THE GENERAL ASSEMBLY OF PENNSYLVANIA

HOUSE BILL No. 1607 Session of 2013

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JULY 10,	, 2013					

AS REPORTED FROM COMMITTEE ON CONSUMER AFFAIRS, HOUSE OF REPRESENTATIVES, AS AMENDED, JANUARY 13, 2014

AN ACT

1	Amending the act of December 10, 1974 (P.L.852, No.287),
2	entitled, as amended, "An act to protect the public health
3	and safety by preventing excavation or demolition work from
4	damaging underground lines used in providing electricity,
5	communication, gas, propane, oil delivery, oil product
6	delivery, sewage, water or other service; imposing duties
7	upon the providers of such service, recorders of deeds, and
8	persons and other entities preparing drawings or performing
9	excavation or demolition work; and prescribing penalties,"
10	further providing for definitions, for duties of facility
11 12	owners, the One Call System, other parties, designers, excavators and project owners and for penalties; providing
13	for enforcement, compliance and penalties; and further
14	providing for expiration.
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15	The General Assembly of the Commonwealth of Pennsylvania
16	hereby enacts as follows:
17	Section 1. Section 1 of the act of December 10, 1974
18	(P.L.852, No.287), referred to as the Underground Utility Line
19	Protection Law, amended November 29, 2006 (P.L.1593, No.181), is
20	amended to read:

21 Section 1. As used in this act:

22 "Abandoned" means no longer in service and physically

1 disconnected from a line.

2 "Alleged violation" means an instance when a person by action or inaction fails to fulfill the obligations of this act. 3 "Business day" means any day except a Saturday, Sunday or 4 legal holiday prescribed by statute. A business day begins at 5 12:00:00 a.m. and ends at 11:59:59 p.m. 6 7 ["Cartway" means that portion of a street which is improved 8 by surfacing with permanent or semipermanent material and is intended for vehicular traffic.] 9 10 "Chairman" means the Chairman of the Pennsylvania Public Utility Commission. 11 12 "Commission" means the Pennsylvania Public Utility 13 Commission. 14 "Committee" means the Damage Prevention Committee established under section 7.8(b). 15 16 "Common Ground Alliance best practices" means the damage prevention industry recommended standards issued by the Common 17 Ground Alliance, a not-for-profit corporation created pursuant 18 19 to the issuance of the United States Department of 20 Transportation's Common Ground Task Force report in 1999. 21 "Complex project" means an excavation that involves more work than properly can be described in a single locate request or any 22 23 project designated as such by the excavator or facility owner as 24 a consequence of its complexity or its potential to cause 25 significant disruption to lines or facilities and the public, 26 including excavations that require scheduling locates over an 27 extended time frame. 28 "Consumer Price Index" means the index of consumer prices

29 developed and updated by the Bureau of Labor Statistics of the 30 United States Department of Labor.

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1 ["Continuing property records" means a record required 2 pursuant to 66 Pa.C.S. § 1702 (relating to continuing property 3 records).]

4 <u>"DAMAGE PREVENTION INVESTIGATOR" MEANS COMMISSION STAFF</u>
5 <u>ASSIGNED TO INVESTIGATE A REPORT OF AN ALLEGED VIOLATION AND</u>
6 <u>PREPARE FINDINGS AND RECOMMENDATIONS FOR CONSIDERATION BY THE</u>
7 <u>COMMITTEE.</u>

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8 "Demolition work" means the partial or complete destruction 9 of a structure, by any means, served by or adjacent to a line or 10 lines.

11 ["Department" means the Department of Labor and Industry of 12 the Commonwealth.]

"Designer" means any architect, engineer or other person who or which prepares a drawing for a construction or other project which requires excavation or demolition work as herein defined. "Emergency" means a sudden or unforeseen occurrence involving a clear and immediate danger to life, property and the environment, including, but not limited to, serious breaks or defects in a facility owner's lines.

20 "Excavation work" means the use of powered equipment or explosives in the movement of earth, rock or other material, and 21 includes, but is not limited to, anchoring, augering, 22 23 backfilling, blasting, boring, digging, ditching, drilling, 24 driving-in, grading, plowing-in, pulling-in, ripping, scraping, 25 trenching and tunneling, but does not include soft excavation 26 technology such as vacuum, high pressure air or water, tilling of soil for agricultural purposes to a depth of less than 27 28 eighteen inches[, the direct operations necessary or incidental 29 to the purposes of finding or extracting natural resources, 30 political subdivisions performing minor routine maintenance up

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to a depth of less than eighteen inches measured from the top of 1 2 the edge of the cartway or the top of the outer edge of an 3 improved shoulder, in addition to the performance of incidental de minimis excavation associated with the routine maintenance 4 and the removal of sediment buildup, within the right-of-way of 5 public roads or employes of the Department of Transportation 6 performing within the scope of their employment work up to a 7 8 depth of twenty-four inches beneath the existing surface within the right-of-way of a State highway] or the direct operations on 9 10 the well pad following construction of the well pad, necessary <-or incidental BY OR UNDER THE DIRECTION OF THE OWNER OR OPERATOR <--11 12 OF THE WELL PAD, AND THAT ARE NECESSARY OR OPERATIONS INCIDENTAL 13 to the extraction of oil or natural gas.

14 "Excavator" means any person who or which performs excavation 15 or demolition work for himself or for another person.

16 "Facility owner" means the public utility or agency, 17 political subdivision, municipality, authority, rural electric 18 cooperative or other person or entity who or which owns or 19 operates a line. [The term does not include the Department of 20 Transportation within a State highway right-of-way.] The term 21 does not include any of the following:

(1) A person serving the person's own property through the person's own line, if the person does not provide service to any other customer.

