HOUSE AMENDED

THE GENERAL ASSEMBLY OF PENNSYLVANIA

SENATE BILL No. 34 Session of 2013

INTRODUCED BY SMUCKER, WILLIAMS, MENSCH, TOMLINSON, VULAKOVICH, BROWNE, WHITE, RAFFERTY, WAUGH, BAKER, PILEGGI AND BOSCOLA, MARCH 15, 2013

AS AMENDED ON SECOND CONSIDERATION, HOUSE OF REPRESENTATIVES, NOVEMBER 20, 2013

AN ACT

1 2 4 5 6 7 8 9 10	Amending the act of December 12, 1973 (P.L.397, No.141), entitled "An act relating to certification of teachers in the public schools of the Commonwealth and creating a Professional Standards and Practices Commission," making extensive substantive and editorial changes; providing for imposition of discipline on additional grounds, for imposition of discipline on founded and indicated reports, < for confidentiality, for subpoenas and for disposition of fees and fines collected; and establishing the Professional Educator Discipline Account.
11	The General Assembly of the Commonwealth of Pennsylvania
12	hereby enacts as follows:
13	Section 1. Sections 1.1 and 1.2 of the act of December 12,
14	1973 (P.L.397, No.141), known as the Professional Educator
15	Discipline Act, added December 20, 2000 (P.L.918, No.123), are
16	amended to read:
17	Section 1.1. Short TitleThis act shall be known and may
18	be cited as the [Professional] Educator Discipline Act.
19	Section 1.2. DefinitionsWhen used in this act, the
20	following words and phrases shall have the following meanings:

"Administrator" shall mean [a person who is a commissioned 1 2 officer or holds a valid administrative certificate.] an 3 educator who holds a letter of eligibility, a commission, an administrative certificate or a supervisory certificate or who 4 serves in a school entity in a position that supervises the 5 educational operations of a school building, a school program or 6 7 a school system. 8 "Certificate" shall mean any Commonwealth of Pennsylvania certificate, commission, letter of eligibility or permit issued 9 10 under the act of March 10, 1949 (P.L.30, No.14), known as the "Public School Code of 1949," or under the act of January 28, 11 12 1988 (P.L.24, No.11), known as the "Private Academic Schools 13 Act." The term includes a certificate or letter of eligibility 14 that is invalid or inactive as defined in 22 Pa. Code § 49.2 (relating to inactivity and invalidity). 15

"Charter or cyber charter school" shall mean a school 16 established pursuant to Article XVII-A of the act of March 10, 17 18 1949 (P.L.30, No.14), known as the "Public School Code of 1949." 19 "Charter or cyber charter school staff member" shall mean an 20 individual employed by a charter or cyber charter school in a position for which [State] certification would be required in a 21 public school other than a charter or cyber charter school but 22 23 who is not required to hold [State] certification under section 24 1724-A of the act of March 10, 1949 (P.L.30, No.14), known as 25 the "Public School Code of 1949." The term includes an 26 individual who is an administrator, including the chief administrator or the individual with primary responsibility for 27 28 the administration of the charter or cyber charter school. 29 "Chief school administrator" shall mean the superintendent or chief executive officer of a school district, the executive 30

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1	director of an intermediate unit, the director of an area
2	vocational-technical school, the chief administrator of a
3	charter or cyber charter school, the director of a private
4	academic school or the chief administrator of a contracted
5	educational provider.
6	"Child" shall mean an individual who is less than 18 years of
7	age.
8	"Commission" shall mean the Professional Standards and
9	Practices Commission.
10	"Contracted educational provider" shall mean an individual or
11	an entity with which a school entity has contracted to provide
12	direct educational services to its students.
13	"Contracted educational provider staff member" shall mean a
14	person who:
15	(1) serves in a position for which certification would be
16	required in a public school; and
17	(2) is employed by a contracted educational provider or by
18	or in a school entity as an individual contracted educational
19	provider.
20	<u>The term includes an individual who is an administrator,</u>
21	including the chief administrator or the individual with primary
22	responsibility for the administration of a contracted
23	educational provider.
24	"Department" shall mean the Department of Education <u>of the</u>
25	Commonwealth.
26	"Discipline" shall mean [any one of the following actions]
27	any of the following:
28	(1) [Issue a private] <u>Private</u> reprimand.
29	(2) [Issue a public] <u>Public</u> reprimand.
30	(3) [Direct the department to suspend the certificate of a
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1 professional educator] <u>Suspension</u>.

2 (4) [Direct the department to revoke the certificate of a
3 professional educator] <u>Revocation</u>.

4 [(5) For a charter school staff member, the term shall 5 include an order suspending the person's eligibility to be 6 employed by a charter school or prohibiting the person from 7 being employed by a charter school.

8 (6) Accept a professional certificate surrendered in lieu of9 discipline.]

10 <u>(5) Surrender.</u>

11 (6) Supplemental sanctions.

12 [The commission may require a professional educator to meet 13 certain conditions or take corrective action in conjunction with 14 any discipline.]

"Educational specialist" shall mean a person who holds an educational specialist certificate issued by the Commonwealth, including, but not limited to, a certificate [endorsed] in the area of elementary school counselor, secondary school counselor, social restoration, school nurse, home and school visitor, school psychologist, dental hygienist, instructional technology specialist or nutrition service specialist.

22 <u>"Educator" shall mean a person who holds a certificate, who</u>

23 <u>is a charter or cyber charter school staff member or who is a</u>

24 <u>contracted educational provider staff member.</u>

25 "Indictment" shall include a bill of indictment, police

26 criminal complaint, criminal information or other similar

27 <u>document.</u>

28 "Private academic school" shall mean a school that is

29 licensed to operate under the act of January 28, 1988 (P.L.24,

30 No.11), known as the "Private Academic Schools Act."

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1 ["Professional educator" shall mean a person who is 2 certificated as a teacher, educational specialist or an 3 administrator in the Commonwealth.]

<u>"Revocation" shall mean the termination of a certificate, the</u>
<u>termination of the eligibility to be employed as a charter or</u>
<u>cyber charter school staff member or the termination of the</u>
<u>eligibility to be employed as a contracted educational provider</u>
<u>staff member.</u>

9 "School entity" shall mean a school district, intermediate 10 unit [or], area vocational-technical school, charter [school, 11 Scotland School for Veterans' Children, Scranton State School 12 for the Deaf and Thaddeus Stevens College of Technology] <u>or</u> 13 <u>cyber charter school, private academic school or contracted</u> 14 educational provider.

15 "Secretary" shall mean the Secretary of Education <u>of the</u> 16 <u>Commonwealth</u>.

17 "Sexual abuse or exploitation" shall [mean the employment, 18 use, persuasion, inducement, enticement or coercion of a child 19 or student to engage in or assist any other person to engage in 20 any sexually explicit conduct or a simulation of any sexually explicit conduct for the purpose of producing a visual 21 depiction, including photographing, videotaping, computer 22 depicting or filming, of any sexually explicit conduct or 23 24 conduct that constitutes an offense under 18 Pa.C.S. Ch. 31 25 (relating to sexual offenses) or section 6312 (relating to 26 sexual abuse of children) or other forms of sexual exploitation of children or students.] have the meaning given to the term by_ 27 28 23 Pa.C.S. Ch. 63 (relating to child protective services). 29 "Sexual misconduct" shall mean any act, including, but not limited to, any verbal, nonverbal, written or electronic 30

