# THE GENERAL ASSEMBLY OF PENNSYLVANIA

# SENATE BILL No. 800 Session of 2017

### INTRODUCED BY ALLOWAY, McGARRIGLE, ARGALL, YUDICHAK, MENSCH, BREWSTER, YAW, FOLMER, VOGEL, STEFANO, WAGNER, WHITE AND BLAKE, JUNE 29, 2017

REFERRED TO ENVIRONMENTAL RESOURCES AND ENERGY, JUNE 29, 2017

#### AN ACT

1 2 3 4 5 6 7 8	Establishing a recovery and management program that includes recycling for certain waste electronic equipment; imposing duties on manufacturers and retailers of certain electronic equipment; providing for the powers and duties of the Department of Environmental Protection and for enforcement; establishing the Waste Electronic Equipment Fund and the State Default Plan Account; prescribing penalties; and making a related repeal.
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14	Section 1104. Notice.
15	Section 1105. Transfer.
16	Section 1106. Effective date.
17	The General Assembly of the Commonwealth of Pennsylvania
18	hereby enacts as follows:
19	CHAPTER 1
20	GENERAL PROVISIONS
21	Section 101. Short title.
22	This act shall be known and may be cited as the Waste
23	Electronic Equipment Recovery Act.
24	Section 102. Purpose.
25	The General Assembly finds and declares as follows:
26	(1) Electronic equipment is a critical element to the
27	strength and growth of this Commonwealth's economic
28	prosperity and quality of life.
29	(2) Many types of electronic equipment can be
30	refurbished and many contain valuable components that can be

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1 recycled.

2 (3) The Commonwealth needs to establish a comprehensive, 3 convenient and environmentally sound program for the collection, refurbishment, recycling and final disposition of 4 5 waste electronic equipment that has reached the end of its useful life. 6 The program should be based on individual 7 (4) 8 manufacturer responsibility and shared responsibility among 9 consumers, retailers and the government of this Commonwealth. CHAPTER 2 10

11

DEFINITIONS

12 Section 201. Definitions.

13 The following words and phrases when used in this act shall 14 have the meanings given to them in this section unless the 15 context clearly indicates otherwise:

"Account." The State Default Plan Account established under 16 17 section 1002.

18 "Agent." An agency, organization, company or person 19 delegated, assigned or under contract to carry out the 20 responsibilities of this act under the supervision of the 21 department.

22 "Brand." Symbols, words or marks that identify electronic 23 equipment. The term does not include words or marks that 24 identify any of the electronic equipment's components.

25 "Collection." The act of gathering and receiving waste 26 electronic equipment from consumers.

27 "Competitive sealed bidding process." As provided in 62 28 Pa.C.S. (relating to procurement).

29 "Computer." An electronic, magnetic, optical, electromechanical or other high-speed data processing device 30 20170SB0800PN1041 - 4 -

1 performing a logical, arithmetic or storage function. The term 2 includes, but is not limited to, a computer central processing 3 unit, a desktop unit, a notebook or a tablet. The term does not 4 include an automated typewriter or typesetter, a portable 5 handheld calculator, a portable digital assistant or other 6 similar device.

7 "Computer manufacturer." A person that is a manufacturer of 8 computers.

9 "Consolidation." The aggregation of waste electronic10 equipment for transportation and processing.

11 "Consumer." A resident of this Commonwealth who has 12 purchased or used electronic equipment primarily for personal 13 use.

14 "Contract." A type of written agreement for the procurement 15 or disposal of supplies, services or construction, executed by 16 all parties and reviewed in accordance with the act of October 17 15, 1980 (P.L.950, No.164), known as the Commonwealth Attorneys 18 Act.

19 "Contractor." A person that has entered into a contract 20 under this act.

21 "Convenience center." A location identified in the State 22 default plan, an individual alternative plan or a joint 23 alternative plan where waste electronic equipment is collected 24 and consolidated.

25 "CRT." Cathode Ray Tube.

26 "Department." The Department of Environmental Protection of 27 the Commonwealth.

28 "Disposal." The deposition, injection, dumping, spilling, 29 leaking, incineration or placing of solid waste into or on the 30 land or water in a manner that the solid waste or a constituent

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of the solid waste enters the environment, is emitted into the
 air or is discharged to the waters of this Commonwealth.
 "Electronic equipment." The term includes televisions,
 computers, monitors and peripherals. The term does not include:

5 (1) a device that is a part of a motor vehicle or a 6 component part of a motor vehicle assembled by or for a 7 vehicle manufacturer or franchised dealer, including 8 replacement parts for use in a motor vehicle;

9 a device that is functionally or physically a part (2)10 of, connected to or integrated within equipment or a system 11 designed and intended for use in an industrial, governmental, 12 commercial, research and development or medical setting, 13 including, but not limited to, diagnostic, monitoring, 14 control or medical products as provided under the Federal 15 Food, Drug, and Cosmetic Act (52 Stat. 1040, 21 U.S.C. § 301 16 et seq.), or equipment used for security, sensing, 17 monitoring, antiterrorism or emergency services purposes or 18 equipment designed and intended primarily for use by 19 professionals;

(3) a device that is contained within a clothes washer,
clothes dryer, refrigerator, refrigerator and freezer,
microwave oven, conventional oven or range, dishwasher, room
air conditioner, dehumidifier, air purifier or exercise
equipment; or

25

(4) any of the following:

26 (i) A telephone of any type, including a mobile27 phone.

28

(ii) A personal digital assistant.

29 (iii) A global positioning system.

30 "Financial obligation." The proportion of the total annual

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1 cost for the collection, consolidation, transportation, recovery 2 and management of waste electronic equipment for which a 3 manufacturer has the responsibility to pay under this act. A 4 manufacturer's financial obligation is calculated based on a 5 formula using the manufacturer's annual market share reported to 6 the department.

7 "Fund." The Waste Electronic Equipment Fund established8 under section 1001.

9 "Individual alternative plan." A plan in which a 10 manufacturer petitions the department to assume on a countywide 11 basis, for a specific county or counties, the full 12 administrative, financial and operating responsibility for the 13 collection, consolidation, transportation and recovery system of 14 waste electronic equipment, which would otherwise be part of the 15 State default plan.

16 "Joint alternative plan." A plan in which a group of 17 manufacturers petitions the department to assume, for specific 18 geographic areas, the full administrative, financial and 19 operating responsibility for the collection, consolidation, 20 transportation and recovery system of waste electronic 21 equipment, which would otherwise be part of the State default 22 plan.

23 "Manufacturer." A person that:

24 (1) Manufactures electronic equipment under a brand25 that:

26

(i) the person owns; or

(ii) the person is licensed to use, other than under
a license to manufacture electronic equipment for
delivery exclusively to or at the order of the licensor.
(2) Sells electronic equipment manufactured by others

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1 under a brand that:

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(i) the person owns; or

3 (ii) the person is licensed to use, other than under
4 a license to manufacture electronic equipment for
5 delivery exclusively to or at the order of the licensor.

6 (3) Manufactures electronic equipment without affixing a7 brand.

8 (4) Manufactures electronic equipment to which the 9 person affixes a brand that:

10

(i) the person does not own; or

11 (ii) the person is not licensed to use.

