement under the 15 provisions of section 1631 if either of the following apply: 16 (1) the materials expressly advocate the election of his 17 candidacy; or 18 (2) the materials expressly advocate the defeat of his 19 opponent. 20 (f) The statement submitted by each candidate for whose 21 benefit the expenditure was made shall identify the date of the 22 expenditure as provided for by the expending person and include 23 a notarized certification under penalty of perjury as to whether 24 the expenditure was made in cooperation, consultation or concert 25 with the person making the expenditure or at the request or 26 suggestion of the candidate or any authorized committee or agent 27 of the candidate. The statement shall be signed by each 28 candidate for whose benefit the expenditure was made. (q) The statement submitted by a candidate shall identify 29 30 the date of the expenditure as provided for by the expending

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| 1 | person and include a notarized certification under penalty of |
|------------------------|--|
| | |
| 2 | perjury as to whether the expenditure was made in cooperation, |
| 3 | consultation or concert with the person making the expenditure |
| 4 | or at the request or suggestion of the candidate or any |
| 5 | authorized committee or agent of the candidate. The statement |
| 6 | shall be signed by the candidate. |
| 7 | (h) No expenditure by an authorized committee of a candidate |
| 8 | <u>on behalf of that candidate shall qualify as an independent</u> |
| 9 | expenditure. |
| 10 | (i) (1) Any person who does not report an expenditure of |
| 11 | funds as required under subsection (e) commits a misdemeanor of |
| 12 | the first degree and shall, upon conviction, be subject to a |
| 13 | fine of not more than ten thousand dollars (\$10,000), or to |
| 14 | imprisonment for up to five (5) years, or both. |
| 15 | (2) A person shall not be deemed elected to a public office |
| 16 | under the laws of this Commonwealth, or enter upon the duties |
| 17 | thereof, or receive any salary or emoluments therefrom, if the |
| 18 | affidavit required in subsection (f) has not been submitted as |
| 19 | described. |
| 20 | (3) Any candidate who does not submit the affidavit as |
| 21 | required under subsection (f) commits a misdemeanor of the |
| 22 | second degree and shall, upon conviction, be subject to a fine |
| 23 | of not more than five thousand dollars (\$5,000), or to |
| 24 | imprisonment for up to two (2) years, or both. All actions |
| 25 | pursuant to enforcement of this subsection shall be initiated in |
| 26 | the Commonwealth Court. |
| 27 | Section 15. Section 1632(a) and (c) of the act, amended or |
| 28 | added December 13, 1979 (P.L.551, No.124) and July 11, 1980 |
| 29 | (P.L.591, No.127), are amended to read: |
| 30 | Section 1632. Late Filing Fee; Certificate of Filing |
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1 (a) A late filing fee for each report or statement of expenditures and contributions which is not filed within the 2 3 prescribed period shall be imposed as follows. Such fee shall be 4 [ten dollars (\$10)] twenty dollars (\$20) for each [day] of the 5 first two days or part of a day excluding Saturdays, Sundays and holidays that a report is overdue. An additional fee of [ten 6 dollars (\$10)] one hundred dollars (\$100) is due for each [of 7 8 the first six (6) days] additional day that a report is overdue. 9 The maximum fee payable with respect to a single report [is two 10 hundred fifty dollars (\$250)] shall not exceed the amount of 11 contributions received during the prescribed reporting period or one thousand dollars (\$1,000), whichever is greater. A 12 13 supervisor shall receive an overdue report or statement even if 14 any late filing fee due has not been paid but the report or 15 statement shall not be considered filed until all fees have been 16 paid upon the receipt by the supervisor of an overdue report. No 17 further late filing fees shall be incurred once the report or 18 statement is filed notwithstanding the fact that the report or 19 statement is not considered filed. The late filing fee is the [personal] liability of the candidate or treasurer of a 20 21 political committee [and cannot be paid from contributions to 22 the candidate or committee, nor may such fee be considered an 23 expenditure]. A report or statement of expenditures and contributions shall be deemed to have been filed within the 24 25 prescribed time if the letter transmitting the report or 26 statement which is received by the supervisor is transmitted by 27 first class mail and is postmarked by the United States Postal 28 Service on the day prior to the final day on which the report or statement is to be received: Provided, That this sentence shall 29 30 not be applicable to the reporting requirements contained in 20070H1721B2280 - 36 -

1 section 1628.