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1	communication or physical activity, directed toward or with a
2	child or a student regardless of the age of the child or student
3	that is designed to establish a romantic or sexual relationship
4	with the child or student. Such prohibited acts include, but are
5	not limited to, the following:
6	(1) sexual or romantic invitations;
7	(2) dating or soliciting dates;
8	(3) engaging in sexualized or romantic dialogue;
9	(4) making sexually suggestive comments;
10	(5) self-disclosure or physical exposure of a sexual,
11	romantic or erotic nature; or
12	(6) any sexual, indecent, romantic or erotic contact with
13	the child or student.
14	"State Board" shall mean the State Board of Education.
15	"State Board of Private Academic Schools" shall mean the
16	departmental administrative board as established by the act of
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17	January 28, 1988 (P.L.24, No.11), known as the "Private Academic
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17 18	January 28, 1988 (P.L.24, No.11), known as the "Private Academic Schools Act."
17 18 19	January 28, 1988 (P.L.24, No.11), known as the "Private Academic Schools Act." "Student" shall mean an individual enrolled in:
17 18 19 20	January 28, 1988 (P.L.24, No.11), known as the "Private Academic Schools Act." "Student" shall mean an individual enrolled in: (1) a public school, including an intermediate unit, area
17 18 19 20 21	January 28, 1988 (P.L.24, No.11), known as the "Private Academic Schools Act." "Student" shall mean an individual enrolled in: (1) a public school, including an intermediate unit, area vocational-technical school and a charter or cyber charter
17 18 19 20 21 22	January 28, 1988 (P.L.24, No.11), known as the "Private Academic Schools Act." "Student" shall mean an individual enrolled in: (1) a public school, including an intermediate unit, area vocational-technical school and a charter or cyber charter school;
17 18 19 20 21 22 23	January 28, 1988 (P.L.24, No.11), known as the "Private Academic Schools Act." "Student" shall mean an individual enrolled in: (1) a public school, including an intermediate unit, area vocational-technical school and a charter or cyber charter school; (2) a private school, including a nonpublic, nonlicensed
17 18 19 20 21 22 23 24	January 28, 1988 (P.L.24, No.11), known as the "Private Academic Schools Act." "Student" shall mean an individual enrolled in: (1) a public school, including an intermediate unit, area vocational-technical school and a charter or cyber charter school; (2) a private school, including a nonpublic, nonlicensed school, private academic school and accredited school; or
17 18 19 20 21 22 23 24 25	January 28, 1988 (P.L.24, No.11), known as the "Private Academic Schools Act." "Student" shall mean an individual enrolled in: (1) a public school, including an intermediate unit, area vocational-technical school and a charter or cyber charter school; (2) a private school, including a nonpublic, nonlicensed school, private academic school and accredited school; or (3) a contracted educational provider.
17 18 19 20 21 22 23 24 25 26	January 28, 1988 (P.L.24, No.11), known as the "Private Academic Schools Act." "Student" shall mean an individual enrolled in: (1) a public school, including an intermediate unit, area vocational-technical school and a charter or cyber charter school; (2) a private school, including a nonpublic, nonlicensed school, private academic school and accredited school; or (3) a contracted educational provider. "Supplemental sanctions" shall mean private or public
17 18 19 20 21 22 23 24 25 26 27	January 28, 1988 (P.L.24, No.11), known as the "Private Academic Schools Act." "Student" shall mean an individual enrolled in: (1) a public school, including an intermediate unit, area vocational-technical school and a charter or cyber charter school; (2) a private school, including a nonpublic, nonlicensed school, private academic school and accredited school; or (3) a contracted educational provider. "Supplemental sanctions" shall mean private or public disciplinary sanctions that focus on remediation or restitution,
17 18 19 20 21 22 23 24 25 26 27 28	January 28, 1988 (P.L.24, No.11), known as the "Private Academic Schools Act." "Student" shall mean an individual enrolled in: (1) a public school, including an intermediate unit, area vocational-technical school and a charter or cyber charter school; (2) a private school, including a nonpublic, nonlicensed school, private academic school and accredited school; or (3) a contracted educational provider. "Supplemental sanctions" shall mean private or public disciplinary sanctions that focus on remediation or restitution, including, but not limited to, fees, fines, prescribed

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1 "Surrender" shall mean the termination by consent of a certificate or eligibility to be employed as a charter or cyber_ 2 3 charter school staff member or as a contracted educational provider staff member whenever the surrender occurs at any time 4 after the issuance of the certificate or the employment in a 5 charter or cyber charter school or contracted educational 6 7 provider. 8 "Suspension" shall mean the temporary termination of a certificate, the temporary termination of the eligibility to be 9 10 employed as a charter or cyber charter school staff member or the temporary termination of the eligibility to be employed as a 11 12 contracted educational provider staff member for a specific 13 period of time, for an indefinite period of time or until 14 specific conditions are met. 15 ["Teach" shall mean to engage in the practice of teaching in 16 the public schools of the Commonwealth or to provide related educational specialist, administrative or supervisory services 17 18 in such schools.] 19 "Teacher" shall mean [a] <u>any</u> person who holds a [valid] 20 Pennsylvania teaching certificate[.] or who is employed as a 21 contracted educational provider staff member or by a charter or cyber charter school in a position for which certification would 22 23 be required in a public school other than a charter or cyber 24 charter school, but who is not required to hold certification under the act of March 10, 1949 (P.L.30, No.14), known as the 25 26 "Public School Code of 1949." 27 Section 2. Section 2 of the act is amended to read: 28 Section 2. Certification Requirements. -- (a) No [person 29 shall teach in a public school educator shall be employed by a 30 school entity in the Commonwealth unless he has met the 20130SB0034PN1606 - 7 -

certification requirements which are applicable to the position_ 1 2 in the institution in which he is employed as established by the 3 State Board [of Education which are applicable to the institution where he is employed.], the State Board of Private 4 Academic Schools or the department. An educator whose 5 certificate has been revoked, suspended or surrendered is not 6 7 eligible for employment in a school entity in a position 8 requiring certification or for which certification would be required in a public school other than a charter or cyber 9 charter school or eligible for any certificate until the 10 certificate or eligibility is reinstated in accordance with this 11 12 act. 13 (b) An educator whose eligibility to be employed as a 14 charter or cyber charter school staff member or as a contracted educational provider staff member has been revoked, suspended or 15 16 surrendered is not eligible for employment in a school entity in a position requiring certification or for which certification 17 would be required in a public school other than a charter or 18 19 cyber charter school or eligible for any certificate until 20 eligibility is reinstated in accordance with this act. Section 3. Sections 3, 4, 5 and 6 of the act, amended 21 December 20, 2000 (P.L.918, No.123), are amended to read: 22 23 Section 3. Professional Standards and Practices 24 Commission.--(a) There is hereby created a Professional 25 Standards and Practices Commission consisting of thirteen 26 members appointed by the Governor with the advice and consent of 27 a majority of the members elected to the Senate. 28 (b) The term of office of members of the commission shall be 29 three years [except that:], with members serving fixed and staggered terms so that in the first two of every three years, 30 20130SB0034PN1606

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1 the terms of four members expire, and in the third of every

2 three years, the terms of five members expire.

3 [(1) the terms of office of four members appointed in the 4 calendar year 2000 shall expire on the third Tuesday of January 5 2001;

6 (2) the terms of office of four members appointed in the 7 calendar year 2000 shall expire on the third Tuesday of January 8 2002; and

9 (3) the terms of office of five members appointed in the 10 calendar year 2000 shall expire on the third Tuesday of January 11 2003.]

12 (c) Vacancies shall be filled for an unexpired term in the same manner as original appointments. No person shall serve for 13 more than two consecutive terms as a member of the commission; 14 however, members may continue to serve after the expiration of 15 16 their term until a replacement appointed by the Governor is confirmed. The Governor may remove any member from the 17 18 commission for misconduct or malfeasance in office, incapacity, 19 or neglect of duty. All members of the commission shall be

20 residents of the Commonwealth of Pennsylvania.

21 Section 4. Membership and Qualifications.--(a) The 22 membership of the Professional Standards and Practices 23 Commission shall consist of:

(1) [Seven classroom teachers, including one educational
specialist, broadly representative of the teaching profession
from public schools] <u>Six classroom teachers broadly</u>

27 representative of the education profession, with not more than

28 one from a school entity other than a public school.

29 (2) Three administrators from [public schools] <u>a school</u>
30 <u>entity</u>, at least one of whom shall be a commissioned officer and

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1 one a principal, with not more than one from a school entity

2 other than a public school.

3 (3) One administrator from an approved institution of higher
4 learning in the Commonwealth offering approved teacher education
5 programs.

6 (4) Two members from the general public, at least one of 7 whom shall be an elected public school director.

8 (5) One educational specialist.

9 Except for the representatives of the general public, (b) 10 the Governor in making appointments shall consider recommendations from panels of nominees submitted by Statewide 11 12 educational organizations [of professional educators] which 13 certify that the panels include only representatives of the 14 category of professional personnel for which the panel or panels of nominees are submitted. However, the Governor shall not be 15 16 limited to nominating members of Statewide organizations for appointments to the commission. 17

18 (c) All members of the commission except the persons representing the general public shall have been actively engaged 19 20 in teaching or providing related educational, administrative or 21 supervisory services in a [public school] school entity or approved institution of higher education with approved teacher 22 23 education programs for at least five of the eight years 24 immediately preceding their appointment. A person appointed to 25 the commission who leaves the Commonwealth to become domiciled 26 in another state shall have his position on the commission 27 deemed vacated. A person whose status changes to a category 28 different from that for which that person was appointed may 29 continue to serve on the commission for the remainder of that person's appointment or until replaced. 30

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1 (d) The chairman of the State Board [of Education], or a 2 member of the [board] <u>State Board</u> designated by the chairman, 3 shall be an ex officio member of the commission without voting 4 privileges.

