THE GENERAL ASSEMBLY OF PENNSYLVANIA

HOUSE BILL

No. 200

Session of 2017

INTRODUCED BY TOOHIL, SCHLOSSBERG, BOBACK, V. BROWN, BULLOCK, CALTAGIRONE, D. COSTA, CUTLER, DAVIS, DEAN, DEASY, DELUCA, DRISCOLL, FRANKEL, FREEMAN, PHILLIPS-HILL, LONGIETTI, MACKENZIE, MATZIE, MCCLINTON, MCNEILL, MURT, NEILSON, O'BRIEN, READSHAW, ROZZI, SCHWEYER, SIMMONS, SIMS, WARD AND WATSON, FEBRUARY 1, 2017

REFERRED TO COMMITTEE ON CHILDREN AND YOUTH, FEBRUARY 1, 2017

AN ACT

Amending the act of December 19, 1990 (P.L.1372, No.212), entitled "An act providing for early intervention services for infants, toddlers and preschool children who qualify; 3 establishing the Interagency Coordinating Council and 4 providing for its powers and duties; and conferring powers and duties upon the Department of Education and the State 7 Board of Education, the Department of Health and the Department of Public Welfare," further providing for 8 title of the act, for definitions and for child 9 identification, assessment and tracking system; and making 10 editorial changes. 11 The General Assembly of the Commonwealth of Pennsylvania 12 13 hereby enacts as follows: Section 1. The title of the act of December 19, 1990 14 (P.L.1372, No.212), known as the Early Intervention Services 15 16 System Act, is amended to read: 17 AN ACT Providing for early intervention services for infants, toddlers 18 19 and preschool children who qualify; establishing the Interagency Coordinating Council and providing for its powers 20

- and duties; and conferring powers and duties upon the
- 2 Department of Education and the State Board of Education, the
- 3 Department of Health and the Department of [Public Welfare]
- 4 Human Services.
- 5 Section 2. The definitions of "handicapped infants and
- 6 toddlers," lead agency" and "State interagency agreement" in
- 7 section 103 of the act are amended and the section is amended by
- 8 adding a definition to read:
- 9 Section 103. Definitions.
- 10 The following words and phrases when used in this act shall
- 11 have the meanings given to them in this section unless the
- 12 context clearly indicates otherwise:
- 13 * * *
- 14 "Handicapped infants and toddlers." Individuals ranging in
- 15 age from birth to two years of age, inclusive, who need early
- 16 intervention services for any of the following reasons:
- 17 (1) They are experiencing developmental delays, as
- defined by regulations of the Department of [Public Welfare]
- 19 <u>Human Services</u> and as measured by appropriate diagnostic
- instruments and procedures in any of the following areas:
- 21 cognitive development, sensory development, physical
- development, language and speech development, psycho-social
- development or self-help skills.
- 24 (2) They have a diagnosed physical or mental condition
- which has a high probability of resulting in developmental
- delay under paragraph (1). This paragraph applies to
- 27 conditions with known etiologies and developmental
- consequences. Examples of these conditions include Down
- 29 syndrome; other chromosomal abnormalities; sensory
- impairments, including vision and hearing; inborn errors of

- 1 metabolism; microcephaly; severe attachment disorders,
- 2 including failure to thrive; seizure disorders; and fetal
- 3 alcohol syndrome.
- 4 * * *
- 5 "Lead agency." For early intervention services to eligible
- 6 children from birth to two years of age, inclusive, the
- 7 Department of [Public Welfare] <u>Human Services</u>; for early
- 8 intervention services to eligible young children, the Department
- 9 of Education.
- 10 * * *
- 11 <u>"Postpartum depression." Moderate to severe depression in a</u>
- 12 woman after she has given birth, which depression occurs after
- 13 <u>delivery or up to a year later.</u>
- "State interagency agreement." An agreement entered into by
- 15 the Department of Education, the Department of Health, the
- 16 Department of [Public Welfare] <u>Human Services</u> and any other
- 17 Commonwealth agency for the purposes of this act and of Part B
- 18 and Part H.
- 19 Section 3. Sections 104, 105, 106(b)(10), (f)(1) and (4) and
- 20 (h), 301 introductory paragraph and (1), 302(a), 303, 304(c),
- 21 305 and 503 of the act are amended to read:
- 22 Section 104. State interagency agreement.
- 23 (a) Interagency agreement. -- The Department of Education, the
- 24 Department of Health and the Department of [Public Welfare]
- 25 <u>Human Services</u> shall enter into and maintain a State interagency
- 26 agreement to enable the State and local agencies serving
- 27 infants, toddlers and eligible young children who are
- 28 handicapped to establish working relationships that will
- 29 increase the efficiency and effectiveness of their early
- 30 intervention services. The agreement shall outline the

