## THE GENERAL ASSEMBLY OF PENNSYLVANIA

## **HOUSE BILL**

No. 575

Session of 1977

INTRODUCED BY CAPUTO AND REED, MARCH 8, 1977

REFERRED TO COMMITTEE ON STATE GOVERNMENT, MARCH 8, 1977

## AN ACT

- Amending the act of June 3, 1937 (P.L.1333, No.320), entitled "An act concerning elections, including general, municipal, 2 3 special and primary elections, the nomination of candidates, primary and election expenses and election contests; creating 5 and defining membership of county boards of elections; 6 imposing duties upon the Secretary of the Commonwealth, 7 courts, county boards of elections, county commissioners; imposing penalties for violation of the act, and codifying, 8 revising and consolidating the laws relating thereto; and 9 10 repealing certain acts and parts of acts relating to elections," establishing the Pennsylvania Election Law 11 Enforcement Commission with powers and duties; transferring 12 13 powers and duties from the Secretary of the Commonwealth and 14 the Department of State to the Pennsylvania Election Law 15 Enforcement Commission; further providing for election expenses and penalties in connection therewith; and further 16 17 imposing duties upon the Pennsylvania Election Law 18 Enforcement Commission and the county boards of elections and 19 making an appropriation. 20 The General Assembly of the Commonwealth of Pennsylvania 21 hereby enacts as follows: 22 Section 1. Section 102, act of June 3, 1937 (P.L.1333, 23 No.320), known as the "Pennsylvania Election Code," is amended by adding a clause to read:
- 25 Section 102. Definitions.--The following words, when used in
- 26 this act, shall have the following meanings, unless otherwise
- 27 clearly apparent from the context:

- 1 \* \* \*
- 2 (z.4) The term "Pennsylvania Election Law Enforcement
- 3 <u>Commission, " "Election Law Enforcement Commission, " or</u>
- 4 "Commission" shall mean the independent commission established
- 5 pursuant to section 204.
- 6 Section 2. The act is amended by adding sections to read:
- 7 Section 204. Pennsylvania Election Law Enforcement
- 8 Commission; Establishment. -- The Pennsylvania Election Law
- 9 Enforcement Commission is hereby established as an independent
- 10 administrative commission within the executive branch of the
- 11 Commonwealth. The provisions of the act of April 9, 1929
- 12 (P.L.177, No.175), known as "The Administrative Code of 1929,"
- 13 which apply generally to independent administrative boards and
- 14 commissions shall apply to the Election Law Enforcement
- 15 <u>Commission</u>.
- 16 Section 205. Pennsylvania Election Law Enforcement
- 17 Commission; Appointment and Qualification of Members;
- 18 Compensation.--(a) The commission shall consist of five
- 19 commissioners of outstanding character and reputation not more
- 20 than three of whom shall be members of the same party or
- 21 political body.
- 22 (b) The commission shall be appointed by the Governor by and
- 23 with the consent of two-thirds of the Senate.
- 24 (c) Of the original commissioners, all of whom shall be
- 25 appointed within ninety days from the effective date of this
- 26 <u>amendatory act</u>, one shall be appointed for a term of one year,
- 27 one for a term of two years, one for a term of three years, one
- 28 for a term of four years and one for a term of five years or
- 29 <u>until a successor is appointed and qualified. Thereafter each</u>
- 30 appointment shall be for a term of five years or until a

- 1 successor is appointed or qualified. Any vacancy shall be filled
- 2 in the same manner as appointments.
- 3 (d) The commissioners shall hold no other public office or
- 4 appointment nor shall they engage in any partisan political
- 5 activity whatsoever during their terms of office.
- 6 (e) Each commissioner shall receive actual traveling
- 7 expenses and per diem compensation at the rate of one hundred
- 8 dollars (\$100) per day for the time actually devoted to the
- 9 <u>business of the commission</u>.
- 10 (f) Any person appointed as a commissioner shall be a
- 11 <u>citizen and legal resident of the Commonwealth for a period of</u>
- 12 not less than a year preceding the appointment. A person who has
- 13 been an officer or employe of a party, political body or
- 14 political committee shall not, for four years thereafter, be
- 15 <u>eligible for appointment to the commission.</u>
- 16 (g) The Governor, with the advice and consent of the Senate,
- 17 <u>may only remove a commissioner for malfeasance or misfeasance in</u>
- 18 office or for neglect of duty. The Governor shall provide such
- 19 commissioner with a statement in writing of the charges against
- 20 him, and shall afford him, after notice of not less than ten
- 21 days, an opportunity of making a written answer and upon request
- 22 being publicly heard in person or by counsel. A copy of the
- 23 charges, the answer thereto, the Governor's findings, and a copy
- 24 of the transcript of the hearing, if any, shall be filed with
- 25 the Senate. Within twenty days of receipt of the above stated
- 26 <u>material</u>, or if <u>later</u>, <u>within twenty days of the next meeting of</u>
- 27 the Senate after said material is received by the Senate, the
- 28 <u>Senate shall vote on a recommendation by the Governor that a</u>
- 29 commissioner be removed.
- 30 Section 206. Powers and Duties of the Commission. -- (a) The