5 (e) The members of the commission, employes of the 6 commission and agents of the commission shall in all of their 7 deliberations consider the public interest, including ensuring 8 <u>the health, safety and welfare of students or other individuals</u> 9 in school entities.

Section 5. Power and Duties.--(a) The Professional Standards and Practices Commission shall have the power and its duty shall be:

13 (1) To recommend to the State Board [of Education] rules and 14 regulations defining positions for which certification should be 15 required and criteria to determine qualifications, consistent 16 with this act, necessary to hold such a certificate.

17 (2) To recommend to the State Board [of Education] rules and 18 regulations providing for making a certificate permanent upon 19 evidence of such teaching experience and additional preparation 20 as may by rule be required.

21 To recommend to the State Board [of Education] rules and (3) regulations providing for [the Department of Education] the 22 23 department's investigation and determination of the 24 acceptability of programs of professional education in colleges 25 and universities of this Commonwealth issuing degrees to persons 26 who may desire to teach in the schools of this Commonwealth. The commission may recommend as its own, with or without 27 28 modification, standards used by other organizations engaged in 29 the evaluation of teacher preparation programs. In [establishing] recommending standards pursuant to this clause, 30

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1 the commission shall consider, among other factors, the 2 following:

3 (i) Ongoing research and developing theories in education.
4 (ii) The knowledge and skills necessary to effectively
5 perform professional education functions.

6 (iii) The liberal arts and general education requirements 7 that are the foundation of a teacher preparation program.

8 (iv) The value of student [teacher] <u>teaching</u>, laboratory 9 work and other professional experience as preparation for 10 certification.

11 (v) The cultural and demographic diversity of relevant12 student populations.

13 (vi) Other interests of the public.

14 The commission shall assess the effectiveness of educator 15 preparation programs and recommend changes to the State Board 16 [of Education] as indicated by such evaluations.

17 (4) To recommend to the State Board [of Education] changes
18 in teacher education programs based on commission conducted
19 assessments of these programs.

20 (5) To recommend to the State Board [of Education] rules and 21 regulations providing for acceptance or approval of certificates 22 to teach issued by other states, countries and bodies.

(6) To recommend to the State Board [of Education] rules and
regulations providing for the department to enter into
agreements with agencies of other states for reciprocal approval
of teacher preparation programs.

27 (7) To recommend to the State Board [of Education] rules and 28 regulations governing examinations for the initial certification 29 of teachers.

30 (8) To cooperate with a national board for professional 20130SB0034PN1606 - 12 - education certification recognized by the commission to such
 degree as, in the commission's judgment, shall bring advantage
 to the Commonwealth.

To establish procedures for [conducting hearings 4 (9) pursuant to section 13 and for hearings regarding reinstatement 5 of certificates] the commission's adjudication of educator_ 6 7 misconduct and applications for reinstatement and for conducting public hearings, including the imposition of fines and fees. 8 9 To adopt requirements regarding the submission of (9.1)10 reports by the department on the processing of complaints in 11 order to ensure the timely and effective resolution of 12 complaints.

13 (10)To adopt and maintain a code for professional practice 14 and conduct that shall be applicable to any educator as defined 15 in this act, pursuant to the act of July 31, 1968 (P.L.769, 16 No.240), referred to as the Commonwealth Documents Law. Nothing in the code for professional practice and conduct shall be an 17 independent basis for [the suspension or revocation of a 18 19 certificate] discipline other than a public or private 20 reprimand, nor shall it pertain to questions of membership or 21 affiliation or nonaffiliation in an employe organization, or participation in the actions of an employe organization, or 22 23 participation or nonparticipation in the actions of an employe 24 organization related to the negotiation of a collective 25 bargaining agreement, a strike or other work stoppage as defined 26 under the act of July 23, 1970 (P.L.563, No.195), known as the 27 "Public Employe Relations [Act," provided that the code may 28 specify those sections the violation of which may constitute a 29 basis for reprimand.] Act."

30 [(11) To discipline any professional educator or charter 20130SB0034PN1606 - 13 -

school staff member found guilty upon hearings before the 1 2 commission of immorality, incompetency, intemperance, cruelty or 3 negligence or for violation of any provision of the act of May 29, 1931 (P.L.210, No.126), entitled, as amended, "An act to 4 regulate the certification and the registration of persons 5 qualified to teach in accredited elementary and secondary 6 7 schools in this State; imposing certain duties upon the 8 Department of Public Instruction and the State Board of Education; defining violations; providing penalties, and for 9 10 appeal to the court of common pleas of Dauphin County." The commission shall establish definitions consistent with this 11 12 clause.]

13 (11.1) To direct the department to [suspend or revoke the 14 certificate of a professional educator or charter school staff 15 member] <u>discipline any educator</u> in accordance with section 9.2, 16 <u>9.3, 9.4 or 9.5</u>.

17 (11.2) To impose supplemental sanctions or other conditions, 18 corrective action, fines, costs or fees for violations of this act or for reinstatement, including requiring an educator, at 19 20 the educator's own expense, to submit to the evaluation or care, 21 counseling or treatment of a physician, psychologist, therapist or psychiatrist as designated by the commission or enter an 22 23 impaired educator program or similar program approved by the 24 commission. 25 (11.3) To issue subpoenas in accordance with procedures set 26 forth in this act. 27 To establish procedures which assure that actions (12)28 concerning discipline and reinstatement of [professional] 29 educators shall comply with due process requirements.

30 (12.1) To establish and participate in alternative dispute

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1 resolution programs to allow for flexibility, early resolution

2 and cooperation in resolving charges filed under section 13.

3 (12.2) To develop outreach programs, professional

4 <u>development and courses designed to improve the quality of</u>

5 practice and ethical conduct in the teaching profession.

6 (13) To keep minutes of its meetings and report annually to 7 the Governor, the General Assembly, the State Board [of 8 Education], the education profession and the public and to 9 publish, from time to time, such other reports as it deems 10 appropriate.

(14) To adopt, pursuant to the act of July 31, 1968
(P.L.769, No.240), referred to as the Commonwealth Documents
Law, operating and procedural rules and regulations necessary to
carry out the purposes of this act. The commission shall hold
public hearings and take testimony concerning proposed
recommendations which shall be presented to the State Board [of
Education].

18 <u>(a.1)</u> Nothing in this act shall be construed to prevent 19 organizations of the education profession from adopting measures 20 designed to improve the standards and practices of ethics and 21 academic freedom among their members and in their relationships 22 with other persons and groups.

(b) All teachers' certificates in force in this Commonwealth on [the effective date of this amendatory act] <u>February 17,</u> <u>2001, shall continue in full force and effect, subject to all</u> the terms and conditions under which they were issued, until they expire by virtue of their own limitations, unless they are sooner annulled for the reasons and in the manner provided by law.

30 (c) Recommendations as outlined in subsection (a) shall be 20130SB0034PN1606 - 15 - 1 presented publicly at a scheduled State Board [of Education]
2 meeting. This presentation shall be prior to any board action on
3 regulations, standards or guidelines affecting teacher
4 certification, professional practices, accreditation of teacher
5 education programs and long range plans.

6 Section 6. Organization and Meetings of the Commission.--(a) 7 The Governor shall annually select a chairman from among the 8 membership of the commission. The chairman, or a commission 9 member designated by the chairman, shall be an ex officio member 10 of the State Board [of Education] without voting privileges or 11 assignment to either council.