- 1 responsibilities of those State and local agencies and shall
- 2 implement a coordinated service delivery system through local
- 3 interagency agreements.
- 4 (b) Components. -- The State interagency agreement shall
- 5 address, at a minimum, the following issues:
- 6 (1) Responsibilities of State and local agencies.
- 7 (2) Eligibility determination and referrals.
- 8 (3) Establishment of local agreements.
- 9 (4) Fiscal responsibilities of the agencies.
- 10 (5) Dispute resolution between agencies.
- 11 (6) Payor of last resort.
- 12 (7) Maintenance of effort.
- 13 (8) Administrative management structure.
- 14 (9) Establishment and maintenance of local interagency
- 15 coordinating councils, which shall include, but not be
- limited to, parents and private providers and which shall be
- authorized to advise and comment on the development of local
- interagency agreements for their specified geographic area
- and to communicate directly with the Department of Education,
- the Department of Health, the Department of [Public Welfare]
- 21 Human Services and the council regarding the local
- interagency agreement and any other matters pertaining to
- this act.
- 24 (10) Plans by the Department of Health, the Department
- of [Public Welfare] <u>Human Services</u> and the Department of
- 26 Education to work together to develop a coordinated system of
- 27 case management.
- 28 (c) Goal.--Issues under subsection (b) shall be addressed to
- 29 meet the requirements of this act and the provisions of Part B
- 30 and Part H.

- 1 Section 105. Other duties of State agencies.
- 2 (a) Statewide system. -- The Department of Health, the
- 3 Department of [Public Welfare] <u>Human Services</u> and the Department
- 4 of Education shall be responsible for the establishment and
- 5 maintenance of a Statewide system of early intervention services
- 6 as provided in Chapter 3.
- 7 (b) Rulemaking. -- The Department of Health, the Department of
- 8 [Public Welfare] <u>Human Services</u>, the State Board of Education as
- 9 the regulatory authority for the Department of Education and the
- 10 Department of Education for standards shall submit draft
- 11 regulations and standards to the council relating to the
- 12 implementation of this act prior to formal promulgation in order
- 13 to receive the recommendations of the council. If
- 14 recommendations are not received by the appropriate State agency
- 15 within 60 days of receipt by the council, the respective
- 16 department or board may continue to develop and promulgate
- 17 regulations and standards.
- 18 (c) Annual reports.--By July 31, the Department of Health,
- 19 the Department of [Public Welfare] Human Services and the
- 20 Department of Education shall submit annual reports to the
- 21 council on the status of early intervention services during the
- 22 preceding calendar year. These reports shall be used as the
- 23 basis for the report submitted by the council under section
- 24 106(f)(4).
- 25 Section 106. Council.
- 26 * * *
- 27 (b) Membership. -- The membership of the council shall consist
- 28 of the following:
- 29 * * *
- 30 (10) The Secretary of [Public Welfare] <u>Human Services</u> or

- 1 a designee.
- 2
- 3 (f) Powers and duties. -- The council has the following powers and duties: 4
- 5 To review and comment to the Department of Health, (1)6 the Department of [Public Welfare] Human Services, the 7 Department of Education and the State Board of Education on 8 draft regulations and standards for the implementation and 9 maintenance of a Statewide system of early intervention 10 services which are in accordance with the provisions of this 11 act and Parts B and H.

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- To prepare and submit, with the cooperation of the 14 Secretary of Education, the Secretary of Health and the 15 Secretary of [Public Welfare] Human Services, an annual 16 report during the month of September to the Governor and the 17 Majority and Minority Chairmen of the Education Committee of 18 the Senate and the Education Committee of the House of 19 Representatives. This report shall include the number of 20 programs being provided by intermediate units, school 21 districts and public and private providers, including Head 22 Start; the number of children being served; the status of 23 compliance with State regulations and standards; descriptive 24 information on the programs; information on personnel needs; 25 any suggested changes in State statutes and regulations 26 governing these programs; any information the United States 27 Secretary of Education may require; and any other information 28 the council deems appropriate.
- * * * 29
- 30 (h) Staff.--Staff services for the council shall be provided