- 1 commission shall assume all the powers and duties that this act
- 2 imposes upon the Secretary of the Commonwealth and the
- 3 <u>Department of State.</u>
- 4 (b) The commission shall appoint an executive director,
- 5 counsel and such additional staff and shall incur such expenses
- 6 <u>as are necessary and appropriate for carrying out the purposes</u>
- 7 of this act. The minority commission members shall appoint an
- 8 assistant executive director. No employe of the commission shall
- 9 <u>engage in any partisan political activity whatsoever.</u>
- 10 (c) The commission shall exercise all the powers and duties
- 11 granted to it by this act.
- 12 Section 3. Article XVI of the act is repealed except
- 13 sections 1611, 1612 and 1614.
- 14 Section 4. The act is amended by adding an article to read:
- 15 <u>ARTICLE XVI-A</u>
- 16 <u>Expenses</u>
- 17 <u>Section 1601-A.</u> <u>Definitions.--As used in this article and in</u>
- 18 section 1839.1, the following words have the following meanings:
- 19 <u>(a) The word "candidate" means an individual who seeks</u>
- 20 nomination for election or election to any public office to be
- 21 voted for in the Commonwealth.
- 22 (b) The word "commission" means the Pennsylvania Election
- 23 Law Enforcement Commission established pursuant to section 204.
- 24 (c) The word "contribution" means:
- 25 (i) A gift, subscription, loan, advance or deposit of money
- 26 or anything of value made in furtherance of or in respect to the
- 27 nomination or election of any person to any public office to be
- 28 voted for in this Commonwealth.
- 29 (ii) A contract, promise, or agreement, whether or not
- 30 legally enforceable, to make a contribution for any such

- 1 purpose.
- 2 (iii) A transfer of money or anything of value between
- 3 political committees.
- 4 (iv) The payment by any person other than a candidate or
- 5 political committee of compensation for the personal services of
- 6 another person which are rendered to such candidate or political
- 7 committee without charge for any purpose.
- 8 (v) Notwithstanding the foregoing meanings of
- 9 <u>"contribution," the word shall not be construed to include</u>
- 10 services provided without compensation by individuals
- 11 volunteering their time on behalf of a candidate or political
- 12 committee.
- (d) The word "election" means any general, municipal,
- 14 special or primary election or a convention or caucus of a
- 15 political party held to nominate a candidate for public office.
- 16 <u>(e) The word "expenditure" means:</u>
- 17 (i) a purchase, payment, distribution, loan, advance,
- 18 deposit or gift of money or anything of value, made in
- 19 <u>furtherance of or in respect to the nomination for election or</u>
- 20 <u>election of any person to any public office to be voted for in</u>
- 21 this Commonwealth;
- 22 (ii) a contract, promise or agreement, whether or not
- 23 legally enforceable, to make an expenditure; and
- 24 (iii) a transfer of funds between political committees.
- 25 <u>(f) The word "person" means an individual, partnership,</u>
- 26 <u>committee</u>, <u>association</u>, <u>labor organization</u>, <u>corporation for</u>
- 27 profit, nonprofit corporation, and any other organization or
- 28 group of persons.
- 29 (g) The words "political committee" mean any committee,
- 30 association or organization which accepts contributions or makes

- 1 <u>expenditures during a calendar year in an aggregate amount</u>
- 2 <u>exceeding two hundred fifty dollars (\$250).</u>
- 3 (h) The word "State" means the Commonwealth of Pennsylvania.
- 4 (i) The words "supervisory officer" mean the commission with
- 5 regard to the nomination and election of candidates for
- 6 Governor, Lieutenant Governor, Auditor General, State Treasurer,
- 7 members of the Supreme, Superior and Commonwealth Courts of
- 8 Pennsylvania and United States Senate. With regard to the
- 9 <u>nomination and election of any other person to public office in</u>
- 10 this Commonwealth, the term "supervisory officer" means the
- 11 county board of election, established pursuant to Article III of
- 12 this act, of the county in which that person is seeking
- 13 <u>election: Provided, That with regard to candidates for</u>
- 14 nomination and election to offices with districts comprised of
- 15 more than one county, the county board of elections in each
- 16 <u>county shall be deemed to be the supervisory officer and copies</u>
- 17 of every statement of organization and report required to be
- 18 filed by this article shall be filed with each county board of
- 19 elections.
- 20 <u>Section 1602-A. Organization and Functioning of Political</u>
- 21 <u>Committees.--(a) Every political committee shall have a</u>
- 22 chairman and a treasurer. No contribution and no expenditure
- 23 shall be accepted or made by or on behalf of a political
- 24 committee at a time when both the offices of chairman and
- 25 <u>treasurer are vacant. No expenditure shall be made for or on</u>
- 26 behalf of a political committee without the authorization of its
- 27 chairman or treasurer, or their designated agents.
- 28 (b) Every person who receives a contribution in excess of
- 29 <u>fifty dollars (\$50) for a political committee shall, on demand</u>
- 30 <u>of the treasurer, and in any event within fifteen days after</u>

