## THE GENERAL ASSEMBLY OF PENNSYLVANIA

## **HOUSE BILL**

## No. 1607 Session of 2013

INTRODUCED BY BAKER, PICKETT, EVERETT, R. MILLER, WATSON, HARPER, MAJOR, GINGRICH, QUINN, HESS, M. K. KELLER, CLYMER, BOBACK, COHEN, DENLINGER, GILLEN, GROVE AND MUSTIO, JULY 10, 2013

REFERRED TO COMMITTEE ON CONSUMER AFFAIRS, JULY 10, 2013

## AN ACT

- Amending the act of December 10, 1974 (P.L.852, No.287), 1 entitled, as amended, "An act to protect the public health 2 and safety by preventing excavation or demolition work from damaging underground lines used in providing electricity, 4 communication, gas, propane, oil delivery, oil product 5 delivery, sewage, water or other service; imposing duties 6 upon the providers of such service, recorders of deeds, and 7 persons and other entities preparing drawings or performing 8 9 excavation or demolition work; and prescribing penalties," further providing for definitions, for duties of facility owners, the One Call System, other parties, designers, 10 11 excavators and project owners and for penalties; providing 12 for enforcement, compliance and penalties; and further 13 14 providing for expiration. 15 The General Assembly of the Commonwealth of Pennsylvania 16 hereby enacts as follows: 17 Section 1. Section 1 of the act of December 10, 1974 18 (P.L.852, No.287), referred to as the Underground Utility Line 19 Protection Law, amended November 29, 2006 (P.L.1593, No.181), is 20 amended to read: Section 1. As used in this act: 21 2.2 "Abandoned" means no longer in service and physically
- 23 disconnected from a line.

- 1 "Alleged violation" means an instance when a person by action
- 2 or inaction fails to fulfill the obligations of this act.
- 3 "Business day" means any day except a Saturday, Sunday or
- 4 legal holiday prescribed by statute. A business day begins at
- 5 12:00:00 a.m. and ends at 11:59:59 p.m.
- 6 ["Cartway" means that portion of a street which is improved
- 7 by surfacing with permanent or semipermanent material and is
- 8 intended for vehicular traffic.]
- 9 <u>"Chairman" means the Chairman of the Pennsylvania Public</u>
- 10 <u>Utility Commission</u>.
- 11 "Commission" means the Pennsylvania Public Utility
- 12 <u>Commission</u>.
- 13 "Committee" means the Damage Prevention Committee established
- 14 <u>under section 7.8(b).</u>
- "Common Ground Alliance best practices" means the damage
- 16 prevention industry recommended standards issued by the Common
- 17 Ground Alliance, a not-for-profit corporation created pursuant
- 18 to the issuance of the United States Department of
- 19 Transportation's Common Ground Task Force report in 1999.
- "Complex project" means an excavation that involves more work
- 21 than properly can be described in a single locate request or any
- 22 project designated as such by the excavator or facility owner as
- 23 a consequence of its complexity or its potential to cause
- 24 significant disruption to lines or facilities and the public,
- 25 including excavations that require scheduling locates over an
- 26 extended time frame.
- "Consumer Price Index" means the index of consumer prices
- 28 developed and updated by the Bureau of Labor Statistics of the
- 29 United States Department of Labor.
- 30 ["Continuing property records" means a record required

- 1 pursuant to 66 Pa.C.S. § 1702 (relating to continuing property
- 2 records).]
- 3 "Demolition work" means the partial or complete destruction
- 4 of a structure, by any means, served by or adjacent to a line or
- 5 lines.
- 6 ["Department" means the Department of Labor and Industry of
- 7 the Commonwealth.
- 8 "Designer" means any architect, engineer or other person who
- 9 or which prepares a drawing for a construction or other project
- 10 which requires excavation or demolition work as herein defined.
- "Emergency" means a sudden or unforeseen occurrence involving
- 12 a clear and immediate danger to life, property and the
- 13 environment, including, but not limited to, serious breaks or
- 14 defects in a facility owner's lines.
- "Excavation work" means the use of powered equipment or
- 16 explosives in the movement of earth, rock or other material, and
- 17 includes, but is not limited to, anchoring, augering,
- 18 backfilling, blasting, boring, digging, ditching, drilling,
- 19 driving-in, grading, plowing-in, pulling-in, ripping, scraping,
- 20 trenching and tunneling, but does not include soft excavation
- 21 technology such as vacuum, high pressure air or water, tilling
- 22 of soil for agricultural purposes to a depth of less than
- 23 eighteen inches[, the direct operations necessary or incidental
- 24 to the purposes of finding or extracting natural resources,
- 25 political subdivisions performing minor routine maintenance up
- 26 to a depth of less than eighteen inches measured from the top of
- 27 the edge of the cartway or the top of the outer edge of an
- 28 improved shoulder, in addition to the performance of incidental
- 29 de minimis excavation associated with the routine maintenance
- 30 and the removal of sediment buildup, within the right-of-way of

- 1 public roads or employes of the Department of Transportation
- 2 performing within the scope of their employment work up to a
- 3 depth of twenty-four inches beneath the existing surface within
- 4 the right-of-way of a State highway] or the direct operations on
- 5 the well pad following construction of the well pad, necessary
- 6 or incidental to the extraction of oil or natural gas.
- 7 "Excavator" means any person who or which performs excavation
- 8 or demolition work for himself or for another person.
- 9 "Facility owner" means the public utility or agency,
- 10 political subdivision, municipality, authority, rural electric
- 11 cooperative or other person or entity who or which owns or
- 12 operates a line. [The term does not include the Department of
- 13 Transportation within a State highway right-of-way.] The term
- 14 does not include any of the following:
- 15 (1) A person serving the person's own property through the
- 16 person's own line\_ if the person does not provide service to any
- 17 other customer.
- 18 (2) A person using a line which the person does not own or
- 19 operate, if the use of the line does not serve more than a
- 20 single property.
- 21 "Final design." means the engineering and construction
- 22 drawings that are provided to a bidder or other person who is
- 23 asked to initiate construction on the bid date or the date the
- 24 project is set for construction in the absence of a bid.
- 25 <u>"Fiscal year" means the fiscal year utilized by the</u>
- 26 commission.
- 27 <u>"Fund" means the Underground Utility Line Protection Fund</u>
- 28 <u>established under section 7.9(a).</u>
- 29 "Horizontal directional drilling." means the use of
- 30 horizontal boring devices that can be guided between a launch

- 1 point and a reception point beneath the earth's surface.
- 2 "Injury" means a bodily harm to a person who, as a result of
- 3 the bodily harm, immediately receives medical attention away
- 4 from the scene of the incident.
- 5 "Lawful start date" means the scheduled start date as
- 6 provided under section 1.1.
- 7 "Line" or "facility" means an underground conductor or
- 8 underground pipe or structure used in providing electric or
- 9 communication service, or an underground pipe used in carrying,
- 10 gathering, transporting or providing natural or artificial gas,
- 11 petroleum, propane, oil or petroleum and production product,
- 12 sewage, water or other service to one or more transportation
- 13 carriers, consumers or customers of such service and the
- 14 appurtenances thereto, regardless of whether such line or
- 15 structure is located on land owned by a person or public agency
- 16 or whether it is located within an easement or right-of-way. The
- 17 term shall include unexposed storm drainage and traffic loops
- 18 that are not clearly visible. [The term shall not include crude
- 19 oil or natural gas production and gathering lines or facilities
- 20 unless the line or facility is a regulated onshore gathering
- 21 line as defined in regulations promulgated after January 1,
- 22 2006, by the United States Department of Transportation pursuant
- 23 to the Pipeline Safety Act of 1992 (Public Law 102-508, 49
- 24 U.S.C. § 60101 et seq.), if the regulated gathering line is
- 25 subject to the damage prevention program requirements of 49 CFR
- 26 § 192.614.]
- "Locate request" means a communication between an excavator
- 28 or designer and the One Call System in which a request for
- 29 locating facilities is processed. Locate requests submitted by
- 30 an excavator performing work within the right-of-way of any

