## THE GENERAL ASSEMBLY OF PENNSYLVANIA

## SENATE BILL No. 1112 Session of 1983

INTRODUCED BY WILT, REIBMAN, CORMAN, SNYDER, EARLY, SHUMAKER, BRIGHTBILL, RHOADES, LINCOLN, HELFRICK, KELLEY, ANDREZESKI, MELLOW, BODACK, STAPLETON, HAGER AND HOPPER, OCTOBER 25, 1983

AS AMENDED ON THIRD CONSIDERATION, HOUSE OF REPRESENTATIVES, OCTOBER 2, 1984

## AN ACT

| 1<br>2<br>3<br>4 | Regulating the practice of speech-language pathologists,<br>audiologists and teachers of the hearing impaired; creating<br>the State Board of Examiners in Speech-Language and Hearing<br>with certain powers and duties; and prescribing penalties. |     |   |
|------------------|--|-----|---|
| 5                |  |     | TABLE OF CONTENTS                                   |
| б                | Section  | 1.  | Short title.  |
| 7                | Section  | 2.  | Declaration of policy.                              |
| 8                | Section  | 3.  | Definitions.  |
| 9                | Section  | 4.  | Creation of board; appointment and term of members; |
| 10               |  |     | officers.   |
| 11               | Section  | 5.  | Powers and duties of board.                         |
| 12               | Section  | 6.  | License required; persons and practices not         |
| 13               |  |     | affected; exclusions.                               |
| 14               | Section  | 7.  | Requirements for licensure.                         |
| 15               | Section  | 8.  | Application and fees.                               |
| 16               | Section  | 9.  | Examinations.                                       |
| 17               | Section  | 10. | Refusal to issue; revocation; etc.                  |

- 1 Section 11. Hearing; restoration to practice.
- 2 Section 12. Requirement of a medical examination.
- 3 Section 13. Renewal fees; records.
- 4 Section 14. Limitation of renewal time; new license.
- 5 Section 15. Display of license.
- 6 Section 16. Certification to the board.
- 7 Section 17. Enforcement of certification to board.
- 8 Section 18. Penalties.
- 9 Section 19. Injunction against unlawful practice.
- 10 Section 20. Appropriation.
- 11 Section 21. Effective date.

12 The General Assembly of the Commonwealth of Pennsylvania 13 hereby enacts as follows:

14 Section 1. Short title.

15 This act shall be known and may be cited as the Speech-16 Language and Hearing Licensure Act.

17 Section 2. Declaration of policy.

18 It is declared to be the policy of the Commonwealth that, in 19 order to safeguard the public health, safety and welfare; to 20 protect the public from being misled by incompetent, 21 unscrupulous and unauthorized persons; to protect the public 22 from unprofessional conduct on the part of qualified speech-23 language pathologists, audiologists and teachers of the hearing 24 impaired; and to assure the availability of the highest possible 25 quality of speech-language and hearing services to the 26 communicatively handicapped people of this Commonwealth, it is 27 necessary to regulate persons offering speech-language and 28 hearing services to the public and persons functioning under the direction of these specialists. 29 Section 3. Definitions. 30

19830S1112B2402

- 2 -

1 The following words and phrases when used in this act shall
2 have the meanings given to them in this section unless the
3 context clearly indicates otherwise:

4 "Audiologist." Any person who is qualified by training and 5 experience to engage in the practice of the evaluation, counseling, habilitation and rehabilitation of individuals whose 6 communicative disorders center in whole or in part in the 7 hearing function. For the purposes of this paragraph the words 8 "habilitation" and "rehabilitation" include, but are not limited 9 10 to, hearing aid evaluation, fitting, recommendation and prescription, speech reading, auditory training and similar 11 12 activities. A person is deemed to be or to hold himself out as 13 being an audiologist if he offers such services to the public 14 under any title incorporating the words audiology, audiologist, 15 audiological consultant, hearing consultant, aural 16 rehabilitationist, hearing audiologist or any similar title or 17 description of service.

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18 "Board." The State Board of Examiners in Speech-Language and 19 Hearing.

20 "Person." Any individual, organization, association,
21 partnership, company, trust or corporate body, except that any
22 reference in this act to a licensed person shall mean a natural
23 individual person.

