## THE GENERAL ASSEMBLY OF PENNSYLVANIA

## SENATE BILL

No. 391

Session of 2001

INTRODUCED BY GREENLEAF, COSTA, LEMMOND, EARLL, GERLACH, O'PAKE, HOLL, KITCHEN, SCHWARTZ, STACK, TARTAGLIONE AND THOMPSON, FEBRUARY 8, 2001

AS AMENDED ON THIRD CONSIDERATION, HOUSE OF REPRESENTATIVES, JUNE 11, 2002

## AN ACT

Authorizing the Commonwealth of Pennsylvania to join the Interstate Compact for the Supervision of Adult Offenders; 2 3 providing for the form of the compact; imposing additional powers and duties on the Governor, the Secretary of the 4 5 Commonwealth and the Compact Administrator; and making a 6 repeal. 7 Whereas, The Interstate Compact for the Supervision of Parolees and Probationers was established in 1937 and is the earliest corrections "compact" established among the states and 10 has not been amended since its adoption over 62 years ago; and 11 Whereas, This compact is the only vehicle for the controlled movement of adult parolees and probationers across state lines 12 13 and it currently has jurisdiction over more than a quarter of a million offenders; and 14 15 Whereas, The complexities of the compact have become more 16 difficult to administer and many jurisdictions have expanded 17 supervision expectations to include currently unregulated

practices such as victim input, victim notification requirements

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- 1 and sex offender registration; and
- Whereas, After hearings, national surveys and a detailed
- 3 study by a task force appointed by the National Institute of
- 4 Corrections, the overwhelming recommendation has been to amend
- 5 the document to bring about an effective management capacity
- 6 that addresses public safety concerns and offender
- 7 accountability; and
- 8 Whereas, Upon the adoption of this Interstate Compact for
- 9 Adult Offender Supervision, it is the intention of the
- 10 legislature to repeal the previous Interstate Compact for the
- 11 Supervision of Parolees and Probationers on the effective date
- 12 of this Compact.
- 13 The General Assembly of the Commonwealth of Pennsylvania
- 14 hereby enacts as follows:
- 15 Section 1. Short title.
- 16 This act shall be known and may be cited as the Interstate
- 17 Compact for the Supervision of Adult Offenders Act.
- 18 Section 2. Authority to execute compact.
- 19 The Governor of Pennsylvania, on behalf of this State, is
- 20 hereby authorized to execute a compact in substantially the
- 21 following form with any one or more of the states of the United
- 22 States and the General Assembly hereby signifies in advance its
- 23 approval and ratification of such compact:
- 24 ARTICLE I
- 25 PURPOSE
- 26 The compacting states to this Interstate Compact recognize that
- 27 each state is responsible for the supervision of adult offenders
- 28 in the community who are authorized pursuant to the Bylaws and
- 29 Rules of this compact to travel across state lines both to and
- 30 from each compacting state in such a manner as to track the

- 1 location of offenders, transfer supervision authority in an
- 2 orderly and efficient manner, and when necessary return
- 3 offenders to the originating jurisdictions. The compacting
- 4 states also recognize that Congress, by enacting the Crime
- 5 Control Act, 4 U.S.C. Section 112, has authorized and encouraged
- 6 compacts for cooperative efforts and mutual assistance in the
- 7 prevention of crime. It is the purpose of this compact and the
- 8 Interstate Commission created hereunder, through means of joint
- 9 and cooperative action among the compacting states: to provide
- 10 the framework for the promotion of public safety and protect the
- 11 rights of victims through the control and regulation of the
- 12 interstate movement of offenders in the community; to provide
- 13 for the effective tracking, supervision, and rehabilitation of
- 14 these offenders by the sending and receiving states; and to
- 15 equitably distribute the costs, benefits and obligations of the
- 16 compact among the compacting states. In addition, this compact
- 17 will: create an Interstate Commission which will establish
- 18 uniform procedures to manage the movement between states of
- 19 adults placed under community supervision and released to the
- 20 community under the jurisdiction of courts, paroling
- 21 authorities, corrections or other criminal justice agencies
- 22 which will promulgate rules to achieve the purpose of this
- 23 compact; ensure an opportunity for input and timely notice to
- 24 victims and to jurisdictions where defined offenders are
- 25 authorized to travel or to relocate across state lines;
- 26 establish a system of uniform data collection, access to
- 27 information on active cases by authorized criminal justice
- 28 officials, and regular reporting of Compact activities to heads
- 29 of state councils, state executive, judicial, and legislative
- 30 branches and criminal justice administrators; monitor compliance

- 1 with rules governing interstate movement of offenders and
- 2 initiate interventions to address and correct non-compliance;
- 3 and coordinate training and education regarding regulations of
- 4 interstate movement of offenders for officials involved in such
- 5 activity. The compacting states recognize that there is no
- 6 "right" of any offender to live in another state and that duly
- 7 accredited officers of a sending state may at all times enter a
- 8 receiving state and there apprehend and retake any offender
- 9 under supervision subject to the provisions of this compact and
- 10 Bylaws and Rules promulgated hereunder. It is the policy of the
- 11 compacting states that the activities conducted by the
- 12 Interstate Commission created herein are the formation of public
- 13 policies and are therefore public business.
- 14 ARTICLE II
- 15 DEFINITIONS
- 16 As used in this compact, unless the context clearly requires a
- 17 different construction:
- 18 "Adult" means both individuals legally classified as adults
- 19 and juveniles treated as adults by court order, statute, or
- 20 operation of law.
- 21 "By-laws" means those by-laws established by the Interstate
- 22 Commission for its governance, or for directing or controlling
- 23 the Interstate Commission's actions or conduct.
- "Compact Administrator" means the individual in each
- 25 compacting state appointed pursuant to the terms of this compact
- 26 responsible for the administration and management of the state's
- 27 supervision and transfer of offenders subject to the terms of
- 28 this compact, the rules adopted by the Interstate Commission and
- 29 policies adopted by the State Council under this compact.
- 30 "Compacting state" means any state which has enacted the