12 Meetings shall be held at least five times per year at (b) 13 the call of the chairman or upon request in writing of a 14 majority of the commission. A majority shall constitute a quorum 15 and a majority of such quorum shall have authority to act upon 16 any matter properly before the commission unless otherwise specified in this act. [In the case of the discipline of an 17 18 administrator, the commission shall act by a majority vote of a 19 special panel of at least nine members selected by the chairman, 20 to include no more than three teachers. The first meeting of the 21 commission shall be held within six months of the effective date of this act.] Meetings of the commission shall be open to the 22 23 public and the executive director of the commission shall be 24 responsible for seeing that notices of meetings of the commission are properly circulated. 25

26 Section 4. Sections 7 and 8 of the act, amended December 14, 27 1989 (P.L.612, No.71), are amended to read:

28 Section 7. Expenses.--Members of the commission shall 29 receive no compensation for their services, but shall be 30 reimbursed for their actual and necessary expenses incurred in

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1 the performance of official commission business. A member of the 2 commission, who is an employe of an agency of the Commonwealth, 3 or any of its political subdivisions [including school districts], or of a school entity, shall be permitted to attend 4 commission meetings and perform other commission duties without 5 6 loss of income or other benefits. A State agency or any political subdivision of this Commonwealth, including a school 7 8 entity, required to employ a substitute for a member of the 9 commission who is absent from his employment while performing 10 commission business shall be reimbursed by the [Department of 11 Education] department from funds appropriated for the general 12 government operations of the [Department of Education] 13 department for the actual amount of any costs incurred upon 14 presentation of a request for reimbursement and documentation of 15 such cost. A member of the commission who is employed by a 16 private employer shall be reimbursed by the [Department of 17 Education] department, from funds appropriated for the general 18 government operations of the [Department of Education] 19 <u>department</u>, for any income lost, pursuant to guidelines 20 established by the commission, as a result of attendance at 21 commission meetings or performance of other official commission 22 duties upon presentation of a request for reimbursement and 23 documentation of such loss.

Section 8. Commission Staff.--(a) There shall be an executive director of the commission who shall serve as the executive officer and secretary of the commission. The commission and the secretary shall jointly employ and fix the compensation of the executive director. The executive director, with approval of the commission and the secretary, may employ additional professional and clerical personnel as may be

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1 necessary to carry out the duties and responsibilities of the 2 commission. The [Department of Education] <u>department</u> shall 3 provide adequate space and equipment to facilitate the 4 activities of the commission.

5 The Governor, through his General Counsel, shall provide (b) 6 such legal advice and assistance as the commission may require. 7 Section 5. Sections 9, 9.1 and 9.2 of the act, amended or 8 added December 20, 2000 (P.L.918, No.123), are amended to read: 9 Section 9. Complaints and Department Investigations.--(a) 10 [A proceeding to discipline a professional educator shall be initiated by the filing of a complaint with the department by 11 any interested party within one year from the date of the 12 13 occurrence of any alleged action specified under section 14 5(a)(11), or from the date of its discovery. Complaints 15 involving sexual abuse or exploitation of a child or a student 16 may be filed beyond the date of the alleged occurrence or date of its discovery up until five years after the child or student 17 18 reaches 18 years of age. If the alleged action is of a 19 continuing nature, the date of its occurrence is the last date on which the conduct occurred.] The filing of a written educator 20 misconduct complaint with the department will initiate the 21 22 department's review and investigation of an educator.

23 (b) For purposes of this act, the department may file an
24 educator misconduct complaint.

(c) The department may by regulation prescribe standards for the filing of complaints. The complaint shall, at a minimum: (1) be in a <u>written</u> form prescribed by the department; (2) specify the nature and character of the [charges] <u>allegations of misconduct</u>; and

30 (3) be verified [under oath by the complaining party] by the 20130SB0034PN1606 - 18 - 1 <u>complainant</u> or a duly authorized agent of the [complaining 2 party] <u>complainant and made subject to the penalties of 18</u>

3 Pa.C.S. § 4904 (relating to unsworn falsification to

4 <u>authorities)</u>.

5 The commission[, and its individual members,] may not (d) 6 file a complaint [or initiate a disciplinary proceeding on their 7 own motion, except that if, in]. If an individual commissioner 8 in his or her personal capacity or in the performance of [commission business, the commission, or any of its individual 9 10 members,] his or her professional responsibilities as an employe_ 11 of a school entity uncovers evidence of educator misconduct that 12 would appear to [require discipline, the commission may transmit 13 such evidence to the department where such evidence will be 14 treated as] warrant discipline under this act, the individual commissioner may file a complaint in accordance with the 15 16 provisions of this act.

[(f)] (e) Upon receipt of a complaint, the department shall promptly review it and all other complaints and information relating to the [professional] educator.

(1) If the facts alleged are not <u>legally</u> sufficient to warrant discipline <u>under this act</u>, the department shall dismiss the complaint and provide written notice of such dismissal to the [complaining party and to the affected professional] complainant and to the educator.

(2) If the facts alleged are deemed <u>legally</u> sufficient to
warrant discipline[, the department shall notify the affected
professional educator and the complaining party in writing of
the sufficiency of the complaint and] <u>under this act, the</u>
<u>department shall provide written notice of the legal sufficiency</u>
of the complaint to the educator, the current and former school

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1 <u>entity in which the educator is or was employed and the</u>

2 <u>complainant.</u>

(2.1) If the facts alleged are deemed legally sufficient to 3 warrant discipline, the department may conduct a preliminary 4 investigation to determine whether there is probable cause to 5 6 believe that grounds for discipline exist. The department shall 7 be provided, upon request to the complainant, the educator and 8 the current and former school entity in which the educator is or was employed, any [documents] relevant information and 9 10 documentary and physical evidence it may reasonably require in pursuit of its preliminary investigation. [Such request shall be 11 12 made in writing to the professional educator or the current or 13 prior employer.]

14 If the department determines that probable cause does (3) not exist, [it shall issue a written notice to the affected 15 16 professional educator, the complaining party and the current or prior employer if the employer was notified of the complaint. If 17 18 a finding of probable cause is made, the department shall so 19 notify the affected professional educator and the complaining 20 party and may immediately conduct an investigation pursuant to 21 section 12 or transmit its preliminary findings to the local 22 school governing board of the school entity in which the 23 affected professional educator is or was last serving, to allow 24 the local school board to investigate and comment upon the 25 appropriateness of professional discipline.] the department_ 26 shall dismiss the complaint and provide a written notice of such 27 dismissal to the educator, the complainant and the current and 28 former school entity in which the educator is or was employed. 29 (4) If the department determines that probable cause exists, the department shall provide written notice to the educator, the 30

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complainant and the current and former school entity in which 1 2 the educator is or was employed and may immediately conduct an 3 investigation, which may include directing the school entity to investigate and comment upon the appropriateness of professional 4 discipline in accordance with section 11. 5 6 (f) In conducting its investigations under this act, the 7 department may investigate any and all allegations of misconduct 8 in the complaint or complaints and any other misconduct concerning the educator that is discovered in the course of the 9 10 investigations. 11 Section 9.1. [Reporting to Department] Mandatory 12 <u>Reporting</u>.--(a) The [superintendent, assistant superintendent, 13 executive director of an intermediate unit, chief administrator of an area vocational-technical school, administrator of a 14 15 charter school or their designees shall report any] chief school 16 administrator or his designee shall file all of the following [to] information with the department in writing on a form 17 18 prescribed by the department: 19 (1) [The dismissal of a certificated employe] <u>Any educator</u> who has been provided with notice of intent to dismiss or remove 20 for cause, notice of nonrenewal for cause, notice of removal 21 from eligibility lists for cause or notice of a determination 22 23 not to reemploy for cause. The report shall be filed within [30] 24 15 days after [an administrative decision by an arbitrator or 25 the local board of school directors] notice is provided by a school entity. 26 27 (2) [Conduct that has resulted in a criminal indictment or 28 conviction for a crime set forth in section 111(e)(1) through 29 (3) of the act of March 10, 1949 (P.L.30, No.14), known as the

30 "Public School Code of 1949," or other crime that involves moral

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turpitude. The report shall be filed within 30 days of the 1 receipt of information and shall include all available 2 3 information relating to the conduct resulting in the charge or conviction.] Any educator who has been arrested or indicted for 4 or convicted of any crime that is graded a misdemeanor or 5 felony. For purposes of this section, the term conviction shall_ 6 7 include a plea of quilty or nolo contendere. The report shall be 8 filed within 15 days of discovery of the indictment, arrest or 9 conviction. 10 (3) [Information which constitutes reasonable cause to believe that a certificated employe has caused physical injury 11 to a student or child as a result of negligence or malice or 12 13 has] Any educator against whom allegations have been made that 14 the educator has: 15 (i) committed sexual abuse or exploitation involving a child 16 or student [or child.]; or 17 (ii) engaged in sexual misconduct with a child or student. 18 The report shall be filed within [60] 15 days of the [receipt of 19 the information.] discovery of the allegations of misconduct. 20 (3.1) Information which constitutes reasonable cause to 21 suspect that an educator has caused physical injury to a child or student as a result of negligence or malice. The report shall 22

23 be filed within 15 days of the discovery of the information.