- 1 State highway, either under contract to the Department of
- 2 Transportation or under authority of a permit issued by the
- 3 Department of Transportation, shall include the number of the
- 4 Department of Transportation contract or permit.
- 5 ["Minor routine maintenance" means shaping of or adding dust
- 6 palliative to unpaved roads, removal and application of patches
- 7 to the surface or base of flexible base, rigid base or rigid
- 8 surface roads by either manual or mechanized method to the
- 9 extent of the existing exposed base material, crack and joint
- 10 sealing, adding dust palliative to road shoulders, patching and
- 11 cutting of shoulders and shoulder bases by either manual or
- 12 mechanized methods to the extent of the existing exposed base,
- 13 and cleaning of inlets and drainage pipes and ditches.]
- "One Call System" means the communication system established
- 15 within this Commonwealth to provide a single nationwide toll-
- 16 free telephone number or 811 number for excavators or designers
- 17 or any other person covered by this act to call facility owners
- 18 and notify them of their intent to perform excavation,
- 19 demolition or similar work as defined by this act. The One Call
- 20 System shall be incorporated and operated as a nonprofit
- 21 corporation pursuant to 15 Pa.C.S. Pt. II Subpt. C (relating to
- 22 nonprofit corporations).
- "Operator" means any individual in physical control of
- 24 powered equipment or explosives when being used to perform
- 25 excavation or demolition work.
- Person" means an individual, partnership, corporation,
- 27 political subdivision, a municipal authority, the Commonwealth
- 28 and its agencies and instrumentalities, or any other entity.
- "Powered equipment" means any equipment energized by an
- 30 engine or motor and used in excavation or demolition work.

- 1 <u>"Preconstruction meeting" means a scheduled event held by the</u>
- 2 excavator, designer, project owner and facility owner, or an
- 3 agent of the excavator, designer, project owner and facility
- 4 owner, prior to the commencement of excavation or demolition
- 5 work in a complex project.
- 6 ["Preconstruction request" means a notification to facility
- 7 owners regarding a complex project.]
- 8 "Project owner" means any person who or which engages an
- 9 excavator for construction or any other project which requires
- 10 excavation or demolition work.
- 11 <u>"Report of alleged violation" means a recorded account of an</u>
- 12 <u>alleged violation</u>.
- 13 ["Secretary" means the Secretary of Labor and Industry of the
- 14 Commonwealth.
- "Site" means the specific place denoted on the locate request
- 16 where excavation or demolition work is being or is planned to be
- 17 performed. A site should be denoted as a clearly defined,
- 18 bounded area, including relevant identifiable points of
- 19 reference such as the specific address with a specific
- 20 description as to the portion of the property, including
- 21 descriptions such as front, back, left side, right side and
- 22 direction such as N, S, E, W or variants. Where possible, the
- 23 points should also reference, without limitation, the size and
- 24 radius or circumference of the excavation, utility pad or
- 25 pedestal numbers, utility pole numbers, landmarks, including
- 26 trees, fountains, fences, railroads, highway and pipeline
- 27 markers, and latitude and longitude.]
- "Subsurface utility engineering" or "SUE" means those
- 29 techniques set forth in the American Society of Civil Engineers
- 30 (ASCE) most recently published standard CI/ASCE 38-02, or its

- 1 successor document as determined by the One Call System.
- 2 "Tolerance zone" means the horizontal space within eighteen
- 3 inches of the outside wall or edge of a line or facility.
- 4 "Traffic loop" means a device that detects metal objects such
- 5 as cars and bicycles based on the change in inductance that they
- 6 induce in the device.
- 7 <u>"Well site" means area, under the control of an oil or</u>
- 8 <u>natural gas company, occupied by equipment or facilities</u>
- 9 <u>necessary or required for the drilling, production or plugging</u>
- 10 of an oil or natural gas well.
- 11 "Work site" means the specific place denoted on the locate
- 12 <u>request where excavation or demolition work is being or is</u>
- 13 planned to be performed. A work site should be denoted as a
- 14 clearly defined, bounded area, including relevant identifiable
- 15 points of reference such as the specific address with a specific
- 16 <u>description</u> as to the portion of the property, including
- 17 <u>descriptions such as front, back, left side, right side and</u>
- 18 direction such as N, S, E, W or variants. Where possible, the
- 19 points should also reference, without limitation, the size and
- 20 radius or circumference of the excavation, utility pad or
- 21 pedestal numbers, utility pole numbers, landmarks, including
- 22 trees, fountains, fences, railroads, highway and pipeline
- 23 markers, and latitude and longitude.
- 24 Section 1.1. The act is amended by adding a section to read:
- 25 Section 1.1. The lawful start date shall be three business
- 26 days through ten business days following notification to the One
- 27 Call System.
- Section 2. Section 2 of the act, amended November 29, 2006
- 29 (P.L.1593, No.181) and October 9, 2008 (P.L.1507, No.121), is
- 30 amended to read:

- 1 Section 2. It shall be the duty of each facility owner:
- 2 (1) To be a member of and give written notice to the One
- 3 Call System. Such notice shall be in a form acceptable to the
- 4 One Call System and include:
- 5 (i) the legal name of the facility owner and their official
- 6 mailing address;
- 7 (ii) the names of the counties and municipalities, down to
- 8 and including wards in Philadelphia, Pittsburgh, Allentown and
- 9 Erie, in which its lines are located and other related
- 10 information as may be required by the One Call System regarding
- 11 the location of a member's facilities;
- 12 (iii) the facility owner's address (by street, number and
- 13 political subdivision)[,] and the telephone number and fax
- 14 number, if available, to which inquiries may be directed as to
- 15 the location of such lines;
- 16 (iv) the street identifications or like information within
- 17 each of the municipalities in which its lines are located. This
- 18 information shall be in a form acceptable to the One Call
- 19 System. Upon acceptance of the information from a facility
- 20 owner, the One Call System shall provide the facility owner with
- 21 notification within the boundaries described. All facility
- 22 owners shall agree to indemnify and hold harmless the One Call
- 23 System for any errors and omissions on the part of the facility
- 24 owner or the excavator or designer providing the information as
- 25 the agent of the facility owner; and
- 26 (v) any other information required by the One Call System.
- 27 (2) To provide the One Call System, within five business
- 28 days, with any revised information required under this section.
- 29 (4) Not more than ten business days after receipt of a
- 30 request from a designer who identifies the work site of

- 1 excavation or demolition work for which he is preparing a
- 2 drawing, to initially respond to his request for information as
- 3 to the position and type of the facility owner's lines at such
- 4 work site based on the information currently in the facility
- 5 owner's possession or to mark the plans which have been provided
- 6 to it by the designer by field location or by another method
- 7 agreed to by the designer, excavator and facility owner, or
- 8 their agent. The facility owner shall so advise the person
- 9 making the request of the facility owner's status at the work
- 10 site through the One Call System.
- 11 (5) After receipt of a timely request from an excavator or
- 12 operator who identifies the work site of excavation or
- 13 demolition work he intends to perform and not later than the
- 14 business day prior to the [scheduled] <u>lawful start</u> date of
- 15 excavation:
- 16 (i) (A) To mark, stake, locate or otherwise provide the
- 17 position of the facility owner's underground lines at the work
- 18 site within eighteen inches horizontally from the outside wall
- 19 of such line in a manner so as to enable the excavator, where
- 20 appropriate, to employ prudent techniques, which may include
- 21 hand-dug test holes, to determine the precise position of the
- 22 underground facility owner's lines. This shall be done to the
- 23 extent such information is available in the facility owner's
- 24 records or by use of standard locating techniques other than
- 25 excavation. Standard locating techniques shall include, at the
- 26 utility owner's discretion, the option to choose available
- 27 technologies suitable to each type of line or facility being
- 28 located at the work site, topography or soil conditions or to
- 29 assist the facility owner in locating its lines or facilities,
- 30 based on accepted engineering and operational practices.