- 1 by the Department of Health, the Department of [Public Welfare]
- 2 <u>Human Services</u> and the Department of Education and shall include
- 3 the preparation and distribution of the annual report required
- 4 under subsection (f)(4).
- 5 Section 301. Requirements.
- A Statewide system of coordinated, comprehensive,
- 7 multidisciplinary, interagency programs shall be established and
- 8 maintained by the Department of Health, the Department of
- 9 [Public Welfare] <u>Human Services</u> and the Department of Education
- 10 to provide appropriate early intervention services to all
- 11 handicapped infants, toddlers and their families and to eligible
- 12 young children. The system shall include the following minimum
- 13 components:
- 14 (1) Compatible definitions of the term "developmental
- delay" shall be promulgated and adopted by the Department of
- 16 [Public Welfare] <u>Human Services</u>, the Department of Health and
- 17 the Department of Education, with review and comment of the
- council under section 106(f)(1). The definition shall provide
- for the continuity of program services and shall be used in
- 20 implementing programs under this act.
- 21 * * *
- 22 Section 302. Program regulations and standards.
- 23 (a) [Public Welfare] <u>Human Services</u>.--The Department of
- 24 [Public Welfare] Human Services shall define and address the
- 25 following issues in developing regulations:
- 26 (1) Methods for locating and identifying eligible
- 27 children.
- 28 (2) Criteria for eligible programs.
- 29 (3) Contracting guidelines.
- 30 (4) Personnel qualifications and a system of preservice

- 1 and in-service training.
- 2 (5) Early intervention services.
- 3 (6) Procedural safeguards.
- 4 (7) Appropriate placement, including the least
- 5 restrictive environment.
- 6 (8) A system of quality assurance, including evaluation
- of the developmental appropriateness; quality and
- 8 effectiveness of programs; assurance of compliance with
- 9 program standards; and provision of assistance to assure
- 10 compliance.
- 11 (9) Data collection and confidentiality.
- 12 (10) Interagency cooperation at the State and local
- 13 level through the State interagency agreement and local
- interagency agreements.
- 15 (11) Content and development of IFSP's.
- 16 (12) Any other issues which are required under this act
- 17 and Part H.
- 18 * * *
- 19 Section 303. Administration by Department of [Public Welfare]
- Human Services.
- 21 (a) Assistance to counties. -- From the sum appropriated to
- 22 the Department of [Public Welfare] Human Services for the
- 23 purposes of this act, the department shall distribute funds to
- 24 the county mental health and mental retardation offices, under
- 25 section 509 of the act of October 20, 1966 (3rd Sp.Sess.,
- 26 P.L.96, No.6), known as the Mental Health and Mental Retardation
- 27 Act of 1966, for the provision of early intervention services to
- 28 children from birth to age two, inclusive. The county offices
- 29 may meet their obligation to assure appropriate early
- 30 intervention services to all eligible children through contracts

- 1 with public or private agencies that meet the requirements of
- 2 the regulations and program standards developed under this act.
- 3 The county offices shall assure annually that the service
- 4 providers receiving funds are in compliance with the
- 5 Commonwealth's regulations and standards.
- 6 (b) Federal benefits. -- Nothing in this act shall preclude
- 7 medical or other assistance available under Title V or XIX of
- 8 the Social Security Act (Public Law 74-271, 42 U.S.C. § 701 et
- 9 seq. or § 1396 et seq.) or any other benefits available under
- 10 Federal law.
- 11 (c) Education. -- In order to facilitate the transfer of
- 12 responsibility for eligible children from the Department of
- 13 [Public Welfare] <u>Human Services</u> to the Department of Education
- 14 at one time each year, consistent with the beginning of the
- 15 school year, the Secretary of [Public Welfare] Human Services
- 16 may delegate responsibility for serving certain children under
- 17 three years of age to the Department of Education and may accept
- 18 a delegation of responsibility from the Secretary of Education
- 19 under section 304(c) to serve certain children over the age of
- 20 three.
- 21 (d) Use of funds. -- From the sum of State funds appropriated
- 22 by the General Assembly to the Department of [Public Welfare]
- 23 Human Services for this act, the department shall use 2% to 4%
- 24 of the appropriation for personnel training and program
- 25 technical assistance.
- 26 Section 304. Administration by Department of Education.
- 27 * * *
- 28 (c) [Public welfare] <u>Human Services</u>.--The Secretary of
- 29 Education shall provide for the transition of eligible children,
- 30 including handicapped infants and toddlers, who, prior to their