- 1 enabling legislation for this compact.
- 2 "Commissioner" means the voting representative of each
- 3 compacting state appointed pursuant to Article III of this
- 4 compact.
- 5 "Interstate Commission" means the Interstate Commission for
- 6 Adult Offender Supervision established by this compact.
- 7 "Member" means the commissioner of a compacting state or
- 8 designee, who shall be a person officially connected with the
- 9 commissioner.
- 10 "Non Compacting state" means any state which has not enacted
- 11 the enabling legislation for this compact.
- 12 "Offender" means an adult placed under, or subject, to
- 13 supervision as the result of the commission of a criminal
- 14 offense and released to the community under the jurisdiction of
- 15 courts, paroling authorities, corrections, or other criminal
- 16 justice agencies.
- 17 "Person" means any individual, corporation, business
- 18 enterprise, or other legal entity, either public or private.
- 19 "Rules" means acts of the Interstate Commission, duly
- 20 promulgated pursuant to Article VIII of this compact,
- 21 substantially affecting interested parties in addition to the
- 22 Interstate Commission, which shall have the force and effect of
- 23 law in the compacting states.
- "State" means a state of the United States, the District of
- 25 Columbia and any other territorial possessions of the United
- 26 States.
- 27 "State Council" means the resident members of the State
- 28 Council for Interstate Adult Offender Supervision created by
- 29 each state under Article III of this compact.
- 30 ARTICLE III

## 1 THE COMPACT COMMISSION

- 2 The compacting states hereby create the "Interstate Commission
- 3 for Adult Offender Supervision. The Interstate Commission shall
- 4 be a body corporate and joint agency of the compacting states.
- 5 The Interstate Commission shall have all the responsibilities,
- 6 powers and duties set forth herein, including the power to sue
- 7 and be sued, and such additional powers as may be conferred upon
- 8 it by subsequent action of the respective legislatures of the
- 9 compacting states in accordance with the terms of this compact.
- 10 The Interstate Commission shall consist of Commissioners
- 11 selected and appointed by resident members of a State Council
- 12 for Interstate Adult Offender Supervision for each state.
- 13 In addition to the Commissioners who are the voting
- 14 representatives of each state, the Interstate Commission shall
- 15 include individuals who are not commissioners but who are
- 16 members of interested organizations; such non-commissioner
- 17 members must include a member of the national organizations of
- 18 governors, legislators, state chief justices, attorneys general
- 19 and crime victims. All non-commissioner members of the
- 20 Interstate Commission shall be ex-officio (nonvoting) members.
- 21 The Interstate Commission may provide in its by-laws for such
- 22 additional, ex-officio, non-voting members as it deems
- 23 necessary.
- 24 Each compacting state represented at any meeting of the
- 25 Interstate Commission is entitled to one vote. A majority of the
- 26 compacting states shall constitute a quorum for the transaction
- 27 of business, unless a larger quorum is required by the by-laws
- 28 of the Interstate Commission.
- 29 The Interstate Commission shall meet at least once each calendar
- 30 year. The chairperson may call additional meetings and, upon the

- 1 request of 27 or more compacting states, shall call additional
- 2 meetings. Public notice shall be given of all meetings and
- 3 meetings shall be open to the public.
- 4 The Interstate Commission shall establish an Executive Committee
- 5 which shall include commission officers, members and others as
- 6 shall be determined by the By-laws. The Executive Committee
- 7 shall have the power to act on behalf of the Interstate
- 8 Commission during periods when the Interstate Commission is not
- 9 in session, with the exception of rulemaking and/or amendment to
- 10 the Compact. The Executive Committee oversees the day-to-day
- 11 activities managed by the Executive Director and Interstate
- 12 Commission staff; administers enforcement and compliance with
- 13 the provisions of the compact, its by-laws and as directed by
- 14 the Interstate Commission and performs other duties as directed
- 15 by Commission or set forth in the By-laws.
- 16 ARTICLE IV
- 17 THE STATE COUNCIL
- 18 Each member state shall create a State Council for Interstate
- 19 Adult Offender Supervision which shall be responsible for the
- 20 appointment of the commissioner who shall serve on the
- 21 Interstate Commission from that state. Each state council shall
- 22 appoint as its commissioner the Compact Administrator from that
- 23 state to serve on the Interstate Commission in such capacity
- 24 under or pursuant to applicable law of the member state. While
- 25 each member state may determine the membership of its own state
- 26 council, its membership must include at least one representative
- 27 from the legislative, judicial, and executive branches of
- 28 government, victims groups and compact administrators. Each
- 29 compacting state retains the right to determine the
- 30 qualifications of the Compact Administrator who shall be

- 1 appointed by the state council or by the Governor in
- 2 consultation with the Legislature and the Judiciary. In addition