- 1 receipt of such contribution, render to the treasurer a detailed
- 2 account thereof, including the amount, the name and address of
- 3 the person making such contribution, and the date of which
- 4 received. All funds of a political committee shall be segregated
- 5 from, and may not be commingled with, any personal funds of
- 6 officers, members or associates of such committee.
- 7 (c) No person shall make any contribution in excess of fifty
- 8 dollars (\$50) to a candidate or political committee, unless such
- 9 contribution is made by check or money order. No person shall
- 10 receive any contribution in excess of fifty dollars (\$50) unless
- 11 <u>such contribution is made by check or money order.</u>
- 12 (d) It shall be the duty of the treasurer of a political
- 13 committee to keep a detailed and exact account of:
- 14 (1) All contributions made to or for such committee.
- 15 (2) The full name and mailing address of every person making
- 16 a contribution in excess of fifty dollars (\$50) and the date and
- 17 amount thereof.
- 18 (3) All expenditures made by or on behalf of such committee.
- 19 (4) The full name and mailing address (occupation and the
- 20 principal place of business, if any) of every person to whom any
- 21 expenditure is made, the date and amount thereof, the purpose,
- 22 and the name and address of, and office sought by, each
- 23 candidate on whose behalf such expenditure was made.
- 24 (e) It shall be the duty of the treasurer to obtain and keep
- 25 <u>a receipted bill, stating the particulars for every expenditure</u>
- 26 made by or on behalf of a political committee in excess of
- 27 twenty-five dollars (\$25) in amount, and for any such
- 28 expenditure in lesser amount, if the aggregate amount of such
- 29 <u>expenditures to the same person for any reporting period exceeds</u>
- 30 twenty-five dollars (\$25). The treasurer shall preserve all

- 1 receipted bills and accounts required to be kept by this section
- 2 for periods of time to be determined by the supervisory officer
- 3 which in no event shall be less than two years after the
- 4 calendar year within which such bills and accounts were received
- 5 by the treasurer.
- 6 (f) The books of account, vouchers, receipts and other
- 7 records of contributions and expenditures of every political
- 8 committee shall be made available at all reasonable office hours
- 9 to inspection by the appropriate supervisory officer, the
- 10 commission or designated agents thereof.
- 11 (g) No political committee shall receive contributions or
- 12 spend any money or other valuable thing on behalf of the
- 13 candidacy of any candidate or to influence the nomination for
- 14 election or election of any person to any public office until
- 15 <u>written authorization by the person on whose behalf</u>
- 16 contributions are to be received or money is to be spent shall
- 17 <u>have been filed with the appropriate supervisory officer:</u>
- 18 Provided, That within the limits set by this act, the treasurer
- 19 of any State, county, city, borough, incorporated town,
- 20 <u>township</u>, ward or other regularly constituted party committee of
- 21 any political party or political body, is hereby authorized to
- 22 receive and disburse money and incur liability for the election
- 23 expenses of the candidates of such political party or political
- 24 body, without special written authorization from such
- 25 candidates.
- 26 Section 1603-A. Registration of Political Committees.--(a)
- 27 Every Statewide political committee which supports or
- 28 <u>anticipates supporting a candidate or candidates for Statewide</u>
- 29 office or referendums and which anticipates receiving
- 30 contributions or making expenditures during the calendar year in

- 1 an aggregate amount exceeding one thousand dollars (\$1,000)
- 2 shall file with the supervisory officer a statement of
- 3 organization, within ten days after its organization, or, if
- 4 later, ten days after the date on which it has information which
- 5 causes the committee to anticipate it will receive contributions
- 6 or make expenditures in excess of one thousand dollars (\$1,000).
- 7 Each such committee in existence on the effective date of this
- 8 act shall file a statement of organization at such time as the
- 9 <u>commission prescribes</u>, which shall in no case be later than
- 10 sixty days after the effective date of this act.
- 11 (b) Every other political committee which anticipates
- 12 receiving contributions or making expenditures during the
- 13 <u>calendar year in an aggregate amount exceeding two hundred fifty</u>
- 14 dollars (\$250) shall file with the appropriate supervisory
- 15 officers a statement of organization, within ten days after its
- 16 organization or, if later, ten days after the date on which it
- 17 <u>has information which causes the committee to anticipate it will</u>
- 18 receive contributions or make expenditures in excess of two
- 19 hundred fifty dollars (\$250). Each such political committee in
- 20 <u>existence on the effective date of this act shall file a</u>
- 21 statement of organization at such time as the commission
- 22 prescribes, which shall in no case be later than sixty days
- 23 after the effective date of this act.
- 24 (c) The statement of organization shall include:
- 25 (1) The name and address of the committee.
- 26 (2) The names, addresses, and relationships of affiliated or
- 27 connected organizations.
- 28 (3) The area, scope, or jurisdiction of the committee.
- 29 (4) The name, address, and position of the custodian of
- 30 books and accounts.