- 1 Facility owners shall make reasonable efforts during the
- 2 excavation phase to locate or notify excavators of the existence
- 3 and type of abandoned lines [that remain on the continuing
- 4 property records of the facility owners].
- 5 (B) To maintain existing records of main lines abandoned on
- 6 or after the effective date of this clause and to mark, locate
- 7 or identify the main lines if possible, based upon the existing
- 8 records. The records shall include written or electronic
- 9 <u>documents or drawings in the possession of the facility owner</u>
- 10 that show the location of an existing line or facility.
- 11 (i.1) To[, where contained on its continuing property
- 12 records, ] identify the location of an actually known facility's
- 13 point of connection to its facilities, where the point of
- 14 connection is not owned or operated by the facility owner. A
- 15 facility owner may identify the location of a known facility
- 16 connected to its facilities, but not owned or operated by the
- 17 facility owner, as a helpful guide to the excavator or owner.
- 18 The identification shall not be deemed to impose any liability
- 19 upon the facility owner for the accuracy of the other facility's
- 20 identification.
- 21 (ii) To[, at its option,] timely elect to excavate around
- 22 its facilities in fulfillment of this subparagraph, at its
- 23 option.
- 24 (iii.1) To propose mutually agreeable scheduling by which
- 25 the excavator, facility owner or designer may locate the
- 26 facilities.
- 27 (v) To respond to all notices through the One Call System,
- 28 provided the request is made in the time frame set forth under
- 29 this act. The response shall be made not later than the end of
- 30 the second business day following receipt of the notification by

- 1 the One Call System, excluding the business day upon which the
- 2 notification is received, or not later than the day prior to the
- 3 [scheduled] <u>lawful start</u> date of excavation if the excavator
- 4 specifies a later date or, in the case of an emergency, to
- 5 respond through the One Call System as soon as practicable
- 6 following receipt of notification of the emergency by the One
- 7 Call System.
- 8 (v.1) To, if a facility owner failed to respond to an
- 9 <u>original</u>, <u>proper</u>, <u>nonemergency locate request from the One Call</u>
- 10 System or to a renotification under section 5(20), communicate
- 11 directly to the excavator within two hours after renotification
- 12 of the information about its facility location and, if necessary
- 13 and possible, go to the proposed work site to mark, stake or
- 14 <u>locate its underground lines or to verify to the excavator that</u>
- 15 the facility owner's underground lines are not within the area
- 16 of the proposed work site.
- 17 (vi) In marking the approximate position of underground
- 18 lines or facilities, [the facility owner shall] to follow the
- 19 Common Ground Alliance Best Practices for Temporary Marking set
- 20 forth in ANSI standard Z535.1. Should the Common Ground Alliance
- 21 Best Practices be amended, the amended quidelines shall be
- 22 applied and followed. If the Common Ground Alliance Best
- 23 Practices no longer publishes guidelines for temporary markings
- 24 or if the responsibility for publishing the guidelines is
- 25 transferred to or assumed by another entity, the facility owner
- 26 shall follow the guidelines approved by the One Call System's
- 27 board of directors.
- 28 (vii) To respond to emergency notifications as soon as
- 29 practicable following receipt of notification of such emergency.
- 30 The response by the facility owner shall be consistent with the

- 1 nature of the emergency information received by the facility
- 2 owner.
- 3 (viii) To participate in preconstruction meetings for a
- 4 complex project or as described in [clause (3) of section 5]
- 5 section 5(3).
- 6 (ix) If notification is received pursuant to [clause (8) of
- 7 section 5] section 5(8), to give priority to responding to
- 8 notification as an emergency.
- 9 (9) If a facility owner fails to become a member of the One
- 10 Call System in violation of this act and a line or lines of such
- 11 nonmember facility owner are damaged by an excavator by reason
- 12 of the excavator's failure to notify the facility owner because
- 13 the facility owner was not a member of the One Call System
- 14 serving the location where the damage occurred, such facility
- 15 owner shall have no right of recovery from the excavator of any
- 16 costs associated with the damage to its lines. The right herein
- 17 granted shall not be in limitation of any other rights of the
- 18 excavator.
- 19 (10) [To submit an incident report to the department not
- 20 more than ten business days after receipt of notice that the
- 21 facility owner's lines have been damaged by excavation or
- 22 demolition activities that resulted in personal injury or in
- 23 property damage to parties other than the affected excavator or
- 24 facility owner. In addition, the incident report may likewise be
- 25 furnished to the Pennsylvania Public Utility Commission and the
- 26 Pennsylvania Emergency Management Agency pursuant to memoranda
- 27 of understanding negotiated between these agencies and the
- 28 department, which shall, at a minimum, provide for a common
- 29 reporting format for incident reports. The department shall
- 30 furnish to the One Call System, upon reasonable request,

- 1 statistical data pertaining to the number of incident reports
- 2 filed with the department and the type, number and results of
- 3 investigations for violations of this act.] To submit a report
- 4 of alleged violation to the commission through the One Call
- 5 System not more than ten business days after receipt of notice
- 6 that the facility owner's lines have been damaged by excavation\_
- 7 or demolition work or if the facility owner believes a violation
- 8 of this act has been committed in association with excavation or
- 9 <u>demolition work. The report of alleged violation shall be in a</u>
- 10 form and manner as required by the commission.
- 11 (11) To comply with all requests for information by the
- 12 [department] <u>commission</u> relating to the [department's]
- 13 <u>commission's</u> enforcement authority under this act within thirty
- 14 days of the receipt of the request.
- 15 (12) To participate in the One Call System's Member Mapping
- 16 Solutions, as determined by the One Call System's board of
- 17 directors.
- 18 Section 3. Sections 3, 3.1, 4, 5 and 6.1 of the act, amended
- 19 or added November 29, 2006 (P.L.1593, No.181), are amended to
- 20 read:
- 21 Section 3. It shall be the duty of the One Call System [to
- 22 do the following]:
- 23 (1.1) To assign one or more serial numbers and the date that
- 24 the work site may legally be excavated and to log the entire
- 25 voice transaction on logging recorders in appropriate digital
- 26 form and maintain these logs for five years. All records shall
- 27 be indexed and available to the parties involved at a reasonable
- 28 cost and at reasonable times set by the One Call System.
- 29 (1.2) [Perform] To perform the obligations, as set forth
- 30 under this section, on behalf of the facility owner, excavator

- 1 or designer as established by the board of directors of the One
- 2 Call System.
- 3 (1.3) [Provide] <u>To provide</u> access to municipal lists
- 4 provided to the One Call System for those interested parties.
- 5 This list shall contain facility owners having lines in the
- 6 municipality, including wards as indicated in [subclause (ii) of
- 7 clause (1) of section 2] section 2(1)(ii), and to maintain, for
- 8 each municipality, a list containing the information as required
- 9 to be submitted by the facility owner. Such list shall be
- 10 updated as revised information is received from the facility
- 11 owner within five business days.
- 12 [(2) To make such lists under clause (1.3) available for
- 13 public inspection via the county recorder of deeds without
- 14 charge. A maximum copy fee of no more than twenty-five dollars
- 15 (\$25) may be charged per county list. Each facility owner change
- 16 shall be forwarded, at no charge, to the respective county
- 17 recorder of deeds for public access. The recorder of deeds shall
- 18 make such list available for public inspection based on the most
- 19 current information provided to it by the One Call System.]
- 20 (3) [Not more than ten business days after the receipt of a
- 21 clear and specific request from the department, to provide
- 22 access to or photocopies of specific One Call System response
- 23 records, tickets or other like information relating to matters
- 24 under investigation by the department pursuant to its
- 25 enforcement authority under this act.] To, per memoranda of
- 26 understanding between the commission and the One Call System,
- 27 provide reports of alleged violations and other information,
- 28 <u>such as photographs, photocopies and drawings and other</u>
- 29 supporting documentation, that are submitted with the report of
- 30 alleged violation. The One Call System shall provide access or