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- 3 to appointment of its commissioner to the National Interstate
- 4 Commission, each state council shall exercise oversight and
- 5 advocacy concerning its participation in Interstate Commission
- 6 activities and other duties as may be determined by each member
- 7 state including but not limited to, development of policy
- 8 concerning operations and procedures of the compact within that
- 9 state.
- 10 ARTICLE V
- 11 POWERS AND DUTIES OF THE INTERSTATE COMMISSION
- 12 The Interstate Commission shall have the following powers:
- To adopt a seal and suitable by-laws governing the management
- and operation of the Interstate Commission.
- To promulgate rules which shall have the force and effect of
- 16 statutory law and shall be binding in the compacting states to
- 17 the extent and in the manner provided in this compact.
- 18 To oversee, supervise and coordinate the interstate movement
- of offenders subject to the terms of this compact and any
- 20 by-laws adopted and rules promulgated by the compact
- 21 commission.
- 22 To enforce compliance with compact provisions, Interstate
- 23 Commission rules, and by-laws, using all necessary and proper
- 24 means, including but not limited to, the use of judicial
- process.
- To establish and maintain offices.
- To purchase and maintain insurance and bonds.
- To borrow, accept, or contract for services of personnel,
- including, but not limited to, members and their staffs.
- To establish and appoint committees and hire staff which

- 1 it deems necessary for the carrying out of its functions
- 2 including, but not limited to, an executive committee as
- 3 required by Article III which shall have the power to act on
- 4 behalf of the Interstate Commission in carrying out its
- 5 powers and duties hereunder.
- To elect or appoint such officers, attorneys, employees,
- 7 agents, or consultants, and to fix their compensation, define
- 8 their duties and determine their qualifications; and to
- 9 establish the Interstate Commission's personnel policies
- and programs relating to, among other things,
- 11 conflicts of interest, rates of compensation, and
- 12 qualifications of personnel.
- To accept any and all donations and grants of money,
- 14 equipment, supplies, materials, and services, and to
- 15 receive, utilize, and dispose of same.
- To lease, purchase, accept contributions or donations of, or
- otherwise to own, hold, improve or use any property, real,
- 18 personal, or mixed.
- To sell, convey, mortgage, pledge, lease, exchange, abandon,
- or otherwise dispose of any property, real, personal
- 21 or mixed.
- 22 To establish a budget and make expenditures and levy dues as
- 23 provided in Article X of this compact.
- 24 To sue and be sued.
- To provide for dispute resolution among Compacting States.
- To perform such functions as may be necessary or
- appropriate to achieve the purposes of this compact.
- To report annually to the legislatures, governors, judiciary,
- and state councils of the compacting states concerning the
- 30 activities of the Interstate Commission during the preceding

- 1 year.
- 2 Such reports shall also include any recommendations that may
- 3 have been adopted by the Interstate Commission.
- 4 To coordinate education, training and public awareness
- 5 regarding the interstate movement of offenders for officials
- 6 involved in such activity.
- 7 To establish uniform standards for the reporting, collecting,
- 8 and exchanging of data.
- 9 ARTICLE VI
- 10 ORGANIZATION AND OPERATION OF THE INTERSTATE COMMISSION
- 11 Section A. By-laws
- 12 The Interstate Commission shall, by a majority of the Members,
- 13 within twelve months of the first Interstate Commission meeting,
- 14 adopt By-laws to govern its conduct as may be necessary or
- 15 appropriate to carry out the purposes of the Compact, including,
- 16 but not limited to:
- 17 establishing the fiscal year of the Interstate Commission;
- 18 establishing an executive committee and such other committees as
- 19 may be necessary
- 20 providing reasonable standards and procedures:
- 21 (i) for the establishment of committees, and
- 22 (ii) governing any general or specific delegation of any
- 23 authority or function of the Interstate Commission;
- 24 providing reasonable procedures for calling and conducting
- 25 meetings of the Interstate Commission, and ensuring reasonable
- 26 notice of each such meeting;
- 27 establishing the titles and responsibilities of the officers of
- 28 the Interstate Commission;
- 29 providing reasonable standards and procedures for the
- 30 establishment of the personnel policies and programs of the

- 1 Interstate Commission. Notwithstanding any civil service or
- 2 other similar laws of any Compacting State, the By-laws shall
- 3 exclusively govern the personnel policies and programs of the
- 4 Interstate Commission; and
- 5 providing a mechanism for winding up the operations of the
- 6 Interstate Commission and the equitable return of any surplus
- 7 funds that may exist upon the termination of the Compact after
- 8 the payment and/or reserving of all of its debts and
- 9 obligations;
- 10 providing transition rules for "start up" administration of the
- 11 compact;
- 12 establishing standards and procedures for compliance and
- 13 technical assistance in carrying out the compact.
- 14 Section B. Officers and Staff
- 15 The Interstate Commission shall, by a majority of the Members,
- 16 elect from among its Members a chairperson and a vice
- 17 chairperson, each of whom shall have such authorities and duties
- 18 as may be specified in the By-laws. The chairperson or, in his
- 19 or her absence or disability, the vice chairperson, shall
- 20 preside at all meetings of the Interstate Commission. The
- 21 Officers so elected shall serve without compensation or
- 22 remuneration from the Interstate Commission; PROVIDED THAT,
- 23 subject to the availability of budgeted funds, the officers
- 24 shall be reimbursed for any actual and necessary costs and
- 25 expenses incurred by them in the performance of their duties and
- 26 responsibilities as officers of the Interstate Commission.
- 27 The Interstate Commission shall, through its executive
- 28 committee, appoint or retain an executive director for such
- 29 period, upon such terms and conditions and for such compensation
- 30 as the Interstate Commission may deem appropriate. The executive