12 "Market share." An estimate of the total weight of a 13 manufacturer's sales of electronic equipment calculated by 14 multiplying the weight of the electronic equipment sold 15 nationally times the quotient of this Commonwealth's population 16 divided by the national population.

17 "Monitor." A separate video display component inclusive of 18 its case, interior wires and circuitry that does not contain a 19 tuner and has a display area greater than four inches when 20 measured diagonally. The term includes a cathode ray tube, 21 liquid crystal display, gas plasma, digital light processing or 22 other image projection technology.

23 "Monitor manufacturer." A person that is a manufacturer of 24 monitors.

25 "New electronic equipment." Electronic equipment that is26 manufactured after the effective date of this section.

27 "Nexus." A physical connection with this Commonwealth 28 established by a business that conducts any of the following 29 activities:

30 (1) Having or maintaining, either directly or through a 20170SB0800PN1041 - 8 - subsidiary, an office, distribution house, sales house,
 warehouse, service enterprise or other place of business
 irrespective of whether the place of business is located
 permanently or temporarily or authorized to do business
 within this Commonwealth.

6 (2) Having or maintaining an agent of general or
7 restrictive authority irrespective of whether the agent is
8 located permanently or temporarily or authorized to do
9 business within this Commonwealth.

10

(3) Maintaining a stock of goods in this Commonwealth.

11 (4) Regularly soliciting orders through a solicitor, 12 salesman, agent or representative, whether or not the orders 13 are accepted in this Commonwealth, or performing promotional 14 activities in this Commonwealth.

(5) Regularly engaging in the delivery of property, other than by common carrier or United States mail, and soliciting business, whether by means of United States mail, radio, television, newspaper or otherwise in this Commonwealth.

20 (6) Regularly engaging in an activity in connection with 21 the leasing or servicing of property which is located within 22 this Commonwealth.

"Notice to proceed." A notice issued to a manufacturer or a group of manufacturers announcing the approval of an individual alternative plan or a joint alternative plan for a county or counties pending receipt of all required documentation and executed contractual commitments outlined in the individual alternative plan or joint alternative plan.

29 "Orphan electronic equipment." Electronic equipment for 30 which no manufacturer can be identified.

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"Peripheral." A keyboard, printer, scanner, fax machine,
 speaker or other peripheral, digital video disc player, video
 cassette recorder and video display equipment with a screen
 greater than six inches. The term does not include adaptive or
 assistive technologies.

6 "Peripheral manufacturer." A person that is a manufacturer 7 of peripherals.

8 "Person." An individual, trust, firm, joint stock company, 9 business concern, corporation, government agency, partnership, 10 limited liability company or association.

"Processing." The term includes shredding, disassembly, size reduction, separation, smelting, retorting, extraction and melting.

14 "Processor." A person that processes waste electronic
15 equipment or any of its components.

16 "Procurement." Purchasing, renting, leasing, licensing or 17 otherwise acquiring supplies, services or construction. The term 18 includes all functions relating to the obtaining of any supply, 19 service or construction, including a description of 20 requirements, selection and solicitation of sources, preparation 21 and award of contract and all phases of the contract 22 administration.

23 "Purchase." The transfer of property from one to another 24 through agreement or sale.

25 "Recovery." The act of refurbishing, recycling or processing 26 waste electronic equipment to extend the use or value of the 27 original electronic equipment, its components and whatever 28 residual materials remain.

29 "Recycler." A person that performs recycling of waste30 electronic equipment.

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Recycling." A method by which waste electronic equipment that would otherwise become solid waste or hazardous waste is collected, transported, separated and processed, including disassembling, dismantling or shredding, to be returned to use in the form of raw materials or products in accordance with environmental standards established by the department.

7 "Refurbish" or "refurbishment." To transform used or unused 8 waste electronic equipment, including components, into fully 9 functional electronic equipment for reuse. This includes 10 cleaning, data sanitization, software and hardware changes or 11 upgrading, fixing hardware faults, replacing or removing faulty 12 or unwanted components, remanufacturing, removal of identifying 13 labels or stickers and repurposing.

14 "Refurbisher." A person that refurbishes waste electronic 15 equipment.

16 "Retailer." A person with a nexus that offers electronic
17 equipment for sale by any means in this Commonwealth, other than
18 for resale by a consumer.

19 "Retail sales." The sale of electronic equipment by a20 retailer.

"Sale." A transfer for consideration of title. The term includes, but is not limited to, a transaction conducted through a sales outlet, catalog, the Internet or any other similar electronic means. The term does not include a lease.

25 "Secretary." The Secretary of Environmental Protection of 26 the Commonwealth.

27 "Site operator." A person that operates a convenience28 center.

29 "State default plan." The plan developed by the department,30 or its agent, for infrastructure development, collection,

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consolidation, transportation and recovery of waste electronic
 equipment throughout this Commonwealth. The plan outlines
 administrative, financial and operating duties and identifies
 the entities responsible for each.

5 "Television." Electronic equipment which contains a tuner that locks on to a selected carrier frequency and is capable of 6 receiving and displaying television or video programming via 7 8 broadcast, cable or satellite, including a direct view or projection television with a viewable screen of four inches or 9 10 larger with display technology that is based on cathode ray 11 tube, plasma, liquid crystal, digital light processing, liquid crystal on silicon, silicon crystal reflective display, light 12 13 emitting diode or similar technology marketed and intended for 14 use by a consumer primarily for personal purposes. The term does not include a mobile phone. 15

16 "Television manufacturer." A person that is a manufacturer 17 of televisions.

18 "Transportation." The transfer of waste electronic equipment 19 from a convenience center to a recycler, refurbisher, processor 20 or end management facility.

"Transporter." A person that provides transportation.

21

22 "Treatment." A method, technique or process, including 23 neutralization, designed to change the physical, chemical or 24 biological character or composition of waste to neutralize the 25 waste or to render the waste nonhazardous, safer for transport, 26 suitable for recovery, suitable for storage or reduced in volume. The term includes an activity or process designed to 27 28 change the physical form or chemical composition of waste to 29 render it neutral or nonhazardous.

30 "Voluntary take-back program." A self-initiated program by a 20170SB0800PN1041 - 12 -

manufacturer that accepts waste electronic equipment for 1 2 recycling or refurbishment from a customer. 3 "Waste electronic equipment." A television, computer, monitor or peripheral which a consumer returns to a collection 4 site or a curbside collection program that replaces a collection 5 6 site. 7 "Waste electronic equipment fee." A fee which is paid to a 8 retailer by the consumer at the time of original purchase of electronic equipment to offset the cost of administration and 9 10 enforcement of this act and support the development of the convenience center infrastructure. 11 12 CHAPTER 3 ENVIRONMENTALLY SOUND 13 14 MANAGEMENT REQUIREMENTS 15 Section 301. Management standards. 16 All waste electronic equipment under this act: 17 Shall be managed in a manner that complies with all (1)18 applicable Federal, State and local laws, regulations and 19 ordinances. 20 (2) May not be exported out of the United States for 21 recycling, processing or disposal in a manner that poses a significant risk to the public health or the environment. 22 23 Section 302. Disposal ban. 24 (a) General rule.--No person may place in municipal solid 25 waste any waste electronic equipment or any of its components, 26 except nonhazardous residuals produced during recycling or processing in a solid waste disposal facility and as provided in 27 28 section 303. 29 (b) Violation. -- An owner or operator of a solid waste 30 disposal facility shall not be found in violation of this 20170SB0800PN1041 - 13 -

1 section if the owner or operator has:

2 (1) Made a good faith effort to comply with this3 section.