"Speech-language pathologist." Any person who is qualified 24 25 by training and experience to engage in the practice of the 26 evaluation, counseling, habilitation and rehabilitation of individuals whose communicative disorders involve the function 27 of speech, voice or language. A person is deemed to be or to 28 29 hold himself out as being a speech-language pathologist if he 30 offers such services under any title incorporating the words - 3 -19830S1112B2402

speech-language pathologist, speech consultant, speech 1 therapist, speech correctionist, speech clinician, speech 2 3 specialist, language pathologist, logopedist, communication 4 therapist, voice therapist, aphasia therapist, aphasiologist, 5 communicologist, or any similar title or description of service. 6 "Teacher of the hearing impaired." Any person who is 7 qualified by training and experience to engage in the practice 8 of providing evaluation and instruction in curriculum-based material and communication skills appropriate for individuals 9 10 whose cognitive and educational development have been affected 11 primarily by impaired hearing sensitivity. A person is deemed to be or to hold himself out as being a teacher of the hearing 12 13 impaired if he offers such services under any title 14 incorporating the words teacher of the hearing impaired, teacher 15 of the acoustically handicapped, teacher of the deaf, teacher of 16 the acoustically impaired, hearing teacher, teacher of the 17 aurally handicapped, hearing tutor, tutor of the auditorily 18 impaired, educator of the deaf or any similar title or 19 description of service.

20 Section 4. Creation of board; appointment and term of members;21 officers.

22 Board created. -- There is hereby created a departmental (a) administrative board to be known as the State Board of Examiners 23 24 in Speech-Language and Hearing which shall be in the Bureau of 25 Professional and Occupational Affairs of the Department of 26 State. It shall consist of ten members, nine of whom shall be 27 appointed by the Governor, by and with the advice and consent of a majority of the members elected to the Senate, who shall be 28 29 residents of Pennsylvania for a three-year period immediately 30 prior to appointment. The Commissioner of Professional and 19830S1112B2402 - 4 -

Occupational Affairs shall serve in his official capacity as the
 tenth member of the board. At the first meeting the appointed
 members shall determine by lot, three members to serve three year terms, three members to serve two-year terms and three
 members to serve one-year terms with the exception of the
 commissioner.

7 (b) Vacancies. -- When the term of each appointed member of the board ends, the Governor shall appoint his successor for a 8 9 term of three years, by and with the advice and consent of a 10 majority of the members elected to the Senate. Any appointive 11 vacancy occurring on the board shall be filled by the Governor 12 by appointment for the unexpired term, by and with the advice 13 and consent of a majority of the members elected to the Senate. 14 Board members shall continue to serve until their successors are 15 appointed and qualified but not longer than six months beyond 16 the three-year period.

17 (c) Qualifications of board.--The board shall consist of the 18 Commissioner of the Bureau of Professional and Occupational Affairs, one member who at the time of appointment is engaged in 19 20 rendering professional services in speech-language pathology, 21 one member who at the time of appointment is engaged in 22 rendering professional services in audiology, one member who at the time of appointment is engaged in rendering professional 23 24 services as a teacher of the hearing impaired, two members at 25 large who are either speech-language pathologists, audiologists 26 or teachers of the hearing impaired, however, each profession 27 shall not be represented by more than two board members, two members who are physicians licensed to practice medicine in this 28 29 Commonwealth, at least one of whom specializes in 30 otolaryngology, and two members of the public appointed by the 19830S1112B2402 - 5 -

Governor from nominations submitted by the governing boards of 1 groups advocating for the welfare of the speech-language and 2 hearing handicapped. Of the initial members, the speech-language 3 pathologists, audiologists and teachers of the hearing impaired 4 5 shall possess the necessary qualifications for licensure under this act. Thereafter, the members of the board who are speech-6 language pathologists, audiologists and teachers of the hearing 7 impaired shall be licensed under this act. No public member 8 appointed under the provisions of this section shall be 9 10 affiliated in any manner with professions or occupations 11 providing health or corrective communications services or products to communicatively impaired persons. The public members 12 13 shall be qualified pursuant to law, including section 813 of the act of April 9, 1929 (P.L.177, No.175), known as The 14 15 Administrative Code of 1929. In addition, no member of the board 16 shall at the same time be an officer or agent of any Statewide 17 association or organization representing the professions or 18 occupations under the jurisdiction of this board.