24 (4) Any educator who has resigned, retired or otherwise

25 separated from employment after a school entity has received

26 information of alleged misconduct under this act. The report

27 shall be filed within 15 days of the separation from employment,

28 notwithstanding any termination agreement to the contrary that

29 the school entity may enter into with the educator.

30 (5) Any educator who is the subject of a report filed by the

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school entity under the reporting requirements of 23 Pa.C.S. Ch.
 <u>63</u> (relating to child protective services). The report shall be
 <u>filed within 15 days of the filing of the child protective</u>
 <u>services report.</u>
 (6) Any educator who the school entity knows to have been

6 named as the perpetrator of an indicated or founded report of

7 child abuse or named as an individual responsible for injury or

8 abuse in an indicated or founded report for a school employe

9 <u>under 23 Pa.C.S. Ch. 63. The report shall be filed within 15</u>

10 days of discovery of the child protective services report.

The superintendent, assistant superintendent, executive 11 [(b)] 12 director of an intermediate unit, chief administrator of an area 13 vocational-technical school and administrator of a charter 14 school or their designees shall comply with the provisions of 15 subsection (a). Failure to comply shall be deemed a violation of 16 subsection (a) by the chief school administrator of that school entity and may result in disciplinary action against the chief 17 18 school administrator.]

19 (c) An educator who is arrested or indicted for or convicted 20 of any crime enumerated under section 111(e) and (f.1) of the 21 act of March 10, 1949 (P.L.30, No.14), known as the "Public

22 <u>School Code of 1949, "shall report the indictment, arrest or</u>

23 conviction to the school entity at which the educator is

24 currently employed within 72 hours of the indictment or

25 <u>conviction</u>.

26 (d) An educator who knows of any action, inaction or conduct
 27 which constitutes sexual abuse or exploitation or sexual

28 misconduct under this act shall file a mandatory report with the

29 department and shall report such misconduct to his or her chief

30 school administrator and immediate supervisor. The report shall

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be filed within 15 days of the discovery of the sexual abuse or 1

2 exploitation or sexual misconduct.

3 (e) All reports submitted to the department shall include an inventory of all information and documentary and physical_ 4 evidence in possession or control of the school entity relating 5 6 to the misconduct resulting in the report and the name and 7 contact information for the current custodian of the items listed in the inventory. The school entity shall provide 8 promptly to the department any documents or items requested 9 10 after the department reviews the inventory. 11 Section 9.2. [Imposition of Discipline.--] Discipline for 12 Criminal Offenses. -- (a) The commission shall [do all of the 13 following regarding suspension or revocation of a professional 14 certificate]: 15 (1) Direct the department to immediately suspend the 16 certificate [of a professional] and employment eligibility of an educator indicted for a crime set forth in section 111(e)(1) 17 18 through (3) of the act of March 10, 1949 (P.L.30, No.14), known as the "Public School Code of 1949," or the attempt, 19 solicitation or conspiracy to commit any crime set forth in that 20 21 section if the commission, after notice and hearing if requested, determines that the [professional] educator poses a 22 23 threat to the health, safety or welfare of [a student] students_ 24 or other [individual in a school] persons in the schools of this Commonwealth in accordance with the following: 25 26 Within 15 days of [the receipt] service of notice of (i) charges issued by the department, the [professional] educator 27 28 may request a hearing before the commission on the question of 29 whether the certification or employment eligibility should be 30 immediately suspended. The commission or a [committee] panel of 20130SB0034PN1606

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members of the commission shall hold a hearing within [15] 30 1 2 days of the receipt of the request for hearing. If the educator 3 fails to request a hearing, the commission or a panel of members of the commission promptly shall convene a meeting to consider 4 the request for immediate suspension. The commission or 5 [committee] panel shall issue a decision within 20 days after 6 7 the conclusion of the meeting or hearing, including receipt of 8 the transcript or filing of any briefs. The [professional] 9 educator may appeal the decision of the commission pursuant to section [15] 15(a), except that an appeal filed shall not 10 operate as a stay of the discipline. 11

12 The commission may elect not to <u>direct the department</u> (ii) 13 to suspend the certificate [of a professional] and employment 14 eligibility of any educator indicted for a crime under this subsection if the [professional] educator files an affidavit 15 16 attesting that during the pendency of the criminal [charge the professional] proceeding the educator will not be employed in a 17 18 position that requires [professional] certification or involves 19 direct contact with children or students. The commission shall_ not accept an affidavit when the allegations that form the basis 20 of the criminal proceeding involve sexual misconduct or sexual 21 22 abuse or exploitation of a child or student.

(iii) The commission shall direct the department to immediately lift a suspension upon receipt of certified court documents establishing that the charges have been dismissed or otherwise removed.

(iv) [The commission may reinstate the certificate of a
professional] <u>Upon petition by the educator, the commission may</u>
<u>direct the department to reinstate the certificate and</u>
<u>employment eligibility of an</u> educator suspended under this

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paragraph or release the [professional] educator from an 1 2 affidavit under subparagraph (ii) if the [professional educator 3 participates in] educator is admitted into an accelerated rehabilitative disposition program as a result of the indictment 4 and the commission determines that the [professional] educator 5 6 does not pose a threat to the health, safety or welfare of 7 students or other individuals in a school. The commission shall 8 conduct an expedited hearing, if requested, for an applicant for 9 reinstatement or release under this subparagraph. 10 Notwithstanding the provisions of this subparagraph, an applicant shall not be reinstated if the indictment was for an 11 offense under 18 Pa.C.S. Ch. 31 (relating to sexual offenses) 12 13 [where the victim is a minor.] prior to successful completion of_ 14 the accelerated rehabilitative disposition program and dismissal of the criminal charges. 15

16 (2) Direct the department to revoke the certificate [of a professional] and employment eligibility of an educator who has 17 18 been convicted of a crime set forth in section 111(e)(1) through 19 (3) of the "Public School Code of 1949," [or] a crime involving 20 moral turpitude, [or an equivalent crime in Federal court or a court of another state, territory or nation,] or the attempt, 21 solicitation or conspiracy to commit any crime set forth in this_ 22 23 section upon the filing of a certified copy of the verdict or 24 judgment or sentence of the court with the commission. The commission shall direct the department to immediately reinstate 25 a certificate and employment eligibility upon receipt of 26 certified court documents establishing that the conviction was 27 28 reversed [on appeal]. For purposes of this paragraph, the term 29 "conviction" shall include a plea of quilty or nolo contendere. 30 Direct the department to discipline a professional [(3)

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educator upon receipt of a certified copy of an adjudication 1 2 from the appropriate licensing authority in another state, 3 territory or nation imposing discipline for grounds, other than a conviction under paragraph (2), that are comparable to grounds 4 for discipline under this act. Upon receipt of the adjudication, 5 the commission shall issue an order directing that the 6 7 professional educator show cause why the imposition of identical 8 or comparable discipline in this Commonwealth would be unwarranted. The professional educator shall respond within 30 9 10 days of receipt of the order. The final adjudication by an 11 appropriate licensing authority of another jurisdiction shall be 12 conclusive as to the misconduct of a professional educator under 13 this act. Within 30 days after the time alloted for the 14 professional educator to respond to the order to show cause, the 15 commission may impose the identical or comparable discipline 16 unless the professional educator demonstrates that:

(i) the discipline would result in a grave injustice;
(ii) the discipline is substantially different from what
would have been imposed for similar conduct in this
Commonwealth; or

21 (iii) the procedure used in the other jurisdiction did not 22 provide due process.

(4) Direct reinstatement of a certificate revoked, suspended
or surrendered in lieu of discipline in accordance with this
act.]

26 (b) Nothing in this section shall be construed to prevent 27 the department from pursuing discipline under this act against 28 any educator who has been acquitted, who has participated in an 29 alternative disposition program or for whom the criminal charges 30 were otherwise withdrawn or dismissed.

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1	Section 6. The act is amended by adding sections to read:
2	Section 9.3. Imposition of Discipline on Additional
3	<u>Grounds(a) The commission shall direct the department to</u>
4	impose discipline against any educator for conduct found by the
5	commission to constitute:
6	(1) Immorality.
7	(2) Incompetency.
8	(3) Intemperance.
9	(4) Cruelty.
10	(5) Negligence.
11	<u>(6) Sexual misconduct.</u>
12	(7) Sexual abuse or exploitation.
13	(8) A violation of the code for professional practice and
14	conduct adopted pursuant to section 5(a)(10).
15	(9) Illegal use of professional title as set forth in the
16	act of May 29, 1931 (P.L.210, No.126), entitled "An act to
17	regulate the certification and the registration of persons
18	qualified to teach in accredited elementary and secondary
19	schools in this State; imposing certain duties upon the
20	Department of Public Instruction and the State Board of
21	Education; defining violations; providing penalties, and for
22	appeal to the court of common pleas of Dauphin County."
23	(10) Failure to comply with duties under this act, including
24	the mandatory reporting duties set forth in section 9.1.
25	(11) Actions taken by an educator to threaten, coerce or
26	discriminate or otherwise retaliate against an individual who in
27	good faith reports actual or suspected misconduct under this act
28	or against complainants, victims, witnesses or other individuals
29	participating or cooperating in proceedings under this act.
30	(b) The commission shall establish definitions consistent
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1 with this section.

