THE GENERAL ASSEMBLY OF PENNSYLVANIA

HOUSE BILL

No. 1037 Session of 2021

INTRODUCED BY T. DAVIS, BULLOCK, HOHENSTEIN, HILL-EVANS, KINSEY, N. NELSON, SCHLOSSBERG, McNEILL, SANCHEZ, SIMS, WARREN AND BRIGGS, MARCH 29, 2021

REFERRED TO COMMITTEE ON JUDICIARY, MARCH 29, 2021

AN ACT

- Amending Title 61 (Prisons and Parole) of the Pennsylvania Consolidated Statutes, providing for solitary confinement.
- 3 The General Assembly of the Commonwealth of Pennsylvania
- 4 hereby enacts as follows:
- 5 Section 1. Title 61 of the Pennsylvania Consolidated
- 6 Statutes is amended by adding a chapter to read:
- 7 <u>CHAPTER 51</u>
- 8 <u>SOLITARY CONFINEMENT</u>
- 9 Sec.
- 10 <u>5101</u>. <u>Definitions</u>.
- 11 5102. Methods and procedures of solitary confinement.
- 12 <u>5103</u>. <u>Members of vulnerable populations</u>.
- 13 <u>5104</u>. Risk of harm in solitary confinement.
- 14 <u>5105</u>. Solitary confinement authorized.
- 15 5106. Use of solitary confinement pending investigation.
- 16 <u>5107</u>. <u>Duties of secretary</u>.
- 17 5108. Alternative disciplinary measures to solitary

- 1 confinement.
- 2 5109. Rehabilitation after release from solitary confinement.
- 3 5110. Independent investigator.
- 4 <u>5111. Solitary confinement misconduct hearings.</u>
- 5 5112. Additional relief.
- 6 5113. Regulations.
- 7 <u>5114</u>. Report.
- 8 § 5101. Definitions.
- 9 The following words and phrases when used in this chapter
- 10 shall have the meanings given to them in this section unless the
- 11 context clearly indicates otherwise:
- 12 "Chief administrator." The warden, superintendent or other
- 13 officer in charge of a correctional institution or facility.
- 14 <u>"Correctional institution or facility." A State correctional</u>
- 15 <u>institution</u>, county correctional institution, a facility which
- 16 confines a juvenile under 42 Pa.C.S. Ch. 63 (relating to
- 17 juvenile matters), an institution which detains inmates in
- 18 accordance with Chapter 71 (relating to interstate compacts) or
- 19 an intergovernmental service agreement or other contract with
- 20 any Federal, state or county agency, including United States
- 21 Immigration and Customs Enforcement.
- 22 "Department." The Department of Corrections of the
- 23 Commonwealth.
- 24 "Emergency confinement." The solitary confinement of an
- 25 inmate in a correctional institution or facility when there is
- 26 <u>reasonable cause to believe that the confinement is necessary</u>
- 27 for reducing a substantial risk of imminent serious harm to the
- 28 inmate or others as evidenced by recent conduct.
- 29 "Member of a vulnerable population." Any of the following:
- 30 (1) An inmate who is 21 years of age or younger.

- 1 (2) An inmate who is 55 years of age or older.
- 2 (3) An inmate who is pregnant or in the postpartum
- 3 period.
- 4 (4) An inmate who has recently suffered a miscarriage or
- 5 <u>terminated a pregnancy.</u>
- 6 (5) An inmate who is perceived to be lesbian, gay,
- 7 <u>bisexual</u>, transgender or intersex.
- 8 (6) An inmate with the mental health classification of C
- 9 <u>Code or D Code.</u>
- 10 (7) An inmate with intellectual or developmental
- disabilities.
- 12 <u>"Solitary confinement." The confinement of an inmate in a</u>
- 13 correctional institution or facility due to disciplinary,
- 14 administrative, protective, investigative, medical or other
- 15 classification, in a cell or similarly confined holding or
- 16 living space, alone or with other inmates for approximately 20
- 17 hours or more per day, with severely restricted activity,
- 18 movement and social interaction.
- 19 § 5102. Methods and procedures of solitary confinement.
- 20 (a) Conditions. -- An inmate may not be placed in solitary
- 21 confinement unless the following conditions are met:
- 22 (1) There is reasonable cause to believe that the inmate
- 23 would create a substantial risk of immediate serious harm to
- himself or herself or another, as evidenced by recent threats
- 25 or conduct, and a less restrictive intervention would be
- insufficient to reduce the risk. The correctional institution
- 27 <u>or facility shall bear the burden of establishing this</u>
- standard by clear and convincing evidence.
- 29 (2) The inmate is subject to a disciplinary sanction.
- 30 (3) The inmate received a personal and comprehensive