- 1 (5) The name, address, and position of other principal
- 2 officers, including officers and members of the finance
- 3 committee, if any.
- 4 (6) The name, address, office sought, and party affiliation
- 5 <u>of:</u>
- 6 (i) each candidate whom the committee is supporting; and
- 7 (ii) any other individual, if any, whom the committee is
- 8 supporting for nomination for election, or election, to any
- 9 <u>public office whatever; or, if the committee is supporting the</u>
- 10 entire ticket of any party, the name of the party.
- 11 (7) A statement whether the committee is a continuing one.
- 12 (8) The disposition of residual funds which will be made in
- 13 the event of dissolution.
- 14 (9) A listing of all banks, safety deposit boxes, or other
- 15 <u>repositories used.</u>
- 16 (10) A statement of other reports, if any, required to be
- 17 <u>filed by the committee with Federal, State or local officers,</u>
- 18 and if so, a listing of the officers with whom reports are
- 19 required to be filed.
- 20 (11) Such other information as shall be required by the
- 21 <u>commission</u>.
- 22 Section 1604-A. Reports by Political Committees and
- 23 Candidates.--(a) Each treasurer of a political committee and
- 24 <u>each candidate for nomination and election to public office</u>
- 25 shall file with the appropriate supervisory officers reports of
- 26 receipts and expenditures on forms to be prescribed by the
- 27 commission. Reports of receipts shall be filed on the tenth day
- 28 preceding the date on which a general, primary, municipal or
- 29 special election is held, and also by the thirtieth day after
- 30 each election. Reports of expenditures shall be filed not later

- 1 than thirty days following the date of the election. Such
- 2 reports shall be complete as of such date as the commission may
- 3 prescribe and any contribution of one thousand dollars (\$1,000)
- 4 or more received after the last report is filed prior to an
- 5 <u>election shall be reported within seventy-two hours after its</u>
- 6 receipt. A copy of each statement required to be filed with the
- 7 local county boards of elections for candidates for the office
- 8 of Representative or Senator in the General Assembly, judge of a
- 9 court of record and all other candidates certified by the
- 10 commission to the county boards of elections shall be filed with
- 11 the commission at the same time such report is required to be
- 12 filed with the county board of elections.
- (b) Each report under this section shall disclose:
- 14 (1) The amount of cash on hand at the beginning of the
- 15 <u>reporting period</u>.
- 16 (2) The full name and mailing address of each person who has
- 17 made one or more contributions to or for such committee or
- 18 candidate (including the purchase of tickets for events such as
- 19 dinners, luncheons, rallies, and similar fund-raising events)
- 20 during the reporting period in excess of fifty dollars (\$50) and
- 21 up to and including one hundred dollars (\$100) together with the
- 22 amount and date of such contribution.
- 23 (3) The full name and mailing address (occupation and the
- 24 principal place of business, if any) of each person who has made
- 25 <u>one or more contributions to or for such committee or candidate</u>
- 26 (including the purchase of tickets for events such as dinners,
- 27 luncheons, rallies and similar fund-raising events) during the
- 28 reporting period in an aggregate amount or value in excess of
- 29 one hundred dollars (\$100) together with the amount and date of
- 30 such contribution.

- 1 (4) The total sum of individual contributions made to or for
- 2 <u>such committee or candidate during the reporting period and not</u>
- 3 reported under clauses (2) and (3).
- 4 (5) The name and address of each political committee or
- 5 candidate from which the reporting committee or the candidate
- 6 received, or to which that committee or candidate made, any
- 7 transfer of funds, together with the amounts and dates of all
- 8 transfers.
- 9 (6) Each loan to or from any person during the reporting
- 10 period in an aggregate amount or value in excess of one hundred
- 11 dollars (\$100), together with the full names and mailing
- 12 <u>addresses</u> (occupations and principal places of business, if any)
- 13 of the lender and endorsers, if any, and the date and amount of
- 14 such loans.
- 15 <u>(7) The total amount of proceeds from:</u>
- 16 (i) the sale of tickets to each dinner, luncheon, rally, and
- 17 other fund-raising event;
- 18 (ii) mass collections made at such events; and
- 19 (iii) sales of items such as political campaign pins,
- 20 buttons, badges, flags, emblems, hats, banners, literature, and
- 21 similar materials.
- 22 (8) Each contribution, rebate, refund or other receipt in
- 23 excess of one hundred dollars (\$100) not otherwise listed under
- 24 clauses (2) through (7).
- 25 (9) The total sum of all receipts by or for such committee
- 26 <u>or candidate during the reporting period.</u>
- 27 (10) The full name and mailing address of each person to
- 28 whom expenditures have been made by such committee or on behalf
- 29 of such committee or candidate within the calendar year in an
- 30 aggregate amount or value in excess of twenty-five dollars (\$25)