2	Section 9.4. Imposition of Discipline on Founded and	<
3	Indicated Reports(a) Notwithstanding any provision of 23	
4	Pa.C.S. Ch. 63 (relating to child protective services), the	
5	commission shall:	
6	(1) Direct the department to revoke the certificate and	
7	employment eligibility of an educator who is named as the	
8	perpetrator of a founded report of child abuse or named as an	
9	individual responsible for injury or abuse in a founded report	
10	for a school employe under 23 Pa.C.S. Ch. 63 upon receipt of	
11	documentation verifying the founded report.	
12	(2) Direct the department to discipline an educator who is	<
13	named as the perpetrator of an indicated report of child abuse	
14	<u>or named as an individual responsible for injury or abuse in an</u>	
15	indicated report for a school employe under 23 Pa.C.S. Ch. 63.	
16	Upon receipt of documentation verifying the indicated report	
17	after final determination by the Department of Public Welfare,	
18	the department shall issue an order directing that the educator	
19	show cause why the imposition of discipline against the	
20	educator's certificate and employment eligibility would be	
21	unwarranted. The educator shall respond within 30 days of	
22	service of the order. The commission shall order appropriate	
23	discipline against the educator's certificate and employment	
24	eligibility unless the educator demonstrates that:	
25	(i) the discipline would result in a grave injustice or be	
26	unwarranted; or	
27	(ii) the procedure used in the administrative procedure	
28	under 23 Pa.C.S. Ch. 63 did not provide due process.	
29	(3) (2) Direct the department to immediately reinstate a	<
30	certificate and employment eligibility upon receipt of a	

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1	certified document establishing that a founded or indicated	<
2	report of child abuse or founded or indicated report for a	<
3	school employe was reversed or determined to be unfounded.	
4	(b) Nothing in this section shall be construed to prevent	
5	the department from pursuing discipline under this act against	
6	any educator for whom a founded or indicated report of child	<
7	<u>abuse or founded or indicated</u> report for a school employe was	<
8	reversed or determined to be unfounded.	
9	(c) For purposes of this section, "final determination"	<
10	shall mean a final order issued by the Department of Public	
11	Welfare Bureau of Hearings and Appeals or the Secretary of	
12	Public Welfare in resolution of any administrative appeal of an	
13	indicated report or the expiration of the time period in which	
14	the subject of an indicated report may request an administrative	=
15	<u>appeal.</u>	
16	<u>Section 9.5. Reciprocal Discipline(a) Upon receipt of a</u>	
17	certified copy of an adjudication from the appropriate licensing	_
18	authority in another state, territory or nation imposing	
19	discipline for grounds that are comparable to the grounds for	
20	discipline under this act, the department may issue an order	
21	directing that the educator show cause why the imposition of	
22	identical or comparable discipline in this Commonwealth would be	_
23	unwarranted. The final adjudication by an appropriate licensing	
24	authority of another jurisdiction shall be conclusive as to the	
25	misconduct of an educator under this section. The educator shall	_
26	respond within 30 days of service of the order to show cause.	
27	The commission may direct the department to impose the identical	_
28	or comparable discipline unless the educator demonstrates that:	
29	(1) the discipline would result in a grave injustice;	
30	(2) the discipline is substantially different from what	

1	would have been imposed for similar conduct in this
2	Commonwealth; or
3	(3) the procedure used in the other jurisdiction did not
4	provide due process.
5	(b) Nothing in this section shall be construed to prevent
6	the department from pursuing discipline against any educator
7	disciplined in another state, territory or nation under other
8	sections of this act.
9	Section 9.6. Unavailability of Certain Defense and
10	Mitigating FactorThe consent of a child or a student to
11	engage in sexual misconduct or sexual abuse or exploitation may
12	not be a defense or a mitigating factor in any discipline
13	proceeding under this act.
14	Section 7. Section 10 of the act, amended December 20, 2000
15	(P.L.918, No.123), is repealed:
16	[Section 10. Confidentiality(a) All information relating
17	to any complaints, including the identity of the complainant, or
18	any proceedings relating to or resulting from such complaints,
19	shall remain confidential, unless or until discipline, other
20	than a private reprimand, is ordered, any provision of law to
21	the contrary notwithstanding. Should proceedings, after all
22	appeals, result in discipline, other than private reprimand,
23	such discipline and all records pertaining thereto shall become
24	public. There shall be no ex-parte communication on any pending
25	matter regarding discipline.
26	(b) This section shall not prohibit any person from
27	disclosing information previously made public as a result of
28	action by a school entity to dismiss a certified employe for
29	cause or as a result of a certified employe having been formally
30	charged with or convicted of a crime of moral turpitude or
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1 another offense requiring mandatory revocation of a certificate.

2 (c) The provisions of this section shall not apply to3 reinstatements.

4 (d) Nothing in this section shall be construed to deny a
5 professional educator access to information necessary to prepare
6 a defense in a disciplinary proceeding under this act.]
7 Section 8. Sections 11, 12, 13, 14, 15 and 16 of the act,
8 amended December 20, 2000 (P.L.918, No.123), are amended to
9 read:

10 Section 11. Duties of [Local School Board Officials] School Entities. -- (a) Upon receipt of [the preliminary findings] 11 notification in writing from the department, a [local school 12 13 board shall investigate] school entity shall investigate the 14 allegations of misconduct as directed by the department and may pursue the local disciplinary procedures established by law or 15 16 by collective bargaining agreement for adjudication of complaints against [professional educators. The local school 17 18 board shall inform the department within 90 days of receipt of 19 the preliminary findings whether the local school board will 20 pursue disciplinary action and whether the board believes that professional disciplinary action by the commission is warranted] 21 22 an educator.

23 (b) [The school board, when its local investigation is 24 completed, may make a definite] Within 90 days of receipt of the 25 written notification from the department directing the school 26 entity to conduct an investigation, the school entity shall inform the department of the outcome of its investigation and 27 28 whether it will pursue local employment action and may make a 29 recommendation concerning discipline[. The school board shall 30 notify the affected professional educator of any such

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recommendation and shall provide to the department] under this 1 2 act. The department, upon request by a school entity, may extend the 90-day reporting period. In reporting the outcome of its 3 investigation, the school entity shall provide the department 4 with: 5 6 Its findings [and], a summary of the evidence gathered (1)7 and an inventory of all documentary and physical evidence 8 related to the allegations of misconduct and the name and contact information for the current custodian of items listed in 9 10 the inventory. 11 Any other relevant information which the department may (2) 12 request, including information related to individuals interviewed by the [local school board.] <u>school entity.</u> 13 14 (b.1) If the school entity makes a recommendation concerning discipline, it shall notify the educator of such recommendation. 15 (c) A school [district, intermediate unit, area vocational-16 technical school or charter school,] entity and any official or 17 18 employe thereof[,] shall cooperate with the department during 19 [all stages of the disciplinary process.] its review, investigation or prosecution and promptly shall provide the 20 department with any relevant information and documentary and 21 22 physical evidence that the department may reasonably request. 23 (d) When the department receives information at any time 24 during the course of its review, investigation or prosecution of misconduct that the educator is currently employed by a school 25 26 entity not previously notified under this act, the department shall notify the school entity of the complaint, investigation 27 and charges so that the school entity may exercise its duties 28 29 and rights under this act. 30 (e) A school entity is prohibited from entering into any

agreement with an educator or educator association whereby a
 school entity agrees not to comply with its mandatory reporting
 duties or other duties outlined in this act. Any agreement or
 provision of an agreement contrary to this subsection is void
 and unenforceable.