- 1 photocopies of specific One Call System response records,
- 2 <u>tickets or other similar information related to matters covered</u>
- 3 by this act under investigation by the commission, pursuant to
- 4 its enforcement authority under this act. The One Call System
- 5 may provide reports of alleged violations to the Pennsylvania
- 6 Emergency Management Agency, per memoranda of understanding.
- 7 (4) To determine the maximum geographic area that shall
- 8 constitute a valid single notification and to determine when
- 9 multiple notifications shall be required of any person,
- 10 including the method, the type and the number of notifications
- 11 in a complex project.
- 12 (5) If approved by the board of directors of the One Call
- 13 System, to offer a service for the application and obtaining of
- 14 State or municipal permits for excavation work. Issuance of the
- 15 required permits shall be the responsibility of the appropriate
- 16 State or municipal agency which has jurisdiction over the type
- 17 of excavation work being performed.
- 18 (6) Pursuant to policies adopted by the One Call System's
- 19 board of directors, to provide a secure repository for and
- 20 access to subsurface utility engineering data received from
- 21 project owners to affected facility owner members.
- 22 (7) To inquire, when an excavator has notified the One Call
- 23 System of the existence of a release of natural gas or other
- 24 hazardous substance or of potential danger to life, health or
- 25 property, whether the excavator has notified the 911 system. If
- 26 the 911 system has not been notified, the One Call System shall
- 27 notify the excavator of the excavator's responsibility to notify
- 28 the 911 system and shall make a record of the conversation.
- 29 (8) To notify the facility owner as soon as possible that an
- 30 excavator has identified an unmarked or incorrectly marked

- 1 <u>facility and of the facility owner's responsibilities under</u>
- 2 section 2(5)(v.1).
- 3 Section 3.1. (a) The duties of the One Call System are
- 4 those duties as set forth in section 3. Duties assigned to other
- 5 parties in other sections of this act shall be the duties of
- 6 those parties and shall not be imputed to the One Call System,
- 7 including the duty to provide accurate information to the One
- 8 Call System concerning proposed excavation and the duty to
- 9 locate facilities at a work site.
- 10 (b) The One Call System shall not be liable for damages to
- 11 the person or the person's property arising out of its
- 12 nonnegligent actions in furtherance of the duties imposed under
- 13 this act and shall be liable only if the failure to comply was
- 14 the proximate cause of any damages claimed.
- 15 (c) (Reserved).
- 16 (d) The One Call System shall be governed by a board of
- 17 directors[,] to be chosen by the facility owners. No less than
- 18 twenty percent of the seats on the board shall be held by
- 19 municipalities or municipal authorities. The board shall include
- 20 all of the following:
- 21 (1) The [Chairman of the Pennsylvania Public Utility
- 22 Commission] chairman or his designee.
- 23 (2) The Director of the Pennsylvania Emergency Management
- 24 Agency or his designee.
- 25 [(3) The Secretary of Labor and Industry or his designee.]
- 26 (4) The Secretary of Transportation or his designee.
- 27 (5) An excavator or excavation industry representative.
- 28 (6) A designer or designer industry representative.
- 29 (e) Operation costs for the One Call System shall be shared,
- 30 in an equitable manner for services received, by facility owner

- 1 members as determined by the One Call System's board of
- 2 directors. Political subdivisions with a population of less than
- 3 two thousand people or municipal authorities having an aggregate
- 4 population in the area served by the municipal authority of less
- 5 than five thousand people shall be exempt from the payment of
- 6 any service fee. The One Call System may be reimbursed for its
- 7 costs in providing this service from the contractor fees.
- 8 (f) All fees shall be set by the board of directors and
- 9 shall be based on the latest annual audited cost factors of the
- 10 One Call System. Fees shall be set and adjusted to a rate not
- 11 more than five percent above the audited cost factor plus the
- 12 current average published Consumer Price Index for Pennsylvania.
- 13 Costs of capital improvements may be added, if the improvement
- 14 receives a majority vote of the board of directors.
- 15 (f.1) An excavator, designer or operator who proposes to
- 16 commence excavation or demolition work and requests information
- 17 <u>from the One Call System shall pay to the One Call System an</u>
- 18 <u>annual fee for the service provided by the One Call System under</u>
- 19 <u>section 3. The fee shall be set by the One Call System board of</u>
- 20 directors and shall be used to offset a portion of the costs of
- 21 operations of the One Call System and a portion of the operation
- 22 <u>costs levied on the One Call System's political subdivision and</u>
- 23 <u>municipal authority members. Failure to pay the fee shall</u>
- 24 constitute a violation of this act and shall subject the
- 25 excavator, designer or operator to the enforcement authority of
- 26 the commission for the nonpayment.
- [(g) An excavator, designer or operator who proposes to
- 28 commence excavation or demolition work and requests information
- 29 of the One Call System shall be charged a fee for the service
- 30 received from the One Call System. The fee shall be used to

- 1 offset the operation cost levied on the political subdivision
- 2 and municipal authority members in lieu of additional fees
- 3 charged for locations under this act.]
- 4 (h) Any request for information shall be reviewed and
- 5 provided as determined in accordance with the procedure
- 6 established by the One Call System's board of directors.
- 7 Section 4. It shall be the duty of each designer preparing a
- 8 drawing which requires excavation or demolition work within
- 9 [the] <u>this</u> Commonwealth:
- 10 (2) To request the line and facility information prescribed
- 11 by [section 2, clause (4)] section 2(4) from the One Call System
- 12 not less than ten nor more than ninety business days before
- 13 final design is to be completed. This clause is not intended to
- 14 prohibit designers from obtaining such information more than
- 15 ninety days before final design is to be completed; however,
- 16 they shall state in their requirements that such work is
- 17 preliminary.
- 18 (2.1) To forward a copy of the project plans to each
- 19 facility owner who requests a copy. If a designer is unable to
- 20 provide a copy because of security of the project or proprietary
- 21 concerns regarding the design or the project, the designer shall
- 22 negotiate in a timely manner with the facility owner the means
- 23 of obtaining the necessary data.
- 24 (3) To show upon the drawing the position and type of each
- 25 facility owner's line, derived pursuant to the request made as
- 26 required by clause (2), and the name of the facility owner as
- 27 shown on the list referred to in section 3.
- 28 (4) To make a reasonable effort to prepare the construction
- 29 drawings to avoid damage to and minimize interference with a
- 30 facility owner's facilities in the construction area by

- 1 maintaining the clearance as provided for in the applicable
- 2 easement condition or an eighteen-inch clearance of the facility
- 3 owner's facilities if no easement restriction exists.
- 4 (5) A designer shall be deemed to have met the obligations
- 5 of clause (2) if he calls the One Call System and shows, as
- 6 proof, the serial number of one call notice on drawings. The
- 7 designer shall also show the toll-free number of the One Call
- 8 System on the drawing near his serial number.
- 9 (6) If, after receiving information from the facility
- 10 owners, the designer decides to change the work site of a
- 11 proposed excavation, the obligations imposed by this section
- 12 shall apply to the new work site.
- 13 (7) The designer who has complied with the terms of this act
- 14 and who was not otherwise negligent shall not be subject to
- 15 liability or incur any obligation to facility owners, operators,
- 16 owners or other persons who sustain injury to person or property
- 17 as a result of the excavation or demolition planning work of the
- 18 designer.
- 19 (8) To submit a report of alleged violation to the
- 20 commission through the One Call System not more than ten
- 21 <u>business days from the time the designer becomes aware that a</u>
- 22 <u>violation of this act may have been committed in association</u>
- 23 <u>with excavation or demolition work. The report of alleged</u>
- 24 <u>violation shall be in a form and manner as required by the</u>
- 25 commission.
- 26 (9) To request line and facility information required under
- 27 <u>section 2(4) from the One Call System and to pay the applicable</u>
- 28 fee for the request.
- 29 Section 5. It shall be the duty of each excavator who
- 30 intends to perform excavation or demolition work within this