- 1 and up to and including one hundred dollars (\$100); the amount,
- 2 date, and purpose of each such expenditure and the name and
- 3 address of, and the office sought by, each candidate on whose
- 4 <u>behalf such expenditure was made.</u>
- 5 (11) The full name and mailing address (occupation and the
- 6 principal place of business, if any) of each person to whom
- 7 expenditures have been made by such committee or on behalf of
- 8 such committee or candidate within the calendar year in an
- 9 aggregate amount or value in excess of one hundred dollars
- 10 (\$100); the amount, date and purpose of each such expenditure
- 11 and the name and address of, and the office sought by each
- 12 <u>candidate on whose behalf such expenditure was made.</u>
- 13 (12) The full name and mailing address (occupation and the
- 14 principal place of business, if any) of each person to whom an
- 15 <u>expenditure for personal services</u>, salaries and reimbursed
- 16 expenses in excess of one hundred dollars (\$100) has been made
- 17 and which is not otherwise reported, including the amount, date,
- 18 and purpose of such expenditure.
- 19 (13) The total sum of expenditures made by such committee or
- 20 <u>candidate during the calendar year.</u>
- 21 (14) The amount and nature of debts and obligations owed by
- 22 or to the committee, in such form as the commission may
- 23 prescribe and a continuous reporting of their debts and
- 24 <u>obligations after the election at such periods as the commission</u>
- 25 may require until such debts and obligations are extinguished.
- 26 (15) Such other information as shall be required by the
- 27 commission.
- 28 (c) The reports required to be filed by subsection (a) shall
- 29 <u>be cumulative during the calendar year to which they relate, but</u>
- 30 <u>where there has been no change in an item reported in a previous</u>

- 1 report during such year, only the amount need be carried
- 2 forward. If no contributions or expenditures have been accepted
- 3 or expended during a calendar year, the treasurer of the
- 4 political committee or candidate shall file a statement to that
- 5 effect.
- 6 <u>Section 1605-A.</u> Reports by Others Than Political
- 7 Committees. -- Every person (other than a political committee or
- 8 <u>candidate or individual) who makes contributions or</u>
- 9 expenditures, (other than by contribution to a political
- 10 committee or candidate) in an aggregate amount in excess of one
- 11 <u>hundred dollars (\$100) within a calendar year shall file with</u>
- 12 the supervisory officer a statement containing the information
- 13 required by section 1604-A. Statements required by this section
- 14 shall be filed on the dates on which reports by political
- 15 <u>committees are filed, but need not be cumulative.</u>
- 16 <u>Section 1606-A. Contributions by Corporations and</u>
- 17 <u>Unincorporated Associations Prohibited.--It is unlawful for any</u>
- 18 corporation or unincorporated association, labor organization or
- 19 officer or agent thereof, whether incorporated or organized
- 20 <u>under the laws of this or any other state or any foreign</u>
- 21 country, except those formed primarily for political purposes or
- 22 a political committee, to make a contribution or expenditure in
- 23 connection with any election to any political office; or for any
- 24 <u>candidate</u>, or political committee or other person to accept or
- 25 receive any contribution prohibited by this section.
- 26 For the purposes of this section "labor organization" means
- 27 any organization of any kind, or any agency or employe
- 28 representation committee or plan, in which employes participate
- 29 and which exist for the purpose, in whole or in part, of dealing
- 30 with employers concerning grievances, labor disputes, wages,

- 1 rates of pay, hours of employment, or conditions of work.
- 2 As used in this section, the phrase "contribution or
- 3 <u>expenditure</u>" shall include any direct or indirect payment,
- 4 distribution, loan, advance, deposit, or gift of money, or any
- 5 <u>services or anything of value to any candidate, campaign</u>
- 6 <u>committee</u>, or political party or organization, in connection
- 7 with any election; but shall not include communications by a
- 8 corporation or unincorporated organization to its stockholders
- 9 or members and their families or by a labor organization to its
- 10 members and families on any subject; nonpartisan registration
- 11 and get-out-the-vote campaigns by a corporation or
- 12 unincorporated association aimed at its stockholders and
- 13 members, or by a labor organization aimed at its members and
- 14 their families; the establishment, administration, and
- 15 <u>solicitation of contributions to a separate segregated fund to</u>
- 16 <u>be utilized for political purposes by a corporation or labor</u>
- 17 organization: Provided, That it shall be unlawful for such a
- 18 fund to make a contribution or expenditure by utilizing money or
- 19 anything of value secured by physical force, job discrimination,
- 20 or financial reprisal; or by dues, fees, or other moneys
- 21 required as a condition of employment, or by moneys obtained in
- 22 any commercial transaction.
- 23 Section 1607-A. Powers and Duties of the Supervisory
- 24 Officer. -- (a) The supervisory officer shall have the power and
- 25 <u>his duty shall be:</u>
- 26 (1) To furnish to persons required to file reports and
- 27 statements under the provisions of this act prescribed forms for
- 28 the making of such reports and statements.
- 29 (2) To publish and furnish to persons required to file such
- 30 reports and statements a manual setting forth the recommended

- 1 uniform methods of bookkeeping and reporting as prescribed by
- 2 the commission pursuant to section 1608-A of this act.
- 3 (3) To develop a filing, coding and cross-indexing system
- 4 consistent with the purposes of this article.
- 5 (4) To make the reports and statements filed with him
- 6 available for public inspection and copying, commencing as soon
- 7 as practicable but not later than the end of the second day
- 8 following the day during which it was received, and to permit
- 9 copying of any such report or statement by hand or by
- 10 duplicating machine, as requested by any person, at the expense
- 11 of such person at a rate not to exceed the cost: Provided, That
- 12 any information copied from such reports and statements shall
- 13 not be sold or utilized by any person for any commercial
- 14 purpose.
- 15 (5) To preserve such reports and statements for a period of
- 16 four years from date of receipt and in no event less than twice
- 17 as long as the normal length of time for records in that
- 18 particular office.
- 19 (6) To compile and maintain a current list of all statements
- 20 or parts of statements pertaining to each candidate.
- 21 (7) To prepare and publish an annual report including
- 22 compilations of:
- 23 (i) total reported contributions and expenditures for all
- 24 <u>candidates</u>, political committees, and other persons during the
- 25 <u>year;</u>
- 26 (ii) total amounts expended according to such categories as
- 27 he shall determine and broken down into candidate, party, and
- 28 nonparty expenditures on the State and local level;
- 29 (iii) total amounts expended for influencing nominations and
- 30 elections stated separately; and

