THE GENERAL ASSEMBLY OF PENNSYLVANIA

HOUSE BILL No. 2700 Session of 2000

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REFERRED TO COMMITTEE ON AGING AND YOUTH, AUGUST 2, 2000

AN ACT

- 1 Relating to assisted living; promoting availability of 2 affordable and safe assisted living services; and making 3 repeals.
- 4 The General Assembly finds and declares as follows:
- 5 (1) Assisted living is an integral part of the continuum
- of long-term care and the availability of long-term care
- 7 options must be increased to meet the growing demand. The
- 8 General Assembly is committed to ensuring that all citizens
- 9 have access to services that encourage and support
- individuals to live independently, provide individuals with
- 11 privacy and dignity, support individuals living in the
- 12 residential environment of their choice, promote the

- 1 integration of individuals into the community and
- 2 participation in mainstream activities and maximize
- 3 individual choice to promote and support changing needs and
- 4 preferences, including the right of individuals to make
- 5 decisions about their own care and to take responsibility for
- 6 certain risks that may result from their decisions,
- 7 consistent with the individual's capacity to make decisions
- 8 and the provider's exercise of prudent risk management
- 9 through negotiated risk agreements.
- 10 (2) This act promotes availability of affordable and
- 11 safe assisted living services, makes such services available
- in a variety of housing settings and directs the Department
- of Health, Department of Public Welfare and Department of
- 14 Aging to take necessary steps for the development and
- 15 availability of such services.
- 16 (3) Adequate and accurate information about assisted
- 17 living is essential for consumers. This act creates a clear
- and flexible statutory structure for assisted living to meet
- 19 the needs of elderly persons and persons with disabilities of
- 20 all income levels, regardless of payment source.
- 21 (4) Nationally and in this Commonwealth, a general
- 22 concept of assisted living has emerged in recent years as an
- 23 important link in the continuum of care for the elderly and
- 24 adults with disabilities.
- 25 (5) Consumers needing assistance with activities of
- 26 daily living prefer to age in place in a residential setting
- 27 of their choice, supported by self-directed services
- 28 responsive to their needs.
- 29 (6) Numerous establishments are operating in this
- 30 Commonwealth that call themselves assisted living but which

- 1 provide disparate services and living arrangements and which
- 2 may or may not be licensed by the Commonwealth.
- 3 (7) Low-income elderly and adults with disabilities are
- 4 largely excluded from being able to receive assisted living
- 5 services and from being served in such establishments due to
- 6 their inability to privately pay for them.
- 7 (8) Due to the lack of standardized statutory and
- 8 regulatory definition and agency oversight, frail and
- 9 vulnerable elderly persons and adults with disabilities are
- 10 confused by the many terms and names to promote adult
- 11 residential services and are at risk.
- 12 (9) The Intra-Governmental Council on Long-Term Care has
- 13 considered and evaluated the concept of assisted living and
- has recently issued a report on assisted living that includes
- 15 recommendations that the Commonwealth define, license, fund
- 16 and regulate assisted living services and residences.
- 17 (10) This act defines, licenses, funds and regulates
- 18 assisted living services and assisted living residences to
- 19 create a better balance of availability and public funding
- 20 between institutional and community-based long-term care for
- 21 the elderly and adults with disabilities.
- 22 The General Assembly of the Commonwealth of Pennsylvania
- 23 hereby enacts as follows:
- 24 Section 1. Short title.
- 25 This act shall be known and may be cited as the Assisted
- 26 Living Reform Act.
- 27 Section 2. Definitions.
- The following words and phrases when used in this act shall
- 29 have the meanings given to them in this section unless the
- 30 context clearly indicates otherwise:

- 1 "Abuse." One or more of the following acts:
- 2 (1) infliction of injury, unreasonable confinement,
- 3 intimidation or punishment with resulting physical harm, pain
- 4 or mental anguish;
- 5 (2) willful deprivation of services by a caretaker or
- 6 assisted living provider or direct service staff which are
- 7 necessary to maintain physical or mental health;
- 8 (3) sexual harassment, rape or abuse, as defined in 23
- 9 Pa.C.S. Ch.61 (relating to protection from abuse);
- 10 (4) exploitation by an act or a course of conduct,
- including misrepresentation or failure to obtain informed
- 12 consent which results in monetary, personal or other benefit,
- gain or profit for the perpetrator or monetary or personal
- loss to the consumer;
- 15 (5) neglect of the consumer which results in physical
- harm, pain or mental anguish; or
- 17 (6) abandonment or desertion by a caretaker.
- 18 "Activities of daily living." Activities, including, but not
- 19 limited to, eating, bathing, dressing, toileting, transferring
- 20 in and out of bed or in and out of a chair and personal hygiene.
- 21 "Adult." A person who is 18 years of age or older.
- 22 "Aging in place." The process of providing increased or
- 23 adjusted services to a person to compensate for the physical or
- 24 mental decline that occurs with the aging process in order to
- 25 maximize individual dignity and independence and permit the
- 26 person to remain in a familiar, residential environment for as
- 27 long as possible. Such services may be provided through facility
- 28 staff, a third party, volunteers, friends or family.
- 29 "Areas of severe nursing facility bed shortage." A county
- 30 which has a nursing bed shortage of more than 75 beds, as

- 1 determined by the Department of Health.
- 2 "Assisted living." Those assisted living services as
- 3 determined and self-directed by the consumer or legal
- 4 representative that permit and assist the consumer to live in
- 5 the community. The term may also include such housing assistance
- 6 or residency in an assisted living residence that permits
- 7 consumers to safely be supported in residences in which their
- 8 independence, dignity and ability to make choices are
- 9 maintained, to the extent of their capabilities.
- 10 "Assisted living residence." A residential setting that:
- 11 (1) offers, provides or coordinates a combination of
- 12 personal care services, activities, 24-hour supervision and
- assisted living services, whether scheduled or unscheduled,
- and health-related services for consumers;
- 15 (2) has a service program and physical environment
- designed to minimize the need for residents to move within or
- from the setting to accommodate changing needs and
- 18 preferences; and
- 19 (3) has an organized mission, service programs and a
- 20 physical environment designed to maximize residents' dignity,
- autonomy, privacy and independence and encourages family and
- 22 community involvement.
- 23 Costs for housing and services are independent of one another
- 24 and residents may choose their service provider and the services
- 25 to be provided. Living units are single occupancy, except in
- 26 situations where two residents choose to share a unit, and
- 27 include, at a minimum, a private bathroom, bedroom, living
- 28 space, kitchenette and food preparation area and lockable door.
- 29 Assisted living residences have a goal of fostering aging in
- 30 place and promoting resident self-direction and active

- 1 participation in decision making while emphasizing an
- 2 individual's privacy and dignity through negotiated risk
- 3 agreements.
- 4 "Assisted living residency agreement." The contract or
- 5 contracts between a provider and a consumer regarding the
- 6 consumer's residency at the assisted living residence. The term
- 7 also includes the agreement between an assisted living residence
- 8 and an entity contracting for assisted living residency for the
- 9 consumer using public funding.
- 10 "Assisted living services." A combination of supportive
- 11 services, personal care services, personalized assistance
- 12 services, assistive technology and health-related services
- 13 designed to respond to the individual needs of those who need
- 14 assistance with activities of daily living and instrumental
- 15 activities of daily living. The term includes publicly funded
- 16 home-based services and community-based services available
- 17 through the medical assistance program and the Federal Medicaid
- 18 waiver program. The term also includes State-funded options for
- 19 home-based services and community-based services funded through
- 20 the Pennsylvania Department of Aging as defined in this act.
- 21 "Assisted living services agreement." The contract or
- 22 contracts between a provider and a consumer regarding the
- 23 provision and the terms of assisted living services, including
- 24 contracts between assisted living service providers and entities
- 25 contracting for services for consumers using public funding.
- 26 "Assisted Living Trust Account." All funds collected from
- 27 the licensure and renewal fees shall be deposited into an
- 28 Assisted Living Trust Account which shall be established to fund
- 29 the enforcement and oversight required under this act. All fines
- 30 collected under sections 7(b) and 8(b)(4) shall also be

- 1 deposited into this Assisted Living Trust Account and shall be
- 2 used for the same purpose.
- 3 "Assistive technology." Those devices and services, whether
- 4 medically necessary or not, that are used to increase, maintain,
- 5 or improve the functional capabilities of individuals with
- 6 disabilities which may or may not be needed to permit the person
- 7 to live independently. The term shall include, but not be
- 8 limited to, reachers, adapted telephones, reading aides and
- 9 other nonmedical devices.
- 10 "Cognitive support services." Services provided as part of a
- 11 coordinated care plan to individuals who have memory impairments
- 12 and other cognitive problems that significantly interfere with
- 13 their ability to carry out activities of daily living without
- 14 assistance and who require that supervision, monitoring and
- 15 programming be available to them 24 hours per day, seven days
- 16 per week in order for them to reside safely in the setting of
- 17 their choice, including the following: assessment, health
- 18 support services and a full range of dementia-capable activity
- 19 programming and crisis management.
- 20 "Complete compliance." When there are no Class I, II or III
- 21 violations, an Assisted Living Residence or Assisted Living
- 22 Services Provider shall be deemed in complete compliance.
- 23 "Comprehensive assessment." A thorough review of a
- 24 consumer's status in a number of functional areas, including a
- 25 brief personal history. The term includes physical health,
- 26 emotional health, cognitive functioning, physical functioning,
- 27 ability to carry out activities of daily living, informal
- 28 supports, environmental factors and finances. Comprehensive
- 29 assessment will be completed by options or the local area agency
- 30 on aging. Comprehensive assessment will only be completed where