4 (2) Posted in a conspicuous location at the facility a
5 sign stating that waste electronic equipment or any of its
6 components are not accepted at the facility.

7 (3) Notified, in writing, all collectors registered to
8 deposit solid waste at the facility that waste electronic
9 equipment or its components are not accepted at the facility.

10 (4) A program in which waste electronic equipment is
11 diverted to another area of the facility for consolidation
12 and transportation.

13 (c) Definition.--For purposes of this section, the term 14 "facility" shall have the same meaning given to it in section 15 103 of the act of July 7, 1980 (P.L.380, No.97), known as the 16 Solid Waste Management Act. The term does not include a transfer 17 station.

18 Section 303. Glass management.

(a) Leaded glass.--Leaded glass resulting from the processing and recycling of waste electronic equipment may be managed by a facility permitted for the management, storage or disposal of discarded materials provided that the leaded glass meets the waste acceptance plan or processing criteria specified in the facility's permit conditions.

(b) Limitation.--For land disposal, dedicated retrievable cells or alternate cover, the leaded glass must not exceed the United States Environmental Protection Agency's lead concentration limits for Sub-Title D facilities.

(c) Other hazardous or toxic metals.--When design andtechnological changes in the glass utilized in electronic

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equipment result in other hazardous or toxic metals of equal
 environmental concern as lead, as determined by the department,
 subsections (a) and (b) shall apply for each.

4 Section 304. Operating requirements.

5 (a) General rule.--An entity shall comply with all Federal, 6 State and local laws and obtain all permits, licenses and 7 authorizations necessary for the entity's operations to engage 8 in the collection, consolidation, transportation, refurbishment, 9 recycling, processing and disposal of waste electronic 10 equipment.

(b) General permit.--Recyclers of waste electronic equipment shall obtain the applicable general permit from the department or, if located in another state, meet the same standards required in the department's general permit.

15 (c) Convenience centers.--Convenience centers shall meet the 16 operating and safety standards established by the department.

17 Chapter 4

18

CONSUMER FEES AND CHARGES

19 Section 401. Discarded electronic equipment.

20 No consumer shall be charged for the collection,

21 consolidation, transportation and recovery of waste electronic

22 equipment returned except as provided in sections 503(c)(1)(iii)

23 (A) and (d)(3)(ii)(A) and 511(b)(3).

24 Section 402. Waste electronic equipment fee.

For all purchases of electronic equipment, a seller shall collect a waste electronic equipment fee at the time of sale. The fee shall be equal to 0.5% of the full retail purchase price, excluding sales tax, and will be used for the purposes provided in this act.

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2 Section 501. Applicability.

3 The collection, consolidation, transportation and recovery 4 provisions of this act shall apply to waste electronic equipment 5 used by and collected from a consumer residing in this 6 Commonwealth.

7 Section 502. Existing infrastructure.

8 (a) Use.--The collection, consolidation, transportation and 9 recovery system under this section shall use, if possible, the 10 existing collection, consolidation, transportation and recovery 11 infrastructure operating within this Commonwealth for handling 12 waste electronic equipment, including:

13

(1) Electronic recyclers and refurbishers.

14 (2) Local governments, not-for-profit corporations and15 other suitable operations engaged in collection,

16 consolidation or transportation of waste electronic

17 equipment.

(b) Local governments.--Counties, municipalities and municipal authorities are not required to act as collectors and consolidators, but may enter agreements to serve in that capacity.

22 Section 503. State default plan.

23 (a) Development.--The department, or a person delegated, 24 assigned or with a contract to perform duties relating to the 25 disposal of waste electronic equipment under the supervision of the department, shall develop a system, known as the State 26 27 default plan, for collection, consolidation, transportation, 28 processing and final management of waste electronic equipment. 29 Provision of services. -- The State default plan shall (b) 30 provide services to all counties not covered under an approved

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1 individual alternative plan or joint alternative plan under this 2 act.

3 (c) Convenience centers.--

4 (1) The State default plan shall include the following 5 requirements:

6 (i) One convenience center location in each county 7 of this Commonwealth.

8 (ii) A convenience center shall collect all waste 9 electronic equipment without restriction as to type or 10 manufacturer.

(iii) A convenience center shall be open to accept all waste electronic equipment at hours convenient to the public at a minimum of once per month throughout the full program year and may provide:

15 (A) Pickup of waste electronic equipment at a
16 consumer's home on an as-needed basis. A service fee
17 may be charged, which shall not include the cost of
18 recycling and processing.

(B) Pickup of waste electronic equipmentcollected at a municipal satellite center.

21 (iv) A convenience center shall be sufficiently 22 staffed for and designed to accept waste electronic 23 equipment and facilitate safe access and unloading of 24 consumer vehicles.

(v) A convenience center shall be sufficiently
staffed for the consolidation of the waste electronic
equipment and to prepare it for transportation.

(vi) A convenience center shall be designed to allow
for safe loading of transport trailers or other long-haul
transport vehicles appropriate for the location and to

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provide proper storage of a minimum of a full truckload
 of the waste electronic equipment.

3 (vii) A convenience center must be publicized in
4 sufficient detail and frequency to allow consumers to
5 learn how to return waste electronic equipment. Print,
6 radio and television advertising shall specify an
7 Internet website address or toll-free telephone number
8 that provides information about the location, hours of
9 operation and the items accepted for collection.

10 (2) Where the collection of waste electronic equipment 11 is anticipated to be low in volume, a county may, rather than 12 operate a convenience center:

(i) Operate a satellite center for the collection ofwaste electronic equipment under subsection (d).

(ii) Enter into an intergovernmental agreement with
a convenience center in a neighboring county to accept
waste electronic equipment from the satellite center.

18 (iii) Transport the waste electronic equipment from19 the satellite center to the convenience center.

20 (iv) Enter into an agreement with a convenience
21 center, a municipality, an authority or a nonprofit
22 organization to operate a satellite center.

23 (d) Municipal satellite centers and curbside collection 24 programs.--

(1) The State default plan shall not prevent a
municipality from operating a satellite center or a curbside
collection program for the collection of waste electronic
equipment.

29 (2) Satellite centers shall meet all the criteria for a
 30 convenience center in subsection (c) except subsection (c) (1)

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(v) and (vi) regarding material handling. The following shall
 apply:

(i) materials from the satellite center shall be: 3 delivered by the municipality to the 4 (A) 5 convenience center for consolidation and 6 transportation; removed from the satellite center and 7 (B) 8 transferred to the convenience center for 9 consolidation and transportation by the convenience 10 center site operator; or 11 if agreed to in advance, delivered by the (C) 12 municipality directly to the contractor designated 13 for the county under either the State default plan, 14 individual alternative plan or a joint alternative 15 plan. 16 (ii) (Reserved). (3) A municipality may implement a curbside collection 17 18 program that: 19 (i) Collects all waste electronic equipment without 20 restriction to type, brand, size or manufacturer. 21 Provides throughout the calendar year a minimum (ii) 22 of one regularly scheduled collection per month. The 23 following shall apply: 24 A collection service fee for each item of (A) 25 waste electronic equipment collected may be charged 26 to the consumer for the curbside pickup.