- 1 (iv) total amounts contributed according to such categories
- 2 of amounts as he shall determine and broken down into
- 3 contributions on the State and local levels for candidates,
- 4 political committees and other persons.
- 5 (8) To prepare and publish from time to time special reports
- 6 comparing the various totals and categories of contributions and
- 7 <u>expenditures made with respect to preceding elections.</u>
- 8 (9) To prepare and publish such other reports as the
- 9 supervisory officer may deem appropriate: Provided, That one
- 10 copy of each report published by supervisory officers other than
- 11 the commission shall be sent to the commission.
- 12 (10) To publicly disseminate statistics, summaries, and
- 13 reports prepared under this act, as soon as possible.
- 14 (11) To make from time to time audits and field
- 15 investigations with respect to reports and statements filed
- 16 under the provisions of this article, and with respect to
- 17 alleged failures to file any report or statement required under
- 18 the provisions of this act.
- 19 (12) To report apparent violations of law to the appropriate
- 20 <u>law enforcement authorities.</u>
- 21 (b) The supervisory officer shall encourage and cooperate
- 22 with the election officials within the Commonwealth to develop
- 23 procedures which will eliminate multiple filings while
- 24 conforming with other sections of this act.
- 25 <u>Section 1608-A. Powers and Duties of the Commission.--In</u>
- 26 <u>addition to its duties as a supervisory officer and other duties</u>
- 27 prescribed by law, the commission shall have the power and its
- 28 <u>duty shall be:</u>
- 29 (1) To serve as the State clearing house for information in
- 30 respect to the administration of elections.

- 1 (2) To enter into contracts for the purpose of conducting
- 2 <u>independent studies of the administration of elections. Studies</u>
- 3 shall include, but not necessarily be limited to, studies of:
- 4 (i) the type of duties assigned to, and the method of
- 5 <u>selection of, officials and personnel working on the several</u>
- 6 boards of elections;
- 7 (ii) practices relating to the registration of voters; and
- 8 (iii) voting and counting methods.
- 9 Studies made under this clause shall be published by the
- 10 commission and copies made available to the general public upon
- 11 the payment of the cost of duplication. Nothing in this clause
- 12 <u>shall be construed to authorize the commission to include</u>
- 13 comments or recommendations in any such study. If the commission
- 14 determines that comments or recommendations are necessary and
- 15 appropriate, such may be included as an appendix to such study.
- 16 (3) To prescribe suitable rules and regulations to carry out
- 17 the provisions of this act.
- 18 (4) To make from time to time audits and field
- 19 investigations with respect to any reports and statements filed
- 20 or required to be filed under the provisions of this act and
- 21 with respect to alleged failures to file any report or statement
- 22 required under the provisions of this act.
- 23 (5) To develop and prescribe forms required by the
- 24 provisions of this act for the making of reports and statements
- 25 required to be filed with the supervisory officers.
- 26 (6) To prepare a manual setting forth the recommended
- 27 uniform methods of bookkeeping and reporting which shall be
- 28 <u>furnished</u> by the supervisory officers to the persons required to
- 29 <u>file reports and statements.</u>
- 30 Section 1609-A. Reports of Violations of this Act.--(a) Any

- 1 person who believes a violation of this act has occurred may
- 2 <u>file a written complaint with the supervisory officer or</u>
- 3 <u>commission</u>. If the supervisory officer or the commission
- 4 determines there is substantial reason to believe such a
- 5 <u>violation</u> has occurred, the supervisory officer or commission
- 6 shall expeditiously make an investigation which shall also
- 7 include an investigation of reports and statements filed by
- 8 persons against whom the complaint was filed.
- 9 (b) In the case of a supervisory officer which is not the
- 10 commission, whenever in the judgment of such supervisory
- 11 officer, after affording due notice and an opportunity for a
- 12 hearing, any person has engaged or is about to engage in any
- 13 acts or practices which constitute or will constitute a
- 14 violation of any provision of this act or any regulations or
- 15 order issued thereunder, the supervisory officer shall inform
- 16 the commission which may institute a civil action for relief,
- 17 including a permanent or temporary injunction, restraining
- 18 order, or any other appropriate order in the appropriate court
- 19 of common pleas or the Commonwealth Court of Pennsylvania.
- 20 (c) In cases of complaints made directly to the commission,
- 21 whenever in the judgment of the commission, after affording due
- 22 notice and an opportunity for a hearing, any person has engaged
- 23 or is about to engage in any acts or practices which constitute
- 24 or will constitute a violation of any provision of this act or
- 25 <u>any regulations or order issued thereunder, the commission may</u>
- 26 <u>institute a civil action for relief, including a permanent or</u>
- 27 temporary injunction, restraining order, or any other
- 28 appropriate order in the appropriate court of common pleas or
- 29 <u>the Commonwealth Court of Pennsylvania.</u>
- 30 (d) This section shall not be construed as limiting the

