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THE GENERAL ASSEMBLY OF PENNSYLVANIA

HOUSE BILL

No. 1469 Session of 2017

INTRODUCED BY HEFFLEY, McGINNIS, MILLARD, MALONEY, PHILLIPS-HILL, COX, WHEELAND, ZIMMERMAN AND MICCARELLI, MAY 31, 2017

AS AMENDED ON SECOND CONSIDERATION, HOUSE OF REPRESENTATIVES, JUNE 28, 2017

AN ACT

Amending the act of November 10, 1999 (P.L.491, No.45), entitled "An act establishing a uniform construction code; imposing 2 powers and duties on municipalities and the Department of 3 Labor and Industry; providing for enforcement; imposing penalties; and making repeals," in adoption and enforcement by municipalities, further providing for administration and enforcement-; AND, IN TRAINING AND CERTIFICATION OF <--INSPECTORS, FURTHER PROVIDING FOR TRAINING OF INSPECTORS. 8 9 The General Assembly of the Commonwealth of Pennsylvania hereby enacts as follows: 10 11 Section 1. Section 501 of the act of November 10, 1999 12 (P.L.491, No.45), known as the Pennsylvania Construction Code 13 Act, is amended by adding a subsection to read: 14 Section 501. Administration and enforcement. 15 * * * 16 SECTION 1. SECTION 501(B)(1), (2) AND (3) OF THE ACT OF <--NOVEMBER 10, 1999 (P.L.491, NO.45), KNOWN AS THE PENNSYLVANIA 18 CONSTRUCTION CODE ACT, ARE AMENDED AND THE SECTION IS AMENDED BY 19 ADDING SUBSECTIONS TO READ:

SECTION 501. ADMINISTRATION AND ENFORCEMENT.

- 1 * * *
- 2 (B) MUNICIPAL ADMINISTRATION AND ENFORCEMENT. -- THIS ACT MAY
- 3 BE ADMINISTERED AND ENFORCED BY MUNICIPALITIES IN ANY OF THE
- 4 FOLLOWING WAYS:
- 5 (1) BY THE DESIGNATION OF AN EMPLOYEE TO SERVE AS THE
- 6 MUNICIPAL CODE OFFICIAL TO ACT ON BEHALF OF THE MUNICIPALITY
- 7 FOR ADMINISTRATION AND ENFORCEMENT OF THIS ACT. A MUNICIPAL
- 8 CODE OFFICIAL MAY UTILIZE THIRD-PARTY AGENCIES TO SUPPLEMENT
- 9 THE MUNICIPAL CODE ENFORCEMENT PROGRAM'S PLAN REVIEW AND
- 10 INSPECTION SERVICES OR MAY UTILIZE THIRD-PARTY AGENCIES TO
- 11 PERFORM PLAN REVIEW AND INSPECTION SERVICES IN CATEGORIES
- 12 WHICH ITS PROGRAM DOES NOT POSSESS THE NECESSARY PERSONNEL TO
- 13 <u>ADMINISTER.</u>
- 14 (2) BY THE RETENTION OF ONE OR MORE [CONSTRUCTION CODE
- OFFICIALS OR] THIRD-PARTY AGENCIES TO ACT ON BEHALF OF THE
- 16 MUNICIPALITY FOR ADMINISTRATION AND ENFORCEMENT OF THIS
- 17 ACT[.], EXCEPT THAT THE PROVISIONS OF SUBSECTION (B.1) SHALL
- 18 APPLY IF THE MUNICIPALITY CONTRACTS WITH ONLY ONE THIRD-PARTY
- 19 AGENCY FOR ADMINISTRATION AND ENFORCEMENT.
- 20 (3) TWO OR MORE MUNICIPALITIES MAY PROVIDE FOR THE JOINT
- 21 ADMINISTRATION AND ENFORCEMENT OF THIS ACT THROUGH AN
- 22 INTERMUNICIPAL AGREEMENT UNDER 53 PA.C.S. CH. 23 SUBCH. A
- 23 (RELATING TO INTERGOVERNMENTAL COOPERATION)[.], EXCEPT THAT
- 24 THE PROVISIONS OF SUBSECTION (B.1) SHALL APPLY IF THE
- 25 AGREEMENT PROVIDES FOR ONLY ONE THIRD-PARTY AGENCY FOR
- 26 ADMINISTRATION AND ENFORCEMENT.
- 27 * * *
- 28 (B.1) EXCLUSIVE ADMINISTRATION AND ENFORCEMENT. -- THE
- 29 <u>FOLLOWING APPLY:</u>
- 30 (1) IF A MUNICIPALITY CONTRACTS WITH ONE THIRD-PARTY