27 (B) The service fee shall be established in28 advance.

29 (C) The service fee shall not include the cost30 of recycling and processing.

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1 (D) A consumer that does not place items at the 2 curbside for collection shall not be charged. 3 (iii) A municipality that opts to offer curbside 4 collection using a commercial service provider shall 5 conduct its own competitive bid process to establish the 6 fee for the collection services.

7 (iv) The items collected under a municipality's
8 curbside collection program shall be:

9 (A) delivered by the collector to the 10 convenience center of the county in which the 11 municipality is located; or

(B) if agreed to in advance, directly delivered
to the contractor designated for the county under
either the State default plan, individual alternative
plan or a joint alternative plan.

16 (4) Nothing in this section shall prevent a county,
17 municipal authority or municipality to conduct one-day
18 collection events for waste electronic equipment, provided it
19 meets the criteria of subsection (c) (1) (iv) and section 401.

20 (5) A manufacturer shall have no financial obligation to 21 compensate a county, municipal authority or municipality for 22 the cost of the programs and services under paragraph (2), 23 (3) or (4).

(6) Materials under paragraph (2) (i) (A), (B) and (C) and
(3) (iv) (A) and (B) shall be factored into the manufacturers'
financial obligation for the cost of collection,
consolidation, transportation, recovery, recycling and
processing whether the county convenience center is part of
the State default plan, individual alternative plan or a
joint alternative plan.

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Section 504. Grant funding for convenience center development.
(a) Grant program.--To support the creation of a Statewide
collection and consolidation infrastructure for waste electronic
equipment, a grant program shall be offered and funded through
money in the fund.

6 (b) Eligibility.--Grant funding shall be limited to the7 following:

8 (1) The initial development, construction or renovation 9 of land and structures owned by a county, municipal authority 10 or municipality to establish a convenience center in the 11 county.

12 (2) Start-up and replacement equipment for
13 consolidating, moving, lifting, loading and weighing waste
14 electronic equipment.

15 (3) Vehicles to collect waste electronic equipment from16 satellite centers.

17 (4) To repair or expand convenience centers once18 established.

19 (5) When and if sufficient money is available in the20 fund, improvements to satellite centers.

21 Section 505. Convenience Center Network.

(a) Establishment.--To establish the network of convenience
centers in the State default plan, within 90 days of the
effective date of this section, the department or its agent
shall issue to counties and municipal authorities a Request for
Expression of Interest (RFEI) to host and operate a convenience
center required by this act.

28 (b) Based on the responses to the RFEI, the department or 29 its agent shall:

30 (1) Identify the location of land or buildings owned by 20170SB0800PN1041 - 21 - a county, municipal authority or municipality that meet or
 could be renovated to meet the site design and operating
 criteria for convenience centers.

4 (2) Determine the convenience centers which will be
5 operated by the local government and those that will be owned
6 by local government but operated by a contractor. A county or
7 a municipal authority may designate a nonprofit organization
8 to operate a convenience center.

9 (3) Identify the municipalities desiring to establish
10 satellite centers or implement curbside collection programs.

11 (4) Where no local-government-owned property is 12 available, determine sites that meet the design and operating 13 criteria for convenience centers and that could be 14 established by nonprofit organizations, retailers or private 15 businesses.

Section 506. Transportation and recovery of waste electronic equipment from convenience centers.

18 The State default plan shall include:

19 (1) A method of transportation and resources sufficient
20 to handle the volume and frequency of consolidated waste
21 electronic equipment from the convenience centers to the
22 point of recycling or processing in a timely manner.

(2) The name, address and contact information of thetransporter that will provide the services.

(3) A recovery method for processing, treatment,
recycling or refurbishment, and a final management and
resources and capacity sufficient to receive and handle the
volume and frequency of consolidated waste electronic
equipment transported from convenience centers.

30 (4) The names, addresses and contact information of the

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recyclers, processors, refurbishers and other management
 facilities that will provide the services utilized.
 Section 507. Procurement of services for State default plan.
 (a) Duties of department.--The department or its agent shall
 develop the service specifications to procure services necessary
 to operate the State default plan.

7 Invitation to bid. -- By August 30, 2018, and on the same (b) 8 date every three years thereafter, in coordination with the Department of General Services, the department shall issue an 9 10 invitation to bid in accordance with 62 Pa.C.S. § 512 (relating 11 to competitive sealed bidding) to collect, transport and recycle 12 and process the waste electronic equipment collected at 13 convenience centers, including the management of residuals 14 resulting from the process.

15 (c) Services.--Site operation, transportation, processing 16 and recovery shall be considered integrated services for bidding 17 purposes.

18 (d) Rates.--

19 When a county or municipal authority is the operator (1)20 of a convenience center, the county or municipality shall be 21 considered a contractor with the same flat rate per pound for 22 site operation. The county's rate shall be shown as a 23 separate line item not factored into the bidder's price. The 24 county or municipal authority assigned rate will be added to 25 the successful bidder's price to calculate the total cost per 26 pound and the projected total cost per site. The total 27 projected cost per site will be used to calculate the initial 28 manufacturer's financial obligation.

29 (2) The county's flat rate per pound will be determined
30 by an independent committee of waste electronic equipment

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stakeholders selected by the department and will be based on the average industry cost calculated to provide the minimum standard site operating criteria established under this act. Any additional cost for collecting and transferring waste electronic equipment from a satellite center to a convenience center shall not be included and may be negotiated between the convenience center and the satellite center.

8 (e) Solicitation of bids.--The department may solicit bids 9 for the integrated services on a per-county or regional basis 10 depending on needs and circumstances. Single bids to provide 11 Statewide service shall not be solicited.

12 (f) Requirements.--Qualified contractors and subcontractors 13 shall meet the same standards and criteria required under 14 section 506.

(g) Awarding bids.--Bids shall be awarded as provided under Awarding bids.--Bids shall be awarded as provided under Pa.C.S. § 512 for one-third of the sites no later than October 30, 2018, for one-third of the sites no later than November 30, 2018, and for the final one-third of the sites no later than December 30, 2018, and on the same day every three years thereafter.

(h) Commencement of services.--Service shall commence on January 1, 2019, for contracts awarded on October 30, on February 1, 2019, for contracts awarded on November 30 and on March 1, 2019, for contracts awarded on December 30, 2019, and on the same day every three years thereafter.

26 Section 508. Qualifications of service providers.

(a) Requirements.--A contractor and its subcontractors must
have the skills, experience, qualifications and resources to
perform the duties required under this act.

30 (b) Site operators.--Site operators shall:

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(1) Meet all Federal, State and local laws and maintain
 current registrations, licenses and insurances.

