## THE GENERAL ASSEMBLY OF PENNSYLVANIA

## **HOUSE BILL**

No. 1233 Session of 1995

INTRODUCED BY LLOYD, CAPPABIANCA, PESCI, TIGUE, BELARDI, READSHAW, ROBINSON, COLAIZZO, WOZNIAK, YOUNGBLOOD, PISTELLA, FARGO, MIHALICH, HALUSKA, ITKIN, STABACK AND CAWLEY, MARCH 20, 1995

REFERRED TO COMMITTEE ON PROFESSIONAL LICENSURE, MARCH 20, 1995

## AN ACT

- Amending the act of May 23, 1945 (P.L.913, No.367), entitled, as 2 amended, "An act relating to and regulating the practice of 3 the profession of engineering, including civil engineering, mechanical engineering, electrical engineering, mining engineering and chemical engineering, the profession of land 5 6 surveying and the profession of geology and constituent parts 7 and combinations thereof as herein defined; providing for the 8 licensing and registration of persons practicing said profession, and the certification of engineers-in-training 9 10 and surveyors-in-training, and the suspension and revocation 11 of said licenses, registrations and certifications for 12 violation of this act; prescribing the powers and duties of the State Registration Board for Professional Engineers, Land 13 Surveyors and Geologists, the Department of State and the 14 courts; prescribing penalties; and repealing existing laws," 15 16 further providing for the State Registration Board for 17 Professional Engineers, Land Surveyors and Geologists.
- 18 The General Assembly of the Commonwealth of Pennsylvania
- 19 hereby enacts as follows:
- 20 Section 1. Sections 4(d) and (g) and 4.1 of the act of May
- 21 23, 1945 (P.L.913, No.367), known as the Engineer, Land Surveyor
- 22 and Geologist Registration Law, amended December 16, 1992
- 23 (P.L.1151, No.151), are amended to read:
- 24 Section 4. General Powers of Board. -- The board shall have

- 1 power--
- 2 \* \* \*
- 3 (d) Examinations; Fees.--To prescribe the subjects, manner,
- 4 time and place of examinations for licenses as professional
- 5 engineers, professional land surveyors and professional
- 6 geologists and for certificates for engineers-in-training and
- 7 surveyors-in-training, and the filing of applications for such
- 8 examinations, and to prepare or provide for the preparation of
- 9 such examinations, conduct or provide for the conduct of such
- 10 examinations, to make written reports of such examinations,
- 11 which reports shall be preserved for a period of not less than
- 12 three years, to collect such fees for such examinations, and for
- 13 licenses and certificates issued without examination, as may be
- 14 fixed according to law, and to issue licenses and certificates
- 15 to such persons as successfully pass such examinations. <u>All</u>
- 16 examinations shall be prepared and administered by a qualified
- 17 and approved professional testing agency in accordance with
- 18 section 812.1 of the act of April 9, 1929 (P.L.177, No.175),
- 19 known as "The Administrative Code of 1929."
- 20 \* \* \*
- 21 (g) Suspension [and] of, Revocation of and other Corrective
- 22 <u>Action against</u> Licenses; Registrations and Certificates;
- 23 Reinstatements. -- To suspend [or], revoke, deny, issue a
- 24 reprimand on, limit or otherwise restrict the license and
- 25 registration of any professional engineer, professional land
- 26 surveyor or professional geologist or the certificate of any
- 27 engineer-in-training or surveyor-in-training, who is found
- 28 guilty by the board, by a majority vote of all its members, of
- 29 the practice of any fraud, deceit or misrepresentation in
- 30 obtaining his license, certification or registration, or of

