THE GENERAL ASSEMBLY OF PENNSYLVANIA

HOUSE BILL

No. 1317

Session of 1983

INTRODUCED BY STEWART, ITKIN, BRANDT, GLADECK, ZWIKL, WOZNIAK, LEVI, BOYES, GAMBLE, GREENWOOD, COY, CLYMER, CAPPABIANCA, MICHLOVIC, MURPHY, POTT, NAHILL, PRATT, SAURMAN, E. Z. TAYLOR AND F. E. TAYLOR, JUNE 29, 1983

AS REPORTED FROM COMMITTEE ON CONSERVATION, HOUSE OF REPRESENTATIVES, AS AMENDED, MAY 22, 1984

AN ACT

- 1 Providing for the certification of professional geologists.
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- 1 The General Assembly of the Commonwealth of Pennsylvania
- 2 hereby enacts as follows:
- 3 Section 1. Short title.
- 4 This act shall be known and may be cited as the Geologists
- 5 Certification Act.
- 6 Section 2. Legislative findings.
- 7 The General Assembly finds that in order to safeguard life,
- 8 health, property and environment and to promote the public
- 9 welfare, the practice of geology in this Commonwealth is hereby
- 10 declared to be subject to regulation in the public interest. Two
- 11 years after this act goes into effect, it shall be unlawful for
- 12 any person to practice or offer to practice geology for others
- 13 within this Commonwealth, unless such person has been duly
- 14 certified or exempted from certification under the provisions of
- 15 this act.
- 16 Section 3. Definitions.
- 17 The following words and phrases when used in this act shall
- 18 have the meanings given to them in this section unless the
- 19 context clearly indicates otherwise:
- 20 "Certificate." A certificate or other documentary evidence
- 21 that a geologist has been certified to engage in the practice of
- 22 geology.
- "Certification or certifying." The act by the department of
- 24 certifying the qualifications of a professional geologist to
- 25 engage in the practice of geology.
- 26 "Certified professional geologist." A person who, by reason
- 27 of knowledge of the principles of earth science acquired by
- 28 education and practical experience, is qualified to engage in
- 29 the practice of geology as hereinafter defined and who has been
- 30 certified by the department pursuant to the provisions of this

- 1 act, and whose certification is in force and has not been
- 2 suspended or revoked.
- 3 "Department." The Department of Environmental Resources.
- 4 "Display." Written reports, plans, maps, cross sections,
- 5 diagrams or any other presentations used to communicate the
- 6 results, conclusions and recommendations of the practice of
- 7 geology.
- 8 "Geology." The science which treats of the earth in general;
- 9 the earth's processes; investigation of the earth and the rocks
- 10 and other materials which compose it; and the applied science of
- 11 utilizing knowledge of the earth's processes, constituent rocks,
- 12 minerals, liquids, gases and other materials for the use of
- 13 mankind.
- 14 "Practice of geology." The practice or the offer to practice
- 15 geology for others, such practice including, but not limited to,
- 16 describing the natural processes acting on earth materials,
- 17 gases or fluids, predicting the probable occurrence of natural
- 18 resources, predicting and locating natural or man-induced
- 19 phenomena which may be useful or hazardous to mankind,
- 20 recognizing, determining and evaluating geologic factors; as
- 21 they apply to the design, construction, operation and
- 22 maintenance of land use activities that are controlled by and
- 23 impact on geological factors and recognizing and evaluating the
- 24 effect of the construction and operation of such activities on
- 25 the geological environment; further, such practice shall
- 26 include, but not be limited to, geological consulting,
- 27 investigating, evaluation, inspecting, geological mapping,
- 28 planning and giving testimony before governmental agencies on or
- 29 pertaining to geological matters. The practice of geology shall
- 30 not be deemed to include the drilling of wells for water supply,

- 1 the conduct of sewage enforcement officers or determinations
- 2 made pursuant to the requirements of the act of January 24, 1966
- 3 (1965 P.L.1535, No.537), known as the Pennsylvania Sewage
- 4 Facilities Act, the practice of architecture or engineering OR