- 1 director shall serve as secretary to the Interstate Commission,
- 2 and hire and supervise such other staff as may be authorized by
- 3 the Interstate Commission, but shall not be a member.
- 4 Section C. Corporate Records of the Interstate Commission
- 5 The Interstate Commission shall maintain its corporate books and
- 6 records in accordance with the By-laws.
- 7 Section D. Qualified Immunity, Defense and Indemnification
- 8 The Members, officers, executive director and employees of the
- 9 Interstate Commission shall be immune from suit and liability,
- 10 either personally or in their official capacity, for any claim
- 11 for damage to or loss of property or personal injury or other
- 12 civil liability caused or arising out of any actual or alleged
- 13 act, error or omission that occurred within the scope of
- 14 Interstate Commission employment, duties or responsibilities;
- 15 PROVIDED, that nothing in this paragraph shall be construed to
- 16 protect any such person from suit and/or liability for any
- 17 damage, loss injury or liability caused by the intentional or
- 18 willful and wanton misconduct of any such person.
- 19 The Interstate Commission shall defend the Commissioner of a
- 20 Compacting State, or his or her representatives or employees, or
- 21 the Interstate Commission's representatives or employees, in any
- 22 civil action seeking to impose liability, arising out of any
- 23 actual or alleged act, error or omission that occurred within
- 24 the scope of Interstate Commission employment, duties or
- 25 responsibilities, or that the defendant had a reasonable basis
- 26 for believing occurred within the scope of Interstate Commission
- 27 employment, duties or responsibilities; PROVIDED, that the
- 28 actual or alleged act, error or omission did not result from
- 29 intentional wrongdoing on the part of such person.
- 30 The Interstate Commission shall indemnify and hold the

- 1 Commissioner of a Compacting State, the appointed designee or
- 2 employees, or the Interstate Commission's representatives or
- 3 employees, harmless in the amount of any settlement or judgment
- 4 obtained against such persons arising out of any actual or
- 5 alleged act, error or omission that occurred within the scope of
- 6 Interstate Commission employment, duties or responsibilities, or
- 7 that such persons had a reasonable basis for believing occurred
- 8 within the scope of Interstate Commission employment, duties or
- 9 responsibilities, PROVIDED, that the actual or alleged act,
- 10 error or omission did not result from gross negligence or
- 11 intentional wrongdoing on the part of such person.
- 12 ARTICLE VII
- 13 ACTIVITIES OF THE INTERSTATE COMMISSION
- 14 The Interstate Commission shall meet and take such actions as
- 15 are consistent with the provisions of this Compact.
- 16 Except as otherwise provided in this Compact and unless a
- 17 greater percentage is required by the By-laws, in order to
- 18 constitute an act of the Interstate Commission, such act shall
- 19 have been taken at a meeting of the Interstate Commission and
- 20 shall have received an affirmative vote of a majority of the
- 21 members present.
- 22 Each Member of the Interstate Commission shall have the right
- 23 and power to cast a vote to which that Compacting State is
- 24 entitled and to participate in the business and affairs of the
- 25 Interstate Commission. A Member shall vote in person on behalf
- 26 of the state and shall not delegate a vote to another member
- 27 state. However, a State Council shall appoint another authorized
- 28 representative, in the absence of the commissioner from that
- 29 state, to cast a vote on behalf of the member state at a
- 30 specified meeting. The By-laws may provide for Members'

- 1 participation in meetings by telephone or other means of
- 2 telecommunication or electronic communication. Any voting
- 3 conducted by telephone, or other means of telecommunication or
- 4 electronic communication shall be subject to the same quorum
- 5 requirements of meetings where members are present in person.
- 6 The Interstate Commission shall meet at least once during each
- 7 calendar year. The chairperson of the Interstate Commission may
- 8 call additional meetings at any time and, upon the request of a
- 9 majority of the Members, shall call additional meetings.
- 10 The Interstate Commission's By-laws shall establish conditions
- 11 and procedures under which the Interstate Commission shall make
- 12 its information and official records available to the public for
- 13 inspection or copying. The Interstate Commission may exempt from
- 14 disclosure any information or official records to the extent
- 15 they would adversely affect personal privacy rights or
- 16 proprietary interests. In promulgating such Rules, the
- 17 Interstate Commission may make available to law enforcement
- 18 agencies records and information otherwise exempt from
- 19 disclosure, and may enter into agreements with law enforcement
- 20 agencies to receive or exchange information or records subject
- 21 to nondisclosure and confidentiality provisions.
- 22 Public notice shall be given of all meetings and all meetings
- 23 shall be open to the public, except as set forth in the Rules or
- 24 as otherwise provided in the Compact. The Interstate Commission
- 25 shall promulgate Rules consistent with the principles contained
- 26 in the "Government in Sunshine Act," 5 U.S.C. Section 552(b), as
- 27 may be amended. The Interstate Commission and any of its
- 28 committees may close a meeting to the public where it determines
- 29 by two-thirds vote that an open meeting would be likely to:
- relate solely to the Interstate Commission's internal