19 (d) Reappointment.--A member of the board shall be eligible 20 for reappointment. A member shall not be appointed to serve more 21 than two consecutive terms.

(e) Compensation; expenses.--The members of the board, other than the Commissioner of Professional and Occupational Affairs, shall receive reimbursement for reasonable travel, hotel and other necessary expenses and \$60 per diem when actually engaged in the performance of their official duties.

(f) Meetings of board.--The board shall hold a meeting within 150 days after the effective date of this act and annually thereafter in the month prescribed by the board and elect a chairman, vice chairman and secretary who shall be 19830S1112B2402 - 6 - members of the board. The board shall meet at such other times
 as deemed necessary and advisable by the chairman or by a
 majority of its members. Reasonable notice of all meetings shall
 be given in the manner prescribed by the board. A majority of
 the board shall constitute a quorum at any meeting or hearing.
 Section 5. Powers and duties of board.

The board shall have the power and its duties shall be:

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8 (1) To approve the qualifications and fitness of 9 applicants for licensure, and to adopt and revise rules and 10 regulations requiring applicants to pass examinations 11 relating to their qualifications as a prerequisite to the 12 issuance of a license.

13 (2) To adopt and revise rules and regulations consistent 14 with the law as may be necessary to implement the provisions 15 of this act. These rules and regulations shall include, but not be limited to, codes of ethics for speech-language 16 17 pathologists, audiologists and teachers of the hearing 18 impaired. The codes of ethics shall provide further that 19 whereas speech-language pathologists, audiologists and 20 teachers of the hearing impaired provide nonmedical and nonsurgical services, medical diagnosis and medical treatment 21 22 by these persons are specifically to be considered unethical 23 and illegal.

24 (3) To examine for, deny, approve, issue, revoke,
25 suspend or renew the licenses of speech-language pathologist,
26 audiologist and teacher of the hearing impaired applicants.

27 (4) To conduct hearings upon complaints of violations of
28 this act and the rules and regulations adopted pursuant to
29 this act, and to prosecute and enjoin all such violations.
30 (5) To spend funds necessary for the proper performance
19830S1112B2402 - 7 -

of its assigned duties in accordance with the fiscal and
 other laws of this Commonwealth and upon approval by the
 Commissioner of Professional and Occupational Affairs.

4 (6) To waive examination and educational requirements
5 and grant a license as provided in sections 6 and 7.

6 (7) To establish standards of eligibility for license7 renewal.

8 (8) To promulgate rules and regulations regarding 9 persons functioning under the direction of audiologists, 10 speech-language pathologists and teachers of the hearing 11 impaired.

12 Section 6. License required; persons and practices not 13 affected; exclusions.

14 (a) Licenses.--Except as provided in subsection (b), no 15 person may practice or hold himself out as being able to 16 practice as an audiologist, speech-language pathologist or 17 teacher of the hearing impaired in this Commonwealth unless he 18 holds a current, unsuspended, unrevoked license issued by the board. Licensure shall be granted separately in speech-language 19 20 pathology, audiology and teaching of the hearing impaired. 21 (b) Exclusions.--Nothing in this act shall be construed as

22 preventing or restricting:

(1) Any person licensed or registered in this
Commonwealth from engaging in the profession or occupation
for which he is licensed or registered, including:

26 (i) A physician or surgeon from engaging ENGAGED in
27 the practice of medicine.

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28 (ii) A licensed physician or surgeon or a trained 29 individual under the direction of a licensed physician 30 from doing hearing testing in the office or clinic of the <---19830S1112B2402 - 8 - 1 physician.

2 (iii) A hearing aid dealer from engaging FITTER
3 ENGAGED in the business of selling and fitting hearing
4 aids, AND A HEARING AID DEALER ENGAGED IN THE SALE OF
5 HEARING AIDS, as provided in the act of November 24, 1976
6 (P.L.1182, No.262), known as the Hearing Aid Sales
7 Registration Law.

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8 (2) A person who holds a valid credential issued by the 9 Department of Education in the area of speech or hearing and 10 who is employed in public or private elementary and secondary 11 schools approved by the Department of Education or 12 INSTITUTIONS chartered by the Commonwealth, or a person who 13 is employed by the Commonwealth or the Federal Government as a speech-language pathologist, audiologist or teacher of the 14 15 hearing impaired from engaging in his profession or 16 occupation, if the person performs his services solely within 17 the scope of his employment, or a person performing hearing 18 testing under section 1402 of the act of March 10, 1949 19 (P.L.30, No.14), known as the Public School Code of 1949.