6 Section 12. Department Action After Investigation. -- After 7 completion of [an] a preliminary or full investigation, the 8 department may dismiss the [charges] complaint, determine that appropriate and sufficient punishment has been imposed by the 9 10 [local school board, or initiate hearing procedures] school_ 11 entity, participate in alternative dispute resolution process, 12 enter into a written settlement agreement with the educator or 13 initiate the formal adjudicatory hearing process with the filing 14 of charges with the commission. If the complaint is dismissed or 15 [it is determined that appropriate and sufficient punishment has 16 been imposed by the local school board] otherwise resolved 17 without the filing of charges with the commission, the 18 department shall inform the [professional] educator, the 19 complainant and the [local school board of the determination] 20 school entity of its resolution of the complaint.

21 Section 13. Hearing. -- (a) Upon determination to initiate 22 [hearing procedures] the formal adjudicatory hearing process, 23 the department shall[,] within 30 days[,] send a written notice 24 to the [affected professional] educator advising of the charges 25 and of his right to request a hearing within 30 days of 26 [receipt] service of such notice. A copy of the written notice 27 of the charges shall be served upon the [professional educator's 28 current or prior employer] current and former school entity in_ 29 which the educator is or was employed. The notice of charges shall set forth all acts or omissions which the department 30

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1 asserts constitute misconduct and warrant discipline, which need_

2 not be limited to the allegations in the complaint or

3 complaints, and may include allegations of misconduct that were

4 discovered in the course of the department's investigation of a

5 <u>complaint or complaints</u>.

6 (b) Notwithstanding any other provision of this act, if the 7 department in its discretion determines that immediate 8 discipline is necessary to protect the health, safety or welfare 9 of students or other persons in the schools of this 10 Commonwealth, it [shall] <u>may</u> request that the commission modify 11 the procedure set forth in this section and schedule an 12 expedited hearing.

13 (c) The hearing shall be held in accordance with the 14 following procedures <u>unless otherwise specified in this act or</u> 15 <u>ordered by the commission</u>:

(1) Within [45] <u>15</u> days of receiving a request for a hearing, the commission shall appoint a hearing officer from a list of impartial third parties qualified to conduct such hearings. The list shall have been previously agreed upon jointly by the Governor's General Counsel and at least twothirds of the commission, and shall have at least five names which shall be chosen on a rotating basis.

(2) The burden of proof shall be on the department, which
shall act as prosecutor, to establish <u>by a preponderance of the</u>
<u>evidence</u> that grounds for discipline exist.

(3) The [professional] educator against whom the [charge is] <u>charges are made shall have the right to be represented by</u> counsel and to present evidence and argument in accordance with rules of procedure promulgated by the commission.

30 (4) [The governing board of the school entity in which the

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affected professional educator is or was last employed may 1 2 intervene, for cause shown, in accordance with 1 Pa. Code § 35.28] After the filing of charges against an educator with the 3 commission, the current or former school entity in which the 4 educator is or was employed may intervene as of right in the 5 disciplinary proceeding. Admission as an intervener shall not be 6 7 construed as conferring full party status on the school entity, 8 and interveners are granted no rights which survive

9 <u>discontinuance or resolution of the disciplinary matter before</u> 10 <u>the commission</u>.

11 The hearing shall be closed[, unless the affected (5) professional educator requests that it be open to the public. If 12 13 the hearing is open, the hearing officer, in his discretion, may 14 close any portion of the hearing for good cause shown. If the 15 hearing is closed,] and only the department, commission members 16 and staff, the [affected professional educator and his or her representatives, any intervenors] educator and his or her_ 17 18 counsel, any intervener or its counsel, if applicable, and any 19 [material] witnesses shall be permitted to attend. [Students 20 attending school in the district which employs the professional educator shall not be permitted to attend any hearing except as 21 witnesses duly subpoenaed to testify with respect to the charges 22 23 made.] Where a witness is a child or student, the commission or_ 24 its hearing officers may in their discretion permit a parent or guardian to be in attendance during the testimony of the child 25 26 or student.

(6) The department may recommend to the hearing officer andcommission appropriate discipline.

29 (7) [The hearing officer shall, within 60] <u>Within 90</u> days
30 after the conclusion of the hearing, [issue a decision

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concerning whether] receipt of the transcript and submission of_ 1 written legal memoranda, the hearing officer shall issue a 2 3 proposed report concerning whether the department has met its burden of establishing that misconduct has occurred and whether 4 discipline should be imposed. [A decision] The proposed report 5 shall include findings of fact and conclusions of law and 6 7 specify the recommended discipline. 8 Section 14. [Decision] Proposed Report by Hearing Officer .--The [decision] proposed report of the hearing officer shall 9 (a) 10 [become final unless] be accepted by the commission unless: 11 (1) the [professional] educator or the department files 12 [exceptions or a brief on] exceptions in accordance with 1 Pa._ 13 Code §§ 35.211 (relating to procedure to except to proposed 14 report) and 35.212 (relating to content and form of briefs on 15 exceptions) within 30 days of the date [of] the [recommended 16 decision.] proposed report was mailed; 17 (2) within 60 days of the date the proposed report was 18 mailed, the commission initiates a review of the proposed report 19 in the absence of exceptions; or 20 (3) within 60 days of the date the proposed report was mailed, the commission reopens the proceeding for the reception 21 of further evidence in accordance with 1 Pa. Code § 35.233_ 22 23 (relating to reopening by agency action). 24 [The commission shall promptly consider] After (b) 25 consideration of exceptions to the hearing officer's [decision. 26 The commission by a majority vote of the full membership] proposed report or further evidence or its review under this 27 section, the commission shall accept, modify or reject the 28 29 hearing officer's [decision, except that, in the case of discipline of an administrator, all exceptions shall be taken by 30 20130SB0034PN1606 - 37 -

a special panel of at least nine members of the commission
 selected by the chairperson, which will include no more than
 three teachers] proposed report.

4 [(c) Within 45 days after receiving the decision from the 5 hearing officer and the exceptions thereto, the commission shall 6 issue a written opinion and order affirming, reversing or 7 modifying the hearing officer's decision and imposing 8 discipline, if any.]

9 Section 15. Appeal.--(a) An order of the commission
10 regarding discipline [of a professional] or reinstatement of an
11 educator may be appealed only by the department or the
12 [professional] educator as an adjudication by a [State]
13 <u>Commonwealth</u> agency in the manner provided by law.

14 (b) An appeal filed under subsection (a) shall operate as a 15 stay of the discipline [until the determination] <u>imposed under</u> 16 <u>this act until the resolution</u> of the appeal, except where:

17 (1) the grounds for discipline include sexual misconduct or 18 sexual abuse or exploitation;

19 (2) the commission's decision to discipline is accompanied 20 by a finding that immediate discipline is necessary to protect 21 the health, safety or welfare of students or other persons in 22 the schools of this Commonwealth; or

23 (3) the discipline imposed is the result of a negotiated 24 settlement between the parties or is imposed under section 9.2. 25 Where the commission's adjudication [is in favor of the (C) professional educator] finds no educator misconduct under this 26 act, the charges pertaining to the disciplinary proceeding shall 27 28 be expunged from any personal or professional file of the 29 [professional] educator maintained by the department [and/or the local school entity.] and the school entity unless the school 30

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1 <u>entity has taken or is pursuing local disciplinary action</u>

2 against the educator.

(d) The commission shall make all adjudications imposing 3 discipline, other than a private reprimand, available on a 4 publicly accessible Internet website and shall cooperate with 5 the department in maintaining a central online registry on a 6 7 publicly accessible Internet website of charter and cyber 8 charter school staff members and contracted educational provider staff members whose eligibility for employment has been 9 suspended, revoked, surrendered or otherwise disciplined 10 11 pursuant to this act. 12 Section 16. Reinstatement. -- (a) [Any professional] An 13 educator whose certificate [has] or employment eligibility has 14 been suspended, revoked or surrendered may apply to the commission for an order lifting the suspension or reinstating 15 16 the certificate. The commission shall order the lifting of the 17 suspension or reinstatement if the commission determines it 18 would be just and proper. The commission shall seek and consider 19 recommendations from the department prior to ordering the 20 lifting of the suspension or reinstatement of the certificate 21 and employment eligibility and shall conduct hearings on the application at the request of the [professional] educator in 22 23 accordance with procedures [of this act] established by the 24 commission in accordance with this act. The commission shall also seek and may consider recommendations from the school 25 26 entity or entities in which the educator was employed at the time of the misconduct. For purposes of determining whether it 27 is just and proper to lift a suspension or reinstate a 28 29 certificate, the commission may consider: 30 The conduct which resulted in discipline. (1)20130SB0034PN1606 - 39 -

1 (2) Other past conduct of the applicant.

2 (3) The applicant's current attitude toward past conduct.

3 (4) Rehabilitation efforts and activities.