- 1 personnel practices and procedures;
- 2 disclose matters specifically exempted from disclosure
- 3 by statute;
- 4 disclose trade secrets or commercial or financial
- 5 information which is privileged or confidential;
- 6 involve accusing any person of a crime, or formally censuring
- 7 any person;
- 8 disclose information of a personal nature where disclosure
- 9 would constitute a clearly unwarranted invasion of personal
- 10 privacy;
- disclose investigatory records compiled for law enforcement
- 12 purposes;
- disclose information contained in or related to examination,
- operating or condition reports prepared by, or on behalf of
- or for the use of, the Interstate Commission with respect to
- 16 a regulated entity for the purpose of regulation or
- 17 supervision of such entity;
- disclose information, the premature disclosure of which would
- 19 significantly endanger the life of a person or the stability
- of a regulated entity;
- 21 specifically relate to the Interstate Commission's issuance
- of a subpoena, or its participation in a civil action or
- 23 proceeding.
- 24 For every meeting closed pursuant to this provision, the
- 25 Interstate Commission's chief legal officer shall publicly
- 26 certify that, in his or her opinion, the meeting may be closed
- 27 to the public, and shall reference each relevant exemptive
- 28 provision. The Interstate Commission shall keep minutes which
- 29 shall fully and clearly describe all matters discussed in any
- 30 meeting and shall provide a full and accurate summary of any

- 1 actions taken, and the reasons therefor, including a description
- 2 of each of the views expressed on any item and the record of any
- 3 rollcall vote (reflected in the vote of each Member on the
- 4 question). All documents considered in connection with any
- 5 action shall be identified in such minutes.
- 6 The Interstate Commission shall collect standardized data
- 7 concerning the interstate movement of offenders as directed
- 8 through its By-laws and Rules which shall specify the data to be
- 9 collected and the means of collection and data exchange and
- 10 reporting requirements.
- 11 ARTICLE VIII
- 12 RULEMAKING FUNCTIONS OF THE INTERSTATE COMMISSION
- 13 The Interstate Commission shall promulgate Rules in order to
- 14 effectively and efficiently achieve the purposes of the Compact
- 15 including transition rules governing administration of the
- 16 compact during the period in which it is being considered and
- 17 enacted by the states;
- 18 Rulemaking shall occur pursuant to the criteria set forth in
- 19 this Article and the By-laws and Rules adopted pursuant thereto.
- 20 Such rulemaking shall substantially conform to the principles of
- 21 the "Federal Administrative Procedure Act," 5 U.S.C.S. section
- 22 551 et seq., and the Federal Advisory Committee Act, 5 U.S.C.S.
- 23 app. 2, section 1 et seq., as may be amended (hereinafter
- 24 "APA").
- 25 All Rules and amendments shall become binding as of the date
- 26 specified in each Rule or amendment.
- 27 If a majority of the legislatures of the Compacting States
- 28 rejects a Rule, by enactment of a statute or resolution in the
- 29 same manner used to adopt the compact, then such Rule shall have
- 30 no further force and effect in any Compacting State.

- 1 When promulgating a Rule, the Interstate Commission shall:
- 2 publish the proposed Rule stating with particularity the text
- of the Rule which is proposed and the reason for the proposed
- 4 Rule;
- 5 allow persons to submit written data, facts, opinions and
- 6 arguments, which information shall be publicly available;
- 7 provide an opportunity for an informal hearing; and
- 8 promulgate a final Rule and its effective date, if
- 9 appropriate, based on the rulemaking record.
- 10 Not later than sixty days after a Rule is promulgated, any
- 11 interested person may file a petition in the United States
- 12 District Court for the District of Columbia or in the Federal
- 13 District Court where the Interstate Commission's principal
- 14 office is located for judicial review of such Rule. If the court
- 15 finds that the Interstate Commission's action is not supported
- 16 by substantial evidence, (as defined in the APA), in the
- 17 rulemaking record, the court shall hold the Rule unlawful and
- 18 set it aside.
- 19 Subjects to be addressed within 12 months after the first
- 20 meeting must at a minimum include:
- 21 notice to victims and opportunity to be heard;
- 22 offender registration and compliance;
- 23 violations/returns;
- transfer procedures and forms;
- 25 eligibility for transfer;
- 26 collection of restitution and fees from offenders;
- 27 data collection and reporting;
- 28 the level of supervision to be provided by the receiving
- 29 state;
- 30 transition rules governing the operation of the compact and

- 1 the Interstate Commission during all or part of the period
- 2 between the effective date of the compact and the date
- on which the last eligible state adopts the compact;
- 4 mediation, arbitration and dispute resolution.
- 5 The existing rules governing the operation of the previous
- 6 compact superceded by this Act shall be null and void twelve
- 7 (12) months after the first meeting of the Interstate Commission
- 8 created hereunder.
- 9 Upon determination by the Interstate Commission that an
- 10 emergency exists, it may promulgate an emergency rule which
- 11 shall become effective immediately upon adoption, provided that
- 12 the usual rulemaking procedures provided hereunder shall be
- 13 retroactively applied to said rule as soon as reasonably
- 14 possible, in no event later than 90 days after the effective
- 15 date of the rule.
- 16 ARTICLE IX
- 17 OVERSIGHT, ENFORCEMENT, AND DISPUTE RESOLUTION BY THE INTERSTATE
- 18 COMMISSION
- 19 Section A. Oversight
- 20 The Interstate Commission shall oversee the interstate movement
- 21 of adult offenders in the compacting states and shall monitor
- 22 such activities being administered in Non-compacting States
- 23 which may significantly affect Compacting States.
- 24 The courts and executive agencies in each Compacting State shall
- 25 enforce this Compact and shall take all actions necessary and
- 26 appropriate to effectuate the Compact's purposes and intent. In
- 27 any judicial or administrative proceeding in a Compacting State
- 28 pertaining to the subject matter of this Compact which may
- 29 affect the powers, responsibilities or actions of the Interstate
- 30 Commission, the Interstate Commission shall be entitled to