(3) The activities of a student or trainee who is
pursuing a program of study supervised by a person licensed
under this act or otherwise exempt by this section which lead
to a degree in audiology, teaching the hearing impaired or
speech-language pathology at an accredited college or
university, if such individual is designated by a title
clearly indicating his student or training status.

27 (4) The practice of speech-language pathology, audiology 28 or teaching the hearing impaired in this Commonwealth by any 29 person not a resident of this Commonwealth who is not 30 licensed under this act if the person meets the 19830S1112B2402 - 9 - 1 qualifications and requirements for licensure described in 2 section 7, or who is licensed under the law of another state 3 having licensure requirements determined by the board to be 4 at least equivalent to those established by section 7, and if 5 the services are performed for no more than five days in any 6 calendar year in cooperation with a speech-language pathologist, audiologist or teacher of the hearing impaired 7 8 licensed under this act.

9 (5) A corporation, partnership, trust, association, 10 company or other similar form of organization from engaging 11 in the practice of speech-language pathology, audiology or 12 teaching the hearing impaired without a license if it employs 13 licensed individuals in the direct practice of speech-14 language pathology, audiology or teaching the hearing 15 impaired.

16 Section 7. Requirements for licensure.

(a) In general.--Except as provided in subsections (b) and (c), to be eligible for licensure by the board as a speechlanguage pathologist, audiologist or teacher of the hearing impaired, an applicant shall pay a fee as established by the board in accordance with section 8(a), be of good moral character to the satisfaction of the board, pass an examination and:

(1) For the license in speech-language pathology,
possess a master's degree in speech-language pathology or its
equivalent from an accredited academic institution. In
addition, the applicant must have at least one year of
supervised professional experience in the field of speechlanguage pathology.

30 (2) For the license in audiology, possess a master's
19830S1112B2402 - 10 -

1 degree in audiology or its equivalent from an accredited 2 academic institution. In addition, the applicant must have at 3 least one year of supervised professional experience in the 4 field of audiology.

5 (3) For licensure as a teacher of the hearing impaired, 6 possess a master's degree in education of the hearing 7 impaired or its equivalent from an accredited academic 8 institution. In addition, the applicant must have at least 9 one year of supervised professional experience in the field 10 of teaching the hearing impaired.

11 (b) Waivers.--The board may waive the examination and 12 educational requirements for any of the following:

(1) Applicants who present proof of current
certification or licensure in a state which has standards
determined by the board to be at least equal to those for
licensure in this Commonwealth.

17 (2) Applicants who hold a currently valid and
18 appropriate Certificate of Clinical Competence from the
19 Council of Professional Standards of the American Speech20 Language and Hearing Association.

(3) Applicants who hold a currently valid professional certificate issued by the Council on Education of the Deaf in compliance with its standards for the certification of teachers of the hearing impaired and who have completed an additional ten graduate academic credits established by the board to be appropriate for licensure as a teacher of the hearing impaired.

28 (c) Requirements for current practitioners.--The board shall 29 waive the examination and educational requirements for any 30 applicant who, on the effective date of this act: 19830S1112B2402 - 11 - (1) has at least a bachelor's degree with a major in
 speech-language pathology, audiology or teaching the hearing
 impaired from an accredited college or university, and who
 has been employed as a speech-language pathologist,
 audiologist or teacher of the hearing impaired for at least
 nine consecutive months within three years prior to the
 effective date of this act; and

8 (2) files an application with the board providing bona 9 fide proof of the degree and employment together with the 10 application fee prescribed in section 8.