- 1 a consumer needs or is contracting for personal care services
- 2 from an assisted living residence or assisted living services
- 3 provider.
- 4 "Consumer." A person who receives or is in need of assisted
- 5 living services, who lives in an assisted living residence or
- 6 who is in need of supportive housing to remain in the community.
- 7 "Coordinated care plan." A plan designed to meet a
- 8 resident's individual physical, mental, assistive technology,
- 9 and psychosocial needs. They are to be completed within 15 days
- 10 of admission or the start of service delivery and are reviewed
- 11 every 3 months and, if necessary, revised. Care planning is done
- 12 by an interdisciplinary team that includes the resident, the
- 13 resident's legal representative, and/or the resident's family
- 14 member; and services necessary shall be provided the consumer
- 15 until the plan is finalized and in operation.
- 16 "Direct care staff." A person who directly assists residents
- 17 with activities of daily living, provides services or is
- 18 otherwise responsible for the health, safety and welfare of
- 19 residents.
- 20 "EPSDT." Early and periodic screening diagnosis and
- 21 treatment which is Federally mandated and medically necessary
- 22 for medical assistance beneficiaries under 21 years of age.
- 23 "Federally funded home and community-based services waiver
- 24 slots and services." The packages of services which are
- 25 federally funded, in part, pursuant to a waiver of Title XIX of
- 26 the Social Security Act (42 U.S.C. § 1396 et seq.) and which are
- 27 available in designated numbers to individuals eligible for
- 28 Pennsylvania medical assistance who qualify for the nursing
- 29 facility level of care but who elect home and community-based
- 30 services in lieu of institutionalization in a nursing facility.

- 1 "Financial management." A service provided by a
- 2 representative payee or a guardian or power of attorney for a
- 3 consumer or when a consumer needs assistance in budgeting and
- 4 spending for his or her personal needs. Where funds are kept for
- 5 the consumer by one of these people, written receipts must be
- 6 obtained for all cash disbursements, including those to the
- 7 consumer.
- 8 "Health Choices." The Pennsylvania medical assistance
- 9 mandatory managed care program.
- 10 "Home Health Care." Intermittent or part-time nursing
- 11 services or other therapeutic services furnished by a home
- 12 health agency lawfully permitted to do so.
- "Immobile person." An individual who is unable to move from
- 14 one location to another or who has difficulty in understanding
- 15 and carrying out instructions without the continual and full
- 16 assistance of other persons or who is incapable of independently
- 17 operating a device such as a wheelchair, prosthesis, walker or
- 18 cane to exit a building.
- 19 "Instrumental activities of daily living." The term
- 20 includes, but is not limited to, meal preparation, taking
- 21 medication, using the telephone, handling finances, banking and
- 22 shopping, light housekeeping, heavy housekeeping and getting to
- 23 appointments.
- 24 "Legal representative." A person duly authorized by law to
- 25 take certain action on behalf of a consumer, including, but not
- 26 limited to, legal counsel, a court-appointed guardian, an
- 27 attorney-in-fact under a durable power of attorney, an agent
- 28 under a health care proxy or a representative payee, a spouse or
- 29 an adult child of the consumer.
- 30 "Living unit." A room, apartment, cottage or other self-

- 1 contained unit which need not be shared except by choice of the
- 2 resident and which is capable of being locked by the resident
- 3 and which has its own bathroom, including a toilet, sink, shower
- 4 or bath; its own kitchenette or food preparation area, including
- 5 counter space, microwave, refrigerator, cabinet and sink or
- 6 other food provision determined by choice of the resident; its
- 7 own sleeping area and its own living area, unless adjustments
- 8 have been made to accommodate persons who are significantly
- 9 cognitively impaired.
- 10 "Long-term care nursing facility." A licensed facility that
- 11 provides either skilled or intermediate nursing care or both
- 12 levels of care to two or more patients unrelated to the licensee
- 13 for a period exceeding 24 hours. Intermediate care facilities
- 14 exclusively for the mentally retarded, commonly referred to as
- 15 ICF/MR or ICF/ORC, personal care homes, domiciliary care homes
- 16 and assisted living residences as defined by statute shall not
- 17 be considered long-term care nursing facilities for the purpose
- 18 of this act.
- 19 "Long-term care ombudsman." An agent of the Department of
- 20 Aging who investigates and seeks to resolve complaints made by
- 21 or on behalf of older individuals or adults with disabilities
- 22 who are consumers of assisted living services, pursuant to
- 23 section 2203-A of the act of April 9, 1929 (P.L.177, No.175),
- 24 known as The Administrative Code of 1929. Complaints may relate
- 25 to action, inaction or decisions of providers of assisted living
- 26 services or residences, of public agencies or of social service
- 27 agencies, or their representatives, which may adversely affect
- 28 the health, safety, welfare, interests, preferences or rights of
- 29 consumers.
- 30 "Managed care plan." A health care plan that uses a

- 1 gatekeeper to manage the utilization of health care services;
- 2 integrates the financing and delivery of health care services to
- 3 enrollees by arrangements with health care providers selected to
- 4 participate on the basis of specific standards; and provides
- 5 financial incentives for enrollees to use the participating
- 6 health care providers in accordance with procedures established
- 7 by the plan as is defined in section 2102 of the act of May 17,
- 8 1921 (P.L.682, No.284), known as The Insurance Company Law of
- 9 1921. The term includes an entity, including a municipality,
- 10 whether licensed or unlicensed, that contracts with or functions
- 11 as a managed care plan to provide health care services to
- 12 enrollees. The term does not include ancillary service plans or
- 13 an indemnity arrangement which is primarily based upon a fee for
- 14 service method of payment.
- 15 "Medical assistance consumer." An individual who receives or
- 16 is eligible to receive assisted living services through home and
- 17 community-based services waivers funded by the Department of
- 18 Public Welfare.
- 19 "Mobile person." An individual who is physically and
- 20 mentally capable of vacating the home on the individual's own
- 21 power or with limited assistance in the case of an emergency,
- 22 including the capability to ascend or descend stairs if present
- 23 on the exit path. The term includes a person who is able to
- 24 effectively operate a device required for moving from one place
- 25 to another and to understand and carry out instructions for
- 26 vacating premises.
- 27 "Negotiated or shared risk." The process by which a consumer
- 28 or his or her legal representative formally negotiates with
- 29 assisted living providers about the risks he or she is willing
- 30 to assume in providing services and in the resident's living

- 1 environment.
- 2 "Omission of a material fact." Failure to state a material
- 3 fact required to be stated in any disclosure statement or
- 4 filing.
- 5 "Options." The Long Term Care Pre-admission Assessment
- 6 Program and the community services for nursing facility eligible
- 7 persons administered by the Department of Aging and operated by
- 8 Area Agencies on Aging.
- 9 "Personal care home" or "PCH." Premises on which food,
- 10 shelter and personal assistance or supervision are provided for
- 11 a period exceeding 24 hours for four or more adults who are not
- 12 relatives of the operator, who do not require the services of a
- 13 licensed long-term care nursing facility, except as provided in
- 14 section 6(b), and who do require assistance or supervision in
- 15 matters such as dressing, bathing, diet, financial management,
- 16 evacuation of a residence in the event of an emergency or
- 17 medication prescribed for self-administration.
- 18 "Personal care services." Assistance or supervision in
- 19 matters such as dressing, bathing, diet, financial management,
- 20 evacuation of the resident in the event of an emergency or
- 21 medication prescribed for self-administration. For cognitively
- 22 impaired individuals, personal care services shall include
- 23 monitoring and routinely assessing individuals' health status
- 24 with a focus on preventing unnecessary comorbidities.
- 25 "Providers." Individuals, organizations or entities who
- 26 assume responsibility and contract with a consumer to provide
- 27 assisted living services either in the consumer's home or in an
- 28 assisted living residence. The term also includes individuals,
- 29 organizations or entities who provide cognitive support
- 30 services.

- 1 "Publicly funded assisted living" or "publicly funded home
- 2 and community-based services." Assisted living funded through
- 3 federally funded home and community-based services waiver slots,
- 4 state-funded options home and community-based services slots,
- 5 the supplemental security income paid to personal care boarding
- 6 homes or publicly funded housing costs needed to avoid nursing
- 7 facility placement as defined in section 9.
- 8 "Qualified couple for cost of living increase." Two
- 9 qualified persons, living together, who are considered a couple
- 10 under the Supplemental Security Income program, Title XVI of the
- 11 Social Security Act (49 Stat. 620, U.S.C. § 301 et seq.).
- "Qualified persons for cost of living increase." Persons
- 13 meeting the nonfinancial, categorical eligibility requirements
- 14 under Title XIX of the Social Security Act (49 Stat. 620, U.S.C.
- 15 § 301 et seq.) as aged, blind or disabled and receiving or
- 16 applying for long-term care services under a special income
- 17 level.
- 18 "Restraint." A chemical or mechanical device used to
- 19 restrict the movement or normal function of an individual or a
- 20 portion of the individual's body, including geriatric chairs;
- 21 posey; chest, waist, wrist or ankle restraints; locked
- 22 restraints; and locked doors to prevent egress. The term does
- 23 not include devices used to provide support for the achievement
- 24 of functional body position or proper balance as long as the
- 25 device can easily be removed by the resident.
- 26 "Skilled or intermediate nursing care." Professionally
- 27 supervised nursing care and related medical and other health
- 28 services provided directly or under the direction of the
- 29 licensed entity for a period exceeding 24 hours to an individual
- 30 not in need of hospitalization, but whose needs can only be met