3 (2) Have sufficient equipment in good working order and 4 trained personnel to provide reliable and timely collection 5 and consolidation of waste electronic equipment at 6 convenience centers.

7 (c) Transporters.--Transporters shall:

8 (1) Meet all Federal, State and local laws and maintain 9 current registrations, licenses and insurances.

10 (2) Have sufficient equipment in good working order and 11 trained personnel to provide reliable and timely transfer of 12 waste electronic equipment collected and consolidated at 13 convenience centers.

14 (d) Recyclers, processors and refurbishers.--Recyclers,15 processors and refurbishers shall:

16 (1) Demonstrate proof of certifications, permits,
17 licenses or other authorizations equivalent to those required
18 by this Commonwealth for service providers to perform
19 activities under this act.

(2) Provide a detailed description of the processes that
 will be used to recycle, process or refurbish the waste
 electronic equipment.

23 (3) Provide a specific plan to manage CRT leaded glass.24 The following shall apply:

(i) The plan shall provide for processing, treatment
recycling or, alternatively, final management in
accordance with all applicable Federal and State laws,
within one year.

(ii) If direct use of the glass is to be used in a
 manufacturing process, the department shall approve or

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disapprove this end use and provide public notification
 of the same.

3 (iii) The plan shall identify each service provider
4 in the chain of management of the CRT leaded glass.

5 (iv) The name, location and contact information of 6 the service provider.

7 (v) Proof of certifications, permits, licenses or
8 other authorizations required for the service provider to
9 perform activities under this act.

10 (vi) A detailed description of the processes that 11 will be used to recycle, process, treat or manage CRT 12 leaded glass.

13 (vii) When design and technological changes in the 14 glass utilized in electronic equipment result in other 15 hazardous or toxic metals of equal environmental concern 16 as lead, as determined by the department, the plan shall 17 also include a description of how they will be managed. 18 Section 509. Alternatives to State default plan.

19 (a) Other plans.--Beginning on January 1, 2018, and no later 20 than March 15, 2018, and on the same dates every three years thereafter, a manufacturer or a group or groups of manufacturers 21 may petition the department with an individual alternative plan 22 23 or a joint alternative plan to fully fund and operate the 24 collection, consolidation, transportation and recovery systems 25 on a countywide basis in a specific county provided the county has given consent and expressed an intent to enter an agreement 26 with a manufacturer. The following shall apply: 27

(1) For an individual alternative plan, the petition
must include a statement executed by an officer or authorized
representative of the manufacturer which commits the

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manufacturer to operate and fund the individual alternative
 plan and the remaining share of the State default plan.

3 (2) For a joint alternative plan, the petition must 4 include a legally binding agreement that establishes the 5 commitment of each participant in the joint alternative plan 6 to operate and fund the joint alternative plan and the 7 remaining share of the State default plan.

8 (3) An agreement for a joint alternative plan must be 9 executed by an officer or an authorized representative of 10 each of the manufacturers participating in the joint 11 alternative plan.

12 (b) Participation requirements.--An individual manufacturer 13 with less than a 10% market share and who is not part of a joint 14 alternative plan with a combined participant market share of 10% 15 must fully participate in the State default plan.

(c) Liability.--A manufacturer participating in an
individual alternative plan or joint alternative plan
individually and collectively assumes the full environmental and
pollution liability for collection, consolidation,
transportation, recycling, processing and final management of
the waste electronic equipment collected under the individual
alternative plan or joint alternative plan.

(d) Plan criteria.--Individual alternative plans and joint alternative plans shall follow the same three-year cycle as the State default plan and shall meet all the criteria of the State default plan and meet the following conditions:

27 (1) Each plan shall specify each county giving consent
28 and approval of the individual alternative plan or joint
29 alternative plan which would assume responsibility of
30 operation in the county.

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1 (2) Where identified by the department's RFEI, the 2 individual alternative plan or joint alternative plan shall 3 enter a legally binding agreement with the county or 4 municipal authority to do the following:

5 (i) Pay the county or municipal authority for the 6 cost in operating the convenience center or compensate 7 the county or municipal authority for use of the 8 convenience center and perform the duties with other site 9 operators.

10 (ii) At no cost to the county or municipal 11 authority, remove, transport, recycle and process all 12 waste electronic equipment received and consolidated at 13 the convenience center.

14 (iii) Compensate the county or municipal authority 15 for the cost to deliver waste electronic equipment from 16 satellite centers to a convenience center.

17 Where county or municipal authority sites are not (3) 18 available, the individual alternative plan or joint 19 alternative plan will be responsible for all costs associated 20 with establishing and operating a convenience center, 21 compensating a municipality for waste electronic equipment 22 delivered from satellite centers and removing, transporting, 23 recycling and processing all waste electronic equipment 24 received and consolidated at a convenience center.

(4) For each county, the individual alternative plan or
joint alternative plan shall identify the specific service
providers and each service provider's role in handling waste
electronic equipment from that county, as well as the
information required under sections 504 and 506.

30 (5) The individual alternative plan or joint alternative 20170SB0800PN1041 - 28 - plan shall also include information demonstrating the process by which the individual alternative plan or joint alternative plan will attain and document in the first year the minimum pounds per capita recovery goal for waste electronic equipment established by the department for each specified county.

7 (e) Discount.--If the individual alternative plan or joint 8 alternative plan meets or exceeds the minimum per capita 9 recovery goals for the county established by the department, the 10 manufacturer or group of manufacturers shall receive the 11 discount under section 607(b) credited toward its financial 12 obligations for the State default plan in the next year. 13 Section 510. Approval of alternative plans.

14 (a) Department review.--The department shall review an
15 individual alternative plan or joint alternative plan submitted
16 under section 509 and, within 60 days of receipt of the plan,
17 determine whether the plan complies with the provisions of
18 Chapter 5.

19 (b) Notice to proceed.--If the department approves the 20 individual alternative plan or joint alternative plan, the 21 department shall notify the manufacturer or group of 22 manufacturers with a notice to proceed.

(c) Multiple submissions.--If more than one individual alternative plan or joint alternative plan is submitted for the same county, the department shall rate the proposed plans based on the quality and methodology to meet the criteria under Chapter 5 and to attain the desired goals. The individual alternative plan or joint alternative plan with the highest rating will be issued a notice to proceed.

30 (d) Submission of agreements.--Within 60 days of receipt of 20170SB0800PN1041 - 29 - 1 the notice to proceed, the manufacturer or group of 2 manufacturers of the individual alternative plan or joint 3 alternative plan shall submit to the department copies of 4 executed agreements with all collection, consolidation, 5 transportation and recovery service providers listed in the 6 individual alternative plan or joint alternative plan.

7 (e) Rejection.--If the department rejects the individual 8 alternative plan or joint alternative plan, the department shall 9 notify the manufacturer or group of manufacturers and provide 10 the reasons for the plan's rejection. Rejection of a plan shall 11 be based on the plan's failure to meet the criteria under this 12 subsection. The following shall apply:

(1) Within 30 days after receipt of the department's
rejection, the manufacturer or group of manufacturers may
revise and resubmit the individual alternative plan or joint
alternative plan to the department for approval.