11 Section 8. Application and fees.

12 (a) Fee.--An application for examination and license shall 13 be accompanied by a nonrefundable application and examination 14 fee in an amount established by the board by regulation and 15 shall be subject to review in accordance with the act of June 16 25, 1982 (P.L.633, No.181), known as the Regulatory Review Act. 17 If the revenues generated by fees, fines and civil penalties 18 imposed in accordance with the provisions of this act are not 19 sufficient to match expenditures over a two-year period, the 20 board shall increase those fees by regulation, subject to review in accordance with the Regulatory Review Act, such that the 21 22 projected revenues will meet or exceed projected expenditures. 23 If the Bureau of Professional and Occupational Affairs determines that fees established by the board are inadequate to 24 25 meet the minimum enforcement efforts required, then the bureau, 26 after consultation with the board, shall increase the fees by 27 regulation, subject to review in accordance with the Regulatory 28 Review Act, such that adequate revenues are raised to meet the required enforcement effort. 29

30 (b) Affidavit.--Each application shall be accompanied by an 19830S1112B2402 - 12 -

affidavit or affirmation of the applicant as to its verity. Any applicant who knowingly or willfully makes a false statement in his application shall be subject to prosecution for perjury. (c) Examinations.--The board shall offer at least two examinations for licensure each year. Notice of examinations shall be given at least 60 days prior to their administration.

7 (d) Record of examination scores. -- The board shall maintain8 a permanent record of all examination scores.

9 (e) Disposition and use of fees.--Fees shall be collected by 10 the board through the Bureau of Professional and Occupational 11 Affairs and shall be paid into the Professional Licensure 12 Augmentation Account established pursuant to and for use in 13 accordance with the act of July 1, 1978 (P.L.700, No.124), known 14 as the Bureau of Professional and Occupational Affairs Fee Act. 15 Section 9. Examinations.

16 (a) Preparation of examinations. -- All written examinations shall be prepared and administered by a qualified professional 17 18 testing organization under contract with the Bureau of Professional and Occupational Affairs and approved by the board, 19 20 except that national uniform examinations or grading services or both shall be used if available. No board member shall have a 21 22 financial interest in a professional testing organization. This section shall not apply to any oral, practical or other 23 24 nonwritten examination which may be required by the board. 25 (b) Cost of examinations. -- The purpose of the examination 26 fee which is to be established in accordance with section 8(a) 27 is to insure that the applicant's fees cover the entire cost of 28 the examination and administration. Cost is all contractual charges relating to the preparing, administering, grading and 29 30 recording of the examination.

19830S1112B2402

- 13 -

1 Section 10. Refusal to issue; revocation; etc.

The board may refuse to issue and may suspend or revoke a license of any person or applicant by a vote of at least a majority of the members of the board for any of the following reasons:

6 (1) The practice of any fraud, misrepresentation or 7 concealment in obtaining or attempting to obtain a license or 8 renewal thereof.

9 (2) A violation of this act or noncompliance with the 10 rules and regulations promulgated by the board under this 11 act.

12 (3) Aiding or abetting any person in the violation of
13 this act or noncompliance with the rules and regulations
14 promulgated by the board.

15 (4) Being convicted of a felony or misdemeanor of the 16 first or second degree in any court of the United States or 17 of any state within ten years prior to the date of 18 application for licensure.

19 (5) Unprofessional conduct as defined by the rules and20 regulations adopted by the board.

(6) The practice of fraud or deceit in connection with
services rendered as an audiologist, speech-language
pathologist or teacher of the hearing impaired.

24 Section 11. Hearing; restoration to practice.

(a) Hearings.--Any person licensed by the board or an
applicant for licensure by the board who is affected by action
of the board for any of the reasons referred to in section 10,
shall be cited by the board with a copy of the reasons and
shall, upon request, have a hearing before the board or before a
hearing officer appointed by the board.

19830S1112B2402

- 14 -

1 (b) Procedure. -- At any hearing the licensed person or applicant shall have the right to appear personally with or by 2 3 legal counsel, to cross-examine witnesses appearing against him 4 and to produce witnesses and evidence in his own behalf. The 5 board may subpoena witnesses and documentary evidence on its own behalf, and, if requested by the licensed person or applicant, 6 shall subpoena witnesses and documents on his behalf. The board 7 may administer oaths, examine witnesses and compel testimony. A 8 record of the hearing shall be made by the board and a copy 9 10 furnished to the licensed person or applicant upon payment of a 11 reasonable charge.

12 (c) Decision.--The revocation or suspension for any cause of 13 a license issued by the board may be removed when it shall 14 appear to the board to be just and proper. Upon any removal of 15 the revocation or suspension of license by the board, the name 16 of the licensed person shall be restored and replaced upon the 17 record in the office of the board.