- 1 in a long-term care nursing facility on an inpatient basis
- 2 because of illness, disease, injury, convalescence or physical
- 3 or mental infirmity. The term includes, but is not limited to,
- 4 the provision of inpatient services that are needed on a daily
- 5 basis by the resident, ordered by and provided under the
- 6 direction of a physician, which require the skills of
- 7 professional personnel, such as registered nurses, licensed
- 8 practical nurses, physical therapists, occupational therapists,
- 9 speech pathologists or audiologists.
- 10 "State-funded assisted living." State-funded options home
- 11 and community-based services or publicly funded housing needed
- 12 to avoid nursing facility placement as described in section
- 13 5(o).
- 14 "State-funded options home and community-based services
- 15 slots" and "State-funded home and community-based services."
- 16 Packages of services funded without Federal money which are
- 17 available in designated budgeted numbers to individuals with
- 18 incomes under 400% of the Federal Supplemental Security Income
- 19 (SSI) level who qualify for the nursing facility level of care.
- 20 "Substantial compliance." When there are no Class I or II
- 21 violations and the number and nature of individual Class III
- 22 violations when considered together do not comprise a
- 23 substantial adverse risk to health, safety and well-being, an
- 24 assisted living residence or assisted living services provider
- 25 shall be deemed to be in substantial compliance.
- 26 Section 3. Assisted living services and residences authorized.
- 27 Assisted living services are authorized under this act
- 28 subject to the standards set in section 4 and in the settings
- 29 authorized under section 5. Not included in this act are adult
- 30 residential facilities administered, approved and/or licensed by

- 1 agencies whose primary purpose is related to mental health,
- 2 mental retardation or drug and alcohol.
- 3 Section 4. Standards for assisted living services.
- 4 The following standards for assisted living services shall
- 5 apply:
- 6 (1) Assisted living encourages and supports individuals
- 7 to live independently in the residential environment of their
- 8 choice with privacy and dignity.
- 9 (2) Assisted living promotes integration of individuals
- into the community and participation in the mainstream of
- 11 activities.
- 12 (3) Assisted living maximizes consumer choice to promote
- and support the consumer's changing needs and preferences.
- 14 Consumer choice includes the right of individuals to make
- decisions about their own care and to take responsibility for
- 16 certain risks that may result from their decisions,
- 17 consistent with the individual's capacity to make decisions
- and the provider's exercise of prudent risk management
- 19 through negotiated assisted living service and/or residency
- 20 agreements.
- 21 (4) Assisted living services must be consumer oriented
- and meet professional standards of quality.
- 23 Section 5. Assisted living services.
- 24 (a) Licensure requirements. -- An entity not already licensed
- 25 by the Department of Health that either coordinates and provides
- 26 or coordinates and subcontracts for assisted living services for
- 27 payment must be licensed by the Department of Health as an
- 28 assisted living service provider before it can and for so long
- 29 as it does provide such services to call itself an assisted
- 30 living provider or to otherwise hold itself out as providing

- 1 assisted living services. State-designated agency programs, such
- 2 as Area Agencies on Aging and centers for Independent Living,
- 3 guardians and family members and low-income housing as is
- 4 described in section 9(b) are entities which are exempt from
- 5 this requirement. Other entities providing separate components
- 6 of assisted living services must be licensed by the appropriate
- 7 authority to provide that care, as is required by law. Complete
- 8 compliance with all regulations, including all training
- 9 requirements, is required prior to licensure. Applications for
- 10 licensure as an assisted living service provider shall include a
- 11 fee of \$300 payable to the Commonwealth which shall be deposited
- 12 into the Assisted Living Trust Account. Applications for bi-
- 13 annual renewal of licensure shall include a fee of \$150 payable
- 14 to the Commonwealth which shall be deposited into the Assisted
- 15 Living Trust Account.
- 16 (b) Agreement.--All assisted living services provided by
- 17 licensed assisted living service providers shall be provided
- 18 pursuant to an assisted living service agreement. The Department
- 19 of Health shall ensure the adequate staffing and training of
- 20 personnel at the State, regional and county level necessary to
- 21 adequately inspect assisted living residences and monitor and
- 22 enforce final rules and regulations adopted by the Department of
- 23 Health.
- 24 (c) Disclosure. -- The Department of Health shall require full
- 25 and fair advance disclosure of all terms and conditions of the
- 26 provider-consumer assisted living services agreement to all
- 27 consumers, including those whose services are publicly funded.
- 28 Omission or misrepresentation of a material fact in the
- 29 disclosure shall be a complete defense for the consumer against
- 30 any claims for payment by the provider.

- 1 (d) Outcome measures. -- The Department of Health shall
- 2 establish outcome measures which shall include consumer
- 3 satisfaction, quality of life and other measures consistent with
- 4 the standards set forth in section 4(a) to utilize in oversight
- 5 of entities with assisted living licenses.
- 6 (e) Regulations. -- The Department of Health shall promptly
- 7 develop regulations which shall apply to all assisted living
- 8 services licensed providers without regard to whether their
- 9 services are publicly funded. The regulations shall reflect the
- 10 importance of the consumer in determining quality and shall set
- 11 forth standards for shared risk consistent with this act. The
- 12 regulations shall also require assisted living providers to
- 13 submit information for the long-term care data base as is set
- 14 forth in section 10.
- 15 (f) Services available.--Consumers who receive assisted
- 16 living services may utilize the services of the Department of
- 17 Aging Ombudsperson Program and the Department of Health's Long-
- 18 Term Care 24-Hour Complaint Hot Line and shall be so notified in
- 19 writing at the outset of their assisted living services
- 20 agreement and then annually in writing by those providing
- 21 assisted living services. Assisted living residences must
- 22 prominently display notice of the availability of these services
- 23 in common areas of the assisted living residence.
- 24 (g) Assisted living services.--Publicly funded assisted
- 25 living services shall follow the individual to his/her residence
- 26 of choice. Whether public payment is made in the form of
- 27 subsidies to the individual or payment to the provider will
- 28 depend on the circumstances and the funding constraints, but
- 29 preference should be to empower the individual whenever
- 30 possible.

- 1 (h) Medical assistance. -- Assisted living services funded by
- 2 the Pennsylvania Medical Assistance program shall be provided
- 3 via federally funded home and community-based services slots.
- 4 (i) Limitation.--To provide the funds necessary to enhance
- 5 the ability of Medical Assistance beneficiaries to receive
- 6 assisted living services in locations other than nursing
- 7 facilities, until section 5(j) is implemented, the Secretary of
- 8 Public Welfare may only approve medical assistance payment of
- 9 capital costs for new nursing facility beds or enter into new
- 10 medical assistance provider agreements with nursing facilities
- 11 in areas of severe nursing facility bed shortage. Although an
- 12 adequate supply of nursing facility beds needs to be part of the
- 13 continuum of long-term care services available to medical
- 14 assistance providers, priority shall be given to creating a
- 15 better balance of public funding between institutional and home
- 16 and community-based services.
- 17 (j) Program expansion. -- To expand the availability of
- 18 community-based long-term care options for long-term care, the
- 19 Department of Public Welfare shall undertake an expansion of
- 20 publicly funded assisted living services through expansion of
- 21 federally funded waiver slots. The Secretary of Public Welfare
- 22 shall develop and implement a plan to provide increased access
- 23 to federally funded home and community-based service slots by
- 24 increasing the availability of these services by an additional
- 25 5,000 slots in fiscal year 2000-2001; and by at least an
- 26 additional 3,500 slots each year thereafter so that no fewer
- 27 than 36,000 federally funded home and community-based waiver
- 28 slots are available by fiscal year 2009-2010. These slots should
- 29 be funded through intergovernmental transfers, or if these slots
- 30 cannot be funded through intergovernmental transfers, then they

- 1 shall be funded through traditional Federal/State Medicaid
- 2 funding.
- 3 (k) Mandatory choices.--The federally funded home and
- 4 community-based services waiver slots shall, consistent with
- 5 Federal cost caps, permit a medical assistance beneficiary to
- 6 select from a full menu of assisted living services, including,
- 7 but not limited to, cognitive support services, depending on the
- 8 service needs of the consumer.
- 9 (1) Young adults.--The Secretary of Public Welfare shall
- 10 ensure that young adults who age out of expanded EPSDT (Early
- 11 and Periodic Screening Diagnosis and Treatment) services at age
- 12 21 shall, consistent with Federal cost caps, have access to
- 13 federally funded home and community-based waiver slots to permit
- 14 them to remain at home in the community.
- 15 (m) Ceiling. -- The financial eligibility ceiling for
- 16 federally funded assisted living services shall be at 300% of
- 17 the Federal SSI income level and satisfy other Department of
- 18 Public Welfare income and asset requirements. If the Federal
- 19 Government permits higher financial eligibility standards and
- 20 the secretary determines that it would save State funding to
- 21 provide federally funded assisted living services to those
- 22 consumers with higher incomes as compared to the State cost of
- 23 paying for that care in a nursing facility, the secretary shall
- 24 seek approval from the Federal Government to increase the
- 25 financial eligibility for those services.
- 26 (n) Criteria. -- Functional eligibility criteria for federally
- 27 funded assisted living services shall be measured by the same
- 28 standard as is eligibility for nursing facility services.
- 29 (o) Assessment.--Mandatory assessment of any person who may
- 30 need publicly funded nursing facility care within the next 18