- 1 medical and mental health examination conducted by a
- 2 <u>clinician. In the case of an inmate detained at a county</u>
- 3 correctional institution or facility, a preliminary
- 4 <u>examination shall be conducted by a member of the medical</u>
- 5 <u>staff within 12 hours of the inmate being placed in solitary</u>
- 6 <u>confinement and a clinical examination shall be conducted</u>
- 7 <u>within 48 hours of the inmate being placed in solitary</u>
- 8 confinement.
- 9 <u>(4) The decision to place an inmate in solitary</u>
- 10 confinement is made by the chief administrator.
- 11 (b) Hearing. -- An inmate shall only be held in solitary
- 12 confinement in accordance with a hearing which provides timely,
- 13 <u>fair and meaningful opportunities for the inmate to contest the</u>
- 14 confinement. The hearing shall be conducted in accordance with
- 15 the following:
- 16 (1) The inmate shall receive the hearing within 72 hours
- of placement in solitary confinement and a review every 15
- days after the hearing if the inmate remains in solitary
- 19 confinement.
- 20 (2) In the absence of exceptional circumstances,
- 21 <u>unavoidable delays or reasonable postponements, the inmate</u>
- shall be permitted to appear at the hearing.
- 23 (3) The inmate shall be represented by legal counsel at
- 24 the hearing.
- 25 <u>(4) The hearing shall be conducted by an independent</u>
- hearing officer.
- 27 <u>(5) The inmate shall be provided with a written</u>
- 28 statement of the reasons for the decision to place the inmate
- in solitary confinement at the hearing.
- 30 (c) Prohibition. -- An inmate may not be placed or retained in

- 1 solitary confinement under the following circumstances:
- 2 (1) If the chief administrator determines that the
- 3 <u>inmate no longer meets the standards for solitary</u>
- 4 <u>confinement.</u>
- 5 (2) For no more than 15 consecutive days.
- 6 (3) For no more than 20 days in a 60-day period.
- 7 (d) Evaluation. -- A clinician shall evaluate an inmate placed
- 8 <u>in solitary confinement on a daily basis, in a confidential</u>
- 9 <u>setting outside of the cell whenever possible, to determine</u>
- 10 whether the inmate is a member of a vulnerable population. In
- 11 the case of an inmate detained at a county correctional
- 12 <u>institution or facility</u>, the inmate shall be evaluated by a
- 13 member of the medical staff as frequently as necessary, but not
- 14 <u>less than once every seven days while the inmate is in solitary</u>
- 15 <u>confinement. An inmate determined to be a member of a vulnerable</u>
- 16 population by a clinician shall be immediately removed from
- 17 solitary confinement and moved to an appropriate placement.
- 18 (e) Disciplinary sanctions. -- A disciplinary sanction of
- 19 solitary confinement which has been imposed on an inmate who is
- 20 removed from solitary confinement shall be deemed to be
- 21 satisfied.
- 22 (f) Lockdown. -- During a correctional institution or facility
- 23 <u>lockdown</u>, an inmate may not be placed in solitary confinement
- 24 for more than 15 consecutive days or for more than 20 days
- 25 <u>during any 60-day period.</u>
- 26 <u>(g) Inmate treatment.--The following apply:</u>
- 27 <u>(1) Cells or other holding or living space used for</u>
- 28 <u>solitary confinement shall be properly ventilated, lit,</u>
- 29 <u>temperature-controlled</u>, clean and equipped with properly
- 30 functioning sanitary fixtures.