- 1 third birthday, received services under Part H. The Secretary of
- 2 Education is authorized to accept responsibility pursuant to
- 3 delegation from the Secretary of [Public Welfare] Human Services
- 4 under section 303(c) for providing early intervention services
- 5 to children less than three years of age. The Secretary of
- 6 Education is authorized to delegate responsibility to the
- 7 Secretary of [Public Welfare] <u>Human Services</u> for providing
- 8 services for certain children over the age of three.
- 9 * * *
- 10 Section 305. Child identification, assessment and tracking
- 11 system.
- 12 (a) Development of system. -- The Department of [Public
- 13 Welfare] <u>Human Services</u>, the Department of Education and the
- 14 Department of Health shall develop a Statewide system for
- 15 eligible child identification, assessment and tracking. This
- 16 system shall be developed and coordinated by the agencies to
- 17 assure that the system is compatible with the child-find system
- 18 as required by Part B.
- 19 (b) At-risk children.--For the purposes of child
- 20 identification, assessment and tracking for infants and
- 21 toddlers, the Department of [Public Welfare] Human Services
- 22 shall establish, by regulation, population groups to be included
- 23 in these activities. The population groups shall include, but
- 24 not be limited to, children whose birth weight is under 1,500
- 25 grams; children cared for in neonatal intensive care units of
- 26 hospitals; children born to chemically dependent mothers and
- 27 referred by a physician, health care provider or parent;
- 28 children who are seriously abused or neglected, as substantiated
- 29 and referred by the county children and youth agency under the
- 30 act of November 26, 1975 (P.L.438, No.124), known as the Child

- 1 Protective Services Law; children with confirmed dangerous
- 2 levels of lead poisoning as set by the Department of Health;
- 3 [and] children who are homeless[.]; and children born to mothers
- 4 who are high risk for postpartum depression and referred by a
- 5 physician, health care provider or parent. The Department of
- 6 [Public Welfare] <u>Human Services</u> may establish other population
- 7 groups by regulation as it deems necessary.
- 8 (c) Components of system. -- The system shall include, but
- 9 need not be limited to, the provision of the following
- 10 activities and services:
- 11 (1) The identification of eligible children and referral
- 12 to early intervention services as soon after birth as
- possible.
- 14 (2) Referral services for families of eligible children.
- 15 (3) Continuing assessment of at-risk children from birth
- through age of beginners.
- 17 (4) A description of agencies providing early
- 18 intervention services and the services provided by each
- 19 agency.
- 20 (5) Pertinent information regarding the exit of the
- 21 child from early intervention services.
- 22 (6) The orderly transfer of the accumulated information
- 23 to the appropriate provider upon the child's attainment of
- 24 age of beginners, except if the child has met exit criteria
- 25 contained in this act.
- 26 (d) Confidentiality. -- Proper measures shall be developed and
- 27 implemented to assure the confidentiality of the data contained
- 28 in the system. Information shall be accessed only by appropriate
- 29 staff of the Department of [Public Welfare] Human Services, the
- 30 Department of Education and the Department of Health, including

- 1 the staff of each agency's local entities, such as county mental
- 2 health and mental retardation offices, school districts and
- 3 intermediate units, which are responsible for the provision of
- 4 services either directly or through subcontract to private
- 5 providers. Nothing in this section is intended to preclude the
- 6 utilization of data to provide for the preparation of reports,
- 7 fiscal information or other documents required by this act or
- 8 the Education of the Handicapped Act; but no information may be
- 9 used in a manner which would allow for the identification of an
- 10 individual child or family.
- 11 Section 503. Effective date.
- 12 This act shall take effect as follows:
- 13 (1) For the purposes of meeting the program requirements
- imposed upon the Department of [Public Welfare] <u>Human</u>
- 15 <u>Services</u> under the provisions of the Education of the
- Handicapped Act Amendments of 1986 (Public Law 99-457, 100
- 17 Stat. 1145), this act shall take effect July 1, 1990.
- 18 (2) The provisions of this act pertaining to the
- entitlement of services by the Department of [Public Welfare]
- Human Services shall take effect September 1, 1991.
- 21 (3) The provisions of this act pertaining to the
- 22 entitlement of services by the Department of Education shall
- 23 take effect July 1, 1991.
- 24 (4) The remainder of this act shall take effect
- 25 immediately.
- 26 Section 4. This act shall take effect in 60 days.