- 1 months from the date of their admission to a long-term care
- 2 facility shall be conducted by the Options Program as a
- 3 condition of public funding of those services. Each person so
- 4 assessed and found eligible for nursing facility care shall be
- 5 provided information on the full range of long-term care options
- 6 available, including assisted living services and home and
- 7 community-based services. In addition, those consumers who may
- 8 be eligible shall be provided with information on housing
- 9 options, including the housing supplement described in section
- 10 9, an SSI personal care boarding home supplement or payment for
- 11 an assisted living residence to avoid nursing facility
- 12 placement. If assisted living services are chosen and are
- 13 available, consumers shall be given prompt assistance by their
- 14 area agency on aging to put the services in place in order to
- 15 avoid families turning to nursing facilities to meet the need.
- 16 Options Program assessment staff shall be trained in the need,
- 17 uses and benefits of assistive technology, including, but not
- 18 limited to, the assistive technology options and the extent to
- 19 which assistive technology can limit the need for attendant care
- 20 services.
- 21 (p) Speed of options assessment, determination of medical
- 22 assistance eligibility and receipt of assisted living
- 23 services.--The General Assembly recognizes that prompt Options
- 24 assessment, medical assistance eligibility determination and
- 25 arrangement of home and community-based services are necessary
- 26 to avoid unwanted nursing facility placement for consumers being
- 27 discharged from hospitals or needing prompt assistance to remain
- 28 in the community. Consumers seeking options assessment and/or
- 29 determination of medical assistance eligibility shall be
- 30 promptly assisted and assessed by the Options program and shall

- 1 have an eligibility determination made by the Department of
- 2 Public Welfare within three business days of submission of the
- 3 completed application containing all necessary verification. If
- 4 it appears that the consumer meets or will meet the functional
- 5 and financial eligibility requirement for publicly funded home
- 6 and community-based services within the next 30 days, those
- 7 services should be immediately arranged and provided at State
- 8 cost pending final determination of eligibility. Prompt
- 9 assistance with completion of the application, securing
- 10 verification and provision of services based on presumptive
- 11 eligibility should be given to consumers facing imminent
- 12 discharge from a hospital.
- 13 (q) Nursing facility care. -- The Secretary of Public Welfare
- 14 shall require all managed care plans enrolled as medical
- 15 assistance providers which participate as Health Choice
- 16 providers to do the following if nursing facility care is
- 17 prescribed for an enrollee:
- 18 (1) Arrange at once for the enrollee to be assessed by
- 19 the Options program if it appears that a nursing facility
- admission is necessary for more than 30 days.
- 21 (2) If the enrollee wishes to receive federally funded
- 22 home and community-based services, provide those needed
- 23 services in the amount determined by the Options program if
- applicable, or in the amount needed to safely maintain the
- 25 person in his or her residence as determined by the
- 26 Department of Public Welfare until home and community-based
- 27 services are in place and help arrange and coordinate those
- 28 services. The department shall arrange for payment to the
- 29 plans for the cost of such care not covered by the plan
- 30 capitation agreement.

- 1 (3) If the enrollee wishes to be in a nursing facility,
- 2 make all reasonable efforts to arrange placement in the
- 3 nursing facility that the enrollee chooses, including
- 4 offering to pay at least the medical assistance fee-for-
- 5 service daily rate for the enrollee.
- 6 (r) Income levels. -- The Department of Aging shall provide
- 7 assisted living services/HCBS services for individuals found
- 8 functionally eligible for nursing facility care who have incomes
- 9 between 300% and 400% of the Federal SSI level, where the
- 10 absence of such funding would require the person to be placed in
- 11 a nursing facility at a greater cost to the State than the State
- 12 cost of the assisted living services. The Department of Aging
- 13 shall develop and implement a reasonable cost-sharing and
- 14 sliding-scale fee schedule, not to exceed 35% of the cost of the
- 15 services, for those individuals in this income level who are
- 16 unable to pay the full cost of assisted living services.
- 17 (s) Payments. -- The Department of Aging shall, consistent
- 18 with funding levels, pay for assisted living services for
- 19 consumers with incomes below 400% of the Federal poverty level
- 20 who do not yet meet the functional definition for nursing
- 21 facility placement, as determined by the Options program, but
- 22 who need assistance with more than one activity of daily living,
- 23 if it appears that it will be cost effective for the State to
- 24 support the consumer in the community with assisted living
- 25 services and prevent future nursing facility placement. In
- 26 determining cost effectiveness, the Department of Aging should
- 27 consider whether the consumer can be supported in the community
- 28 with the consumer partially paying for the assisted living
- 29 services as opposed to having the consumer's resources more
- 30 quickly exhausted through payment for nursing facility care.

- 1 These services shall be provided based on a sliding-fee scale.
- 2 (t) Cost-of-living adjustments to countable resources for
- 3 Medicaid eligibles. -- In determining the countable resources of
- 4 qualified persons for purposes of medical assistance
- 5 eligibility, the Department of Public Welfare shall use a
- 6 computation method which exempts an amount of otherwise
- 7 countable resources which is equal to the difference between
- 8 \$2000 and the amount of \$2000 multiplied by all the Social
- 9 Security cost-of-living adjustments from January 1, 1990 to the
- 10 present. For qualified couples, the Department of Public Welfare
- 11 shall use a computation method which exempts an amount of
- 12 otherwise countable resources which is equal to the difference
- 13 between \$3000 and the amount of \$3000 multiplied by all the
- 14 Social Security cost-of-living adjustments from January 1, 1990
- 15 to present. These exempted amounts shall be adjusted annually
- 16 when the new Social Security cost-of-living adjustments go into
- 17 effect. In addition, if the consumer is receiving or will be
- 18 receiving publicly funded assisted living services in his or her
- 19 own home, he or she shall be eligible for an additional \$5,000
- 20 exemption for home maintenance from otherwise countable
- 21 resources.
- 22 (u) Case management. -- The Department of Aging shall develop
- 23 a process for providing each resident or recipient whose
- 24 assisted living is publicly funded with a comprehensive case
- 25 manager who is not an employee of or agent for the assistive
- 26 living residence. Case management will also be provided to
- 27 private pay residents or recipients who require assistance with
- 28 three or more activities of daily living.
- 29 Section 6. Settings for assisted living services.
- 30 (a) General rule.--All assisted living services, including

- 1 publicly funded assisted living services, may be provided in the
- 2 following settings:
- 3 (1) A consumer's home/residence.
- 4 (2) A family member's home.
- 5 (3) A friend's home.
- 6 (4) A licensed assisted living residence as defined in
- 7 this act.
- 8 (b) Criteria. -- Publicly funded assisted living services may
- 9 also be provided in a personal care home (PCH) or a domiciliary
- 10 care home where the Department of Aging has found that:
- 11 (1) The assisted living services are not required to be
- 12 provided by the personal care home provider or domiciliary
- 13 care home provider.
- 14 (2) The consumer has a choice of provider services.
- 15 (3) There are actually multiple providers available.
- 16 (4) The individual is not residing in the personal care
- 17 home or domiciliary care home because he has been unable to
- 18 obtain another appropriate placement.
- 19 (5) An outside agency, such as the Area Agency on Aging,
- is responsible for assessment and care management.
- 21 (6) The home and community-based services and the
- 22 services provided by the personal care home or domiciliary
- 23 care home are provided pursuant to a coordinated care plan
- 24 based on the assessment and the individual needs and desires
- of the consumer, which are monitored by the Department of
- 26 Aging.
- 27 (7) The waiver services supplement and do not supplant
- the personal care home or domiciliary care home services.
- 29 (8) Appropriate oversight and protections are in place,
- 30 including an assessment by the Department of Aging of the

- 1 entity to determine capability to provide adequate care to
- 2 individuals requiring higher acuity needs, including those
- 3 with cognitive impairments.
- 4 (9) The personal care home or domiciliary care home
- 5 provides consumer choice, independence, privacy and dignity
- 6 to its residents.
- 7 (10) No restraints or locking the residents in a room or
- 8 the building is permitted, under any circumstance.
- 9 (c) Regulations.--Within three months of the effective date
- 10 of this act, the Department of Health shall determine if
- 11 additional services, quality standards, staffing needs and
- 12 qualifications or consumer protections need to be provided at
- 13 personal care boarding homes or domiciliary care homes housing
- 14 nursing facility eligible consumers, in addition to those
- 15 routinely provided by the home and community-based service
- 16 provider. If improvements are necessary, the Department of
- 17 Health shall promptly implement regulations requiring such
- 18 service requirements, standards and protections. The Department
- 19 of Public Welfare shall establish a supplemental payment for
- 20 personal care boarding homes reflecting the additional
- 21 requirements imposed by the Department of Health for housing
- 22 nursing facility eligible consumers at the personal care home.
- 23 The Department of Aging shall establish a supplemental payment
- 24 for domiciliary care homes reflecting the additional
- 25 requirements imposed by the Department of Health for housing
- 26 nursing facility eligible consumers at the domiciliary care
- 27 home.
- 28 (d) Medical assistance consumers.--The Secretary of Public
- 29 Welfare shall determine if it would be cost efficient and would
- 30 further the goals of this act to permit medical assistance

- 1 consumers to remain in their communities, to amend the State
- 2 plan to remove monthly limits on home health visits and to
- 3 provide for personal care services in the menu of services
- 4 available under medical assistance. The secretary shall issue a
- 5 draft report for public comment within six months of the
- 6 effective date of this act and provide it to the General
- 7 Assembly within nine months of the effective date of this act.
- 8 In so determining, the secretary shall review the experience of
- 9 other states that have used these services more broadly to help
- 10 Medicaid consumers remain in the community in lieu of placement
- 11 in a nursing facility.
- 12 (e) Nursing homes.--The Department of Public Welfare shall
- 13 evaluate the CCAP Nursing Home Diversification Project and
- 14 develop and implement a plan within one year of the effective
- 15 date of this act, which encourages nursing facilities in areas
- 16 without a shortage of such facilities to take beds offline and
- 17 replace them with home and community-based services and assisted
- 18 living services.
- 19 Section 7. Assisted living residences.
- 20 (a) Licensure and fee requirements.--The Department of
- 21 Health shall develop licensure requirements consistent with the
- 22 definitions of assisted living services and assisted living
- 23 residences and the assisted living standards set forth in
- 24 section 4(a). Complete compliance with all regulations,
- 25 including all training requirements, is required prior to
- 26 licensure. A facility that is licensed as an assisted living
- 27 residence need not also obtain a license as a personal care
- 28 boarding home. Applications for licensure as an assisted living
- 29 residence shall include a fee of:
- 30 (1) \$300 for residences with fewer than 10 residents,