2 * * *

3 [(c) No late filing fees shall be imposed under this section 4 for pre-election filings due any primary, special, or municipal 5 election held through November 6, 1979. Late filing fees paid for any primary, special, or municipal election held through 6 7 November 6, 1979 shall be refunded after any candidate or committee in violation has filed the required pre-primary, pre-8 special, pre-municipal, post-primary, post-special, or post-9 10 municipal election report. No late filing fee shall be imposed 11 under this section, for the required post-primary election report for the primary election held May 15, 1979 where such 12 13 post-primary election report is filed on or before July 16, 14 1979. No late filing fee shall be imposed under this section for 15 the required pre-election or post-election report for the municipal election held November 6, 1979 where such report is 16 17 filed on or before February 15, 1980: Provided, however, That no 18 one shall be issued a commission or take the oath of office until all reports required on account of his or her candidacy 19 20 shall be filed. Any pre-election or post-election late filing fees, collected for primary, special, or municipal elections 21 22 held on or before November 6, 1979, shall be refunded within 23 thirty (30) days.]

24 Section 16. Section 1633(a) of the act, amended November 26, 25 1978 (P.L.1313, No.318), is amended to read:

Section 1633. Contributions or Expenditures by National
Banks, Corporations or Unincorporated Associations.--

(a) It is unlawful for any National or State bank,
 <u>partnership</u> or any corporation, incorporated under the laws of
 this or any other state or any foreign country or any
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unincorporated association, except those corporations formed 1 2 primarily for political purposes or as a political committee, to 3 make a contribution or expenditure in connection with the 4 election of any candidate or for any political purpose whatever 5 except in connection with any question to be voted on by the electors of this Commonwealth. Furthermore, it shall be unlawful 6 for any candidate, political committee, or other person to 7 knowingly accept or receive any contribution prohibited by this 8 9 section, or for any officer or any director of any corporation, 10 bank, or any unincorporated association to consent to any 11 contribution or expenditure by the corporation, bank or unincorporated association, as the case may be, prohibited by 12 this section. 13

14 * * *

Section 17. Section 1635 of the act, amended or added October 4, 1978 (P.L.893, No.171) and July 11, 1980 (P.L.591, No.127), is amended to read:

18 Section 1635. Independent Audit.--

19 (a) [Every two (2) years, the Secretary of the Commonwealth 20 shall contract for the services of a certified public accountant 21 or certified public accounting firm. Such contract shall be 22 awarded on a bid basis and no certified public accountant or certified public accounting firm shall be eligible to obtain 23 24 such a contract for two (2) successive contract periods.] The 25 State board of elections shall employ as many auditors as are 26 necessary to perform auditing functions required by this act. 27 (b) The [Secretary of the Commonwealth] State board of elections shall select by lottery, at a public drawing, forty 28

29 (40) days after each primary, general and municipal election 30 [three (3)] ten (10) per cent of all public offices for which 20070H1721B2280 - 38 -

candidates must file nominating petitions or papers with the 1 2 [Secretary of the Commonwealth] State board of elections. For 3 the purpose of this subsection, a legislative or senatorial 4 district shall be considered a public office. Any public office 5 filled at a special election occurring other than at a primary, general or municipal election shall be placed in the lottery of 6 7 public offices for audit at the next succeeding primary, general or municipal election whichever occurs first. Any public office 8 9 filled at a special election held at the same time as any other 10 election shall be included in the lottery for that election.

11 (c) The [certified public accountant] <u>State board</u> shall 12 audit the reports of all candidates for each public office 13 selected in accordance with subsection (b) and those committees, 14 authorized and created solely for the purposes of influencing an 15 election on behalf of those candidates.

(d) The [accountants] <u>State board</u> shall conduct [their] <u>its</u> audit in accord with sound accounting principles and shall make findings of any possible violations of this act with respect to campaign contributions or expenses. All audited candidates and their committees shall furnish any records to the [accountants] <u>State board</u> which the [accountants deem] <u>State board deems</u> necessary for the completion of their work.