1	AGENCY FOR ADMINISTRATION AND ENFORCEMENT OF THIS ACT, AN
2	APPLICANT MAY UTILIZE THE SERVICES OF ANOTHER THIRD-PARTY
3	AGENCY IF THE ALTERNATIVE THIRD-PARTY AGENCY AGREES TO REMIT
4	A SURCHARGE FOR ITS SERVICES TO THE MUNICIPALITY. THE
5	SURCHARGE SHALL BE A PERCENTAGE OF THE TOTAL AMOUNT OF FEES
6	CHARGED BY THE ALTERNATIVE THIRD-PARTY AGENCY. THE PERCENTAGE
7	SHALL BE ESTABLISHED BY THE MUNICIPALITY BY ORDINANCE AS A
8	PERCENTAGE NOT TO EXCEED 10%. IF THE MUNICIPALITY FAILS TO
9	ESTABLISH A SURCHARGE AS SPECIFIED UNDER THIS PARAGRAPH, THE
10	SURCHARGE SHALL BE 1% OF THE TOTAL FEES CHARGED BY THE
11	ALTERNATIVE THIRD-PARTY AGENCY FOR THE ALTERNATIVE THIRD-
12	PARTY AGENCY'S SERVICES ON A PROJECT.
13	(2) IN ACCORDANCE WITH THE MUNICIPALITY'S
14	OVERALL PERMITTING PROCESS FOR A PROJECT, THE MUNICIPALITY
15	SHALL NOTIFY THE APPLICANT THAT THE APPLICANT MAY UTILIZE THE
16	SERVICES OF AN ALTERNATIVE THIRD-PARTY AGENCY OF THE
17	APPLICANT'S CHOICE FOR THE CONSTRUCTION REQUIREMENTS OF THE
18	APPLICATION COVERED BY THIS ACT, INCLUDING ALL PLAN REVIEW
19	AND INSPECTION SERVICES. THE APPLICANT SHALL BE NOTIFIED OF
20	THE INFORMATION REQUIRED UNDER SUBSECTION (B.2).
21	(3) THE APPLICANT SHALL NOTIFY THE MUNICIPALITY AND ITS
22	CONTRACTED THIRD-PARTY AGENCY OF ITS INTENT TO UTILIZE AN
23	ALTERNATIVE THIRD-PARTY AGENCY FOR THE CONSTRUCTION
24	REQUIREMENTS REQUIRED BY THIS ACT FOR A PROJECT. THE
25	
	APPLICANT SHALL PROVIDE, IN ITS NOTIFICATION, THE NAME OF THE
26	APPLICANT SHALL PROVIDE, IN ITS NOTIFICATION, THE NAME OF THE ALTERNATIVE THIRD-PARTY AGENCY THAT WILL BE UTILIZED AND
2627	
	ALTERNATIVE THIRD-PARTY AGENCY THAT WILL BE UTILIZED AND
27	ALTERNATIVE THIRD-PARTY AGENCY THAT WILL BE UTILIZED AND APPROPRIATE CONTACT INFORMATION.