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- 5 LAND SURVEYING as such, for which separate LICENSURE OR
- 6 certification is required under the provisions of the act of
- 7 July 12, 1919 (P.L.933, No.369), referred to as the Architects
- 8 Registration Law, or the act of May 23, 1945 (P.L.913, No.367),
- 9 known as the Professional Engineers Registration Law.
- 10 "Responsible charge." A position that requires initiative,
- 11 skill and independent judgment and implies such degree of
- 12 competence and accountability gained by technical education and
- 13 experience of a grade and character as is sufficient to qualify
- 14 an individual to personally and independently engage in, and be
- 15 entrusted with, the work involved in the practice of geology.
- 16 This term does not include positions which require routine
- 17 performance of subprofessional work such as auxiliary personnel,
- 18 unless acting as chief of party.
- 19 "Secretary." The Secretary of Environmental Resources.
- 20 Section 4. Construction of act.
- 21 (a) Practice by firms, etc.--A firm, corporation, co-
- 22 partnership, association or joint-stock company may engage in
- 23 the practice of geology in this Commonwealth, provided only that
- 24 such practice is carried on by or under the responsible charge
- 25 of at least one certified professional geologist OR PERSONS
- 26 EXEMPTED UNDER SECTION 5(7) whose name and seal or stamp appears
- 27 on all displays introduced into the stream of commerce.
- 28 (b) Educational activities. -- This act shall not be construed
- 29 to affect or prevent the presentation of field excursions,
- 30 educational courses or other educational endeavors or the

- 1 pursuit of scientific studies for the advancement of the
- 2 sciences.
- 3 Section 5. Exemptions.
- 4 The following shall be exempted from registration under the
- 5 provisions of this act:
- 6 (1) The practice of geology by a person not a resident
- of and having no established place of business in this
- 8 Commonwealth, when such practice does not exceed an aggregate
- 9 of 30 days in any calendar year and provided the person is
- 10 duly certified to practice geology in a state or other
- jurisdiction in which the requirements for the certification
- of geologists are of a standard satisfactory to the
- department or provided the person presents evidence
- satisfactory to the department that the person is a qualified
- geologist; and provided further that the nonresident shall
- file with the department, before or within five days of the
- beginning of the aggregate of a 30-day practice of geology in
- this Commonwealth, a statement giving name, residence, the
- 19 number of his certificate or equivalent and by what authority
- issued or other evidence of qualification and the place and
- 21 nature of the work in which that person will be engaged in
- 22 this Commonwealth; and upon the completion of the work, a
- 23 statement of the time engaged in such work within this
- 24 Commonwealth. To such a person, the department shall issue a
- temporary authorization to practice for not more than an
- 26 aggregate of 30 days upon proper application therefor and the
- 27 payment of a fee established by the department.
- 28 (2) The practice of geology by a person not a resident
- of and having no established place of business in this
- 30 Commonwealth, or who has recently become a resident thereof,

1 practicing or offering to practice geology in this

2 Commonwealth for more than an aggregate of 30 days, if that

- 3 person shall have filed with the department a proper
- 4 application for certification accompanied by the prescribed
- 5 fee, provided the person is duly certified to practice
- 6 geology in a state or other jurisdiction in which the
- 7 requirements for the certification of geologists are of a
- 8 standard satisfactory to the department or provided the
- 9 person presents evidence satisfactory to the department that
- 10 the person is a qualified geologist. The department shall be
- 11 required to consider the application within 90 days from the
- date of filing of the application. Until such time as the
- department considers the application, the person practicing
- 14 geology shall be exempt from registration under this act.
- 15 (3) The practice of employees solely for their employer,

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- or any employee of a person practicing lawfully under
- 17 paragraph (1) THE PROVISIONS OF THIS ACT.
- 18 (4) Persons who practice geology solely for their own
- 19 use, and provided such practice is not directly related to
- the public interest.
- 21 (5) Persons engaged solely in teaching the science of
- 22 geology.
- 23 (6) Officers and employees of the Federal Government
- while engaged in this Commonwealth in the practice of geology
- 25 for the Federal Government.
- 26 (7) The practice of soil science, soil mechanics,
- 27 foundation engineering and geological engineering by
- 28 professional engineers who are duly licensed under the law in
- this Commonwealth.
- 30 Section 6. Application.