- 1 Commonwealth:
- 2 (2.1) To request the location and type of facility owner
- 3 lines at each work site by notifying the facility owner through
- 4 the One Call System. Notification shall be not less than three
- 5 nor more than ten business days in advance of beginning
- 6 excavation or demolition work. No work shall begin earlier than
- 7 the [scheduled excavation] <u>lawful start</u> date which shall be on
- 8 or after the third business day after notification. The
- 9 [scheduled excavation] lawful start date shall exclude the date
- 10 upon which notification was received by the One Call System and
- 11 notification received on a Saturday, Sunday or holiday, which
- 12 shall be processed on the following business day. In the case of
- 13 a complex project, notification shall not be less than ten
- 14 business days in advance of the beginning of excavation or
- 15 demolition work.
- 16 (2.2) To provide the One Call System with [specific] exact
- 17 information to identify the work site so that facility owners
- 18 might provide indications of their lines. An excavator shall be
- 19 deemed to have met the obligations of clause (2.1) if he calls
- 20 the One Call System, provides the work site and other required
- 21 information and receives a serial number.
- 22 (3) In a complex project or if an excavator intends to
- 23 perform work at multiple work sites or over a large area, [he
- 24 shall] to take reasonable steps to work with facility owners,
- 25 including scheduling and conducting a preconstruction meeting,
- 26 so that they may locate their facilities at a time reasonably in
- 27 advance of the actual start of excavation or demolition work for
- 28 each phase of the work. A preconstruction meeting may take place
- 29 at any time prior to the commencement of excavation or
- 30 demolition work, and the excavator, facility owners and

- 1 designer, or their agents, shall attend the meeting. Notice of
- 2 the meeting shall be given sufficiently in advance so as to
- 3 permit attendance, either in person or electronically, by the
- 4 excavator, facility owners and designer, or their agents, and
- 5 shall include information sufficient to identify the scope of
- 6 work. If the excavator does not believe that a preconstruction
- 7 meeting is necessary under the circumstances of this [paragraph]
- 8 <u>clause</u> it shall indicate such belief in its notice, but any
- 9 facility owner with facilities at the work site may request a
- 10 meeting with the excavator, and a meeting shall be held between
- 11 the facility owner and the excavator. After commencement of
- 12 excavation or demolition work, the excavator shall be
- 13 responsible for protecting and preserving the staking, marking
- 14 or other designation until no longer required for proper and
- 15 safe excavation or demolition work at or near the underground
- 16 facility[,] or by contacting the One Call System to request that
- 17 the facilities be marked again in the event that the previous
- 18 markings have been compromised or eliminated.
- 19 (3.1) To comply with the requirements established by the One
- 20 Call System as determined by the board of directors regarding
- 21 the maximum area that a notification may cover.
- 22 (4) To exercise due care[;] and to take all reasonable steps
- 23 necessary to avoid injury to or otherwise interfere with all
- 24 lines where positions have been provided to the excavator by the
- 25 facility owners pursuant to [clause (5) of section 2] section
- 26 2(5). Within the tolerance zone the excavator shall employ
- 27 prudent techniques, which may include hand-dug test holes, to
- 28 ascertain the precise position of such facilities. If
- 29 insufficient information to safely excavate is available
- 30 pursuant to [clause (5) of section 2] section 2(5), the

- 1 excavator shall employ like prudent techniques which shall be
- 2 paid for by the project owner pursuant to clause (15) [of this
- 3 section1.
- 4 (5) If the facility owner fails to respond to the
- 5 excavator's timely request as provided under [clause (5) of
- 6 section 2] section 2(5) or the facility owner notifies the
- 7 excavator that the line cannot be marked within the time frame
- 8 and a mutually agreeable date for marking cannot be arrived at,
- 9 the excavator may proceed with excavation as scheduled, but not
- 10 earlier than the lawful dig date, provided he exercises due care
- 11 in his endeavors, subject to the limitations contained in this
- 12 clause and clauses (2.1) through (4) and (20).
- 13 (6) To inform each operator employed by the excavator at the
- 14 work site of such work of the information obtained by the
- 15 excavator pursuant to clauses (2.1) through (5), and the
- 16 excavator and operator shall:
- 17 (i) Plan the excavation or demolition work to avoid damage
- 18 to or minimize interference with a facility owner's facilities
- 19 in the construction area. Excavation or demolition work which
- 20 requires temporary or permanent interruption of a facility
- 21 owner's service shall be coordinated with the affected facility
- 22 owner in all cases.
- 23 (ii) After consulting with a facility owner, provide such
- 24 support and mechanical protection for known facility owner's
- 25 lines at the construction work site during the excavation or
- 26 demolition work, including during backfilling operations, as may
- 27 be reasonably necessary for the protection of such lines.
- 28 (7) To report immediately to the facility owner any break or
- 29 leak on its lines, or any dent, gouge, groove or other damage to
- 30 such lines or to their coating or cathodic protection, made or

- 1 discovered in the course of the excavation or demolition work.
- 2 The One Call System board of directors may adopt procedures to
- 3 permit reporting under this clause through the One Call System.
- 4 (8) To immediately notify 911 and the facility owner if the
- 5 damage results in the escape of any flammable, toxic or
- 6 corrosive gas or liquid which endangers life, health or
- 7 property. The excavator shall take reasonable measures, based on
- 8 its knowledge, training, resources, experience and understanding
- 9 of the situation, to protect themselves and those in immediate
- 10 danger, the general public, the property and the environment
- 11 until the facility owner or emergency responders have arrived
- 12 and completed their assessment and shall remain on the work site
- 13 to convey any pertinent information to responders that may help
- 14 them to safely mitigate the situation.
- 15 (9) The time requirements of clause (2.1) shall not apply to
- 16 a facility owner or excavator performing excavation or
- 17 demolition work in an emergency, as defined in section 1;
- 18 nonetheless, all facility owners shall be notified as soon as
- 19 possible before, during or after excavation or demolition work,
- 20 depending upon the circumstances.
- 21 (11) [An excavator shall] To use the color white to mark a
- 22 proposed excavation work site when exact work site information
- 23 cannot be provided.
- 24 (11.1) To assist a facility owner in determining involvement
- 25 of a facility owner's lines by disclosing additional available
- 26 information requested by the facility owner, including
- 27 dimensions and the direction of proposed excavations.
- 28 (11.2) If using horizontal directional drilling (HDD), at a
- 29 minimum, to utilize the best practices published by the HDD
- 30 Consortium.

- 1 (12) The following standards shall be applied in determining
- 2 whether an excavator shall incur any obligation or be subject to
- 3 liability as a result of an excavator's demolition work or
- 4 excavation work damaging a facility owner's facilities:
- 5 (i) The excavator who has complied with the terms of this
- 6 act and who was not otherwise negligent shall not be subject to
- 7 liability or incur any obligation to facility owners, operators,
- 8 project owners or other persons who sustain injury to person or
- 9 property as a result of the excavator's excavation or demolition
- 10 work damaging a facility owner's lines.
- 11 (ii) Where an excavator has failed to comply with the terms
- 12 of this act or was otherwise negligent, and the facility owner
- 13 or designer has misidentified, mislocated or failed to identify
- 14 its facilities pursuant to this act, then in computing the
- 15 amount of reimbursement to which the facility owner is entitled,
- 16 the cost of repairing or replacing its facilities shall be
- 17 diminished in the same proportion that the facility owner's or
- 18 designer's misidentification, mislocation or failure to identify
- 19 the facilities contributed to the damage. Should the facility
- 20 owner or designer not have misidentified, mislocated or failed
- 21 to identify its facilities pursuant to this act, there shall be
- 22 no diminution of the facility owner's right of recovery.
- 23 (13) If, after receiving information from the One Call
- 24 System or directly from a facility owner, the excavator decides
- 25 to change the location, scope or duration of a proposed
- 26 excavation, the obligations imposed by this section shall apply
- 27 to the new location.
- 28 (14) If an excavator removes its equipment and vacates a
- 29 [worksite] work site for more than two business days, [he shall]
- 30 to renotify the One Call System unless other arrangements have