1	(2) A correctional institution or facility shall
2	maximize the amount of time that an inmate held in solitary
3	confinement spends outside of the cell by providing, as
4	appropriate, access to recreation, education, clinically
5	appropriate treatment therapies, skill-building activities
6	and social interaction with staff and other inmates.
7	(3) An inmate held in solitary confinement may not be
8	denied access to food, water or any other basic necessity.
9	(4) An inmate held in solitary confinement may not be
10	denied access to appropriate medical care, including
11	emergency medical care.
12	(5) An inmate may not be directly released from solitary
13	confinement to the public during the last 180 days of the
14	inmate's term of incarceration, unless it is necessary for
15	the safety of the inmate, staff, other inmates or the public.
16	(6) A restraint chair, chemical agents or shackles may
17	not be used on an inmate.
18	§ 5103. Members of vulnerable populations.
19	(a) Prohibition An inmate who is a member of a vulnerable
20	population may not be placed in solitary confinement.
21	(b) Younger inmates The following apply:
22	(1) An inmate who is a member of a vulnerable population
23	because the inmate is 21 years of age or younger may not be
24	subject to discipline for refusing treatment or medication or
25	for self-harm or threats of self-harm.
26	(2) An inmate who is a member of a vulnerable population
27	because the inmate is 21 years of age or younger and who
28	would otherwise be placed in solitary confinement shall be
29	screened by a correctional institution or facility clinician
30	or the appropriate screening service and, if found to meet

- 1 the standards of civil commitment, shall be placed in a
- 2 <u>specialized unit, as designated by the secretary, or civilly</u>
- 3 <u>committed to the least restrictive appropriate short-term</u>
- 4 <u>care or psychiatric facility designated by the Department of</u>
- 5 <u>Human Services.</u>
- 6 (c) Other inmates.--An inmate who is a member of a
- 7 <u>vulnerable population because the inmate is 55 years of age or</u>
- 8 <u>older, pregnant, in the postpartum period or has recently</u>
- 9 <u>suffered a miscarriage or terminated a pregnancy</u>, who would
- 10 otherwise be placed in isolated confinement, shall be placed in
- 11 <u>a specialized unit as designated by the secretary.</u>
- 12 (d) Applicability. -- This subsection shall not apply to a
- 13 <u>county correctional institution or facility.</u>
- 14 § 5104. Risk of harm in solitary confinement.
- An inmate shall not be placed in solitary confinement with
- 16 one or more inmates if there is reasonable cause to believe that
- 17 there is a risk of harm or harassment, intimidation or extortion
- 18 of other physical or emotional abuse.
- 19 § 5105. Solitary confinement authorized.
- 20 A correctional institution or facility may place an inmate in
- 21 solitary confinement under the following circumstances:
- 22 (1) The chief administrator determines that a
- 23 correctional institution or facility lockdown is required to
- 24 ensure the safety of inmates. The facility administrator
- 25 <u>shall document specific reasons for the lockdown if the</u>
- lockdown lasts more than 24 hours and why less restrictive
- 27 <u>interventions are insufficient to accomplish the safety goals</u>
- of the correctional institution or facility. Within six hours
- of a decision to extend a lockdown for more than 24 hours,
- 30 the chief administrator shall provide the secretary with the

