THE GENERAL ASSEMBLY OF PENNSYLVANIA

HOUSE BILL

No. 2462 Session of 2018

INTRODUCED BY MALONEY, MILLARD, ZIMMERMAN, WATSON, WHEELAND, GILLEN AND HEFFLEY, JUNE 5, 2018

REFERRED TO COMMITTEE ON ENVIRONMENTAL RESOURCES AND ENERGY, JUNE 5, 2018

AN ACT

- 1 Providing for registration of on-lot wastewater treatment system
- inspectors with the Department of State of the Commonwealth,
- for biennial registration and for duties of certifying
- 4 organizations.
- 5 The General Assembly of the Commonwealth of Pennsylvania
- 6 hereby enacts as follows:
- 7 Section 1. Short title.
- 8 This act shall be known and may be cited as the On-Lot
- 9 Disposal System (OLDS) Homeowner and Real Estate Buyer
- 10 Protection Act.
- 11 Section 2. Purpose and application.
- 12 (a) Purpose. -- The purpose of this act is to provide for
- 13 training and certification for those individuals performing
- 14 inspections for existing on-lot disposal systems for buyers or
- 15 sellers in a real estate transaction, or for implementation of a
- 16 municipal sewage management program, or to satisfy operation and
- 17 maintenance permit conditions under current department
- 18 regulations and guidelines and to satisfy certain minimum

- 1 qualifications, including prerequisite training, the passage of
- 2 a comprehensive written examination, subsequent continuing
- 3 education and for correction of unsatisfactory conditions.
- 4 (b) Application. -- Nothing in this act shall be interpreted
- 5 as legally requiring an on-lot disposal system inspection in a
- 6 real estate transaction between private parties.
- 7 Section 3. Definitions.
- 8 The following words and phrases when used in this act shall
- 9 have the meanings given to them in this section unless the
- 10 context clearly indicates otherwise:
- "Absorption area." A reserved portion of a property through
- 12 which treatment tank effluent is intended to move as it enters
- 13 the soil, air or water environment for final renovation or
- 14 dispersal.
- 15 "Best performance practices." The process by which the
- 16 performance of an on-lot system that has been found to be
- 17 performing unsatisfactorily can be improved. The practices shall
- 18 be at the discretion of a local agency or its representative.
- "Certification exam." A written, time-limited, standardized
- 20 examination administered in collaboration with an accredited
- 21 college or university at the close of each certification
- 22 training event.
- "Certified inspector." An individual who has completed a
- 24 course of study prescribed by the certifying organization and
- 25 attained a passing score on a written examination.
- 26 "Certifying organization." An incorporated organization
- 27 which has provided instruction dealing with OLDS, courses of
- 28 which include location, identification and evaluation of
- 29 existing OLDS that leads to a conclusion as defined in the
- 30 inspection standards. The organization shall have at least 20

- 1 years of experience in providing the instructions.
- 2 "Department." The Department of State of the Commonwealth.
- 3 "Inspection standards." A printed or electronically
- 4 transmitted set of criteria that has been tested for a minimum
- 5 of 10 years, which specifies the conclusion to be reported to a
- 6 client when a particular circumstance or characteristic is
- 7 observed in an OLDS under inspection and that are set by a
- 8 committee of industry-related professionals selected by a
- 9 certifying organization.
- "On-lot disposal system" or "OLDS." An individual or
- 11 community sewage system which uses a system of piping, tanks or
- 12 other facilities for collecting, treating and disposing of
- 13 sewage into a soil absorption area as recognized by regulations
- 14 promulgated by the act of January 24, 1966 (1965 P.L.1535,
- 15 No.537), known as the Pennsylvania Sewage Facilities Act, and
- 16 includes flow facilities as defined by those regulations.
- "Sewage management program." A program authorized by the
- 18 official action of a municipality for the administration,
- 19 management and regulation for the disposal of sewage.
- "Unsatisfactory condition." A condition that signals an OLDS
- 21 or any of its components is deemed to be unsatisfactory per the
- 22 inspection standards.
- 23 Section 4. Inspector training.
- 24 (a) General rule. -- An OLDS inspector shall complete a
- 25 comprehensive training program, including initial training that
- 26 consists of a minimum of 15 hours of combined classroom and
- 27 field training following a course of study administered by the
- 28 certifying organization. In addition, an OLDS inspector shall
- 29 complete a minimum of 15 hours of continuing education
- 30 biannually as administered by the certifying organization. The