- 1 been made directly with the facility owners involved in his
- 2 [worksite] work site.
- 3 (15) When the information required from the facility owner
- 4 under [clause (5)(i) of section 2] section 2(5)(i) cannot be
- 5 provided or, due to the nature of the information received from
- 6 the facility owner, it is reasonably necessary for the excavator
- 7 to ascertain the precise location of any line or abandoned or
- 8 unclaimed lines by prudent techniques, which may include hand-
- 9 dug test holes, vacuum excavation or other similar devices, the
- 10 excavator shall promptly notify the project owner or the project
- 11 owner's representative, either orally or in writing. If oral
- 12 notification is given, the notice shall be reduced to writing
- 13 within a reasonable time by the project owner or excavator.
- 14 After giving such notice, the excavator shall be entitled to
- 15 compensation from the project owner for this additional work as
- 16 provided in the latest edition of the Pennsylvania Department of
- 17 Transportation Form 408 specifications for extra work performed
- 18 on a force account basis. The provisions of this subsection
- 19 shall not be deemed to limit any other rights which the
- 20 excavator has under its contract with the project owner or
- 21 otherwise. Provisions in any contract, public or private, which
- 22 attempt to limit the rights of excavators under this section
- 23 shall not be valid for any reason, and any attempted waiver of
- 24 this section shall be void and unenforceable as against public
- 25 policy and any such attempted waiver shall be reported to the
- 26 [department] commission.
- 27 (16) [To submit an incident report to the department not
- 28 more than ten business days after striking or otherwise damaging
- 29 a facility owner's line during excavation or demolition
- 30 activities that resulted in personal injury or property damage

- 1 to parties other than the affected excavator or facility owner.
- 2 In addition, the incident report may be furnished to the
- 3 Pennsylvania Public Utility Commission and the Pennsylvania
- 4 Emergency Management Agency pursuant to memoranda of
- 5 understanding negotiated between these agencies and the
- 6 department.] To submit a report of alleged violation to the
- 7 <u>commission through the One Call System not more than ten</u>
- 8 <u>business days after striking or damaging a facility owner's line</u>
- 9 <u>during excavation or demolition or if the excavator believes a</u>
- 10 violation of this act has been committed in association with
- 11 <u>excavation or demolition work. The report of alleged violation</u>
- 12 shall be in a form and manner as required by the commission.
- 13 (17) To comply with all requests for information by the
- 14 [department] commission relating to the [department's]
- 15 <u>commission's</u> enforcement authority under this act within thirty
- 16 days of the receipt of the request.
- 17 (18) To, if it chooses to do so and if working for a
- 18 facility owner, a municipality or a municipal authority,
- 19 delegate the power to discharge the duties set forth in clauses
- 20 (2.1) and (2.2) to its project owner, with the project owner's
- 21 consent. If the power is delegated pursuant to this clause, both
- 22 the excavator and the project owner shall be responsible for
- 23 providing the required notices.
- 24 (19) To ensure the accuracy of any information provided to
- 25 the One Call System pursuant to this section.
- 26 (20) To renotify the One Call System of an unmarked or
- 27 <u>incorrectly marked facility, if an original, proper,</u>
- 28 <u>nonemergency locate request has been made to the One Call System</u>
- 29 and, upon initial arrival at the proposed work site, it is
- 30 apparent to the excavator that there is an unmarked or

- 1 <u>incorrectly marked facility. An excavator may not begin</u>
- 2 <u>excavating in the affected area of the work site until after</u>
- 3 receiving sufficient information from the facility owner to
- 4 <u>safely excavate</u>. If the facility owner fails to provide
- 5 sufficient information to the excavator within three hours after
- 6 the excavator has notified the One Call System of the unmarked
- 7 or incorrectly marked facility, the excavator may proceed with
- 8 <u>excavation subject to the limitations under clause (5).</u>
- 9 (21) To make a locate request to the One Call System prior
- 10 to excavation or demolition work and to pay the applicable fee
- 11 <u>for the request.</u>
- 12 Section 6.1. It shall be the duty of each project owner who
- 13 engages in excavation or demolition work to be done within this
- 14 Commonwealth:
- 15 (1) To utilize sufficient quality levels of subsurface
- 16 utility engineering or other similar techniques whenever
- 17 practicable to properly determine the existence and positions of
- 18 underground facilities when designing known complex projects
- 19 having an estimated cost of four hundred thousand dollars
- 20 (\$400,000) or more.
- 21 (2) To timely respond to notifications received from
- 22 excavators pursuant to [clause (15) of section 5] section 5(15).
- 23 (3) To not release to bid or construction any project until
- 24 after final design is completed.
- 25 (4) To participate in design and preconstruction meetings
- 26 either directly or through a representative.
- 27 (5) To furnish the pertinent data obtained through
- 28 subsurface utility engineering to the One Call System in a
- 29 mutually agreeable format.
- 30 (6) For new construction and where practicable in the

- 1 opinion of the project owner, to install color-coded permanent
- 2 markers to indicate the type and location of all laterals
- 3 installed by the project owner.
- 4 (7) To submit a report of alleged violation to the
- 5 commission through the One Call System not more than ten
- 6 <u>business days after striking or damaging a facility owner's line</u>
- 7 <u>during excavation or demolition work activities</u>, <u>after a project</u>
- 8 <u>owner's contracted excavator strikes or damages a facility</u>
- 9 <u>owner's line during excavation or demolition activities or if</u>
- 10 the project owner believes a violation of this act has been
- 11 committed in association with excavation or demolition. The
- 12 report of alleged violation shall be in a form and manner as
- 13 <u>required by the commission.</u>
- 14 Section 4. Section 7.2 of the act, amended November 29, 2006
- 15 (P.L.1593, No.181), is repealed:
- 16 [Section 7.2. (a) Any person violating any of the
- 17 provisions of this act, except clauses (1) and (2) of section 2,
- 18 commits a summary offense and shall, upon conviction, be
- 19 sentenced to pay a fine of not less than two thousand five
- 20 hundred dollars (\$2,500) nor more than fifty thousand dollars
- 21 (\$50,000) or undergo imprisonment for not more than ninety days,
- 22 or both. The Attorney General of the Commonwealth or any
- 23 district attorney may enforce the provisions of this act in any
- 24 court of competent jurisdiction. The department, in consultation
- 25 with the Attorney General, may also enforce the provisions of
- 26 this act in any court of competent jurisdiction. A facility
- 27 owner may petition any court of competent jurisdiction to enjoin
- 28 any excavation or demolition work conducted in violation of this
- 29 act. Local law enforcement or emergency management personnel
- 30 may, in the interest of public safety, order excavators on a

- 1 site to stop further excavation if the excavation is being
- 2 conducted in violation of this act.
- 3 (b) Fines levied under subsection (a) shall be determined
- 4 according to the following schedule:
- 5 (1) Where violations result in property damage that does not
- 6 exceed three thousand dollars (\$3,000), the fine shall not
- 7 exceed five thousand dollars (\$5,000).
- 8 (2) Where violations result in property damage of more than
- 9 three thousand dollars (\$3,000), the fine shall not exceed ten
- 10 thousand dollars (\$10,000).
- 11 (3) For violations which result in personal injury or death,
- 12 the fine shall not exceed fifty thousand dollars (\$50,000).
- 13 (c) The following factors shall be considered in determining
- 14 the fine to be assessed:
- 15 (1) The degree of the party's compliance with the statute
- 16 prior to date of the violation.
- 17 (2) The amount of personal and property damage caused by the
- 18 party's noncompliance.
- 19 (3) The degree of threat to the public safety and
- 20 inconvenience caused by the party's noncompliance.
- 21 (4) The party's plans and procedures to insure future
- 22 compliance with statutes and regulations.
- 23 (c.1) In addition to any other sanctions provided by this
- 24 act, the department shall have the authority to issue warnings
- 25 and orders requiring compliance with this act and may levy
- 26 administrative penalties for violations of this act. Any
- 27 warning, order or penalty shall be served on the person or
- 28 entity violating the act at their last known address. The
- 29 department shall consider the factors set forth in subsection
- 30 (c) in determining the administrative penalty to be assessed.