- 1 gross negligence, incompetency or misconduct in the practice of
- 2 engineering, in the practice of land surveying or in the
- 3 practice of geology, or of violation of the code of ethics of
- 4 the engineering profession, and to reinstate suspended licenses,
- 5 registrations and certificates in any cases where a majority of
- 6 all the members of the board shall determine the same to be just
- 7 and proper. Unless ordered to do so by a court, the board shall
- 8 not reinstate the license, certificate or registration of a
- 9 person to practice as a professional engineer, professional land
- 10 surveyor, professional geologist or an engineer-in-training or a
- 11 surveyor-in-training which has been revoked, and such person
- 12 shall be required to apply for a license, certificate or
- 13 registration after a period of five years in accordance with
- 14 section 2 if he desires to practice at any time after such
- 15 revocation. The board shall require a person whose license or
- 16 registration has been suspended or revoked to return the license
- 17 or registration in such manner as the board directs. Failure to
- 18 do so shall be a misdemeanor of the third degree. Misconduct in
- 19 the practice of engineering, land surveying or geology shall
- 20 include, but not be limited to conviction for a criminal offense
- 21 such as extortion, bribery or fraud or entry of a plea of nolo
- 22 contendere to a charge thereof for conduct relating to the
- 23 practice of engineering, land surveying or geology, or has
- 24 violated any provision of this act or any regulation promulgated
- 25 by the board. For the purposes of this subsection, the code of
- 26 ethics is as follows:
- 27 It shall be considered unprofessional and inconsistent with
- 28 honorable and dignified bearing for any professional engineer,
- 29 professional land surveyor or professional geologist:
- 30 (1) To act for his client or employer in professional

- 1 matters otherwise than as a faithful agent or trustee, or to
- 2 accept any remuneration other than his stated recompense for
- 3 services rendered.
- 4 (2) To attempt to injure falsely or maliciously, directly or
- 5 indirectly, the professional reputation, prospects or business
- 6 of anyone.
- 7 (3) To attempt to supplant another engineer, land surveyor
- 8 or geologist after definite steps have been taken toward his
- 9 employment.
- 10 (4) To compete with another engineer, land surveyor or
- 11 geologist for employment by the use of unethical practices.
- 12 (5) To review the work of another engineer, land surveyor or
- 13 geologist for the same client, except with the knowledge of such
- 14 engineer, land surveyor or geologist, or unless the connection
- 15 of such engineer, land surveyor or geologist with the work has
- 16 terminated.
- 17 (6) To attempt to obtain or render technical services or
- 18 assistance without fair and just compensation commensurate with
- 19 the services rendered: Provided, however, the donation of such
- 20 services to a civic, charitable, religious or eleemosynary
- 21 organization shall not be deemed a violation.
- 22 (7) To advertise in self-laudatory language, or in any other
- 23 manner, derogatory to the dignity of the profession.
- 24 (8) To attempt to practice in any field of engineering, land
- 25 surveying or geology in which the registrant is not proficient.
- 26 (9) To use or permit the use of his professional seal on
- 27 work over which he was not in responsible charge.
- 28 (10) To aid or abet any person in the practice of
- 29 engineering, land surveying or geology not in accordance with
- 30 the provision of this act or prior laws.

- 1 The board shall appoint, with the approval of the Governor,
- 2 such hearing examiners as shall be necessary to conduct hearings
- 3 as may be required under this subsection.
- 4 The board shall have the power to adopt and promulgate rules
- 5 and regulations setting forth the functions, powers, standards
- 6 and duties to be followed by the hearing examiners.
- 7 The hearing examiners shall have the power to conduct
- 8 hearings in accordance with the regulations of the board, and to
- 9 issue subpoenas requiring the attendance and testimony of
- 10 individuals or the production of, pertinent books, records,
- 11 documents and papers by persons whom they believe to have
- 12 information relevant to any matter pending before the examiner.
- 13 Such examiner shall also have the power to administer oaths.
- 14 The hearing examiner shall hear evidence submitted and
- 15 arguments of counsel, if any, with reasonable dispatch, and
- 16 shall promptly record his decision, supported by findings of
- 17 fact, and a copy thereof shall immediately be sent to the board
- 18 and to counsel of record, or the parties, if not represented.
- 19 If application for review is made to the board within twenty
- 20 days from the date of any decision made as a result of a hearing
- 21 held by a hearing examiner, the board shall review the evidence,
- 22 and if deemed advisable by the board, hear argument and
- 23 additional evidence. As soon as practicable, the board shall
- 24 make a decision and shall file the same with its finding of the
- 25 facts on which it is based and send a copy thereof to each of
- 26 the parties in dispute.
- 27 \* \* \*
- 28 Section 4.1. State Registration Board for Professional
- 29 Engineers, Land Surveyors and Geologists.--(a) There is hereby
- 30 established within the Department of State the State