17 (2) The department shall review and approve or deny the
18 revised individual alternative plan or joint alternative plan
19 within 30 days of receipt of resubmission.

20 Section 511. Take-back programs.

(a) General rule.--A manufacturer may implement a voluntary
take-back program in which a consumer may return waste
electronic equipment for recycling or refurbishment. A voluntary
take-back program is not a substitute for, nor does it qualify
as, an individual or joint alternative plan.

26 (b) Programs.--A voluntary take-back program:

(1) May receive waste electronic equipment from a
 consumer at brick and mortar locations or through the United
 States Postal Service or other commercial delivery services.
 (2) May establish its own operating hours and frequency

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1 of collection.

2 (3) May collect a handling fee from a consumer who
3 utilizes the voluntary take-back program to manage the
4 consumer's waste electronic equipment.

5 (4) Shall accept all waste electronic equipment
6 regardless of type, brand, manufacturer or size.

7 (c) Restrictions.--Waste electronic equipment collected in a8 take-back program:

9 (1) May not be delivered to a satellite center or a 10 convenience center for consolidation and transportation.

11 (2) May not be factored into the manufacturer's12 financial obligation under the State default plan.

13 (3) Shall be managed in accordance with all Federal,14 State and local laws and regulations.

(d) Discount.--Up to 10% of the waste electronic equipment received through the voluntary take-back program and for which there was no handling fee may be calculated under section 607 (b) (2). To be eligible for a discount under section 607(b) (2) (ii), proof is required for the consumer transactions and for the waste electronic equipment documentation of transportation and recovery, both in the format established by the department.

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23

RESPONSIBILITIES OF MANUFACTURERS

CHAPTER 6

24 Section 601. Registration.

(a) Requirement.--Before a manufacturer may offer electronic
equipment for sale in this Commonwealth, the manufacturer must
register with the department.

(b) Filing.--On or before September 1, 2017, or six months
after the effective date of this section, whichever is later, if
a manufacturer has not previously filed a registration under the

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1 act of November 23, 2010 (P.L.1083, No.108), known as the 2 Covered Device Recycling Act. The manufacturer shall file a 3 registration as prescribed by the department 60 days prior to 4 any offer for sale or delivery of the manufacturer's new 5 electronic equipment.

6 (c) Renewal.--A registered manufacturer shall submit an 7 annual renewal of its registration as prescribed by the 8 department on or before September 1 each year. The registration 9 and each annual renewal shall include a list of all brands the 10 manufacturer is using on its electronic equipment regardless of 11 whether the manufacturer owns or licenses the brand and shall be 12 effective upon receipt by the department.

13 Section 602. Brands with multiple manufacturers.

(a) Multiple manufacturers.--Where more than one person may be deemed the manufacturer of a brand of electronic equipment in accordance with this act, any one or more persons may assume responsibility for and satisfy the obligations of a manufacturer with respect to waste electronic equipment bearing that brand.

19 (b) No responsible person.--If no person assumes 20 responsibility for and satisfies the obligations of a manufacturer under this act with respect to electronic equipment 21 bearing that brand, for purposes of compliance with these 22 23 provisions, the responsible party shall be the person who 24 satisfies the definition of manufacturer under Chapter 2 or the 25 electronic equipment will be deemed by the department to be 26 orphan waste electronic equipment.

(c) Liability.--Nothing in this act shall be construed to exempt a person from liability that a person would otherwise have under applicable law.

30 Section 603. Brand identification.

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No manufacturer or retailer may sell new electronic equipment
 unless it is labeled with the manufacturer's brand, whether
 owned or licensed.

4 Section 604. Declaration of plan participation.

5 (a) Participation requirement.--A manufacturer shall 6 participate in a State default plan, established by the 7 department or its agent, or enter an individual alternative plan 8 or joint alternative plan that meets the requirements for the 9 collection, consolidation, transportation and recovery systems 10 under Chapter 5.

11 Declaration of intent. -- At the time of registration and (b) renewal or by June 1, whichever comes sooner, during the year 12 13 pending the expiration of the three-year service provider 14 contracts for the State default plan, each manufacturer shall 15 declare its intent for the upcoming three-year cycle to 16 participate in a State default plan, or enter an individual alternative plan or a joint alternative plan. 17 Section 605. Financial obligation of manufacturer. 18 19 (a) Costs.--A manufacturer shall cover the full cost under 20 the State default plan for collection, consolidation, transportation, recovery and final management of all waste 21 electronic equipment used by and collected from consumers except 22

23 as provided for in sections 402 and 503(c)(1)(iii)(A) and (d).

(b) Determination.--The total costs shall be determined by acompetitive sealed bidding process.

26 (c) Allocation.--The total costs shall be allocated 27 proportionately to each manufacturer as follows:

(1) Manufacturers shall submit the total approximate
 weight of electronic equipment which they sold nationally in
 the previous calendar year.

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1 (2) The department shall establish the percentage of the 2 total population of the United States that is represented by 3 Pennsylvania.

4 (3) Using the population as an indicator of sales, the 5 percentage calculated in paragraph (2) shall be applied to 6 the weight of each manufacturers total sales to determine the 7 weight of the waste electronic equipment sold in 8 Pennsylvania.

9 (4) Each manufacturer's weights shall be added together 10 to determine the cumulative weight of waste electronic 11 equipment sold.

12 (5) The financial obligation for each manufacturer shall 13 be allocated based on its percentage of the cumulative total 14 weight of all manufacturers' waste electronic equipment.

(6) The financial obligation includes the costs for
collection, consolidation, transportation, recovery and final
management for all waste electronic equipment regardless of
type, brand or manufacturer, including orphan electronic
equipment for which the manufacturer may no longer exist.
Section 606. Methods and schedule of payments for State default
plan.

(a) Deposit of funds.--Funds for the State default plan
shall be submitted to the department and deposited into the
account.

25

(b) Payments. -- The following shall apply:

(1) On or before February 15, 2019, a manufacturer shall
submit for deposit 35% of its allotted financial obligation
for the total estimated cost of the geographic areas
remaining in the State default plan for the next program
year, as determined by the department or its agent.

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1 (2) On or before May 15, 2019, and on the same day every 2 year thereafter, a manufacturer shall submit for deposit 35% 3 of its allotted financial obligation for the total estimated 4 cost of the State default plan as determined by the 5 department or its agent.

6 (3) On or before August 15, 2019, and on the same day 7 every year thereafter, a manufacturer shall submit for 8 deposit 15% of its allotted financial obligation for the 9 total estimated cost of the State default plan as determined 10 by the department or its agent.

(4) On or before November 15, 2019, and on the same day every year thereafter, a manufacturer shall submit for deposit 15% of its allotted financial obligation for the total estimated cost of the State default plan as determined by the department or its agent.

16 (5) On or before January 15, 2020, and on the same day 17 every year thereafter, the department or its agent will 18 determine whether a deficit or an overage will occur in the 19 account and shall notify the manufacturer of any adjustments 20 that will be due in its February 15 payment installment or 21 any amounts that may be credited toward the next program 22 year.