Т	reasons specified under this paragraph for publication on the
2	publicly accessible Internet website of the department. Upon
3	request, the chief administrator shall provide the reasons
4	specified under this paragraph to the General Assembly.
5	(2) The chief administrator determines, based on a
6	personal examination by a physician or, in the case of a
7	county correctional institution or facility, a decision to
8	place an inmate in medical isolation shall be made by a
9	member of the medical staff, that an inmate should be placed
LO	in emergency confinement and the emergency confinement is
11	conducted in accordance with the following:
12	(i) The inmate may not be held in emergency
13	confinement for more than 24 hours.
L 4	(ii) The inmate held in emergency confinement shall
15	receive an initial medical and mental health evaluation
L 6	within two hours and a personal and comprehensive medical
L7	and mental health evaluation within 24 hours. In the case
L8	of a county correctional institution or facility, a
L 9	preliminary examination shall be conducted by a member of
20	the medical staff within 12 hours of confinement and a
21	comprehensive medical and mental health evaluation within
22	48 hours. Reports of these evaluations shall be provided
23	to the chief administrator upon completion.
24	(iii) A clinical review shall be conducted on the
25	inmate at least every six hours and as clinically
26	<pre>indicated.</pre>
27	(iv) The inmate shall be placed in a mental health
28	unit as designated by the secretary.
29	(v) In the case of a county correctional institution
30	or facility, a decision to place an inmate in solitary

1	confinement shall be made by a member of the medical
2	staff and be based on a personal examination and a
3	clinical review shall be conducted within 48 hours of the
4	inmate being placed in solitary confinement and then as
5	clinically indicated.
6	(3) The chief administrator determines that an inmate
7	should be placed in protective custody and the inmate is
8	placed in protective custody in accordance with the
9	<pre>following:</pre>
0	(i) Except as provided under subparagraph (ii), the
1	inmate must provide informed, voluntary, written consent
2	to be placed in protective custody and there is
_3	reasonable cause to believe that protective custody is
_4	necessary to prevent reasonably foreseeable harm.
.5	(ii) The inmate may be placed in involuntary
. 6	protective custody only when there is clear and
_7	convincing evidence that confinement is necessary to
8	prevent reasonably foreseeable harm and that a less
_9	restrictive intervention would not be sufficient to
20	prevent the harm.
21	(iii) In a safe manner, an inmate placed in
22	protective custody shall receive comparable opportunities
23	for activities, movement and social interaction as other
24	inmates in the general population of the correctional
25	institution or facility.
26	(iv) An inmate subject to removal from protective
27	custody shall be provided with a timely, fair and
28	meaningful opportunity to contest the removal.
29	(v) An inmate who is eligible to be placed or
30	currently is in voluntary protective custody may opt out

1	of that eligibility by providing an informed, voluntary
2	written refusal.
3	(vi) The chief administrator shall place an inmate
4	in a less restrictive intervention, including transfer to
5	the general population of another institution or to a
6	special-purpose housing unit for inmates who face similar
7	threats, before placing the inmate in protective custody
8	unless the inmate poses an extraordinary security risk so
9	that transferring the inmate would be insufficient to
10	ensure the inmate's safety.
11	(4) A member of a vulnerable population may not be
12	placed in solitary confinement with one or more inmates,
13	except with the inmate's informed, voluntary, written
14	<pre>consent.</pre>
15	§ 5106. Use of solitary confinement pending investigation.
16	(a) Authorized use An inmate may not be placed in solitary
17	confinement pending investigation of a disciplinary violation
18	unless any of the following occur:
19	(1) The inmate's presence in the general population
20	poses a danger to the inmate, staff, other inmates or the
21	public. In making the determination, the chief administrator
22	shall consider the seriousness of the alleged offense,
23	including whether the offense involved violence or escape or
24	posed a threat to institutional safety by encouraging other
25	inmates to engage in misconduct.
26	(2) The chief administrator has granted approval in an
27	emergency situation.
28	(b) ReviewAn inmate's placement in solitary confinement
29	pending investigation of a disciplinary violation shall be
30	reviewed within 24 hours by a supervisory employee who was not