- 1 receive all service of process in any such proceeding, and shall
- 2 have standing to intervene in the proceeding for all purposes.
- 3 Section B. Dispute Resolution
- 4 The Compacting States shall report to the Interstate Commission
- 5 on issues or activities of concern to them, and cooperate with
- 6 and support the Interstate Commission in the discharge of its
- 7 duties and responsibilities.
- 8 The Interstate Commission shall attempt to resolve any disputes
- 9 or other issues which are subject to the compact and which may
- 10 arise among Compacting States and Non-compacting States.
- 11 The Interstate Commission shall enact a By-law or promulgate a
- 12 Rule providing for both mediation and binding dispute resolution
- 13 for disputes among the Compacting States.
- 14 Section C. Enforcement
- 15 The Interstate Commission, in the reasonable exercise of its
- 16 discretion, shall enforce the provisions of this compact using
- 17 any or all means set forth in Article XII, Section B, of this
- 18 compact.
- 19 Section D. Extradition
- 20 The duly accredited officers of a sending state may at all times
- 21 enter a receiving state, and there apprehend and retake any
- 22 person on probation or parole. For that purpose, no formalities
- 23 will be required other than establishing the authority of the
- 24 officer and the identity of the person to be retaken. All legal
- 25 requirements to OBTAIN extradition of fugitives from justice are
- 26 hereby expressly waived on the part of states party hereto as to
- 27 such persons. THE DECISION OF THE SENDING STATE TO RETAKE A

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- 28 PERSON ON PROBATION OR PAROLE SHALL BE CONCLUSIVE UPON AND NOT
- 29 REVIEWABLE WITHIN THE RECEIVING STATE. If at the time when a
- 30 state seeks to retake a probationer or parolee, there should be

- 1 pending against him within the receiving state any criminal
- 2 charge, or he is suspected of having committed within such state
- 3 a criminal offense, he shall not be retaken without the consent
- 4 of the receiving state until discharged from prosecution or from
- 5 imprisonment for such offense. The duly accredited officers of
- 6 the sending state will be permitted to transport prisoners being
- 7 retaken through any and all states parties to this compact
- 8 without interference.
- 9 ARTICLE X
- 10 FINANCE
- 11 The Interstate Commission shall pay or provide for the payment
- 12 of the reasonable expenses of its establishment, organization
- 13 and ongoing activities.
- 14 The Interstate Commission shall levy on and collect an annual
- 15 assessment from each Compacting State to cover the cost of the
- 16 internal operations and activities of the Interstate Commission
- 17 and its staff which must be in a total amount sufficient to
- 18 cover the Interstate Commission's annual budget as approved each
- 19 year. The aggregate annual assessment amount shall be allocated
- 20 based upon a formula to be determined by the Interstate
- 21 Commission, taking into consideration the population of the
- 22 state and the volume of interstate movement of offenders in each
- 23 Compacting State and shall promulgate a Rule binding upon all
- 24 Compacting States which governs said assessment.
- 25 The Interstate Commission shall not incur any obligations of any
- 26 kind prior to securing the funds adequate to meet the same; nor
- 27 shall the Interstate Commission pledge the credit of any of the
- 28 compacting states, except by and with the authority of the
- 29 compacting state.
- 30 The Interstate Commission shall keep accurate accounts of all

- 1 receipts and disbursements. The receipts and disbursements of
- 2 the Interstate Commission shall be subject to the audit and
- 3 accounting procedures established under its By-laws. However,
- 4 all receipts and disbursements of funds handled by the
- 5 Interstate Commission shall be audited yearly by a certified or
- 6 licensed public accountant and the report of the audit shall be
- 7 included in and become part of the annual report of the
- 8 Interstate Commission.
- 9 ARTICLE XI
- 10 COMPACTING STATES, EFFECTIVE DATE AND AMENDMENT
- 11 Any state, as defined in Article II of this compact, is eligible
- 12 to become a Compacting State. The Compact shall become effective
- 13 and binding upon legislative enactment of the Compact into law
- 14 by no less than 35 of the States. The initial effective date
- 15 shall be the later of July 1, 2001, or upon enactment into law
- 16 by the 35th jurisdiction. Thereafter it shall become effective
- 17 and binding, as to any other Compacting State, upon enactment of
- 18 the Compact into law by that State. The governors of Non-member
- 19 states or their designees will be invited to participate in
- 20 Interstate Commission activities on a non-voting basis prior to
- 21 adoption of the compact by all states and territories of the
- 22 United States.
- 23 Amendments to the Compact may be proposed by the Interstate
- 24 Commission for enactment by the Compacting States. No amendment
- 25 shall become effective and binding upon the Interstate
- 26 Commission and the Compacting States unless and until it is
- 27 enacted into law by unanimous consent of the Compacting States.
- 28 ARTICLE XII
- 29 WITHDRAWAL, DEFAULT, TERMINATION, AND JUDICIAL ENFORCEMENT
- 30 Section A. Withdrawal