23 (e) The [accountant shall report his or her findings to the 24 Secretary of the Commonwealth who shall make public the report 25 of the accountants.] State board shall make public the report of 26 its findings. The results of the primary election audit shall 27 not be released to the public until after the general or municipal election. Nothing in this subsection shall be 28 construed to prohibit the initiation of prosecution for criminal 29 violations by the appropriate agencies. 30

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1 (f) The [accountants] <u>State board</u> shall also furnish a 2 report of [their] <u>its</u> findings to the Attorney General for the 3 institution of such criminal proceedings as he or she shall deem 4 necessary.

5 Section 18. Sections 1639(6), (7), (8), (9) and (10) and 6 1640 of the act, added October 4, 1978 (P.L.893, No.171), are 7 amended to read:

8 Section 1639. Powers and Duties of the Supervisor.--It shall9 be the duty of the supervisor to:

10 * * *

[(6) Make from time to time inquiries and filed investigations with respect to reports and statements filed under the provisions of this article and with respect to alleged failures to file any report or statement required under provisions of this article.

16 (7) Report apparent violations of this article to the 17 appropriate law enforcement authorities.

(8)] (6) Collect any fines relating to the filing of late
reports and transmit all such fines collected to the appropriate
fiscal officer of the receiving supervisor.

21 [(9)] (7) Inform each candidate or committee which has 22 failed to file of that fact.

[(10)] (8) Publish a list of all those candidates and their committees who have failed to file reports as required by this act within six (6) days of their failure to comply.

26 Section 1640. Additional Powers and Duties of the [Secretary 27 of the Commonwealth] <u>State Board of Elections</u>.--The [Secretary 28 of the Commonwealth] <u>State board of elections</u> shall have the 29 following additional powers and duties:

30 [(1) To serve as the State clearing house for information 20070H1721B2280 - 40 - 1 concerning the administration of this act.

2 (2) To prescribe suitable rules and regulations to carry out3 the provisions of this act.

4 (3)] (1) To develop the prescribed forms required by the 5 provisions of this article for the making of the reports and 6 statements required to be filed with the supervisor.

7 [(4)] (2) To prepare a manual setting forth recommended 8 uniform methods of bookkeeping and reporting which shall be 9 furnished by the supervisor to the person required to file such 10 reports and statements as required by this article.

11 [(5)] (3) To examine the contributions to State legislative 12 and Statewide candidates and publish a list of all those 13 political committees who have contributed to candidates and who 14 have failed to file reports as required by this act within six 15 (6) days of their failure to comply.

16 (4) The State board shall develop a computer data base and 17 electronic reporting system that shall contain all information 18 necessary for the proper administration of this act, including information on contributions and expenditures by candidates and 19 20 their authorized committees and distribution of moneys, and 21 including direct access through personal computer and the 22 Internet. 23 (5) The State board shall make the electronic reporting

25 required to file reports and statements in accordance with this

process available to any such candidate or committee which is

26 act on self-executing computer diskettes; and make available

27 materials to facilitate the task of compliance with the

24

28 disclosure and recordkeeping requirements of this article.

29 (6) The State board shall cause all information contained in
30 such a statement filed with the State board which is not on such
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1 electronic reporting system to be entered into such system as soon as practicable but in no event later than four business 2 3 days after its receipt by the State board. 4 (7) (i) A list of campaign contributions and expenditures 5 and reports must be made available for public inspection at the office of the supervisor no later than four business days after 6 7 receipt. 8 (ii) The State board shall not require the viewer to provide 9 any information or identification as a condition to view the 10 computer data base. 11 (iii) The State board shall ensure that the documents and 12 reports are available for copying or purchase at a reasonable 13 cost, not to exceed the actual costs to the State board. 14 Section 19. Section 1641 of the act, amended July 12, 1980 (P.L.649, No.134), is amended to read: 15 16 Section 1641. Reports by Business Entities; Publication by 17 [Secretary of the Commonwealth] State Board of Elections .--18 (a) Any business entity including but not limited to a 19 corporation, company, association, partnership or sole 20 proprietorship, which has been awarded non-bid contracts of any 21 value or contracts over \$50,000 from the Commonwealth or its 22 political subdivisions during the preceding calendar year, any 23 business entity or person applying for or receiving a permit to 24 operate a landfill from the Department of Environmental Protection shall report by February 15 of each year to the 25 26 [Secretary of the Commonwealth] State board of elections an 27 itemized list of all political contributions known to the 28 business entity by virtue of the knowledge possessed by every officer, director, associate, partner, limited partner or 29 30 individual owner that has been made by: 20070H1721B2280 - 42 -