- 1 involved in the initial placement decision.
- 2 (c) Release. -- An inmate who has been placed in solitary
- 3 confinement pending investigation of a disciplinary offense
- 4 shall be considered for release to the general population if the
- 5 <u>inmate demonstrates good behavior while confined. If the inmate</u>
- 6 is found guilty of the disciplinary violation, the inmate's good
- 7 <u>behavior shall be considered in determining the appropriate</u>
- 8 penalty.
- 9 § 5107. Duties of secretary.
- 10 The secretary shall have the following duties:
- 11 (1) Developing policies and implementing procedures for
- 12 <u>the review of inmates placed in solitary confinement and</u>
- promulgating regulations as specified under section 5113
- 14 (relating to regulations).
- 15 (2) Initiating a review of each inmate placed in
- solitary confinement under the policies and procedures
- 17 developed and implemented under paragraph (1).
- 18 (3) Developing a plan for providing step-down and
- 19 transitional units, programs and staffing patterns to
- accommodate inmates currently placed in solitary confinement,
- 21 inmates who will be placed in solitary confinement and
- 22 inmates who receive an intermediate sanction in lieu of being
- 23 placed in isolated confinement. Staffing patterns for
- 24 correctional and program staff shall be set at levels
- 25 necessary to ensure the safety of staff and inmates in
- accordance with the provisions of this chapter.
- 27 § 5108. Alternative disciplinary measures to solitary
- confinement.
- 29 A correctional institution or facility shall implement
- 30 alternative disciplinary measures to solitary confinement. The

- 1 alternative disciplinary measures may include the following:
- 2 (1) Limiting contact visits.
- 3 (2) Restricting visitors to only the inmate's immediate
- 4 <u>family.</u>
- 5 <u>(3) The loss of work opportunities.</u>
- 6 (4) Assigning additional unpaid work duties for no more
- 7 than 14 days.
- 8 (5) No more than 14 days of the loss of telephone,
- 9 <u>entertainment or yard time privileges.</u>
- 10 (6) No more than 14 days of the loss of commissary
- 11 privileges.
- 12 (7) Confining an inmate to the inmate's own cell in the
- general population area.
- 14 <u>(8) A reprimand or warning.</u>
- 15 § 5109. Rehabilitation after release from solitary confinement.
- An inmate released from solitary confinement shall be
- 17 rehabilitated in accordance with the following:
- 18 (1) The inmate shall be gradually acclimated into the
- 19 general population by temporarily being transferred into a
- 20 single cell.
- 21 (2) The inmate shall receive weekly meetings with a
- 22 licensed mental health professional and a certified peer
- 23 specialist.
- 24 (3) The inmate shall have access to classes and
- 25 educational materials.
- 26 (4) The inmate shall have access to familial and outside
- 27 <u>contact.</u>
- 28 § 5110. Independent investigator.
- 29 <u>(a) Independent investigator.--The department shall</u>
- 30 designate an independent investigator to monitor each

- 1 correctional institution or facility to ensure compliance with
- 2 the provisions of this chapter. The independent investigator
- 3 shall have the following duties:
- 4 (1) Conducting interviews with inmates in solitary
- 5 <u>confinement and reporting any abuse to the department.</u>
- 6 (2) Reviewing any documents regarding inmates necessary
- 7 <u>to determine the implementation of solitary confinement.</u>
- 8 (b) Use of information. -- Information gathered under
- 9 <u>subsection (a) may be used in a misconduct hearing conducted</u>
- 10 under section 5111 (relating to solitary confinement misconduct
- 11 <u>hearings</u>).
- 12 § 5111. Solitary confinement misconduct hearings.
- 13 (a) Hearing review board. -- The department shall establish a
- 14 hearing review board to conduct hearings on solitary confinement
- 15 misconduct by correctional institutions or facilities. The
- 16 hearing review board shall consist of the following:
- 17 (1) A licensed psychologist or psychiatrist.
- 18 (2) A licensed mental health professional with a
- 19 counseling background.
- 20 (3) A licensed social worker.
- 21 (b) Guidelines.--A hearing on solitary confinement by a
- 22 correctional institution or facility shall be initiated and
- 23 governed by guidelines specified in regulations promulgated
- 24 under section 5113 (relating to regulations).
- 25 (c) Misconduct hearings.--A hearing on solitary confinement
- 26 misconduct by a correctional institution or facility shall be
- 27 <u>administered in accordance with the following:</u>
- 28 (1) Unless prohibited by the hearing review board for
- 29 <u>cause shown, a witness may be called at the misconduct</u>
- 30 hearing by the inmate or correctional institution or

