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SENATE OF PA  
SECRETARY'S OFFICE



COMMONWEALTH OF PENNSYLVANIA  
OFFICE OF THE GOVERNOR  
HARRISBURG

THE GOVERNOR

MEMBER OF THE STATE REAL ESTATE COMMISSION

February 11, 2014

To the Honorable, the Senate  
of the Commonwealth of Pennsylvania:

In conformity with law, I have the honor hereby to nominate for the advice and consent of the Senate, Alexis L. Barbieri, (Public Member), 501 W. Mermaid Lane, Philadelphia 19118, Philadelphia County, Fourth Senatorial District, for appointment as a member of the State Real Estate Commission, to serve for a term of five years or until her successor is appointed and qualified, but not longer than six months beyond that period, vice Gaetano Piccirilli, Philadelphia, whose term expired.

A handwritten signature in black ink that reads "Tom Corbett".

TOM CORBETT  
Governor

# STATEMENT OF FINANCIAL INTERESTS

PLEASE PRINT NEATLY

01 LAST NAME FIRST NAME MI SUFFIX  
BARBIERI ALEXIS L

02 ADDRESS (work or home) City State Zip Code Area Code Phone  
501 W. MERMIDA LN PHILADELPHIA PA 19118 (215) 247-9323

NOTE: IF YOU ARE INCLUDING ATTACHMENTS, DO NOT INCLUDE ANYTHING THAT BEARS YOUR SOCIAL SECURITY NUMBER OR FINANCIAL ACCOUNT NUMBERS.

03 STATUS Check applicable block or blocks, more than one block may be marked. (See instructions on page 2)

A  Candidate (including write-in) C  Public Official (Current) D  Public Employee (Current) E  Check this block if you are filing as a solicitor

B  Nominee C  Public Official (Former) D  Public Employee (Former)

Check this block if you are amending an original filing

04 PUBLIC POSITION OR PUBLIC OFFICE (administrator, member, Commissioner, job title, etc.)  seeking  hold  held

EXECUTIVE DEP. ATTORNEY GENERAL  seeking  hold  held

B Member

05 GOVERNMENTAL ENTITY in which you are/were an Official, Employee, Candidate or Nominee (e.g., dept, agency, authority, borough, board, commission, county, school district, twp, etc.)

PA OFFICE OF ATTORNEY GENERAL

B ST. REAL ESTATE COMM.

06 OCCUPATION OR PROFESSION (This may be the same as block 4) 07 YEAR The information in blocks 8 through 15 below represents financial interests for the PRIOR calendar year indicated:

ATTORNEY 2013

08 REAL ESTATE INTERESTS (See instructions on page 2) If NONE, check this box.

NONE

09 CREDITORS (See instructions on page 2). Creditor (Name and Address) If NONE, check this box.

Name: Address: Interest Rate:

10 DIRECT OR INDIRECT SOURCES OF INCOME including (but not limited to) all employment. (See instructions on pg. 2) ONLY IF NONE, check this block. (OFFICIAL USE ONLY)

PA OFFICE OF ATTORNEY GENERAL STRAWBERRY SQUARE, HARRISBURG, PA 17101

Name: Address:

SEERS 30 N. 3rd ST, Ste 150 HARRISBURG, PA 17101

11 GIFTS (See instructions on page 2) If NONE, check this box.

Source of Gift Value of Gift

Address of Source of Gift Circumstances (including description) of Gift

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12 TRANSPORTATION, LODGING, HOSPITALITY (See instructions on page 2) If NONE, check this box.

Source (Name and Address)

13 OFFICE, DIRECTORSHIP OR EMPLOYMENT IN ANY BUSINESS (See instructions on page 2) If NONE, check this box.

Business Entity (Name and Address) Position Held

GOLDEN SHORES CONDO ASSN 8001 2nd Ave Stone Harbor, NJ President

14 FINANCIAL INTEREST IN ANY LEGAL ENTITY IN BUSINESS FOR PROFIT (See instructions on page 2) If NONE, check this box.

Name and Address of Business Interest Held

HORIZON VENTURES 501 KINGWAY SUISUN, CA 94385 9.62%

15 BUSINESS INTERESTS TRANSFERRED TO IMMEDIATE FAMILY MEMBER (See instructions on page 2) If NONE, check this box.

Business (Name and Address) Interest Held Relationship Date Transferred

Transferee (Name and Address)

The undersigned hereby affirms that the foregoing information is true and correct to the best of said person's knowledge, information and belief; said affirmation being made subject to the penalties prescribed by 18 Pa.C.S. § 4904 (unsworn falsification to authorities) and the Public Official and Employee Ethics Act, 65 Pa.C.S. § 1109(b).

Signature [Signature] Enter Current Date 2/17/2014

THIS FORM IS CONSIDERED DEFICIENT IF ANY BLOCK ABOVE IS NOT COMPLETED. MAKE A COPY FOR YOUR RECORDS.

Statement of Financial Interests Alexis L. Barbieri

Continued:

10. Trust FOB Alexis L. Barbieri Legg Mason Investment Counsel & Trust Co. N.A.  
100 International Drive  
Baltimore, MD 21202

Trust FOB Andrea M. Barbieri Legg Mason Investment Counsel & Trust Co. N.A.  
100 International Drive  
Baltimore, MD 21202

Horizon Ventures 501 Kings Way  
Suisun, CA 94585

Alexis L. Barbieri, Brokerage Acct. LPL Financial  
187 Hershey Rd.  
Hummelstown, PA 17036

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#4C - held, Member (of Attorney General Representative)  
#5C - State Real Estate Comm

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STATE ETHICS  
COMMISSION