- 1 civil or criminal rights or liabilities of any person.
- 2 (e) Any action brought under this section shall take
- 3 precedence on the court's docket.
- 4 Section 1610-A. Limitation of Total Expenditures.--(a) The
- 5 total expenditure per candidate in any election for Statewide
- 6 office shall not exceed fifteen cents (15c) multiplied by the
- 7 population of the Commonwealth based upon the last official
- 8 Federal census report as determined and published by regulation
- 9 from time to time by the commission.
- 10 (b) The total expenditure per candidate in any other
- 11 <u>election shall not exceed twenty cents (20c) multiplied by the</u>
- 12 population of the geographical area or district in which the
- 13 <u>candidate is running based upon the latest official Federal</u>
- 14 census report, as determined and published by regulation from
- 15 <u>time to time by the commission.</u>
- (c) The total expenditures of any State, county, city,
- 17 borough, incorporated town, township or ward regularly
- 18 constituted party committee of any political party or political
- 19 body in any election shall not exceed fifteen cents (15c)
- 20 <u>multiplied</u> by the population of the geographical area or
- 21 <u>district in which the committee functions. No such committee,</u>
- 22 and no officer or member thereof, may make expenditures or
- 23 authorize or allow any person to make expenditures in excess of
- 24 the aforementioned spending limit.
- 25 (d) At the beginning of each calendar year (commencing in
- 26 1975), as there becomes available necessary data from the Bureau
- 27 of Labor Statistics of the United States Department of Labor,
- 28 the commission shall determine and publish in the Pennsylvania
- 29 Bulletin the per centum increase, if any, between the price
- 30 index for the twelve months preceding the beginning of such

- 1 calendar year and the price index for the base period. Each
- 2 amount determined under subsections (a) and (b) shall be
- 3 increased by such per centum increase, if any. Each amount so
- 4 increased shall be the maximum spending limitation in effect for
- 5 <u>such calendar year. The term price index shall mean the average</u>
- 6 over a calendar year of the consumer price index (all items -
- 7 United States city average) published monthly by the United
- 8 States Bureau of Labor Statistics. The term "base period" shall
- 9 mean the calendar year 1974.
- 10 (e) For the purposes of this section, primary, municipal,
- 11 general and special elections shall be treated as separate
- 12 <u>elections</u>.
- 13 <u>Section 1611-A. Limitation on Personal Contributions.--(a)</u>
- 14 No person shall make contributions directly or indirectly in
- 15 excess of five thousand dollars (\$5,000) to a candidate for a
- 16 particular office, to a regularly constituted party committee of
- 17 any political party or political body with respect to any
- 18 election, or to any other political committee with respect to
- 19 any election: Provided, That a candidate or his immediate
- 20 <u>family</u>, a political committee authorized by the candidate to act
- 21 for him, and a regularly constituted party committee of any
- 22 political party or political body are authorized to make
- 23 contributions in excess of five thousand dollars (\$5,000) so
- 24 long as such contributions do not violate the total expenditure
- 25 limits set forth in this act.
- 26 (b) No contribution and no expenditure shall be made or
- 27 incurred, directly or indirectly, in a fictitious name,
- 28 anonymously, or by a person through an agent, relative or other
- 29 person in such a manner as to conceal the identity of the source
- 30 of the contribution.

- 1 (c) For the purposes of this section, primary, municipal,
- 2 general and special elections shall be treated as separate
- 3 elections.
- 4 (d) For the purposes of this section "immediate family"
- 5 means a candidate's spouse and any blood relative of the
- 6 candidate or candidate's spouse. Blood relative shall include
- 7 the father, mother, grandfather, grandmother, sisters, brothers,
- 8 sisters or brothers of the father or mother and children of the
- 9 <u>sisters or brothers of the father or mother of the candidate or</u>
- 10 the candidate's spouse.
- 11 <u>Section 1612-A. Lawful Primary and Election Expenses.--(a)</u>
- 12 No candidate or treasurer of any political committee shall pay,
- 13 give, or lend or agree to pay, give, or lend directly or
- 14 indirectly on account of, or in respect to, any primary or
- 15 election expenses whatever, except for the following purposes:
- 16 (1) For printing and traveling expenses, and personal
- 17 <u>expenses incident thereto, stationery, advertising, postage,</u>
- 18 expressage, freight, telegraph, telephone, and public messenger
- 19 service.
- 20 (2) For the rental of radio or television facilities, and
- 21 <u>amplified systems.</u>
- 22 (3) For political meetings, demonstrations and conventions,
- 23 and for the pay and expenses of speakers.
- 24 (4) For the rent, maintenance and furnishing of offices.
- 25 (5) For the payment of such consultants and employes as may
- 26 <u>be necessary</u>.
- 27 (6) For the transportation of electors to and from the
- 28 polls.
- 29 (7) For the employment of watchers or workers, at primaries
- 30 and elections to the numbers and in the amount permitted by this