(6) On or before February 15, 2020, and every year
thereafter, a manufacturer shall submit for deposit 35% of
the manufacturer's allotted financial obligation for the
total estimated cost of the State default plan for the next
program year, based on the cost of pounds collected in the
previous year plus or minus any adjustments, as determined by
the department.

30 Section 607. Adjustments and credits.

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(a) Adjustments.--Adjustments for section 606(b)(5) shall be
 2 based on the following:

3 (1) The actual cost of collecting waste electronic4 equipment in the State default plan throughout the year.

5 (2) Reductions in financial obligations credited to
6 individual alternative plan or joint alternative plan
7 participants.

8 (3) Increases in financial obligations to State default 9 plan participants that may result from individual alternative 10 plan or joint alternative plan credits.

11 (b) Credits.--Credits from section 509(e) shall be applied 12 as follows:

(1) If the individual alternative plan or joint alternative plan meets the per capita goals for its county established by the department, the manufacturer or group of manufacturers will receive a discount toward its financial obligation for the State default plan.

18

(2) The discount shall be determined by the following:

19 (i) Totaling all pounds of waste electronic
20 equipment collected in this Commonwealth from the State
21 default plan, all individual alternative plans and all
22 joint alternative plans.

(ii) Dividing the pounds from the individual
alternative plan or the joint alternative plan by the
total tons collected to determine each plan's percentage
of the total.

(iii) The percentage represented by the individual
alternative plan or the joint alternative plan shall be
applied as a deduction to the original financial
obligation allotted to the manufacturer or the group of

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manufacturers for the State default plan.

(c) Costs.--The manufacturer or group of manufacturers in
the individual alternative plan or joint alternative plan shall
be responsible for covering the cost of whatever remaining
percentage of the manufacturer's original allotted financial
obligation remains to the State default plan after the discount
has been applied.

8 Section 608. Reporting.

9 (a) General rule.--The manufacturer shall submit an annual 10 report to the department with its initial registration and on or 11 before March 1 each year thereafter.

12 (b) Brands.--The manufacturer's annual report shall include 13 information for all brands under which its electronic equipment 14 is offered for sale, regardless of whether the manufacturer owns 15 or licenses the brand.

16 National sales data.--The report shall consist of an (C) estimate of the total weight of the manufacturer's electronic 17 18 equipment sold to consumers nationally during the previous year. 19 National sales data submitted by a manufacturer to the 20 department to determine and fulfill its obligations shall be exempt from disclosure under the provisions of the act of 21 February 14, 2008 (P.L.6, No.3), known as the Right-to-Know Law, 22 23 and shall not be disclosed by the department unless otherwise 24 required by law or court order.

(d) Quarterly reports.--When a manufacturer or group of manufacturers participates in an individual alternative plan or joint alternative plan, the manufacturer or group of manufacturers shall submit a quarterly report to the department on or before February 1, May 1, August 1, December 1 and on the same day each year thereafter. The reports shall be organized by

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1 county and provide the following:

2 (1) The total weight for each type of waste electronic
3 equipment collected during the previous quarter in each
4 county.

5 (2) Documentation verifying the date and the weight 6 waste electronic equipment transported from the convenience 7 center.

8 (3) Documentation verifying date, name and location of 9 the recycler or processor and the weight of the waste 10 electronic equipment received.

11

## CHAPTER 7

12

## RESPONSIBILITIES OF RETAILERS

13 Section 701. Duty of retailers to consult list.

(a) General rule.--Beginning no later than 12 months after the effective date of this section, a retailer of new electronic equipment to be offered for sale in this Commonwealth shall consult the list prior to selling new electronic equipment in this Commonwealth.

(b) Compliance.--A retailer shall be considered to have complied with subsection (a) if, on the date that the new electronic equipment was ordered by the retailer, the brand was on the list of registered manufacturers and is posted on the publicly accessible Internet website identified in section 801(a)(1).

(c) Collection of fee.--Retailers shall collect the wasteelectronic equipment fee under section 402.

(d) Submission of fees.--No later than the 15th day of each month, a retailer shall submit the total fees collected for electronic equipment sold in the previous month, less 1¢ per item for the retailer's cost in administering the fee. Retailers

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shall submit the fees to the department to be deposited into the
 fund.

3 (e) Quarterly report.--On or before January 31, April 30, 4 July 31 and October 31 a retailer shall submit a quarterly 5 report to the department or its agent that includes the 6 quantities of each type of electronic equipment sold in the 7 previous quarter and the fees collected for each.

8

9

## CHAPTER 8

### RESPONSIBILITIES OF

10 DEPARTMENT OF ENVIRONMENTAL PROTECTION 11 Section 801. Duties of department.

12 (a) General rule.--The department shall perform the13 following duties:

14 (1)Compile and maintain a list of each registered 15 manufacturer, the brands of all waste electronic equipment 16 reported in each manufacturer's registration and the brands 17 of waste electronic equipment for which no manufacturer has 18 registered and post the list on the department's publicly accessible Internet website. The list shall be updated within 19 20 60 days after receipt of a new manufacturer registration or the manufacturer's annual renewal. 21

(2) Encourage the use of the existing collection and
consolidation infrastructure for handling waste electronic
equipment to the extent that the infrastructure is accessible
on a regular basis to the entire population of this
Commonwealth, is cost effective and meets the environmentally
sound management requirements under Chapter 3.

(3) Develop and implement the State default plan for
 collection, consolidation, transportation and recovery under
 Chapter 5.

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1 (b) Delegation of duties.--The department may assign, 2 delegate to or contract with another person, agency or 3 organization to perform one or more of the duties related to the 4 development and implementation of the State default plan under 5 the supervision of the department, including, but not limited 6 to, the following:

7 (1) identifying existing or potential locations for8 convenience centers and satellite centers;

9 (2) identifying how counties, municipalities, nonprofit 10 organizations and other service providers may be utilized as 11 part of the collection, consolidation, transportation and 12 recovery system;

13 (3) designing specifications, contracts and service 14 agreements;

15 (4) coordinating the solicitation and making 16 recommendations to the department for awarding bids for 17 services needed to implement the collection, consolidation, 18 transportation and recovery system;

(5) serving as the primary liaison between the convenience centers and the transporters and the recyclers and processors to the collection, consolidation, transportation and recovery system;

(6) reviewing and making recommendations to the
department for all individual alternative plans and joint
alternative plans submitted by a manufacturer or group of
manufacturers for the collection, consolidation,
transportation and recovery of waste electronic equipment;
and

(7) coordinating the implementation of all approved
 individual alternative plans and joint alternative plans and

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1 informing the department when action is required to ensure 2 compliance with approved plans.

3 Section 802. Annual report.

4 (a) General rule.--The department shall prepare and submit
5 annually to the General Assembly and post on its publicly
6 accessible Internet website a report that includes:

7 (1) The total weight of waste electronic equipment
8 collected in this Commonwealth during the previous calendar
9 year.

10 (2) A complete listing of all convenience centers, 11 satellite centers and curbside collection programs operating 12 in this Commonwealth during the prior calendar year, whether 13 they were part of the State default plan or an individual 14 alternative plan or a joint alternative plan, the parties 15 that operated them and the types and amounts of material by 16 weight collected at each.