- 1 (a) Fee.--Each application for original certification shall
- 2 be accompanied by an application fee of \$10 in the form of a
- 3 check or money order made payable to the Department of
- 4 Environmental Resources.
- 5 (b) Contents. -- An applicant for certification shall fill out
- 6 and file with the department the original of an application
- 7 form, available upon request from the department, no later than
- 8 60 days before the applicant plans to be certified. The form
- 9 shall require relevant information about the applicant's
- 10 character, geologic knowledge and experience in application of
- 11 that knowledge. Among the data required on the application form
- 12 is information about the applicant's:
- 13 (1) Educational background at the undergraduate and
- 14 graduate level.
- 15 (2) Professional geological work, not to include work
- 16 involving routine sampling, laboratory work or geological
- drafting. Persons who have taught geological science at
- 18 college level must provide information about the level of the
- 19 courses and number of hours per semester taught.
- 20 (3) Scientific and technical society affiliations and
- 21 the grade of membership and whether certified by each.
- 22 (4) Major publications, patents, reports and honors.
- 23 (5) Adherence to high professional and ethical standards
- 24 as attested by three references.
- 25 Section 7. Oualifications.
- Determination of satisfactory qualifications shall be based
- 27 on the applicant's character, knowledge of the principles and
- 28 techniques of geology and experience in the application of those
- 29 principles and techniques. The following shall be the minimum
- 30 qualifications for certification:

1 (1) The applicant must be certified by the American
2 Institute of Professional Geologists. A person who is in good
3 standing as a member of the American Institute of
4 Professional Geologists and who has been certified by that
5 organization, is deemed to be eligible upon application and
6 payment of applicable fees to become certified under the act;
7 or

(2) The applicant must be of good moral character and meet the following combination of education and experience:

(i) Education:

- (A) graduation from an accredited institution of higher learning, with a major in geology, geophysics, geochemistry or engineering geology with a minimum of 30 semester or 45 quarter hours in geology, geophysics, geochemistry, engineering geology or their subdivisions; or
- (B) completion of 30 semester or 45 quarter hours in geological science courses leading to a major in geology, of which at least 24 semester or 36 quarter hours are in third or fourth year or graduate courses; and
- 22 (ii) Experience: Completion of at least seven years 23 of professional geological work which shall include 24 either a minimum of three years of professional 25 geological work under the supervision of a certified 26 professional geologist, except that prior to July 1, 1984 27 1985, professional geological work qualifies under this 28 subparagraph if it is under the supervision of a qualified geologist, or a minimum of five years 29

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experience in a responsible position in geological work.

(iii) The following criteria of education and
experience qualify as specified toward accumulation of
the required number of years of professional geological
work:

- (A) Each year of undergraduate study in the geological sciences shall count as one-half year of professional geological work up to a maximum of two years and each year of graduate study or research shall count as a year of professional geological work.
- (B) Teaching in the geological sciences at college level counts, as one year of professional geological work, provided that the total teaching experience includes six semester hours per semester for at least two semesters or equivalent if under another system of third or fourth year or graduate courses.
- (C) Credit for undergraduate study, graduate study and teaching, separately or in combination, may not exceed a total of four years toward meeting the required number of years of professional geological work.
- (D) When any combination of teaching and professional geological work by a faculty member is applied to any one-year period, each activity must be prorated so that the total credit toward meeting the requirements for professional geological work will not exceed 12 months.
- 29 (3) If in the opinion of the department inadequate