(1) any officer, director, associate, partner, limited
 partner, individual owner or members of their immediate family
 when the contributions exceed an aggregate of [one thousand
 dollars (\$1,000)] five thousand dollars (\$5,000) by any
 individual during the preceding year; or

6 (2) any employe or members of his immediate family whose
7 political [contribution] <u>contributions</u> exceeded [one thousand
8 dollars (\$1,000)] <u>five thousand dollars (\$5,000)</u> during the
9 preceding year.

10 For the purposes of this subsection, "immediate family" means a 11 person's spouse and any unemancipated child.

12 (b) It shall be the duty of the [Secretary of the 13 Commonwealth] State board of elections to publish sixty (60) 14 days after February 15 of each year a complete itemized list of 15 all contributions given under the provisions of subsection (a). 16 This list shall be a matter of public record open to public 17 inspection and copies made available at cost to any individual 18 who requests them. The State board shall also make such data available on electronic media and the Internet within the 19 20 deadline specified in this subsection.

(c) The Department of General Services shall provide
 information regarding the requirements of this section to all
 corporations, companies, associations, partnerships or sole
 proprietorships receiving contracts from the Commonwealth prior
 to the finalization of such contracts.

(d) The Department of General Services shall provide a list
 of all corporations, companies, associations, partnerships or
 sole proprietorships receiving nonbid contracts and contracts in
 excess of fifty thousand dollars (\$50,000) from the Commonwealth
 and the Department of Environmental Protection shall provide a
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list of any person of business entity applying for or receiving 1 a landfill permit to the State board of elections no later than 2 3 January 31 of each year for the preceding calendar year. 4 (e) The State board of elections shall compare all data 5 received pursuant to this section and campaign expense reports filed for the same period to determine that all filing 6 requirements of this act have been met. Results of this 7 8 comparison shall be made available at its office and on the 9 Internet within 60 days of February 15 of each year. Section 20. The sum of \$3,250,000 is hereby appropriated to 10 11 the Pennsylvania State Board of Elections for Fiscal Year 2007-2008 and for every fiscal year thereafter subject to the 12 provisions of this section. Such appropriation cannot be reduced 13 14 but may be increased. The appropriation amount contained in this 15 section shall be adjusted annually at a rate equal to the 16 average percentage change in the All-Urban Consumer Price Index for the Pittsburgh, Philadelphia and Scranton standard 17 18 metropolitan statistical areas as published by the Bureau of 19 Labor Statistics of the United States Department of Labor, or 20 any successor agency, occurring in the prior calendar year. The 21 base year shall be 2005. The average shall be calculated and 22 certified annually by the Pennsylvania State Board of Elections 23 by adding the percentage increase in each of the three areas and 24 dividing by three.

Section 21. All allocations, appropriations, equipment, files, records and other material which are used, employed or expended by the Department of State, in connection with the conduct of elections, are hereby transferred to the Pennsylvania State board of elections. Any personnel employed by the Department of State in connection with its powers, duties or 20070H1721B2280 - 44 - functions relating to elections may be transferred to the
 Pennsylvania State Board of Elections.

3 Section 22. Any act or any part of an act which imposes 4 duties on the Secretary of the Commonwealth or the Department of 5 State insofar as it relates to the conduct of any election is 6 repealed insofar as such act is inconsistent with the provisions 7 of this act.

8 Section 23. The provisions of this act are severable. If any 9 provision of this act or its application to any person or 10 circumstance is held invalid, the invalidity shall not affect 11 other provisions or applications of this act which can be given 12 effect without the invalid provision or application.

13 Section 24. This act shall take effect as follows:

14 (1) The addition of section 206 of the act shall take15 effect immediately.

16 (2) The remainder of this act shall take effect in 12017 days.