1	THIRD-PARTY AGENCY THAT IT IS PERFORMING SERVICES REQUIRED BY
2	THIS ACT ON THE PROJECT FOR THE APPLICANT. ON THE DATE OF
3	ISSUANCE OF THE PERMIT REQUIRED BY THIS ACT, THE ALTERNATIVE
4	THIRD-PARTY AGENCY SHALL PROVIDE THE MUNICIPALITY AND ITS
5	EXCLUSIVE THIRD-PARTY AGENCY WITH A COPY OF THE PERMIT ISSUED
6	FOR THE PROJECT AND THE APPROVED PLANS OF RECORD FOR THE
7	PROJECT.
8	(5) THE APPLICANT SHALL UTILIZE THE SERVICES OF THE
9	ALTERNATIVE THIRD-PARTY AGENCY FOR ALL REQUIREMENTS OF THIS
10	ACT ASSOCIATED WITH A PROJECT.
11	(6) ON THE DATE OF ISSUANCE OF THE FINAL INSPECTION
12	REPORT FOR A PROJECT, THE ALTERNATIVE THIRD-PARTY AGENCY
13	SHALL FORWARD THE FOLLOWING TO THE MUNICIPALITY AND THE
14	MUNICIPALITY'S THIRD-PARTY AGENCY:
15	(I) THE FINAL INSPECTION REPORT THAT WAS ISSUED FOR
16	THE PROJECT.
17	(II) A SUMMARY OF TOTAL FEES CHARGED TO THE
18	APPLICANT.
19	(III) PAYMENT OF THE SURCHARGE ASSESSED UNDER
20	PARAGRAPH (1).
21	(IV) THE FEE REQUIRED UNDER SECTION 703(A).
22	(V) ANY ADDITIONAL DOCUMENTATION ASSOCIATED WITH THE
23	PROJECT THAT IS REQUESTED BY THE MUNICIPALITY.
24	(7) THE MUNICIPALITY OR ITS CONTRACTED THIRD-PARTY
25	AGENCY, WHICHEVER IS APPLICABLE, SHALL ACCEPT THE FINAL
26	INSPECTION REPORT WITH RESPECT TO THE REQUIREMENTS OF THIS
27	ACT. THE CONTRACTED THIRD-PARTY AGENCY SHALL BE IMMUNE FROM
28	ANY CIVIL LIABILITY ASSOCIATED WITH CONTENTS OF THE FINAL
29	INSPECTION REPORT.
30	(8) THE MUNICIPALITY OR ITS CONTRACTED THIRD-PARTY

Τ	AGENCY MAY WITHHOLD ISSUANCE OF THE CERTIFICATE OF OCCUPANCY
2	FOR A PROJECT IF THE ALTERNATIVE THIRD-PARTY AGENCY FAILS TO
3	COMPLY WITH PARAGRAPH (6).
4	(9) THE MUNICIPALITY MAY NOTIFY THE DEPARTMENT OF A
5	POSSIBLE VIOLATION OF THIS ACT IF AN ALTERNATIVE THIRD-PARTY
6	AGENCY FAILS TO COMPLY WITH PARAGRAPH (6). UPON RECEIVING
7	NOTICE BY THE MUNICIPALITY, THE DEPARTMENT SHALL CONDUCT AN
8	INVESTIGATION. THE DEPARTMENT MAY CONSIDER AN INTENTIONAL
9	FAILURE TO COMPLY WITH PARAGRAPH (6) AS JUST CAUSE FOR
10	DECERTIFICATION OF THE ALTERNATIVE THIRD-PARTY AGENCY UNDER
11	<u>SECTION 701(H).</u>
12	(10) A PROFESSIONAL SERVICES CONTRACT BETWEEN A
13	MUNICIPALITY AND A THIRD-PARTY AGENCY FOR THE
14	EXCLUSIVE ADMINISTRATION AND ENFORCEMENT OF THIS ACT IN
15	EFFECT BEFORE THE EFFECTIVE DATE OF THIS SUBSECTION SHALL
16	REMAIN IN EFFECT AND THE PROVISIONS OF THIS SUBSECTION SHALL
17	APPLY UPON THE EXPIRATION OF THE ORIGINAL TERMS OF THE
18	PROFESSIONAL SERVICES CONTRACT.
19	(b.1) (B.2) Administration and enforcement by third-party <-
20	agencies If a municipality contracts with one or more third-
21	party agencies for the administration and enforcement of this
22	act, the municipality shall have the following duties:
23	(1) Ensuring that the form utilized for a permit
24	application notifies an applicant of all of the following:
25	(i) The authority of a third-party agency exists as
26	a result of a contract approved by the governing body of
27	the municipality, or as a result of intermunicipal
28	agreement under 53 Pa.C.S. Ch. 23 Subch. A entered into
29	by the municipality.
3 ()	(ii) An applicant may inform the governing hody of