- 1 with a \$150 biannual license renewal fee;
- 2 (2) \$500 for residences with at least 10 but fewer than
- 3 25 residents, with a \$250 biannual license renewal fee;
- 4 (3) \$1000 for residences with at least 25 but fewer than
- 5 100 residents, with a \$500 biannual license renewal fee; or
- 6 (4) \$2000 for residences with 100 or more residents,
- 7 with a \$1000 biannual license renewal feel
- 8 payable to the Commonwealth which shall be deposited into the
- 9 Assisted Living Trust Account.
- 10 (b) Unlicensed operation.--No place shall call itself an
- 11 assisted living residence unless it is licensed as such. Any
- 12 unlicensed residence or provider that holds itself out as an
- 13 assisted living residence or purports to offer or does offer
- 14 assisted living services shall be fined \$500 for each day it
- 15 remains so labeled or continues to make such representations
- 16 without an assisted living residence license unless otherwise
- 17 exempt from such licensing requirements. The Department of
- 18 Health shall seek to enjoin operation of any unlicensed
- 19 residence until licensure requirements are met and license has
- 20 been granted.
- 21 (c) Billing.--Housing services and assisted living services
- 22 must be billed separately.
- 23 (d) Regulations.--Consistent with this act, the Department
- 24 of Health shall establish health and safety regulations for
- 25 assisted living residences to become effective within one year
- 26 of the effective date of this act that include the following:
- 27 physical accommodations and equipment, heat and air
- 28 conditioning, sanitation, ventilation, lighting, communication
- 29 systems, handrails and railings, indoor activity space, fire
- 30 safety, staff hiring, including qualifications and background

- 1 checks, retention and utilization, supervision, staff training,
- 2 reportable and recordable incidents, confidentiality of records,
- 3 notification of rights and grievance procedures, prohibition
- 4 against deprivation of rights, inspections, admissions,
- 5 evictions under this section, involuntary or voluntary absence,
- 6 medications, nutrition, staff health, services, residents'
- 7 funds, staffing requirements and qualifications, administrator
- 8 training and qualifications and other areas determined by the
- 9 department.
- 10 (e) Restraints.--The Department of Health shall prohibit use
- 11 of restraints. Restricting a person to the building or section
- 12 of the building shall also be prohibited except under the
- 13 following conditions:
- 14 (1) To restrict a consumer to the assisted living
- facility or a section thereof, the facility must have
- obtained legal authorization to do so from a court or
- guardian or legally responsible individual and so doing must
- 18 be necessary in accordance with the coordinated care plan's
- 19 identification of concerns about wandering or self-harm.
- 20 (2) Staff providing services to consumers who are
- 21 restricted to the facility shall have completed Department of
- 22 Health approved training within the current year on working
- 23 with consumers who need to be so restricted.
- 24 (f) Written agreements.--The Department of Health shall
- 25 require as a condition of licensure that all assisted living
- 26 residences shall have an assisted living residence agreement in
- 27 writing with each resident prior to admission, the resident's
- 28 legal representative or the entity providing public funding for
- 29 the consumer that in clear and simple language sets forth the
- 30 following:

- 1 The mutually agreed to acceptance of shared risk, if (1)2 applicable, which is documented and made knowingly and freely 3 and which maximizes consumer autonomy. The assisted living 4 service provider or assisted living residence provider shall 5 make no attempt to use the shared risk portion of the service 6 agreement to abridge a consumer's rights, including those in 7 section 8, or to avoid liability for harm caused to a 8 consumer by the negligence of the assisted living provider 9 and any such abridgment or disclaimer shall be void. If the agreement is between the entity providing public funding for 10 the consumer, the consumer or his or her legal representative 11 12 shall also sign the agreement indicating acceptance of the 13 shared risk arrangement.
 - (2) Clear and specific occupancy criteria and procedures, including admission, transfer and right to legally enforce the terms of the agreement or of this act in eviction.
 - (3) The cost of the housing, services and any other charges and the method of payment, including the following:
 - (i) Regular and extra fees.
- 21 (ii) Fees and payment arrangement for any third-22 party providers.
- 23 (iii) The provision of at least 60 days' written 24 notice of any rate increases or fee changes.
 - (iv) The minimum notification a consumer or legal representative, if the consumer is incapable of making a decision, must furnish when he or she plans to move out of the setting for reasons other than health emergencies.

 Notification requirements shall not exceed 60 days.
- 30 (v) The provisions regarding payment during

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- 1 unavoidable or optional absences such as hospitalization, recuperation in a nursing facility or a vacation. 2.
- 3 (4) A provision that consumers may void without penalty 4 an assisted living residence agreement within 72 hours of 5 signing. All agreements shall contain the following language prominently displayed: 6

You are not required to sign this agreement or accept this responsibility unless you wish to do so. You may change your mind within 72 hours of signing this agreement by notifying the facility that you wish to revoke this agreement. You may call the Long-Term Care Ombudsman Program at (insert telephone number here) for an explanation of your rights.

- 14 (5) Rights and responsibilities of the parties.
- 15 (6) Consumers' recourse if there are disputes or 16 problems, including assistance from the Ombudsman Program, 17 the Department of Health Long-Term Care Hot Line and court 18 proceedings.
- 19 Information to residents. -- An information packet that 20 includes the following information must be given to the consumer 21 or the consumer's legal representative at the time of application: 22
- 23 A copy of the assisted living residence's policies.
- 24 Advance directive information including information on the right to have or not have an advance directive. 25
- 26 (3) Information regarding licensing status.
- 27 (4)Telephone number of the local Long-Term Care 28 Ombudsman Program and of the Department of Health 24-hour hot line. 29
- 30 A copy of the assisted living resident agreement - 30 -

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- 1 used by the assisted living residence and all rates charged.
- 2 (6) A copy of the internal complaint resolution 3 mechanism used by the facility.
 - (7) A copy of the last inspection report and information on how to access prior and future inspection reports through the Department of Health.
 - (8) For consumers with Alzheimer's or dementia or where the assisted living residence holds itself out to the public as providing cognitive support services and/or housing for persons with cognitive impairments, the following shall be provided:
 - (i) The residence's written statement of its philosophy and mission that reflects the needs of individuals with cognitive impairments.
 - (ii) A description of the residence's physical environment and design features to support the functioning of adults with cognitive impairments.
 - (iii) A description of the frequency and types of individual and group activities designed specifically to meet the needs of residents with cognitive impairments.
 - (iv) A description of security measures provided by the residence.
 - (v) A description of training provided to staff regarding provision of care to consumers with cognitive impairments.
 - (vi) A description of availability of family support programs and family involvement.
- (vii) The process used for assessment and establishment of a plan of services for the consumer, including methods by which the plan of services will

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- remain responsive to changes in the consumer's condition.
- 2 (h) Discharge.--
- 3 (1) Discharge of a consumer from an assisted living
- 4 residence shall be allowed after at least 30 days' advance
- 5 written notice to the consumer, his or her legally
- 6 responsible party and the ombudsperson and only under the
- 7 following circumstances:
- 8 (i) The eviction is necessary for the consumer's
- 9 welfare and the consumer's needs cannot be met at the
- 10 assisted living residence, even with additional assisted
- living services as confirmed in writing by the consumer's
- 12 physician.
- 13 (ii) The consumer or legally responsible person has
- failed, after 30 days' written notice to pay for charges
- agreed to in the assisted living residency agreement.
- 16 (iii) The safety of other consumers in the assisted
- 17 living residence is endangered.
- 18 (iv) The facility ceases to operate.
- 19 (v) The consumer's eviction would not put the
- 20 consumer's health or safety in danger.
- 21 (2) A consumer shall not be discharged for exercise of
- 22 his or her rights under this act or under any other Federal
- or State laws.
- 24 (3) Eviction of a consumer from an assisted living
- 25 residence for one of the reasons under subsection (a) shall
- 26 occur by the assisted living residence through a safe and
- appropriate means of discharge.
- 28 (i) Supplemental Security Income Recipients.--
- 29 (1) For residents eligible for Supplemental Security
- 30 Income (SSI) benefits, actual rent and other charges shall

- 1 not exceed the residents' actual current monthly income
- 2 reduced by a personal needs allowance for the resident in an
- amount to be determined by the Department of Public Welfare,
- 4 but not less than \$75.
- 5 (2) An assisted living residence shall not seek or
- 6 accept any payments from a resident who is an SSI recipient
- 7 in excess of one-half of any funds received by the resident
- 8 under the act of March 11, 1971 (P.L.104, No.3), known as the
- 9 Senior Citizens Rebate and Assistance Act.
- 10 (3) An assisted living residence shall not seek or
- 11 accept from a resident who is eligible for SSI benefits any
- 12 payment from any funds received as lump sum awards, gifts or
- inheritance, gains from the sale of property or retroactive
- government benefits, provided, however, that an owner or
- operator may seek and accept payments from funds received as
- 16 retroactive awards of Social Security or Supplemental
- 17 Security Income (SSI) benefits, but only to the extent that
- 18 the retroactive awards cover periods of time during which the
- 19 resident actually resided in the assisted living residence.
- 20 (4) Each resident who is a recipient of, or an eligible
- 21 applicant for, SSI benefits shall be provided, at no
- 22 additional charge to the resident, necessary personal hygiene
- 23 items and personal laundry services. This requirement does
- 24 not include cosmetic items.
- 25 (j) Staffing.--Assisted living residences shall maintain a
- 26 sufficient number of trained staff persons to provide the
- 27 necessary level of care required by residents, as well as to
- 28 ensure a safe and efficient evacuation of the facility in case
- 29 of an emergency. The direct services staff persons who meet the
- 30 training requirements and who work on the premises according to