- 1 Any party aggrieved by the imposition of an order or
- 2 administrative penalty imposed by the department may appeal such
- 3 order or penalty as provided in 2 Pa.C.S. Ch. 5 Subch. A
- 4 (relating to practice and procedure of Commonwealth agencies)
- 5 and Ch. 7 Subch. A (relating to review of Commonwealth agency
- 6 action).
- 7 (c.2) Administrative penalties imposed by the department
- 8 under subsection (c.1) shall be determined according to the
- 9 following schedule:
- 10 (1) Any person or entity violating the provisions of clauses
- 11 (1) and (2) of section 2 may be subject to an administrative
- 12 penalty not to exceed five hundred dollars (\$500) per day. Each
- 13 day of noncompliance shall constitute a separate violation.
- 14 (2) Any person or entity receiving three or more warnings in
- 15 a calendar year may be subject to an administrative penalty not
- 16 to exceed five hundred dollars (\$500).
- 17 (3) Where violations result in property damage that does not
- 18 exceed ten thousand dollars (\$10,000), the administrative
- 19 penalty may not exceed one thousand dollars (\$1,000).
- 20 (4) Where violations result in property damage of more than
- 21 ten thousand dollars (\$10,000), the administrative penalty may
- 22 not exceed five thousand dollars (\$5,000).
- 23 (5) For violations that result in personal injury or death,
- 24 the administrative penalty may not exceed ten thousand dollars
- 25 (\$10,000).
- 26 (d) All fines and penalties recovered under this section
- 27 shall be payable to the Attorney General, district attorney or
- 28 the department, whichever brought the action, and collected in
- 29 the manner provided for by law. Administrative penalties
- 30 collected by the department may be expended by the department

- 1 for costs related to its enforcement activities and to sponsor
- 2 damage prevention activities of the One Call System.
- 3 (e) The provisions of this act shall not affect any civil
- 4 remedies for personal injury or property damage, except as
- 5 otherwise specifically provided for in this act.
- 6 (f) The secretary or his designee shall have the authority
- 7 to issue subpoenas, upon application of an attorney responsible
- 8 for representing the Commonwealth in actions before the
- 9 department, for the purpose of investigating alleged violations
- 10 of this act. The department shall have the power to subpoena
- 11 witnesses and compel the production of books, records, papers
- 12 and documents as it deems necessary or pertinent to an
- 13 investigation or hearing.]
- 14 Section 5. The act is amended by adding sections to read:
- 15 Section 7.8. (a) (Reserved).
- 16 (b) A Damage Prevention Committee shall be established as
- 17 follows:
- 18 (1) The commission shall establish a Damage Prevention
- 19 Committee in accordance with subsection (c).
- 20 (2) The committee shall regularly meet to carry out the
- 21 following purposes:
- 22 <u>(i) Review a report of an alleged violation of this act and</u>
- 23 commission staff findings and recommendations concerning a
- 24 violation.
- 25 (ii) Issue a warning letter to a person, as deemed
- 26 appropriate by the committee or the commission.
- 27 <u>(iii) Issue an informal determination that imposes an</u>
- 28 <u>administrative penalty and requires a person to attend a damage</u>
- 29 prevention educational program.
- 30 (iv) Issue an informal determination that modifies or

- 1 dismisses a recommendation of commission staff.
- 2 (3) Upon the request of the commission, the committee shall
- 3 hold a special meeting to advise the commission on a matter
- 4 <u>related to damage prevention of underground facilities and this</u>
- 5 act.
- 6 (4) As soon as practical after establishment, the committee,
- 7 with input from the One Call System, shall develop and implement
- 8 bylaws. The bylaws shall:
- 9 <u>(i) delineate the committee's practice and procedure</u>
- 10 concerning the performance of duties assigned under this act and
- 11 commission orders and regulations; and
- 12 <u>(ii) be approved by the commission.</u>
- 13 (c) The following shall apply to appointment and terms of
- 14 members of the Damage Prevention Committee:
- 15 (1) The committee shall consist of the following members,
- 16 appointed by the commission:
- 17 (i) The executive director of the commission, or his
- 18 <u>designee</u>.
- 19 (ii) The president of the One Call System, or his designee
- 20 from the One Call System professional staff.
- 21 (iii) Two representatives of facility owners, nominated by
- 22 facility owners or affiliated organizations.
- 23 (iv) Three representatives of excavators, nominated by
- 24 excavators or affiliated organizations.
- 25 (v) One representative of municipal governments, nominated
- 26 by municipal governments or affiliated organizations.
- 27 (vi) One representative of municipal authorities, nominated
- 28 by municipal authorities or affiliated organizations.
- 29 (2) A person appointed to the committee must have expertise
- 30 with the operation of this act.

- 1 (3) A nomination under clause (1)(iii), (iv), (v) and (vi)
- 2 must be forwarded to the secretary of the commission. The
- 3 executive director of the commission shall provide recommended
- 4 <u>candidates to the commission for approval.</u>
- 5 (4) Except for an unexpired term or for committee members
- 6 under clause (1) (i) and (ii), the following shall apply:
- 7 (i) An appointment to the committee shall begin January 1.
- 8 <u>(ii) Except for initial terms under clause (5), a committee</u>
- 9 member's term shall be for a term of two years.
- 10 (5) The initial terms of committee members shall be as
- 11 follows:
- 12 (i) One representative of facility owners shall serve two
- 13 years and one shall serve one year.
- 14 (ii) One representative of excavators shall serve two years
- 15 and two shall serve one year.
- 16 <u>(iii) The representative of municipal governments shall</u>
- 17 serve two years.
- 18 (iv) The representative of municipal authorities shall serve
- 19 one year.
- 20 (6) The commission member shall serve as the chairperson of
- 21 the committee and shall be a nonvoting member, except if the
- 22 chairperson's vote is necessary to break a tie.
- 23 (7) At least five members of the committee who are present
- 24 shall constitute a quorum for the transaction of business. A
- 25 simple majority vote of committee members present at a meeting
- 26 shall be deemed to be the position of the committee.
- 27 <u>(d) The following shall apply to alleged violations:</u>
- 28 (1) A person declared to have committed an alleged violation
- 29 shall do one of the following:
- 30 (i) Present its position to the committee.

- 1 (ii) Provide a written acknowledgment of the investigation
- 2 <u>findings and administrative penalty to the committee.</u>
- 3 (2) A person who is subject to an informal determination of
- 4 the committee may accept or reject the result. If an informal
- 5 determination is rejected, the matter shall be returned to the
- 6 prosecutory staff of the commission for further action if
- 7 appropriate, including the issuance of a formal complaint.
- 8 (e) Except for alleged violations involving injury or death,
- 9 the prosecutory staff of the commission may use the committee
- 10 process under subsection (d) in advance or instead of filing a
- 11 formal complaint against a person declared to have committed an
- 12 <u>alleged violation. An informal determination of the committee</u>
- 13 shall be binding on the prosecutory staff of the commission
- 14 unless a person rejects it.
- (f) Except for willful misconduct, members of the committee
- 16 shall be immune, individually and jointly, from civil liability
- 17 for an act or omission done or made in performance of the
- 18 members' duties while serving as members of the committee.
- 19 (q) The commission shall have the following powers to carry
- 20 out the purposes of this act:
- 21 (1) To employ individuals.
- 22 (2) To issue orders.
- 23 (3) To promulgate regulations, if the commission promulgates
- 24 regulations which limit reporting to a specific type of
- 25 incident, including contact with a line, damage to a line or
- 26 line coating, personal injury, third-party damage and failure to
- 27 comply with this act, the commission may consider the resources
- 28 available for enforcement and other factors.
- 29 (4) For one year following the effective date of this
- 30 section, to promulgate temporary regulations. Regulations under