Τ	the municipality of complaints about a third-party
2	agency's services, including reports of incompetence or
3	gross negligence, a failure to abide by a time period
4	specified under this act, rude or unprofessional behavior
5	or discrimination based on personal bias against the
6	applicant.
7	(iii) The department certifies third-party agencies
8	and investigates complaints about service, including
9	complaints due to violations of this act, incompetence or
10	gross negligence, fraud, deceit or acts of moral
11	turpitude.
12	(iv) The department has a publicly accessible
13	Internet website which includes the form for filing a
14	complaint about service under subparagraph (iii).
15	(2) Maintaining a record of complaints submitted under
16	<pre>paragraph (1)(ii).</pre>
17	* * *
18	SECTION 2. SECTION 701 OF THE ACT IS AMENDED BY ADDING A
19	SUBSECTION TO READ:
20	SECTION 701. TRAINING OF INSPECTORS.
21	* * *
22	(H.1) CODE ADMINISTRATOR COMPLAINTS THE FOLLOWING APPLY:
23	(1) THE DEPARTMENT SHALL ACCEPT AND REVIEW A COMPLAINT
24	SUBMITTED BY A BUILDING PERMIT APPLICANT ABOUT A CODE
25	ADMINISTRATOR AND THE SECRETARY SHALL HAVE THE DISCRETION TO
26	ENFORCE REMEDIAL ACTIONS IF NECESSARY, INCLUDING ACTIONS TO
27	DECERTIFY THE CODE ADMINISTRATOR OR REVOKE THE CODE
28	ADMINISTRATOR'S CERTIFICATION FOR A PERIOD OF TIME AS
29	DETERMINED BY THE SECRETARY. THE DEPARTMENT SHALL REVIEW A
30	COMPLAINT ABOUT A CODE ADMINISTRATOR REGARDING ANY OF THE