- 1 a specific established schedule shall be sufficient to provide
- 2 one and one-half hours per day of assisted living services to
- 3 each mobile resident and three hours to each immobile resident
- 4 for each resident who has contracted to receive personal care
- 5 services. All assisted living services hours shall be provided
- 6 during waking hours. All assisted living residences shall
- 7 maintain coverage by awake, direct service staff on the premises
- 8 24 hours a day. Additional staff hours, or contractual services,
- 9 shall be provided as necessary to meet laundry, food service,
- 10 housekeeping and maintenance needs of the assisted living
- 11 residence.
- 12 Section 8. Requirements of all assisted living providers.
- 13 (a) Consumer protection. -- The Department of Health shall
- 14 promptly implement regulations prohibiting all assisted living
- 15 providers from the following:
- 16 (1) Commingling consumers' personal funds with its own
- funds, obtaining power of attorney or guardianship from a
- 18 consumer or requiring consumers to endorse checks over to the
- 19 residence or provider, however, financial management by
- 20 assisted living residence administrators for residents shall
- 21 be permitted. The Department of Health shall develop a means
- 22 of safeguarding residents' funds and requiring prompt
- 23 repayment of funds that are stolen or otherwise mismanaged.
- 24 (2) Abusing, threatening, harassing or subjecting a
- consumer to corporal punishment.
- 26 (3) Discriminating against a consumer because of race,
- 27 color, religious creed, disability, handicap, ancestry,
- 28 sexual orientation, national origin, age or sex or source of
- 29 payment.
- 30 (4) Retaliating against residents who have exercised

- their rights under this act and the Department of Health
- 2 regulations or under Federal or State law.
- 3 (5) Interfering with the consumer's rights to do the
- 4 following:
- 5 (i) Leave and return to the consumer's residence,
- 6 except in cases of consumers with cognitive impairments
- 7 where freedom of egress could be dangerous for the
- 8 consumer and the care plan allows for this restriction.
- 9 (ii) Receive visitors.
- 10 (iii) Receive or send mail.
- 11 (iv) Participate or refuse to participate in
- 12 religious activities.
- 13 (v) Be unrestrained physically and chemically.
- 14 In addition to the requirements for assisted living residence
- 15 agreements set forth in section 7, assisted living services and
- 16 assisted living residence agreements shall be written in easy to
- 17 understand, plain language; be available in the primary language
- 18 of the consumer and in alternative formats for consumers with
- 19 disabilities; contain the complete agreement between the parties
- 20 thereto with no omission of material terms; use bold or larger
- 21 font to make conspicuous material terms to the agreement as well
- 22 as any exclusion or limitations of services from the agreement;
- 23 not waive any of the requirements of this act or its
- 24 regulations; state that for consumers with publicly funded
- 25 assisted living services, assisted living residences or housing,
- 26 the consumer is an intended third-party beneficiary of the
- 27 agreement, who may seek to enforce their rights under the
- 28 contract; and not be and state that it is not assignable absent
- 29 consent of the consumer following full and fair disclosure and
- 30 reasonable notice.

- 1 (b) Regulations.--The Department of Health shall establish
- 2 regulations concerning training and staffing requirements for
- 3 assisted living providers, including administrators for a
- 4 minimum of 75 hours and for a minimum of 40 hours for direct
- 5 care staff, as follows:
- 6 (1) Administrators and direct care staff must be
- 7 educated and trained in matters relating to dementia,
- 8 including normal aging, cognitive, psychological, and
- 9 functional abilities of older persons; definition and
- 10 diagnosis of dementia, description of reversible and
- irreversible causes, and explanations of differences between
- dementia, delirium and depression; explanation of dementia
- and related disorders, progression, stages and individual
- variability; communications techniques; description of
- behavioral symptoms of dementia and how to approach residents
- when they display challenging behaviors including conflict
- 17 avoidance and conflict resolution techniques; the role of
- 18 personality, culture and environmental factors in behavioral
- 19 symptoms and dementia care; the assisted living residence
- 20 philosophy of dementia care, including mission statement,
- 21 goals policies and procedures; working with family members;
- 22 community-based resources for consumers with dementia and
- 23 their families; team building and stress reduction for
- 24 assisted living residence staff; use and benefits of
- assistive technology and awareness, identification,
- 26 prevention and reporting of abuse and neglect. Administrators
- 27 must be over 21 years of age and have a high school diploma
- or a G.E.D. Direct care staff must be at least 18 years of
- age, have a high school diploma or a G.E.D. or have
- 30 satisfactorily demonstrated a sixth grade reading level and

- an ability to follow written instructions, particularly medication dispensing instructions.
- (2) All cognitive support service providers must be
 educated and trained in the mission and purpose of services
 to individuals with cognitive impairments, consumer rights;
 fire and safety; first aid and CPR; basic
- 7 nutrition/medication training; assisted living
- 8 philosophy/mission; assessment and reporting of health
- 9 problems; understanding dementia, including causes, symptoms,
- 10 treatments and management techniques; changes in condition
- and appropriate responses; dementia-capable activity
- 12 programming, communication skills and management of
- behavioral challenges; mental health issues; and awareness,
- identification, prevention and reporting of abuse and
- 15 neglect.
- 16 (3) Within 90 days of the effective date of this act,
- 17 the Department of Health shall determine what additional
- training, certification or accreditation shall be required
- 19 for assisted living residence administrators; what additional
- training, certification or accreditation shall be required
- 21 for in home care providers, what staffing levels and other
- 22 requirements should be imposed for assisted living residences
- 23 with immobile consumers and what components should be in the
- individualized service plan for each consumer and which of
- them should be reviewed and updated at least quarterly.
- 26 Thereafter, the Department of Health shall issue draft
- 27 regulations for public comment within six months of the
- 28 effective date of this act and shall promptly finalize the
- 29 regulations.
- 30 (4) Administrators and direct services staff shall meet

- all the above requirements and qualifications prior to
- 2 beginning work as administrators or direct services staff.
- 3 The Department of Health shall develop objective measures of
- 4 administrator and direct service staff knowledge of the
- 5 required areas. Mere attendance at training sessions will not
- 6 suffice. The objective measurement shall take the form of an
- oral, written and/or practical exam.
- 8 (c) Quality indicators.--Quality indicators to measure
- 9 quality of life outcomes, including autonomy, independence and
- 10 dignity, health and safety, should account for differences among
- 11 settings and residents while accurately reflecting how well the
- 12 facility provides for the autonomy, independence, dignity,
- 13 integration with the community, food service, health and basic
- 14 safety of residents. Those assisted living residences
- 15 demonstrating the highest quality with no violations of
- 16 regulations and basic standards shall be listed on the
- 17 Department of Health's World Wide Web site pursuant to section
- 18 8(f).
- 19 (d) Consumer information. -- The Department of Health shall
- 20 publish regional performance profiles of all assisted living
- 21 residences and providers, listing the quality indicators, cost
- 22 information, resident profile from the common data set, staff-
- 23 to-consumer ratios, staff composition and violations of
- 24 applicable acts and regulations, if any, for the public. This
- 25 information shall be readily available through the options
- 26 program and on the Department of Health World Wide Web site as
- 27 set forth in section 10.
- 28 Section 9. Publicly funded housing.
- 29 (a) Subsidy of housing costs to avoid nursing facility
- 30 placement.--The Department of Aging, through the options

- 1 program, may provide State-funded monthly housing and board
- 2 subsidies, not to exceed PHFA's regional standards for operating
- 3 costs for low-income housing, to individuals found eligible for
- 4 nursing facility care, where:
- 5 (1) the total State cost of both a consumer's home and
- 6 community-based services and his or her housing subsidy would
- 7 not exceed the dollar amount which would represent the
- 8 State's cost if the person were in a nursing facility;
- 9 (2) the housing subsidy is financially necessary to
- 10 permit the person to remain in his or her current residence,
- live in the community or relocate to an assisted living
- 12 residence; or
- 13 (3) a person is eligible for available publicly funded
- 14 home and community-based services. In determining the amount
- of the subsidy, the Department of Aging shall ensure that
- 16 consumers may retain an amount of not less than \$75 per month
- for personal needs, exclusive of housing, food or other
- 18 related costs. Where home modifications are needed for
- 19 Medicaid eligible individuals, in excess of the home and
- 20 community-based services waiver cap, they may be paid for out
- of the subsidy if in the long term it would be more cost-
- 22 effective to have the consumer supported in his or her
- 23 modified residence.
- 24 (b) Low-income housing tax credits and loans.--
- 25 (1) Beginning in fiscal year 2001-2002 and continuing
- until fiscal year 2005-2006, at least 10% of the low income
- 27 tax credits allocated to Pennsylvania for each of those years
- 28 shall be earmarked to permit the development of at least 200
- 29 assisted living residence units per year.
- 30 (2) Each year, the Pennsylvania Housing Financing