- 1 this paragraph shall:
- 2 (i) Expire no later than two years following the effective
- 3 date of this section.
- 4 (ii) Be exempt from all of the following:
- 5 (A) Sections 201, 202 and 203 of the act of July 31, 1968
- 6 (P.L.769, No.240), referred to as the Commonwealth Documents
- 7 Law.
- 8 (B) The act of June 25, 1982 (P.L.633, No.181), known as the
- 9 Regulatory Review Act.
- 10 Section 7.9. (a) The Underground Utility Line Protection
- 11 Fund is established as a revolving fund to be used by the
- 12 commission for administering the enforcement of this act.
- 13 <u>(b) The fund shall be comprised of:</u>
- 14 (1) Administrative penalties collected by use of the
- 15 <u>committee process under subsection (c).</u>
- 16 (2) Funds received by the commission as a result of
- 17 enforcing this act.
- 18 (3) Federal or State grants received by the commission for
- 19 the purpose of this act.
- 20 (4) An annual assessment, if required under subparagraph
- 21 (ii) (A), paid by the One Call System for each fiscal year as
- 22 <u>follows:</u>
- 23 (i) Beginning on the effective date of this section the
- 24 following shall apply to the initial fiscal year, or a portion
- 25 of the initial fiscal year:
- 26 (A) An initial assessment of five hundred fifty thousand
- 27 <u>dollars (\$550,000) shall be paid, which shall be prorated if the</u>
- 28 effective date of this section occurs after the first day of the
- 29 <u>initial fiscal year.</u>
- 30 (B) Within ten days after the effective date of this

- 1 <u>section</u>, the commission shall, by registered or certified mail,
- 2 give notice to the One Call System of the amount assessed under
- 3 this subclause, which shall be paid by the One Call System
- 4 within ten days of receipt of the notice.
- 5 (ii) During each subsequent fiscal year:
- 6 (A) The annual assessment shall be calculated by subtracting
- 7 the amount of uncommitted money, as defined by generally
- 8 accepted accounting principles, present at the conclusion of the
- 9 prior fiscal year within the fund, from the current year's
- 10 projected program costs for commission enforcement of this act.
- 11 (B) The annual amount assessed to the One Call System may
- 12 not exceed five hundred fifty thousand dollars (\$550,000).
- (C) Within thirty days of the start of each fiscal year, the
- 14 commission shall, by registered or certified mail, give notice
- 15 to the One Call System of the amount assessed under this
- 16 subclause. The One Call System shall pay:
- 17 (I) Fifty percent of the assessment to the commission within
- 18 thirty days of receipt of the notice.
- 19 (II) The balance of the assessment within one hundred eighty
- 20 days of receipt of the notice.
- 21 (c) Except as provided under subsection (d), administrative
- 22 penalties, grant money and assessments paid by the One Call
- 23 System shall be deposited into the fund. Interest earned on the
- 24 fund shall be credited to the fund. Any money remaining in the
- 25 fund at the end of the fiscal year shall not lapse and shall
- 26 remain in the fund.
- 27 (d) Administrative penalties collected through the issuance
- 28 of an adjudication by the commission under this act shall be
- 29 <u>deposited in the General Fund.</u>
- 30 (e) The following shall apply:

- 1 (1) Except as provided under clause (2), program costs for
- 2 commission enforcement of this act shall be a line item in the
- 3 commission's proposed budget and shall be subject to the review
- 4 and approval of the Governor and the General Assembly as
- 5 described under 66 Pa.C.S. § 510(a) (relating to assessment for
- 6 <u>regulatory expenses upon public utilities).</u>
- 7 (2) Program costs for commission enforcement of this act may
- 8 not be included within the amount assessed to public utilities
- 9 <u>under 66 Pa.C.S. § 510. Program costs for commission enforcement</u>
- 10 of this act shall be paid from the fund.
- 11 <u>Section 7.10.</u> (a) The commission may issue a warning and
- 12 order requiring compliance with this act and may levy an
- 13 <u>administrative penalty for a violation of this act. A warning,</u>
- 14 order or penalty shall be served on the person or entity
- 15 <u>violating this act at the person's last known address. A party</u>
- 16 aggrieved by the imposition of an order or administrative
- 17 penalty imposed by the commission may appeal the order or
- 18 penalty as provided under 2 Pa.C.S. Chs. 5 Subch. A (relating to
- 19 practice and procedure of Commonwealth agencies) and 7 Subch. A
- 20 (relating to judicial review of Commonwealth agency action).
- 21 (b) The following shall apply:
- 22 (1) A person or entity violating this act may be subject to:
- (i) an administrative penalty of not more than two thousand
- 24 five hundred dollars (\$2,500) per violation; or
- 25 (ii) if the violation results in injury, death or property
- 26 damage of twenty-five thousand dollars (\$25,000) or more, an
- 27 <u>administrative penalty of not more than fifty thousand dollars</u>
- 28 (\$50,000).
- 29 (2) The commission and committee shall consider the
- 30 following factors in determining the administrative penalty to

- 1 be assessed:
- 2 (i) The history of the party's compliance with the act prior
- 3 to the date of the violation.
- 4 (ii) The amount of injury or property damage caused by the
- 5 party's noncompliance.
- 6 (iii) The degree of threat to the public safety and
- 7 <u>inconvenience caused by the party's noncompliance.</u>
- 8 (iv) The party's proposed modification to internal practices
- 9 and procedures to insure future compliance with statutes and
- 10 regulations.
- 11 (v) The degree of the party's culpability.
- 12 (vi) Other factors as may be appropriate considering the
- 13 facts and circumstances of the incident.
- 14 (c) An administrative penalty recovered under this section
- 15 shall be payable to the commission and collected in the manner
- 16 provided for by law.
- 17 (d) This act shall not affect a civil remedy for personal
- 18 injury or property damage, except as provided for under this
- 19 act.
- 20 (e) The commission may issue a subpoena, on application of
- 21 an attorney responsible for representing the Commonwealth in
- 22 actions before the commission, for the purpose of investigating
- 23 <u>an alleged violation of this act. The commission shall have the</u>
- 24 power to subpoena witnesses and compel the production of books,
- 25 records, papers and documents.
- 26 (f) Nothing under this act shall be construed or interpreted
- 27 to do any of the following:
- 28 (1) Affect the ability of a district attorney or the
- 29 Attorney General to investigate or file a claim for the same
- 30 conduct.

- 1 (2) Deprive a governmental agency, including a law
- 2 enforcement agency, the Auditor General and a district attorney,
- 3 of any jurisdictional power or duty.
- 4 (g) A facility owner may petition a court of competent
- 5 jurisdiction to enjoin excavation or demolition work conducted
- 6 <u>in violation of this act. Local law enforcement or emergency</u>
- 7 management personnel may, in the interest of public safety,
- 8 order an excavator on a work site to stop further excavation if
- 9 the excavation is being conducted in violation of this act.
- 10 Section 6. Sections 8 and 39 of the act, amended or added
- 11 November 29, 2006 (P.L.1593, No.181), are amended to read:
- 12 Section 8. The One Call System shall have the authority to
- 13 design, establish and administer a voluntary payment dispute
- 14 resolution process which may be used by excavators, facility
- 15 owners, designers, project owners and other involved persons.
- 16 The process shall provide for dispute resolution panels selected
- 17 from among a list of representatives of stakeholder groups,
- 18 including facility owners, excavators, designers and regulators.
- 19 The process established under this section may not be used to
- 20 settle or resolve alleged violations of this act nor may involve
- 21 any issues related to the [department's] commission's
- 22 enforcement activities.
- 23 Section 39. This act shall expire on December 31, [2016]
- 24 2021.
- 25 Section 7. This act shall take effect as follows:
- 26 (1) The following provisions shall take effect
- 27 immediately:
- 28 (i) The addition of section 7.9 of the act.
- 29 (ii) This section.
- 30 (2) The remainder of this act shall take effect in 180 days.