18 Section 12. Requirement of a medical examination.

19 (a) Medical examination.--Before an audiologist initiates 20 aural rehabilitation for an individual, there shall be a medical 21 examination verifying that there are no diseases of the ear 22 requiring medical or surgical treatment.

(b) Waiver.--This section does not apply if an individual signs a written waiver as set forth in this section. The waiver must be read and explained in such a manner that the individual will be thoroughly aware of the consequences of signing the waiver. The waiver form shall read as follows:

I have been advised by (audiologist's name) that the Commonwealth of Pennsylvania has determined that my best health interest would be served if I had a medical

19830S1112B2402

- 15 -

1 examination by a licensed physician before the initiation of aural rehabilitation. I do not wish a medical examination 2 3 before the initiation of aural rehabilitation. 4 5 Signature Date Section 13. Renewal fees; records. 6 Renewal of licenses shall be on a biennial basis. The fee for 7 renewal of a license shall be as determined by the board in 8 accordance with section 8(a). A record of all speech-language 9 10 pathologists, audiologists and teachers of the hearing impaired 11 licensed to practice in this Commonwealth shall be maintained in the office of the board and published at the discretion of the 12 13 board. The board shall suspend any license for nonpayment of 14 fees until the license is renewed properly. 15 Section 14. Limitation of renewal time; new license. 16 Any person who fails to renew his license within five years 17 after the date of its expiration may not renew it, and it may 18 not be restored, reissued or reinstated thereafter, but the

20 requirements of this act.

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21 Section 15. Display of license.

A license issued under this act shall be posted conspicuously at all times in the office or place of business of the licensee. Section 16. Certification to the board.

person may apply for and obtain a new license if he meets the

Every organization or corporation which engages in the practice of speech-language pathology, audiology or teaching of the hearing impaired by the employment of individuals licensed under the provisions of this act shall file with the board, on a form approved by the board, a certification that it submits itself to the rules and regulations of the board and the 19830S1112B2402 - 16 - provisions of this act which the board shall consider applicable
 to it.

3 Section 17. Enforcement of certification to board.

4 No license or renewal of license shall be issued by the board 5 to any individual engaging in the practice of speech-language 6 pathology, audiology or teaching of the hearing impaired with a 7 corporation, partnership, trust, association, company or other 8 similar forms of organization which have failed to comply with 9 section 16.

10 Section 18. Penalties.

11 Whoever violates any provision of this act is guilty of a 12 misdemeanor, shall be prosecuted by the board or its agents and 13 upon conviction shall be sentenced to imprisonment for not more 14 than six months or to pay a fine of not less than \$100 nor more 15 than \$1,000, or both. Each violation shall be deemed a separate 16 offense. Fines collected under the provisions of this act shall 17 be paid into the State Treasury for the use of the Commonwealth. 18 Section 19. Injunction against unlawful practice.

19 After 12 months from the effective date of this act, it shall 20 be unlawful for any person to practice or attempt to offer to 21 practice audiology, speech-language pathology or teaching the 22 hearing impaired without holding a valid unrevoked and unsuspended license issued under this act. The unlawful practice 23 24 of audiology, speech-language pathology or teaching the hearing 25 impaired may be enjoined by the courts on petition of the board 26 or its agents. In any proceeding it shall not be necessary to 27 show that any person is individually injured by the complained 28 of actions. If the respondent is found guilty of the unlawful 29 practice, the court shall enjoin him from practicing until he 30 has been duly licensed. Procedure in these cases shall be the - 17 -19830S1112B2402

same as in any other injunction suit. The remedy by injunction
 is in addition to criminal prosecution and punishment.

3 Section 20. Appropriation.

4 The sum of \$75,000, or as much thereof as may be necessary, 5 is hereby appropriated from the Professional Licensure Augmentation Account within the General Fund to the Bureau of 6 Professional and Occupational Affairs in the Department of State 7 8 for the establishment and operation of the State Board of 9 Examiners in Speech-Language and Hearing. THE APPROPRIATION GRANTED SHALL BE REPAID BY THE BOARD WITHIN THREE YEARS OF THE 10 BEGINNING OF ISSUANCE OF LICENSES BY THE BOARD. 11 12 Section 21. Effective date.

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13 This act shall take effect in 60 days.