- 1 Registration Board for Professional Engineers, Land Surveyors
- 2 and Geologists. The board shall consist of the Commissioner of
- 3 Professional and Occupational Affairs, three members appointed
- 4 by the Governor who shall be persons representing the public at
- 5 large and nine members appointed by the Governor, five of whom
- 6 shall be registered professional engineers, two of whom shall be
- 7 registered professional land surveyors and two of whom shall be
- 8 registered professional geologists. Any land surveyor and
- 9 geologist appointed to serve on the board shall have received
- 10 the respective land surveyor license or geologist license upon
- 11 the passage of the appropriate examinations: Provided, however,
- 12 That for the initial board appointments, the two professional
- 13 geologist members need not be licensed by examination at the
- 14 time of appointment but must have satisfied the education and
- 15 experience requirements of this act for licensure as a
- 16 professional geologist. The professional members of the board
- 17 shall be so selected that not more than two of them shall
- 18 specialize in any one of the five major disciplines of
- 19 engineering: civil, mining and metallurgical, mechanical,
- 20 electrical and chemical. Each member of the board shall be a
- 21 citizen of the United States and a resident of this
- 22 Commonwealth. Each professional member shall have been engaged
- 23 in the practice of the respective profession for at least ten
- 24 years and shall have been in responsible charge of work for at
- 25 least five years.
- 26 (b) The terms of members of the board shall be six years, or
- 27 until his successor has been appointed and qualified but not
- 28 longer than six months beyond the six-year period. In the event
- 29 that any of said members shall die or resign during his term,
- 30 his successor shall be appointed in the same way and with the

- 1 same qualifications and shall hold office for the unexpired
- 2 term. No member shall be eligible for appointment to serve more
- 3 than two consecutive full terms.
- 4 (c) Seven members of the board shall constitute a quorum. A
- 5 member may not be counted as part of a quorum or vote on any
- 6 issue, other than temporary and automatic suspension, under this
- 7 act unless he is physically in attendance at the meeting.
- 8 (d) The board shall select annually a president from among
- 9 its members.
- 10 (e) Each member of the board, except the Commissioner of
- 11 Professional and Occupational Affairs [and the Director of the
- 12 Bureau of Consumer Protection in the Office of Attorney
- 13 General], or his designee, shall receive sixty dollars per diem
- 14 when actually attending to the work of the board. Members shall
- 15 also receive the amount of reasonable traveling, hotel and other
- 16 necessary expenses incurred in the performance of their duties.
- 17 [(f) The board is subject to evaluation, review and
- 18 termination within the time and in the manner provided in the
- 19 act of December 22, 1981 (P.L.508, No.142), known as the "Sunset
- 20 Act."]
- 21 (q) A member of the board who fails to attend three
- 22 consecutive meetings shall forfeit his seat unless the
- 23 Commissioner of Professional and Occupational Affairs, upon
- 24 written request from the member, finds that the member should be
- 25 excused from a meeting because of illness or the death of a
- 26 family member.
- 27 (h) A public member who fails to attend two consecutive
- 28 statutorily mandated training seminars in accordance with
- 29 section 813(e) of the act of April 9, 1929 (P.L.177, No.175),
- 30 known as "The Administrative Code of 1929," shall forfeit board

- 1 membership unless the Commissioner of Professional and
- 2 Occupational Affairs, upon written request from the public
- 3 member, finds that the public member should be excused from a
- 4 meeting because of illness or the death of a family member.
- 5 Section 2. This act shall take effect in 60 days.