1	FOLLOWING ALLEGATIONS:
2	(I) INCOMPETENCE, NEGLIGENCE OR UNETHICAL CONDUCT.
3	(II) FAILURE TO ABIDE BY A DEADLINE SPECIFIED UNDER
4	THIS ACT FOR A CODE ENFORCEMENT ACTION WHICH RESULTS IN
5	AN UNDULY DELAY IN THE PROGRESS OF A PROJECT.
6	(III) DUPLICATIVE, UNDISCLOSED OR EXORBITANT FEES
7	ASSESSED AS A RESULT OF A CODE ENFORCEMENT ACTION.
8	(IV) AN INTERPRETATION OF THE UNIFORM CONSTRUCTION
9	CODE WHICH DEMONSTRATES PROFESSIONAL INCOMPETENCE OR
10	DIFFERS FROM STANDARD PRACTICE, INCLUDING THE
11	ESTABLISHMENT OF A DIFFERENT REQUIREMENT AFTER PLAN
12	APPROVAL.
13	(V) A VIOLATION OF 34 PA. CODE § 401.14 (RELATING TO
14	DECERTIFICATION OR REFUSAL TO CERTIFY).
15	(2) AFTER REVIEWING A COMPLAINT AS SPECIFIED UNDER
16	PARAGRAPH (1), THE DEPARTMENT SHALL HAVE THE FOLLOWING
17	DUTIES:
18	(I) NOTIFYING THE MUNICIPALITY WHERE THE CODE
19	ADMINISTRATOR SUBJECT TO THE COMPLAINT IS BEING UTILIZED
20	AS TO THE EXISTENCE OF THE COMPLAINT AND RECOMMENDING
21	REMEDIAL ACTIONS THAT THE DEPARTMENT DETERMINES TO BE
22	NECESSARY TO CORRECT DEFICIENCIES.
23	(II) NOTIFYING THE CODE ADMINISTRATOR WHO IS THE
24	SUBJECT OF THE COMPLAINT.
25	(III) INVESTIGATING THE COMPLAINT.
26	(3) THE SECRETARY MAY ISSUE AN ORDER TO A MUNICIPALITY
27	TO ALLOW A BUILDING PERMIT HOLDER WHO SUBMITTED A COMPLAINT
28	UNDER THIS SUBSECTION TO UTILIZE ANOTHER THIRD-PARTY AGENCY
29	OF THE BUILDING PERMIT HOLDER'S CHOICE FOR ANY REMAINING CODE
30	ENFORCEMENT ACTIONS NECESSARY TO UTILIZE A PROJECT. THE ORDER

- 1 MAY ALSO INCLUDE A PROVISION TO ALLOW THE BUILDING PERMIT
- 2 <u>HOLDER TO PERMANENTLY UTILIZE A THIRD-PARTY AGENCY OF THE</u>
- 3 PERMIT HOLDER'S CHOICE FOR FUTURE PROJECTS IN THE
- 4 <u>MUNICIPALITY IF THE SECRETARY DEEMS THAT IT IS POSSIBLE THAT</u>
- 5 THE BUILDING PERMIT HOLDER WILL BE RETALIATED AGAINST FOR
- 6 FILING A COMPLAINT TO THE DEPARTMENT BY A CODE ADMINISTRATOR.
- 7 (4) IF A BUILDING PERMIT APPLICANT MAKES A COMPLAINT TO
- 8 THE DEPARTMENT CONCERNING A THIRD-PARTY AGENCY OR CODE
- 9 <u>ADMINISTRATOR, THE DEPARTMENT MAY NOT DISCLOSE THE IDENTITY</u>
- 10 OF THE BUILDING PERMIT HOLDER'S COMPLAINT WITHOUT THE
- BUILDING PERMIT HOLDER'S CONSENT UNLESS DISCLOSURE IS
- 12 <u>UNAVOIDABLE AS A RESULT OF AN INVESTIGATION OF A CODE</u>
- 13 <u>ADMINISTRATOR UNDER THIS SUBSECTION.</u>
- 14 (5) A CODE ADMINISTRATOR MAY NOT DISCRIMINATE, THREATEN,
- 15 <u>COERCE OR OTHERWISE RETALIATE AGAINST A BUILDING PERMIT</u>
- 16 APPLICANT WHO FILES A COMPLAINT UNDER THIS SUBSECTION. A
- 17 PERSON WHO ALLEGES A VIOLATION OF THIS PARAGRAPH MAY BRING A
- 18 <u>CIVIL ACTION IN A COURT OF COMPETENT JURISDICTION FOR</u>
- 19 APPROPRIATE INJUNCTIVE RELIEF OR DAMAGES WITHIN 180 DAYS OF
- 20 OCCURRENCE OF THE ALLEGED VIOLATION.
- 21 * * *
- Section $\frac{2}{3}$. The department may issue regulations to
- 23 establish or clarify procedures necessary to effectuate the
- 24 intent of this act.
- 25 Section 3 4. This act shall take effect in 60 days. <--

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