- 1 course curriculum shall include at a minimum:
- 2 (1) Methods of investigation that will enable the 3 inspector to locate and identify existing OLDS.
- 4 (2) A description and explanation of the OLDS likely to be encountered servicing structures in this Commonwealth, including methods to determine the type of system located.
- 7 (3) An explanation of the hand and other tools used to locate and gather information on existing systems.
- 9 (4) An explanation of the published inspection 10 standards, derivations of standards, the underlying concepts 11 that support the specified conclusions and how to apply the 12 standards.
- 13 (5) An overview of effective communication in English,
 14 including both report writing and verbal communication
 15 skills.
- 16 (6) Certain advanced technologies shall require
 17 additional specified training as prescribed by the certifying
 18 organization.
- 19 (b) Review of curriculum. -- At a frequency to be determined
- 20 by the certifying organization a minimum of every three years,
- 21 by a panel of certified inspectors, trainers, professors or
- 22 researchers affiliated with soil science or specialized
- 23 engineering curricula from an accredited college or university
- 24 and sewage enforcement officers shall review the curriculum and
- 25 standards. The certifying organization shall appoint the members
- 26 of its review panel to the panel described in this subsection.
- 27 (c) Demonstration of proficiency.--
- 28 (1) At the conclusion of a training session, each
 29 trainee seeking certification or recertification must score
 30 at least 70% on a written examination. The examination shall

- 1 test the skills taught in the respective courses.
- 2 (2) The examination results shall be reviewed by an
- 3 accredited college or university to assure the statistical
- 4 validity of the questions and scores.
- 5 Section 5. Inspector registration.
- 6 (a) General rule. -- An individual conducting or offering to
- 7 conduct septic system inspections shall register the
- 8 individual's credentials with the department. The department
- 9 shall maintain a public list of such individuals who have
- 10 complied with this section.
- 11 (b) Initial registration. -- When registering, an inspector
- 12 shall provide a certificate from a certifying organization that
- 13 the inspector has completed the requisite training and achieved
- 14 a passing score on the certification examination.
- 15 (c) Continuing education required for all certified
- 16 individuals.--Prior to the expiration of an inspector's
- 17 certification, and no less frequently than every two years, an
- 18 inspector shall complete a minimum of 15 hours of continuing
- 19 education which shall be approved by the certifying
- 20 organization. At a minimum, the continuing education shall
- 21 address the following subjects:
- 22 (1) A review of the inspection standards.
- 23 (2) A written examination with a passing score of 70%.
- 24 (3) Additional curriculum as required by the certifying
- 25 organization.
- 26 Section 6. Biennial registration.
- 27 (a) General rule. -- Every two years following initial
- 28 registration, an inspector shall submit to the department a
- 29 certificate from a certifying organization indicating that the
- 30 inspector has completed the requisite continuing education