 30 information has been provided by the applicant to allow the

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- 1 department to ascertain whether the applicant satisfied the
- 2 qualifications for certification, the applicant may be
- 3 required to provide additional information for purposes of
- 4 the application or may be required to present himself or
- 5 herself for an interview at the department for this purpose.
- 6 Section 8. Certification.
- 7 (a) Issuance of certificate. -- An applicant who meets the
- 8 qualifications for certification under this act, upon payment of
- 9 a certification fee of \$75 in the form of a check or money order
- 10 made payable to the department, shall be issued a numbered
- 11 certificate as a professional geologist. A certification number,
- 12 once assigned, shall not be used again for any person other than
- 13 the one to whom it is originally issued.
- 14 (b) Eligibility.--Certification may only be granted to an
- 15 individual who:
- 16 (1) pays the fee specified in this act; and
- 17 (2) demonstrates to the satisfaction of the department
- 18 that the individual has the qualifications required by this
- 19 act.
- 20 (c) Denial. -- An applicant who is denied certification shall
- 21 be notified in writing and informed of the reason for the
- 22 denial. Within 30 days after the receipt of notice, the
- 23 applicant may make written request to the department for a
- 24 hearing.
- 25 (d) Convictions. -- A plea or verdict of guilty or a
- 26 conviction following a plea of nolo contendere made to a charge
- 27 of a felony or a crime involving moral turpitude is deemed to be
- 28 a conviction within the meaning of this act. The department may
- 29 order a certificate suspended or revoked or may decline to issue
- 30 a certificate when the time for an appeal has elapsed, when the

- 1 judgment of conviction has been affirmed on appeal or when an
- 2 order granting probation is made suspending the imposition of
- 3 sentence.
- 4 (e) Seals and stamps. -- Each individual certified hereunder
- 5 may, upon certification, obtain and use a seal or stamp of
- 6 certification, of a design authorized by the department, bearing
- 7 the certified individual's name and number, the legend
- 8 "Certified Professional Geologist," and the date of expiration
- 9 of the current certificate.
- 10 (f) Renewal of certification.--Every geologist certified
- 11 under this act who desires to continue the practice of geology
- 12 shall every two years pay to the department a renewal fee, to be
- 13 established by the department. The fee shall be paid on or
- 14 before a date to be fixed by the department, upon receipt of
- 15 which a renewal certificate for the current period shall be
- 16 issued.
- 17 (q) Failure to renew.--Failure of a certified individual to
- 18 renew a certificate by the date of its expiration shall not
- 19 automatically deprive such person of the right of renewal;
- 20 except that application for renewal of an expired certificate
- 21 shall be made on a form and be accompanied by payment of such a
- 22 delayed renewal fee, as the department may prescribe. A
- 23 certificate which is not renewed within two years after its
- 24 expiration date shall not be renewed thereafter. The holder of
- 25 such an expired certificate may reapply for certification under
- 26 any appropriate subsection of section 6.
- 27 (h) Replacement certificates.--Replacements for certificates
- 28 lost, destroyed or mutilated shall be issued subject to the
- 29 rules of the department. A replacement fee, to be established by
- 30 the department, shall be paid for such issuance.

- 1 Section 9. Violations.
- 2 (a) Unlawful practices. -- From and after two years after this
- 3 act goes into effect, it shall be unlawful for any person to
- 4 practice, or offer to practice geology as defined in this act or
- 5 to use in connection with the person's name or otherwise assume
- 6 or advertise any title or description tending to convey the
- 7 impression that the person is a certified professional
- 8 geologist, unless the person has been duly certified or exempted
- 9 under the provisions of this act. The right to engage in the
- 10 practice of geology shall be deemed a personal right, based on
- 11 the qualifications of the individual as evidenced by his
- 12 certificate, which shall not be transferable.
- 13 (b) Geologist displays. -- From and after two years after this
- 14 act goes into effect, all geological displays shall be prepared
- 15 by a certified professional geologist or by a person under the
- 16 responsible charge of a certified professional geologist and all
- 17 geological displays shall be signed, stamped or impressed with
- 18 the seal of the certified professional geologist which shall
- 19 indicate responsibility for them, except that persons practicing
- 20 under the provisions of section 5 are exempted.
- 21 (c) Use of expired stamp, etc.--It shall be unlawful for a
- 22 person to affix that person's signature, stamp or to impress
- 23 that person's seal of registration to any display after the
- 24 certificate of the individual certified named thereon has
- 25 expired or been suspended or revoked.
- 26 (d) False use of stamp, etc.--It shall be unlawful for any
- 27 person to present or attempt to use as his own, the certificate,
- 28 stamp or seal of certification of a certified individual or to
- 29 falsely impersonate any certified individual of like or
- 30 different name.