4 (4.1) Evidence of compliance with any conditions imposed as

5 part of the discipline.

6 (5) References and letters of support [or] <u>of or in</u>
7 opposition <u>to reinstatement</u>.

8 (b) The commission shall not lift the suspension or 9 reinstate the certificate [of a professional] <u>or employment</u> 10 <u>eligibility of an</u> educator if the suspension or revocation 11 resulted from any of the following:

12 (1) A finding of guilt by the commission for sexual abuse or13 exploitation.

14 (2) Surrender of a certificate [in lieu of discipline] <u>or</u> 15 <u>employment eligibility</u> for conduct relating to sexual abuse or 16 exploitation.

(c) The commission shall not lift the suspension or reinstate the certificate <u>or employment eligibility</u> of [a professional] <u>an</u> educator convicted of an offense [under 18 Pa.C.S. (relating to crimes and offenses)] set forth in section 111(e)(1) through (3) of the act of March 10, 1949 (P.L.30, No.14), known as the "Public School Code of 1949" for the time period set forth in that section.

24 Section 9. Section 17 of the act, amended December 20, 2000 25 (P.L.918, No.123), is repealed:

[Section 17. Unauthorized Release of Information.--(a)
Except as otherwise provided in section 10, a member, staff
member or employee of the commission, the Department of
Education, or any local school entity who releases or gives out
information received at a commission meeting or hearing or

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1 through any disciplinary proceedings conducted pursuant to this
2 act, without authorization of the commission, is guilty of a
3 misdemeanor of the third degree.

Any material witness or his or her representative who 4 (b) releases or gives out information received at a commission 5 meeting or hearing involving disciplinary proceedings, or who 6 7 releases or gives out information obtained as a result of direct 8 involvement in the investigation of a professional educator or in any disciplinary proceedings conducted pursuant to this act, 9 10 without authorization of the commission, is guilty of a 11 misdemeanor of the third degree unless this information was 12 known to the material witness or his or her representative prior 13 to that meeting, hearing or investigation.]

Section 10. Section 17.1 of the act, added December 20, 2000 (P.L.918, No.123), is amended to read:

16 Section 17.1. Immunity From Liability. -- (a) Notwithstanding any other provision of law, no person shall be subject to civil 17 18 liability for filing a complaint or a report or for providing 19 information to or cooperating with the department or the 20 commission in the course of an investigation or proceeding 21 conducted under this act. [This section shall not apply to malicious action by any person or the provision of false 22 23 information if the person knew or had reason to know that the 24 information was false.]

(b) A school entity which provides information about the
professional conduct of a former or current employe to a
prospective employer of that employe is immune from civil
liability for the disclosure of the information.
(c) This section shall not apply to malicious action by any

30 person or school entity or the provision of false information if

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1	the person or school entity knew, or had reason to know, that
2	the information was false.
3	Section 11. The act is amended by adding a section to read:
4	Section 17.2. Confidentiality(a) Except as otherwise
5	provided in this act, all information relating to any complaints
6	or any proceedings relating to or resulting from such
7	complaints, including the identity of the complainant, shall
8	remain confidential, unless or until discipline is imposed,
9	other than a private reprimand or a supplemental sanction deemed
10	private by the commission, any provision of law to the contrary
11	notwithstanding unless otherwise specified in this act. All
12	records pertaining to proceedings resulting in public
13	discipline, excluding those records that are privileged or
14	otherwise protected from release, shall become public after the
15	exhaustion of all appeals except where the commission has
16	determined that immediate discipline is necessary. Records
17	pertaining to immediate discipline proceedings are public at the
18	time that the immediate discipline is imposed.
19	(b) Any person who releases or gives out information deemed
20	confidential under this act, without authorization of the
21	commission or as authorized by this act, commits a misdemeanor
22	of the third degree.
23	(c) This section shall not prohibit any person from
24	disclosing information previously made public as a result of
25	action by a school entity to discipline or dismiss an employe
26	for cause or as a result of an employe's having been formally
27	indicted for or convicted of a crime or from disclosing
28	information that was known or available independently of the
29	disciplinary proceeding.
30	(d) The provisions of this section shall not apply to

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1	information relating to reinstatements or to proceedings under
2	section 9.2 or 9.5.
3	(e) The commission may order the release of confidential
4	information upon petition of any interested party when it is
5	just and proper. Petitions for release of information deemed
6	confidential under this section shall be filed with the
7	commission in accordance with procedures established by the
8	commission.
9	(f) Nothing in this section shall be construed to:
10	(1) Deny an educator access to information necessary to
11	prepare a defense in a disciplinary proceeding under this act.
12	(2) Prevent the department or a school entity from
13	investigating or prosecuting allegations of misconduct.
14	(3) Prevent the commission, the department or a school
15	entity from providing information to, or consulting with, a law
16	enforcement, child protective services or licensing agency of
17	the Commonwealth or other state or jurisdiction.
18	(4) Prevent a school entity from disclosing information
19	developed in the course of investigations conducted by the
20	school entity whether conducted independently or by direction of
21	the department in any disciplinary proceeding against the
22	educator.
23	(g) An individual contacted in the course of the
24	department's investigation and prosecution shall be subject to
25	the confidentiality provisions of this section.
26	Section 12. Section 18 of the act, amended December 20, 2000
27	(P.L.918, No.123), is amended to read:
28	Section 18. Commission Proceedings and Procedures(a) The
29	commission shall conduct its <u>disciplinary</u> proceedings in
30	accordance with the provisions of this act and Title 2 of the
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Pennsylvania Consolidated Statutes (relating to administrative 1 2 law and procedure), unless otherwise specified in this act; if 3 any inconsistency arises, the provisions of this act shall be controlling. [Any] In all disciplinary proceedings conducted by 4 or on behalf of the commission, the educator is entitled to 5 represent himself or be represented by legal counsel. In all 6 7 public hearings conducted by the commission, a person is 8 entitled to be heard by the commission in person, in writing, through counsel or through his or her designated 9 10 representative[,] in accordance with procedures adopted pursuant to this act. The commission shall enter as a matter of record 11 12 the minutes of each meeting, every vote taken by the commission 13 and every official act of the commission.

14 [(b) In all investigations or disciplinary proceedings, the 15 commission is authorized to issue subpoenas as provided for by 16 law to compel the attendance and testimony of witnesses and the 17 production of books, records, documents and other evidentiary 18 material. A professional educator shall have five days from 19 service to respond to a subpoena.]

20 (c) No commissioner shall vote in any case where: 21 (1) the [professional] educator who is the subject of the 22 proceeding is employed by the same school entity[; or] <u>as the</u> 23 <u>commissioner;</u>

(2) the [professional] educator who is the subject of the proceeding is a member of a Statewide [professional] educator organization of which the commissioner is an officer, director or employe[.];

28 (3) the commissioner has filed a misconduct complaint as
29 permitted under this act against the educator; or

30 (4) the commissioner has any personal and independent

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1	knowledge of the educator or issues in the case that would
2	compromise the commissioner's ability to make an impartial
3	decision.
4	Section 13. The act is amended by adding sections to read:
5	Section 18.2. Subpoenas(a) The commission or its legal
6	counsel, as its designee, shall have the power:
7	(1) To issue investigatory subpoenas upon petition by the
8	department for purposes of reviewing a complaint and
9	investigating alleged educator misconduct under this act. Notice
10	of the subpoena shall be issued to the educator who is the
11	subject of the complaint or investigation in accordance with
12	bylaws promulgated by the commission.
13	(2) To issue subpoenas after the filing of charges as
14	provided for under the provisions of this act, commission bylaws
15	and 2 Pa.C.S. (relating to administrative law and procedure) to
16	compel the attendance and testimony of witnesses and the
17	production of books, records, documents and other evidentiary
18	material.
19	(b) A subpoena issued under this act shall clearly indicate
20	on its face that the subpoena is issued in connection with a
21	confidential proceeding and a breach of confidentiality by the
22	persons or entity subpoenaed may result in a civil penalty or
23	misdemeanor.
24	Section 18.3. Disposition of Fees and Fines CollectedAll
25	fees, fines, costs and civil penalties shall be paid into the
26	State Treasury through the department and credited to a
27	restricted receipts account in the General Fund that shall be
28	known as the Professional Educator Discipline Account, which is
29	hereby established. Funds in the account may be utilized to the
30	extent of expenditures incurred by the department and the
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- 1 <u>commission in the implementation of their respective duties</u>
- 2 <u>under this act.</u>
- 3 Section 14. This act shall take effect in 60 days.