- 1 training and achieved a passing score on the posttraining
- 2 examination. Registration shall be for a period of two years,
- 3 ending on the last day of the month during which the department
- 4 receives the appropriate documentation from inspectors and shall
- 5 not be transferable. Only a natural person may become registered
- 6 with the department. All inspections shall be performed by, and
- 7 all inspection reports shall be signed by, a registered
- 8 individual.
- 9 (b) Public access to list of registered inspectors. -- The
- 10 department shall maintain a list of all registered inspectors
- 11 and make the list available to the public on the department's
- 12 publicly accessible Internet website or in writing if so
- 13 requested.
- 14 Section 7. Certifying organizations.
- 15 (a) Duty to register. -- A certifying organization shall
- 16 register with the department identifying the location of the
- 17 organization's principal place of business, the officers of the
- 18 corporation and related contact information.
- 19 (b) Inspection standards to be filed. -- A certifying
- 20 organization shall file a copy of the inspection standards which
- 21 form the basis for that organization's training curriculum and
- 22 for the inspection reports issued by the inspectors that
- 23 organization certifies.
- 24 (c) Complaint resolution. -- A certifying organization shall
- 25 establish and maintain a quasi-judicial body to hear complaints
- 26 against individuals certified by the organization. The quasi-
- 27 judicial body may require additional training and, if the
- 28 severity of the circumstances warrants, revoke or suspend an
- 29 inspector's certification.
- 30 (d) Regulatory oversight. -- The department reserves the right

- 1 to assess the capability of a certifying organization based on
- 2 the following criteria:
- 3 (1) Limiting assessment per certifying organization or
- 4 certified trainer to once each two-year period unless the
- 5 assessment is in response to a complaint filed to the
- 6 department. Nothing in this section shall be construed as
- 7 requiring a more frequent assessment for each certifying
- 8 organization or certified trainer than is stated above.
- 9 (2) Verifying technical experience or background of the
- 10 certifying organization.
- 11 (3) Observing a specific training session to evaluate
- 12 curriculum quality.
- 13 (4) Assessing certified trainers based on observation of
- 14 a specific training session.
- 15 (e) Performance reviews. -- An individual may request a
- 16 certifying organization to review the performance of an
- 17 inspector that the organization has certified, and the request
- 18 shall be the venue of first review for all complaints against an
- 19 inspector.
- 20 Section 8. Trainer selection.
- 21 (a) Duty to certify trainers. -- A certifying organization
- 22 shall certify trainers and, at least once every three years,
- 23 evaluate individuals who will train inspector candidates.
- 24 (b) Qualifications. -- An inspector trainer candidate or
- 25 subsequently certified trainer shall:
- 26 (1) Before entering a train-the-trainer course and while
- performing as a trainer, score at least 85% on the
- 28 certification examination.
- 29 (2) Participate in a minimum six-hour train-the-trainer
- 30 course presented by the certifying organization.

- 1 (3) Be a certified inspector before participating in a
- 2 train-the-trainer course.
- 3 (4) Remain certified throughout the time during which
- 4 performing as trainer.
- 5 (c) Train-the-trainer course.--A train-the-trainer course
- 6 shall prepare the trainers to teach the organization's
- 7 inspection standards and an overview of this act.
- 8 (d) Annual notice to department. -- A certifying organization
- 9 shall notify the department annually of the trainers currently
- 10 certified to teach the curriculum.
- 11 Section 9. Municipal ordinances.
- 12 (a) Prohibitions.--
- 13 (1) No municipality, for the purpose of zoning ordinance
- 14 compliance or as a condition of the issuance of a certificate
- of occupancy or use, may enact or enforce an ordinance,
- 16 policy or regulation that requires the inspection of an
- existing septic system at the time of change of ownership or
- 18 occupancy unless the change in use or occupancy can
- 19 reasonably be expected to alter the characteristics of the
- sewage generated or the flows to the existing OLDS under the
- 21 act of January 24, 1966 (1965 P.L.1535, No.537), known as the
- 22 Pennsylvania Sewage Facilities Act.
- 23 (2) No municipality may require that an inspection
- report resulting from an inspection performed voluntarily by
- a homeowner or prospective buyer be given to the
- 26 municipality.
- 27 (b) Mandatory inspection program permitted.--When
- 28 implementing a sewage management program, a municipality may
- 29 establish a mandatory inspection program provided that all
- 30 inspectors are qualified and registered under the provisions of