- 1 Once effective, the Compact shall continue in force and remain
- 2 binding upon each and every Compacting State; PROVIDED, that a
- 3 Compacting State may withdraw from the Compact ("Withdrawing
- 4 State") by enacting a statute specifically repealing the statute
- 5 which enacted the Compact into law.
- 6 The effective date of withdrawal is the effective date of the
- 7 repeal.
- 8 The Withdrawing State shall immediately notify the chairperson
- 9 of the Interstate Commission in writing upon the introduction of
- 10 legislation repealing this Compact in the Withdrawing State.
- 11 The Interstate Commission shall notify the other Compacting
- 12 States of the Withdrawing State's intent to withdraw within
- 13 sixty days of its receipt thereof.
- 14 The Withdrawing State is responsible for all assessments,
- 15 obligations and liabilities incurred through the effective date
- 16 of withdrawal, including any obligations, the performance of
- 17 which extend beyond the effective date of withdrawal.
- 18 Reinstatement following withdrawal of any Compacting State shall
- 19 occur upon the Withdrawing State reenacting the Compact or upon
- 20 such later date as determined by the Interstate Commission.
- 21 Section B. Default
- 22 If the Interstate Commission determines that any Compacting
- 23 State has at any time defaulted ("Defaulting State") in the
- 24 performance of any of its obligations or responsibilities under
- 25 this Compact, the By-laws or any duly promulgated Rules the
- 26 Interstate Commission may impose any or all of the following
- 27 penalties:
- 28 Fines, fees and costs in such amounts as are deemed to be
- 29 reasonable as fixed by the Interstate Commission;
- 30 Remedial training and technical assistance as directed by the

- 1 Interstate Commission;
- 2 Suspension and termination of membership in the compact.
- 3 Suspension shall be imposed only after all other reasonable
- 4 means of securing compliance under the By-laws and Rules have
- 5 been exhausted. Immediate notice of suspension shall be given by
- 6 the Interstate Commission to the Governor, the Chief Justice or
- 7 Chief Judicial Officer of the state; the majority and minority
- 8 leaders of the defaulting state's legislature, and the State
- 9 Council.
- 10 The grounds for default include, but are not limited to, failure
- 11 of a Compacting State to perform such obligations or
- 12 responsibilities imposed upon it by this compact, Interstate
- 13 Commission By-laws, or duly promulgated Rules. The Interstate
- 14 Commission shall immediately notify the Defaulting State in
- 15 writing of the penalty imposed by the Interstate Commission on
- 16 the Defaulting State pending a cure of the default. The
- 17 Interstate Commission shall stipulate the conditions and the
- 18 time period within which the Defaulting State must cure its
- 19 default. If the Defaulting State fails to cure the default
- 20 within the time period specified by the Interstate Commission,
- 21 in addition to any other penalties imposed herein, the
- 22 Defaulting State may be terminated from the Compact upon an
- 23 affirmative vote of a majority of the Compacting States and all
- 24 rights, privileges and benefits conferred by this Compact shall
- 25 be terminated from the effective date of suspension. Within
- 26 sixty days of the effective date of termination of a Defaulting
- 27 State, the Interstate Commission shall notify the Governor, the
- 28 Chief Justice or Chief Judicial Officer and the Majority and
- 29 Minority Leaders of the Defaulting State's legislature and the
- 30 state council of such termination.

- 1 The Defaulting State is responsible for all assessments,
- 2 obligations and liabilities incurred through the effective date
- 3 of termination including any obligations, the performance of
- 4 which extends beyond the effective date of termination.
- 5 The Interstate Commission shall not bear any costs relating to
- 6 the Defaulting State unless otherwise mutually agreed upon
- 7 between the Interstate Commission and the Defaulting State.
- 8 Reinstatement following termination of any Compacting State
- 9 requires both a reenactment of the Compact by the Defaulting
- 10 State and the approval of the Interstate Commission pursuant to
- 11 the Rules.
- 12 Section C. Judicial Enforcement
- 13 The Interstate Commission may, by majority vote of the Members,
- 14 initiate legal action in the United States District Court for
- 15 the District of Columbia or, at the discretion of the Interstate
- 16 Commission, in the Federal District where the Interstate
- 17 Commission has its offices to enforce compliance with the
- 18 provisions of the Compact, its duly promulgated Rules and By-
- 19 laws, against any Compacting State in default. In the event
- 20 judicial enforcement is necessary the prevailing party shall be
- 21 awarded all costs of such litigation including reasonable
- 22 attorneys fees.
- 23 Section D. Dissolution of Compact
- 24 The Compact dissolves effective upon the date of the withdrawal
- 25 or default of the Compacting State which reduces membership in
- 26 the Compact to one Compacting State.
- 27 Upon the dissolution of this Compact, the Compact becomes null
- 28 and void and shall be of no further force or effect, and the
- 29 business and affairs of the Interstate Commission shall be wound
- 30 up and any surplus funds shall be distributed in accordance with