- 1 (e) Penalty.--Any person convicted of violating any of the
- 2 provisions of this section shall be guilty of a summary offense
- 3 and upon conviction thereof be sentenced to pay a fine not
- 4 exceeding \$300 or to imprisonment not exceeding 90 days, or
- 5 both. Any person convicted of violating provisions of this
- 6 section for the second time shall be guilty of a misdemeanor of
- 7 the second degree and upon conviction thereof shall be sentenced
- 8 to pay a fine of \$500 or to imprisonment not exceeding two
- 9 years, or both.
- 10 Section 10. Roster.
- 11 (a) Publishing of roster. -- As soon as practicable after the
- 12 completion of the biennial renewals in each even-numbered year,
- 13 the department shall publish a roster showing the names and
- 14 addresses of certified professional geologists who are valid
- 15 registrants in this Commonwealth until the date shown in the
- 16 roster.
- 17 (b) Individual responsibility. -- It shall be the
- 18 responsibility of each registrant to keep the department advised
- 19 of the latest address of the registrant.
- 20 Section 11. Penalties.
- 21 (a) Suspension, etc.--The department shall have the power
- 22 and authority to suspend, refuse to renew or to revoke the
- 23 certificate of any person who is found guilty in a court of law
- 24 of:
- 25 (1) The practice of fraud or deceit in obtaining a
- 26 certificate.
- 27 (2) Any felony.
- 28 (3) Committing deceit, willful misrepresentation,
- violation of contract, fraud, gross negligence or any other
- 30 misconduct in the practice of geology.

- 1 (4) Aiding or abetting any person in the violation of
- 2 any provision of this act.
- 3 (5) Violating any provision of this act.
- 4 The department may confiscate the certificate and any stamp or
- 5 seal evidencing the certification of any person who is found
- 6 guilty.
- 7 (b) Right of complaint.--Any person may prefer, against any
- 8 certified individual, charges of fraud, deceit, gross negligence
- 9 or misconduct. The charges shall be in writing, and shall be
- 10 sworn to by the person making them and shall be filed with the
- 11 department. All charges, unless dismissed by the department as
- 12 unfounded or trivial, shall be heard by a hearing examiner.
- 13 Copies of any documents filed in cases resulting in dismissal by
- 14 the department shall be sent to the secretary and kept on file
- 15 for a period of six years.
- 16 (c) Hearing examiners. -- The department shall appoint such
- 17 hearing examiners as shall be necessary to conduct hearings as
- 18 may be required under this subsection. The department shall have
- 19 the power to adopt and promulgate rules and regulations setting
- 20 forth the functions, powers, standards and duties to be followed
- 21 by the hearing examiners. The hearing examiners shall have the
- 22 power to conduct hearings in accordance with the regulations of
- 23 the department and to issue subpoenas requiring the attendance
- 24 and testimony of individuals or the production of pertinent
- 25 books, records, documents and papers by persons whom they
- 26 believe to have information relevant to any matter pending
- 27 before the examiner. The examiner shall also have the power to
- 28 administer oaths. The hearing examiner shall hear evidence
- 29 submitted and arguments of counsel, if any, with reasonable
- 30 dispatch, and shall promptly record his decision, supported by

- 1 findings of fact, and a copy thereof shall immediately be sent
- 2 to the secretary and to counsel of record, or the parties, if
- 3 not represented.
- 4 (d) Right of appeal.--Any certified individual aggrieved or
- 5 adversely affected by any final order of the department in an
- 6 individual proceeding may appeal in the manner provided by law
- 7 and general rule for the taking of appeals from the decisions of
- 8 administrative agencies.
- 9 (e) Reissuance of certificates after appeals.--Application
- 10 for the reissuance of a certificate after findings on appeals
- 11 may be made in such a manner as shall be directed by the
- 12 secretary.
- 13 Section 12. General repeal.
- 14 All acts and parts of acts are repealed insofar as they are
- 15 inconsistent with this act.
- 16 Section 13. Expiration.
- 17 This act expires in seven years unless extended by statute.
- 18 Section 14. Effective date.
- 19 This act shall take effect immediately.