17 (3) An evaluation of the effectiveness of the education
18 and outreach program conducted by the convenience centers,
19 satellite centers, curbside collection programs and the
20 department.

(4) An evaluation of the existing collection,
consolidation, transportation and recovery infrastructure.
(b) Recommendations.--Every three years, the annual report
shall include recommendations for the following:

25

(1) Any improvements to the program.

(2) The inclusion of additional electronic equipment.
(c) Analysis of recommendations.--Recommendations shall be
accompanied by an analysis of the positive and negative aspects
along with a cost benefit analysis of the recommendations.
Section 803. Additional duties.

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1 The department shall do the following:

(1) Maintain a publicly accessible Internet website and
a toll-free telephone number complete with up-to-date
listings of where consumers may take waste electronic
equipment for recovery under this act.

6 (2) No more than annually and no less than biennially, 7 review the amount of the waste electronic equipment fee. The 8 Environmental Quality Board may promulgate regulations to 9 adjust fees so that the fees generate sufficient revenue 10 reasonably necessary to administer the provisions of this 11 act.

12

13

#### CHAPTER 9

ENFORCEMENT AND PENALTIES

14 Section 901. Judicial action.

(a) Enforcement.--This Commonwealth, through the Attorney
General or the department, may initiate independent action to
enforce any provision of this act.

(b) Expenses.--Any funds awarded by a court shall be used first to offset enforcement expenses. Money in excess of the enforcement expenses shall be deposited into the fund when appropriate and used to support activities under this act. Section 902. Penalties.

23 (a) Failure to label. -- A manufacturer who fails to label its 24 new electronic equipment with a brand, as required by section 25 603, or who fails to register with the department under sections 26 601 and 602, or who fails to submit payments in accordance with 27 the criteria and schedule under section 606 may be assessed a 28 penalty of up to \$10,000 for the first violation and up to 29 \$25,000 for the second and each subsequent violation in addition to paying for any fees, payments and penalties imposed under 30

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1 this act. For a violation of section 606, a late fee of 5% of 2 the amount due may be assessed in addition to the other 3 penalties.

4 (b) Violations.--Except as otherwise provided under
5 subsection (a), a person, including a retailer, who violates a
6 requirement of this act may be assessed a penalty of up to
7 \$1,000 for the first violation and up to \$2,000 for the second
8 and each subsequent violation, in addition to paying for any
9 fees, payments and penalties imposed under this act.

10 (c) Plan criteria.--A manufacturer participating in approved 11 individual alternative plans or joint alternative plans that 12 fails to meet the criteria of the approved individual 13 alternative plan or joint alternative plan shall:

14 (1) Forfeit any credits or discounts that may have been15 applied to their obligations for the State default plan.

16 (2) Be assessed a fee equal to the quantity of the unmet
17 portion, in pounds, plus an additional 10% of that quantity,
18 multiplied by the cost per pound for collection,
19 consolidation, transportation and recovery of waste
20 electronic equipment established in the State default plan.
21 (d) Payments.--Payments of fees shall be as follows:

(1) Payments collected under subsection (c)(2) shall be deposited into the account and shall be used to fund the cost of reestablishing the geographic area into the State default plans and collecting the unmet portion of pounds proposed in the individual alternative plans or joint alternative plan.

(2) Penalty money collected under subsections (a) and
(b) shall be deposited into the account and used to support
the activities under this act.

30 (e) Injunctive relief.--A violation of the sales

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prohibitions of this act may be enjoined in an action in the 1 2 name of this Commonwealth, brought by the department or the 3 Attorney General. Section 903. Regulations. 4 5 The Environmental Quality Board may adopt rules and 6 regulations as shall be necessary for the purpose of 7 administering this act. The regulations shall be promulgated in 8 accordance with the act of June 25, 1982 (P.L.633, No.181), known as the Regulatory Review Act. 9 10 CHAPTER 10 PROGRAM FUNDING 11 12 Section 1001. Waste Electronic Equipment Fund. 13 (a) Establishment.--The Waste Electronic Equipment Fund is 14 established in the State Treasury. 15 Deposit of proceeds. -- All proceeds from penalties, (b) 16 judicial actions and the waste electronic equipment fee under this act shall be deposited into the fund. 17 18 (c) Uses.--The department may expend the money of the fund 19 for the purpose of: 20 (1) carrying out the duties imposed on the department or 21 its agent under this act; 22 (2) compensation for another person, organization or 23 agency delegated with some of the department's duties under 24 the act; or 25 to support a grant program for the initial cost of (3) 26 developing, constructing or renovating property owned by a 27 county, municipality or municipal authority and acquiring 28 related equipment to meet the minimum criteria for 29 convenience centers for waste electronic equipment under 30 section 504.

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1 Section 1002. State Default Plan Account. 2 Establishment.--The State Default Plan Account is (a) 3 established in the Waste Electronic Equipment Fund. Deposit of proceeds.--All payments from a manufacturer's 4 (b) obligation to fund the State default plan, including penalties 5 6 for failure to comply with an approved individual alternative 7 plan or a joint alternative plan, shall be paid into the 8 account. 9 (c) Uses.--The department or its agent may expend the money 10 from the account for the purpose of: 11 Paying providers for services rendered under the (1)12 State default plan. 13 (2)Paying for services required due to failure of 14 individual alternative plans or joint alternative plans. 15 CHAPTER 11 MISCELLANEOUS PROVISIONS 16 17 Section 1101. Multistate implementation. 18 The department may participate in the establishment and implementation of a regional, multistate organization or compact 19 20 to assist in carrying out the requirements of this act. 21 Section 1102. Expiration. 22 Federal law.--The secretary shall monitor the enactment (a) 23 of laws by the Congress of the United States to determine if a 24 law has been enacted that establishes a program directed at the 25 collection and recovery of waste electronic equipment. 26 Notice.--If a law is enacted under subsection (a), the (b) secretary shall publish notice in the Pennsylvania Bulletin. The 27 28 notice shall include a statement that the effect of the notice 29 is the immediate expiration of this act. Expiration.--This act shall expire on the date a 30 (C) 20170SB0800PN1041 - 45 -

1 determination by the secretary under subsection (a) is published 2 in the Pennsylvania Bulletin.

3 Section 1103. Repeal.

4 The act of November 23, 2010 (P.L.1083, No.108), known as the 5 Covered Device Recycling Act, is repealed.

6 Section 1104. Notice.

7 The Department of Environment Protection shall transmit
8 notice of the first contracted day of service of the State
9 default plan to the Legislative Reference Bureau for publication
10 in the Pennsylvania Bulletin.

11 Section 1105. Transfer.

Upon the effective date of the repeal under section 1103, all money not expended, encumbered or committed in the Electronic Materials Recycling Account established under section 510 of the act of November 23, 2010 (P.L.1083, No.108), known as the Covered Device Recycling Act, shall be transferred immediately to the State Default Plan Account established under section 18 1002.

19 Section 1106. Effective date.

20 This act shall take effect as follows:

21 (1) The repeal under section 1103 shall take effect upon 22 publication of notice under section 1104.

(2) The remainder of this act shall take effectimmediately.

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