1 Authority, in consultation with the Department of Public

Welfare, the Department of Aging and the Department of

3 Community and Economic Development shall issue a request for

- 4 proposals for assisted living residence units for persons
- 5 qualifying for publicly funded home and community-based
- 6 services and shall seek to facilitate the funding of the
- 7 projects with moneys from low-income tax credits, community
- 8 development block grants funds, neighborhood assistance
- 9 program, the penn homes program, the home investments
- 10 partnerships program, the Federal home loan bank affordable
- 11 housing program, the mortgage insurance foundation or other
- 12 funding.
- 13 (3) Within six weeks of the effective date of this act,
- 14 the Department of Community and Economic Development shall
- develop and implement an education campaign focused on
- housing developers, which explains and promotes the use of
- 17 existing housing financing programs for the development of
- 18 assisted living residences for low-income and moderate-income
- 19 persons.
- 20 (4) Housing funded through low-income tax credits does
- 21 not require the operator to obtain a personal care or
- 22 assisted living license.
- 23 (5) The Department of Community and Economic Development
- shall establish, within six months of the effective date of
- 25 this act, a revolving loan fund to assist existing personal
- 26 care home providers to make renovations and modifications to
- 27 become assisted living residences and to assist existing home
- owners to renovate or modify their homes, where the lack of
- doing so would be the primary reason for someone in the
- 30 household needing to go to a nursing facility. Loans to

- 1 personal care homes under this section shall require those
- 2 newly renovated facilities to give priority for admission to
- 3 the units to consumers who will receive publicly funded
- 4 assisted living services while living there.
- 5 (6) A revolving loan program shall be established to
- 6 assist persons who are otherwise ineligible for Medicaid or
- 7 housing subsidies for home modifications to modify their
- 8 personal residences where the financial inability to do so
- 9 would be the primary reason for their needing to go to a
- 10 nursing home.
- 11 (c) Minimum requirements for publicly funded housing. -- Where
- 12 public funds are used to develop or modify existing units to
- 13 become assisted living residences, those units shall be designed
- 14 and built to allow each person to live independently. Each
- 15 residence shall:
- 16 (1) Include a self-contained unit, capable of being
- locked by the resident, which can be modified for persons
- 18 with cognitive impairment.
- 19 (2) Allow sharing of units by residents only where both
- 20 residents wish it; and
- 21 (3) Contain its own bathroom, kitchenette or food
- 22 preparation area, sleeping area and living area.
- 23 (d) Equal opportunity for residency. -- Any assisted living
- 24 residence or personal care boarding home receiving housing
- 25 subsidy payments pursuant to this act shall accept residents on
- 26 a first-come-first-served basis, except where this provision is
- 27 waived in writing by the options program because of an emergency
- 28 need such as homelessness or medical need or where preference is
- 29 to be given to low-income residents. The facilities shall
- 30 maintain written records of applications, keep all applications

- 1 for admission in the order received for a period of five years
- 2 and shall comply with all Federal and State laws prohibiting
- 3 discrimination on the basis of race, religion, handicap or
- 4 disability, ethnicity, national origin, gender or sexual
- 5 orientation.
- 6 Section 10. Long-term care data base.
- 7 (a) Development of long-term care data system.--The Intra-
- 8 governmental Long-Term Care Council shall develop a long-term
- 9 care data system within six months of the effective date of this
- 10 act, based on a common data set so that care can be tracked at
- 11 each level of the long-term care continuum. Within nine months
- 12 of the effective date of this act, all providers of home and
- 13 community-based services or assisted living shall provide the
- 14 common long-term data set information on every consumer to the
- 15 Department of Health at intervals specified by the department.
- 16 This information shall be integrated with the minimum data set
- 17 information provided to the Department of Public Welfare with
- 18 respect to nursing facilities.
- 19 (b) Confidentiality.--All information available to
- 20 regulatory agencies shall be promptly available to consumers,
- 21 providers, legislators and the general public, except that each
- 22 department shall develop procedures to protect proprietary and
- 23 confidential information and to protect the privacy of
- 24 individuals receiving long-term care services.
- 25 (c) World Wide Web site. -- The Department of Health shall
- 26 include on its World Wide Web site information about the quality
- 27 of assisted living services provided by assisted living service
- 28 providers or assisted living residences, personal care homes and
- 29 domiciliary homes. Information shall include available consumer
- 30 satisfaction information, inspection data by the departments and

- 1 other relevant information that could assist consumers in
- 2 choosing assisted living service providers, residences and other
- 3 housing arrangements. All information available on the
- 4 Department of Health World Wide Web site shall also be printed
- 5 and made available to the public including those who do not have
- 6 Internet access.
- 7 Section 11. Inspections.
- 8 (a) Initial licensure inspection. -- Before a facility is
- 9 licensed and permitted to open, operate or admit residents, and
- 10 before an assisted living services provider is permitted to
- 11 operate, it shall be inspected by the Department of Health and
- 12 must be found in complete compliance with the requirements set
- 13 forth in this act and the resulting regulations.
- 14 (b) Annual inspections. -- After initial licensure inspection,
- 15 assisted living residences and assisted living services
- 16 providers shall, at a minimum, be inspected six months after
- 17 initial licensure and annually thereafter on an unannounced
- 18 basis. The Department of Health shall establish criteria for
- 19 additional inspections as deemed necessary. When there is
- 20 complete compliance, the Department of Health shall issue a full
- 21 license for a period of one year.
- 22 (c) Classification of violations. -- Within six months of the
- 23 effective date of this section the Department of Health shall
- 24 classify each violation of its regulations into one of the
- 25 following categories:
- 26 (1) Class I. A violation which indicates a substantial
- 27 probability that death or serious mental or physical harm to
- 28 a consumer may result.
- 29 (2) Class II. A violation which has a substantial
- 30 adverse effect upon the health, safety or well-being of a

- 1 consumer.
- 2 (3) Class III. A minor violation which has an adverse
- 3 effect upon the health, safety or well-being of a consumer.
- 4 Upon classifying the violations, the Department of Health shall
- 5 publish the proposed classifications in the Pennsylvania
- 6 Bulletin for public comment on the classifications prior to
- 7 finalizing them. Final regulations and classifications shall be
- 8 in effect within one year of passage of this act.
- 9 (d) Provisional licenses.--When there has been substantial
- 10 compliance, but not complete compliance with all applicable
- 11 statutes, ordinances and regulations, and, when the licensee has
- 12 taken appropriate steps to correct deficiencies, the Department
- 13 of Health shall issue a provisional license for a specified
- 14 period of not more than six months which may be renewed one time
- 15 if there has been substantial improvement since the last
- 16 inspection. Upon complete compliance with respect to all prior
- 17 violations and no additional Class I or Class II violations, a
- 18 regular license shall be issued immediately. Newly discovered
- 19 Class III violations will not prevent regular licensure provided
- 20 an acceptable plan of correction has been submitted and the
- 21 Department of Health has confirmed by onsite inspection that the
- 22 correction steps outlined in the plan of correction have been
- 23 taken.
- 24 (e) Notice. -- Whenever the Department of Health, upon
- 25 inspection or investigation, shall learn of violation of this
- 26 act or of regulations adopted by the Department of Health
- 27 pursuant to this act, they shall give notice thereof to the
- 28 offending licensee. Such notice shall require the offending
- 29 licensee to take action to bring a facility or service provider
- 30 company into compliance with this act or with the relevant

- 1 regulations within a specified time.
- 2 (f) Plans of correction. -- Within ten days of citation for
- 3 any violations, an offending entity shall submit to the
- 4 Department of Health a plan of correction outlining the action
- 5 steps the person or entity will take to bring the facility or
- 6 service provider company into compliance with this act or with
- 7 the relevant regulations. The offending person or entity shall
- 8 comply with the plan of correction and shall submit
- 9 documentation of current compliance with the Department of
- 10 Health within 20 days of citation for violation.
- 11 (1) Documentation of compliance shall include, but not
- 12 be limited to:
- 13 (i) Receipts for work completed or items purchased.
- 14 (ii) Contracts for work to be completed or items to
- be delivered and/or installed.
- 16 (iii) Affidavit under penalty of perjury that
- violation has been corrected and how it has been
- 18 corrected or that violation will be corrected and
- 19 specific steps that have been taken to arrange the
- 20 correction.
- 21 (iv) Certificate of completion of required
- 22 education, training, first aid and CPR courses.
- 23 (2) The Department of Health shall verify that all
- 24 promised corrections have actually been made within the time
- 25 promised. If the corrections have not been made, the
- 26 Department of Health shall initiate licensing action,
- 27 including assessment of penalties and change of licensure
- 28 status, for failure to comply with the plan of correction.
- 29 (g) No supersedeas.--An appeal from the decision of the
- 30 Department of Health relating to the revocation or nonrenewal of

- 1 a license of an assisted living residence or assisted living
- 2 services provider shall not act as a supersedeas, but, upon
- 3 cause shown of substantial likelihood of prevailing on the
- 4 merits and where circumstances require it, the reviewing
- 5 authority shall have the power to grant a supersedeas. The
- 6 supersedeas shall be revoked if the Department of Health
- 7 presents evidence of any intervening Class I or II violations.
- 8 (h) Ban on new admissions. -- While an assisted living
- 9 residence or assisted living services provider may be permitted
- 10 to continue operating with a supersedeas pending the outcome of
- 11 the appeal, there shall be no new admissions to the assisted
- 12 living residence and no new consumers contracted to receive
- 13 services from an assisted living services provider pending the
- 14 outcome of the appeal.
- 15 (i) Relocation and relocation assistance. -- All residents of
- 16 assisted living residences shall be notified by the Department
- 17 of Health of its licensing actions, informed of their right to
- 18 leave the assisted living residence and informed of their right
- 19 to receive relocation assistance.
- 20 (1) The Department of Health shall relocate consumers
- 21 from an assisted living residence if any of the following
- 22 conditions exist:
- 23 (i) Shall immediately relocate consumers from an
- 24 assisted living residence if the assisted living
- 25 residence is operating without a license.
- 26 (ii) Shall immediately relocate consumers from an
- 27 assisted living residence if the licensee is voluntarily
- 28 closing an assisted living residence and relocation is
- 29 necessary for the health and welfare of the consumers.
- 30 (iii) Shall immediately provide relocation