- 1 <u>facility.</u>
- 2 (2) An inmate may call on an inmate advocate or legal
- 3 counsel to be present during the misconduct hearing.
- 4 (3) The inmate and correctional institution or facility
- 5 <u>may present evidence, including video evidence, at the</u>
- 6 <u>misconduct hearing. An inmate shall be given access to video</u>
- 7 <u>evidence from the correctional institution or facility no</u>
- 8 <u>later than 24 hours before the commencement of the misconduct</u>
- 9 <u>hearing.</u>
- 10 (d) Relief.--If the hearing review board finds solitary
- 11 confinement misconduct by the correctional institution or
- 12 <u>facility</u>, the correctional institution or facility shall release
- 13 <u>the inmate from solitary confinement.</u>
- 14 § 5112. Additional relief.
- 15 <u>In addition to the relief provided by the hearing review</u>
- 16 board under section 5111 (relating to solitary confinement
- 17 misconduct hearings), an individual adversely affected by any
- 18 manner of law, rule, regulation or other action promulgated or
- 19 <u>enforced by a correctional institution or facility as it relates</u>
- 20 to the use of isolated confinement in violation of this chapter
- 21 may seek declarative and injunctive relief and the actual
- 22 damages attributable to the violation in an appropriate court of
- 23 jurisdiction. The court shall award reasonable expenses to an
- 24 individual adversely affected if the action results in a final
- 25 determination by a court in favor of the individual adversely
- 26 affected.
- 27 § 5113. Regulations.
- The secretary shall promulgate regulations necessary to
- 29 administer the provisions of this chapter. The regulations shall
- 30 include, but not be limited to, the following:

1	(1) Reducing separation from other inmates.
2	(2) Reducing the burden of transferring inmates to a
3	different correctional institution or facility.
4	(3) Establishing any nonisolated confinement sanction
5	authorized by the department's regulations.
6	(4) Easing restrictions on religious, mail and telephone
7	privileges, visit contacts and outdoor and recreation access.
8	A correctional institution or facility may not restrict basic
9	necessities from an inmate in solitary confinement.
10	(5) Requiring training of disciplinary staff and all
11	staff working with inmates in solitary confinement. The
12	training shall include the following:
13	(i) Standards for solitary confinement, including
14	that solitary confinement shall only be imposed when an
15	inmate commits an offense involving violence, escapes or
16	attempts to escape or poses a threat to institutional
17	safety.
18	(ii) The maximum amount of time an inmate may be in
19	solitary confinement and the available less restrictive
20	<u>interventions.</u>
21	(iii) The identification of developmental
22	disabilities, the symptoms of mental illness, including
23	trauma disorders, and methods of safe responses to
24	<u>inmates in distress.</u>
25	(6) Requiring documentation of all decisions, procedures
26	and reviews of inmates placed in solitary confinement.
27	(7) Monitoring of compliance with the provisions of this
28	<pre>chapter.</pre>
29	(8) Fair and equitable guidelines for the administration
30	of misconduct hearings under section 5111 (relating to

- 1 <u>solitary confinement misconduct hearings).</u>
- 2 <u>§ 5114. Report.</u>
- 3 The commission shall post quarterly reports on the
- 4 <u>department's publicly accessible Internet website on the use of</u>
- 5 <u>solitary confinement</u>, <u>including all of the following:</u>
- 6 (1) The age, sex, gender identity, ethnicity and type of
- 7 <u>confinement status for each inmate. No identifiable</u>
- 8 <u>information shall be included in the report.</u>
- 9 <u>(2) The total number of inmates in solitary confinement.</u>
- 10 (3) The total number of incidences of emergency
- 11 <u>confinement</u>, solitary confinement as a result of a lockdown,
- inmate self-harm or suicide and assault in solitary
- 13 confinement units.
- 14 Section 2. This act shall take effect in 60 days.