- 1 the By-laws.
- 2 ARTICLE XIII
- 3 SEVERABILITY AND CONSTRUCTION
- 4 The provisions of this Compact shall be severable, and if any
- 5 phrase, clause, sentence or provision is deemed unenforceable,
- 6 the remaining provisions of the Compact shall be enforceable.
- 7 The provisions of this Compact shall be liberally constructed to
- 8 effectuate its purposes.
- 9 ARTICLE XIV
- 10 BINDING EFFECT OF COMPACT AND OTHER LAWS
- 11 Section A. Other Laws
- 12 Nothing herein prevents the enforcement of any other law of a
- 13 Compacting State that is not inconsistent with this Compact.
- 14 All Compacting States' laws conflicting with this Compact are
- 15 superseded to the extent of the conflict.
- 16 Section B. Binding Effect of the Compact
- 17 All lawful actions of the Interstate Commission, including all
- 18 Rules and By-laws promulgated by the Interstate Commission, are
- 19 binding upon the Compacting States.
- 20 All agreements between the Interstate Commission and the
- 21 Compacting States are binding in accordance with their terms.
- 22 Upon the request of a party to a conflict over meaning or
- 23 interpretation of Interstate Commission actions, and upon a
- 24 majority vote of the Compacting States, the Interstate
- 25 Commission may issue advisory opinions regarding such meaning or
- 26 interpretation.
- 27 In the event any provision of this Compact exceeds the
- 28 constitutional limits imposed on the legislature of any
- 29 Compacting State, the obligations, duties, powers or
- 30 jurisdiction sought to be conferred by such provision upon the

- 1 Interstate Commission shall be ineffective and such obligations,
- 2 duties, powers or jurisdiction shall remain in the Compacting
- 3 State and shall be exercised by the agency thereof to which such
- 4 obligations, duties, powers or jurisdiction are delegated by law
- 5 in effect at the time this Compact becomes effective.
- 6 Section 3. When and how compact becomes operative.
- 7 (a) General rule. -- When the Governor executes the Interstate
- 8 Compact for the Supervision of Adult Offenders on behalf of this
- 9 State and files a verified copy thereof with the Secretary of
- 10 the Commonwealth and when the compact is ratified by one or more
- 11 other states, then the compact shall become operative and
- 12 effective between this State and such other state or states. The
- 13 Governor is hereby authorized and directed to take such action
- 14 as may be necessary to complete the exchange of official
- 15 documents between this State and any other state ratifying the
- 16 compact.
- 17 (b) Notice in Pennsylvania Bulletin.--The Secretary of the
- 18 Commonwealth shall publish a notice in the Pennsylvania Bulletin
- 19 when the conditions set forth in subsection (a) are satisfied
- 20 and shall include in the notice the date on which the compact
- 21 became effective and operative between this State and any other
- 22 state or states in accordance with this act.
- 23 Section 4. State council and compact administrator.
- 24 (a) State Council. -- Consistent with Article IV of the
- 25 Interstate Compact for the Supervision of Adult Offenders, there
- 26 is hereby established the State Council for Interstate Adult
- 27 Offender Supervision. The council shall consist of nine members,
- 28 seven of whom shall be appointed by the Governor. At least two
- 29 members shall be judges of courts of record of this Commonwealth
- 30 and at least one shall be a county chief probation officer, a

- 1 representative from the executive branch of government, a
- 2 representative of victims groups and the Compact Administrator.
- 3 The President pro tempore of the Senate and the Speaker of the
- 4 House of Representatives shall each appoint a member of the
- 5 General Assembly to serve as a member of the council. The term
- 6 of a member of the council hereafter appointed, except to fill a
- 7 vacancy, shall be for four years and until a successor has been
- 8 appointed, but in no event more than 90 days beyond the
- 9 expiration of the appointed term. The term of a member of the
- 10 council who is appointed by virtue of serving as a member of the
- 11 General Assembly, as a judge or as a county chief probation
- 12 officer shall continue only as long as the individual remains in
- 13 that office. A vacancy occurring in an office of a member of the
- 14 council by expiration of term or for any other reason shall be
- 15 filled by the appointing authority for the remainder of the
- 16 term.
- 17 (b) Appointment of administrator. -- The compact administrator
- 18 shall be appointed by the Governor and shall serve as a member
- 19 of the State Council for the Supervision of Adult Offenders and
- 20 shall serve on the Interstate Commission for Adult Offender
- 21 Supervision established pursuant to the Interstate Compact for
- 22 the Supervision of Adult Offenders.
- 23 (c) Compensation and expenses of administrator. -- The compact
- 24 administrator who represents this State, as provided for in
- 25 Article IV of the Interstate Compact for the Supervision of
- 26 Adult Offenders, shall not be entitled to any additional
- 27 compensation for his duties and responsibilities as compact
- 28 administrator but shall be entitled to reimbursement for
- 29 reasonable expenses actually incurred in connection with his
- 30 duties and responsibilities as compact administrator in the same

- 1 manner as for expenses incurred in connection with other duties
- 2 and responsibilities of his office or employment.
- 3 Section 5. Repeals.
- 4 The following acts and parts of acts are repealed:
- 5 The act of June 25, 1937 (P.L.2086, No.415), entitled "An act
- 6 providing for the making of compacts by the Commonwealth with
- 7 other states for mutual helpfulness in relation to persons on
- 8 probation or parole; and imposing certain powers and duties on
- 9 the Governor and the Board of Pardons."
- 10 The act of July 20, 1968 (P.L.441, No.207), entitled "An act
- 11 providing for the incarceration of probationers or parolees in
- 12 certain other states under certain circumstances."
- 13 Section 6. Effective date.
- 14 This act shall take effect as follows:
- 15 Sections 1, 2, 3, 4 and this section shall take effect
- 16 immediately.
- 17 Section 5 shall take effect on the date that the Interstate
- 18 Compact for the Supervision of Adult Offenders becomes effective
- 19 and operative between this State and any other state or states
- 20 in accordance with section 3 of this act.