1 assistance where the assisted living residence has engaged in conduct that endangers the health and safety 2 3 of its residents, leading a reasonable consumer to be 4 concerned for his or her safety. 5 Shall promptly provide relocation assistance where the assisted living resident wishes to leave. 6 The Department of Health shall offer relocation 7 8 assistance to consumers of assisted living residences. This 9 shall include: 10 (i) notifying residents of the availability of 11 relocation assistance; (ii) notifying residents of licensing violations 12 13 that endanger resident health and safety and licensing 14 actions taken by the Department of Health; 15 (iii) offering residents the opportunity to relocate; 16 17 (iv) providing residents with pertinent information, 18 such as referrals to nearby social service agencies or 19 assisted living residences in complete compliance with 20 governing regulations; (v) determining other options for relocation; and 21 22 (vi) assisting residents to make arrangements for 23 relocation if family members are not available for this 24 purpose. 25 (3) Except in an emergency, the consumer shall be 26 involved in planning his transfer to another placement and 27 shall have the right to choose among the available 28 alternative placements. The department may make temporary 29 placement until final placement can be arranged. Consumers

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shall be provided with an opportunity to visit alternative

- 1 placement before relocation or following temporary emergency
- 2 relocation. Consumers shall choose their final placement and
- 3 shall be given assistance in transferring to such place.
- 4 (4) Consumers shall not be relocated pursuant to this
- 5 section if the Department of Health determines, in writing,
- 6 and consistent with consumer preference, that such relocation
- 7 is not in the best interest of the consumer.
- 8 (j) Transfer.--All consumers of assisted living services
- 9 providers shall be notified by the Department of Health of its
- 10 licensing actions, informed of their right to switch providers,
- 11 and informed of their right to receive assistance in locating a
- 12 new assisted living services provider. The Department of Health
- 13 shall provide assistance to consumers of assisted living
- 14 services providers who require assistance in transferring to
- 15 other assisted living services providers. This assistance shall
- 16 be consistent with the conditions for and forms of assistance
- 17 stated in subsection (i).
- 18 (k) Interim inspection. -- The Department of Health shall
- 19 inspect a facility or assisted living services provider that has
- 20 received a supersedeas at least monthly or more frequently to
- 21 ensure residents' or recipients' safety and well-being. These
- 22 inspection reports will be maintained as part of the public
- 23 record for the assisted living residence or assisted living
- 24 services provider.
- 25 (1) Interim violation. -- Where a supersedeas has been
- 26 granted, a Class I or II violation cited after the granting of
- 27 the supersedeas shall lead to a revocation of the supersedeas.
- 28 (m) Appointment of master by court.--If, without good cause,
- 29 one or more Class I or II violations remain uncorrected for more
- 30 than 60 days from date of citation or when the assisted living

- 1 residence or assisted living services provider has demonstrated
- 2 a pattern of episodes of noncompliance alternating with
- 3 compliance over a period of at least two years such as would
- 4 convince a reasonable person that any correction of violations
- 5 would be unlikely to be maintained, the Department of Health may
- 6 petition the court to appoint a master designated as qualified
- 7 by the Department of Health to assume operation of the assisted
- 8 living residence or assisted living services provider at the
- 9 assisted living residence's or assisted living services
- 10 provider's expense for a specified period of time or until all
- 11 violations are corrected and all applicable laws and regulations
- 12 are complied with. The fee for the master shall be set by the
- 13 court.
- 14 (n) Expedited hearings. -- The Department of Health shall make
- 15 the assisted living residence or services provider appeals a
- 16 priority, with no appeal outstanding more than 60 days beyond
- 17 the date on which the appeal was filed.
- 18 (o) Expedited reconsideration. -- The Secretary of Health
- 19 shall complete reconsiderations within 60 days.
- 20 (p) Penalties.--The Department of Health shall assess a
- 21 penalty for each violation of this act or regulations of the
- 22 department. Penalties shall be assessed on a daily basis from
- 23 the date on which the citation was issued until the date such
- 24 violation is corrected except in the case of a Class II
- 25 violation. In the case of a Class II violation, assessment of a
- 26 penalty shall be suspended for a period of five days from the
- 27 date of citation provided that, except for good cause, the
- 28 provider has corrected the violation. If the violation has not
- 29 been corrected within the five-day period, the fine shall be
- 30 retroactive to the date of citation.

- (1) The amount of penalties shall be as follows:
- (i) The Department of Health shall assess a minimum penalty of \$50 per consumer per day for each Class I violation.
 - (ii) The Department of Health shall assess a minimum penalty of \$15 per consumer per day, up to a maximum of \$40 per consumer per day, for each Class II violation.
 - (iii) There shall be no monetary penalty for Class III violations unless the provider fails to correct the Class III violation within 15 days. Failure to correct the violation within 15 days may result in an assessment of up to \$10 per consumer per day for each Class III violation, retroactive to the date of citation.
 - (iv) An assisted living residence found to be operating without a license shall be assessed a penalty of \$1000. If, after 14 days, a provider of an assisted living residence cited for operating without a license fails to file an application for a license, the Department of Health shall assess an additional \$50 for each consumer for each day in which the assisted living residence fails to makes such application.
 - (v) An assisted living services provider shall be charged \$500 per day for each Class I violation, between \$200 and \$400 per day for each Class II violation and \$100 per day per Class III violation in accordance with a time frame as that in paragraph (3).
- 27 (2) A provider charged with a violation of this act
 28 shall have 30 days to pay the assessed penalty in full, or,
 29 if the provider wishes to contest either the amount of the
 30 penalty or the fact of the violation, the party shall forward

- the assessed penalty to the Department of Health for placement in the assisted living trust account.
 - (i) If, through administrative hearing or judicial review of the proposed penalty, it is determined that no violation occurred or that the amount of the penalty should be reduced, the Secretary of Health shall within 30 days remit the appropriate amount to the provider with any interest accumulated by the escrow deposit.
 - (ii) Failure to forward the payment to the Department of Health within 30 days shall result in a waiver of rights to contest the fact of the violation or the amount of the penalty.
 - (iii) The amount assessed after administrative hearing or a waiver of the administrative hearing shall be payable to the Commonwealth's Assisted Living Trust Account and shall be collectible in any manner provided by law for the collection of debts. If any provider liable to pay such penalty neglects or refuses to pay the same after demand, such failure to pay shall constitute a judgment in favor of the Commonwealth in the amount of the penalty, together with the interest and any costs that may accrue.
 - (3) Money collected by the Department of Health under this section shall be placed in the assisted living trust account and shall be first used to defray the expenses incurred by consumers relocated under this act. Any moneys remaining in this account shall annually be remitted to the Department of Health for enforcing the provisions of this act. Fines collected pursuant to this act shall not be subject to the provisions of 42 Pa.C.S. § 3733 (relating to

- deposits into account).
- 2 (q) Revocation or nonrenewal of license.--
- 3 The Department of Health shall temporarily revoke 4 the license of or appoint a master to manage and operate an 5 assisted living residence or assisted living provider if, 6 without good cause, one or more Class I violations remain uncorrected 24 hours after the assisted living residence or 7 8 assisted living services provider has been cited for such 9 violation or if, without good cause, one or more Class II violations remain uncorrected 15 days after being cited for 10 violation. Correction of violations shall be demonstrated by 11 12 documentation of compliance. Where necessary, reinspections 13 shall occur 15 days after citation to determine whether the violation has been corrected. 14
- 15 (2) Upon the revocation of a license pursuant to this 16 subsection, all consumers shall be relocated.
 - (3) The revocation may terminate upon the Department of Health's determination that violations are corrected.
 - (4) If, after three months, the Department of Health does not issue a new license for an assisted living residence or assisted living services provider license revoked pursuant to this section:
 - (i) Such revocation or nonrenewal pursuant to this section shall be for a minimum period of five years.
 - (ii) No provider of an assisted living residence or assisted living services provider who has had a license revoked or not renewed pursuant to this section shall be allowed to operate or staff or hold an interest in an assisted living residence that applies for a license for a period of five years after such revocation or

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- 1 nonrenewal. No provider who has had its license revoked
- or not renewed may transfer the assisted living residence
- or assisted living services company to a family member or
- 4 member of their household for the five-year period.
- 5 (5) The Department of Health shall revoke or refuse to
- 6 renew the license of an assisted living residence if, during
- 7 any two-year period, the assisted living residence or
- 8 assisted living services provider, without good cause, on two
- 9 or more separate occasions, has been found to have violated a
- 10 regulation of the Department of Health which has been
- 11 categorized as Class I or has multiple Class II violations.
- 12 Section 12. Repeals.
- 13 The following acts and parts of acts are repealed insofar as
- 14 they are inconsistent with this act:
- 15 Section 2102 of the act of May 17, 1921 (P.L.682, No.284),
- 16 known as The Insurance Company Law of 1921.
- 17 Section 2203-A of the act of April 9, 1929 (P.L.177, No.175),
- 18 known as The Administrative Code of 1929.
- 19 The definition of "personal care home" in section 1001 of the
- 20 act of June 13, 1967 (P.L.31, No.21), known as the Public
- 21 Welfare Code.
- 22 The definition of "long-term care nursing facility" in
- 23 section 802.1 of the act of July 19, 1979 (P.L.130, No.48),
- 24 known as the Health Care Facilities Act.
- 25 Section 13. Effective date.
- 26 This act shall take effect in 60 days.