- 1 act.
- 2 (8) For expenses, legal counsel, incurred in good faith in
- 3 <u>connection with any election</u>.
- 4 (9) For contributions to other political committees.
- 5 (b) No person, except the candidate or a political committee
- 6 <u>duly authorized under the provisions of this article, shall</u>
- 7 solicit contributions on behalf of the candidacy of any person
- 8 or provide any money or other valuable thing as compensation to
- 9 any person for services rendered or goods received by a
- 10 candidate, a political committee or on behalf of the candidacy
- 11 of any person, until written authorization by the candidate
- 12 <u>shall be filed with the appropriate supervisory officer.</u>
- 13 <u>Section 1613-A. Publications Soliciting Funds.--Any</u>
- 14 political committee shall include on the face or front page of
- 15 <u>all literature and advertisements soliciting funds the following</u>
- 16 notice:
- 17 "A copy of our report filed with the appropriate
- 18 supervisory officer is (or will be) available for
- 19 inspection and copying at
- 20 (<u>List the name and address of the appropriate</u>
- 21 <u>supervisory officer with whom the reports are</u>
- or will be on file).
- 23 Section 1614-A. Unlawful to Administer Oath of Office Until
- 24 Reports Filed. -- It shall be unlawful to administer the oath of
- 25 office to any candidate elected to any public office until all
- 26 of the reports required to be filed pursuant to section 1604-A
- 27 by the candidate and by the treasurer of every political
- 28 committee authorized under the provisions of section 1602-A to
- 29 receive contributions or spend any money or other valuable thing
- 30 on behalf of his candidacy are filed with the appropriate

- 1 <u>supervisory officers</u>.
- 2 Section 5. The act is amended by adding a section to read:
- 3 <u>Section 1839.1. Expenses; Violations and Penalties.--Whoever</u>
- 4 <u>violates any provision of Article XVI-A for which a penalty is</u>
- 5 not otherwise specifically provided shall be quilty of a
- 6 misdemeanor of the third degree.
- 7 Section 6. Sections 1841 and 1842 of the act are repealed.
- 8 Section 7. Section 1843 of the act, amended June 3, 1943
- 9 (P.L.851, No.358), is amended to read:
- 10 Section 1843. Contributions by Corporations.--Any
- 11 corporation or unincorporated association which shall pay, give
- 12 or lend or agree to pay, give or lend any money belonging to
- 13 such corporation or unincorporated association or in its custody
- 14 or control, in violation of the provisions of section [1605]
- 15 <u>1606-A</u> of this act, shall be guilty of a misdemeanor, and, upon
- 16 conviction thereof, shall be sentenced to pay a fine of not less
- 17 than [five hundred (\$500) dollars] one thousand (\$1000) dollars,
- 18 nor more than five thousand (\$5000) dollars. Any director,
- 19 officer, agent or employe of any corporation or unincorporated
- 20 association who shall on behalf of such corporation or
- 21 unincorporated association pay, give or lend or authorize to be
- 22 paid, given or lent any money belonging to such corporation or
- 23 unincorporated association or in its custody or control in
- 24 violation of the provisions of section [1605] 1606-A of this
- 25 act, shall be guilty of a misdemeanor, and, upon conviction
- 26 thereof, shall be sentenced to pay a fine not exceeding [one
- 27 thousand (\$1000)dollars] two thousand (\$2000) dollars or to
- 28 undergo an imprisonment of not [less than one (1) month nor]
- 29 more than [two (2) years] one (1) year, or both, in the
- 30 discretion of the court; and if the violation was wilful, shall

- 1 be sentenced to pay a fine of not more than ten thousand
- 2 (\$10,000) dollars or to undergo imprisonment of not more than
- 3 <u>two (2) years, or both.</u>
- 4 Section 8. Sections 1844 and 1845 of the act are repealed.
- 5 Section 9. There are hereby transferred to the Pennsylvania
- 6 Election Law Enforcement Commission to be used, employed and
- 7 expended in connection with the functions, powers and duties
- 8 transferred by subsection (a) of section 206 of the act of June
- 9 3, 1937 (P.L.1333, No.320), known as the "Pennsylvania Election
- 10 Code, " contract obligations, if any, property, supplies,
- 11 equipment, records and files now being used or held in
- 12 connection with such functions, powers and duties; and the
- 13 unexpended balances of appropriations, allocations and any other
- 14 funds available for use in connection with such functions,
- 15 powers and duties. Such transfer shall be effective 90 days
- 16 after the effective date of this act and until such time the
- 17 powers and duties of the Pennsylvania Election Law Enforcement
- 18 Commission shall be exercised by the Secretary of the
- 19 Commonwealth and the Department of State.
- 20 Section 10. The sum of \$250,000 or as much thereof as may be
- 21 necessary, is hereby specifically appropriated for the fiscal
- 22 year 1977-1978 to the commission for the purposes of
- 23 implementing the provisions of section 2.
- 24 Section 11. This act shall take effect in 60 days.