- 1 this act. The costs of the inspections shall be borne by the
- 2 landowner and performed by an inspector registered under this
- 3 act. The municipality shall specify that the sewage management
- 4 program requires all OLDS to be inspected. The report resulting
- 5 from the inspections shall be provided to both the municipality
- 6 and the landowner.
- 7 (c) Sewage enforcement officers. -- The inspector conducting
- 8 inspections under a municipal sewage management program may be
- 9 the municipal or local agency sewage enforcement officer if the
- 10 officer is registered under this act.
- 11 Section 10. Correcting unsatisfactory conditions.
- 12 (a) Duty to report and repair. -- When, during an inspection
- 13 conducted under this act, an unsatisfactory condition is
- 14 observed, the condition shall be reported to the inspector's
- 15 customer in writing.
- 16 (b) Corrective measures. -- When considering corrective
- 17 measures for an unsatisfactory condition which has been
- 18 discovered during an inspection, it shall be the policy of the
- 19 Department of Environmental Protection and local agencies
- 20 administering the act of January 24, 1966 (1965 P.L.1535,
- 21 No.537), known as the Pennsylvania Sewage Facilities Act, to
- 22 first consider all Conventional and Alternate OLDS as recognized
- 23 by regulations promulgated by the Pennsylvania Sewage Facilities
- 24 Act in the correction of existing unsatisfactory conditions and,
- 25 when the systems cannot be constructed in accordance with
- 26 existing regulations, to employ the best performance practices
- 27 possible in attempting to repair, replace or enable the system
- 28 to perform to its reasonable maximum potential in order to
- 29 diminish, to the greatest extent possible, the system's negative
- 30 environmental or health impacts.

- 1 (c) Requirement to issue or replace permit. -- A permit to
- 2 improve the performance of an OLDS shall be issued by the local
- 3 agency at the request of the system owner.
- 4 Section 11. Enforcement.
- 5 (a) Failure to register.--Every individual offering to or
- 6 performing the inspection of an existing on-lot wastewater
- 7 treatment system who is not registered with the department as
- 8 required by this act commits a violation of this act.
- 9 (b) Cause of action. -- A party aggrieved by the actions of an
- 10 individual in violation of this act may initiate an action in
- 11 the magisterial district in which the inspection was or was to
- 12 be performed for damages of not less than \$1,000 nor more than
- 13 \$10,000 resulting from a misrepresentation by the unregistered
- 14 individual.
- 15 (c) Public list of violators. -- The department shall maintain
- 16 and make available to the public on its publicly accessible
- 17 Internet website a list of individuals who, after due
- 18 administrative process, were found by the department to be in
- 19 violation of this act. The list shall identify each section of
- 20 the act the individual has violated. Although the department is
- 21 expected to update the list each time an enforcement action is
- 22 taken, there shall be an annual review to remove those
- 23 individuals from the list whose violation of this act occurred
- 24 at least three years prior to the annual review.
- 25 (d) Appeals.--An individual who, after due administrative
- 26 process is found by the department to be in violation of this
- 27 act, may appeal this decision in Commonwealth Court. If the
- 28 violation is appealed, the public record cited in subsection (c)
- 29 shall insert the words "UNDER APPEAL" after the citation of the
- 30 specific section of this act having been violated.

- 1 (e) Suspension. -- If a certified trainer or certifying
- 2 organization is found to be in violation of this act, the
- 3 department may suspend the certified trainer's or certified
- 4 organization's registration for a period not exceeding three
- 5 months if there has been due administrative process and right to
- 6 appeal in Commonwealth Court.
- 7 Section 12. Effective date.
- 8 This act shall take effect as follows:
- 9 (1) This section shall take effect immediately.
- 10 (2) Sections 5(c) and 11 shall take effect in 12 months.
- 11 (3) The remainder of this act shall take effect in 60
- 12 days.