(2) A person using a line which the person does not own or
operate, if the use of the line does not serve more than a
single property.

28 "Final design." means the engineering and construction 29 drawings that are provided to a bidder or other person who is 30 asked to initiate construction on the bid date or the date the

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1 project is set for construction in the absence of a bid.

2 <u>"Fiscal year" means the fiscal year utilized by the</u>

3 <u>commission.</u>

4 <u>"Fund" means the Underground Utility Line Protection Fund</u>
5 established under section 7.9(a).

6 "Horizontal directional drilling." means the use of 7 horizontal boring devices that can be guided between a launch 8 point and a reception point beneath the earth's surface.

9 <u>"Injury" means a bodily harm to a person who, as a result of</u>

10 the bodily harm, immediately receives medical attention away

11 from the scene of the incident.

12 <u>"Lawful start date" means the scheduled start date as</u>
13 provided under section 1.1.

14 "Line" or "facility" means an underground conductor or 15 underground pipe or structure used in providing electric or 16 communication service, or an underground pipe used in carrying, gathering, transporting or providing natural or artificial gas, 17 18 petroleum, propane, oil or petroleum and production product, 19 sewage, water or other service to one or more transportation 20 carriers, consumers or customers of such service and the 21 appurtenances thereto, regardless of whether such line or structure is located on land owned by a person or public agency 22 23 or whether it is located within an easement or right-of-way. The 24 term shall include unexposed storm drainage and traffic loops 25 that are not clearly visible. [The term shall not include crude oil or natural gas production and gathering lines or facilities 26 unless the line or facility is a regulated onshore gathering 27 28 line as defined in regulations promulgated after January 1, 29 2006, by the United States Department of Transportation pursuant to the Pipeline Safety Act of 1992 (Public Law 102-508, 49 30

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1 U.S.C. § 60101 et seq.), if the regulated gathering line is 2 subject to the damage prevention program requirements of 49 CFR 3 § 192.614.]

"Locate request" means a communication between an excavator 4 or designer and the One Call System in which a request for 5 6 locating facilities is processed. Locate requests submitted by 7 an excavator performing work within the right-of-way of any 8 State highway, either under contract to the Department of Transportation or under authority of a permit issued by the 9 Department of Transportation, shall include the number of the 10 11 Department of Transportation contract or permit.

12 ["Minor routine maintenance" means shaping of or adding dust 13 palliative to unpaved roads, removal and application of patches 14 to the surface or base of flexible base, rigid base or rigid 15 surface roads by either manual or mechanized method to the 16 extent of the existing exposed base material, crack and joint 17 sealing, adding dust palliative to road shoulders, patching and 18 cutting of shoulders and shoulder bases by either manual or 19 mechanized methods to the extent of the existing exposed base, 20 and cleaning of inlets and drainage pipes and ditches.]

21 "One Call System" means the communication system established within this Commonwealth to provide a single nationwide toll-22 23 free telephone number or 811 number for excavators or designers 24 or any other person covered by this act to call facility owners 25 and notify them of their intent to perform excavation, 26 demolition or similar work as defined by this act. The One Call System shall be incorporated and operated as a nonprofit 27 28 corporation pursuant to 15 Pa.C.S. Pt. II Subpt. C (relating to 29 nonprofit corporations).

30 "Operator" means any individual in physical control of

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powered equipment or explosives when being used to perform
 excavation or demolition work.

3 "Person" means an individual, partnership, corporation,
4 political subdivision, a municipal authority, the Commonwealth
5 and its agencies and instrumentalities, or any other entity.
6 "Powered equipment" means any equipment energized by an
7 engine or motor and used in excavation or demolition work.

8 <u>"Preconstruction meeting" means a scheduled event held by the</u> 9 <u>excavator, designer, project owner and facility owner, or an</u> 10 <u>agent of the excavator, designer, project owner and facility</u>

11 <u>owner, prior to the commencement of excavation or demolition</u>

12 work in a complex project.

13 ["Preconstruction request" means a notification to facility 14 owners regarding a complex project.]

15 "Project owner" means any person who or which engages an 16 excavator for construction or any other project which requires 17 excavation or demolition work.

18 <u>"Report of alleged violation" means a recorded account of an</u>
19 <u>alleged violation.</u>

20 ["Secretary" means the Secretary of Labor and Industry of the 21 Commonwealth.

"Site" means the specific place denoted on the locate request 22 23 where excavation or demolition work is being or is planned to be 24 performed. A site should be denoted as a clearly defined, 25 bounded area, including relevant identifiable points of reference such as the specific address with a specific 26 description as to the portion of the property, including 27 28 descriptions such as front, back, left side, right side and direction such as N, S, E, W or variants. Where possible, the 29 points should also reference, without limitation, the size and 30

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1 radius or circumference of the excavation, utility pad or 2 pedestal numbers, utility pole numbers, landmarks, including 3 trees, fountains, fences, railroads, highway and pipeline 4 markers, and latitude and longitude.]

"Subsurface utility engineering" or "SUE" means those 5 techniques set forth in the American Society of Civil Engineers 6 7 (ASCE) most recently published standard CI/ASCE 38-02, or its 8 successor document as determined by the One Call System. 9 "Tolerance zone" means the horizontal space within eighteen 10 inches of the outside wall or edge of a line or facility. 11 "Traffic loop" means a device that detects metal objects such as cars and bicycles based on the change in inductance that they 12 13 induce in the device.

14 <u>"Well site PAD" means area, under the control of an oil or</u> <--</p>
15 <u>natural gas company, occupied by equipment or facilities</u>
16 necessary or required for the drilling, production or plugging

17 of an oil or natural gas well.

18 "Work site" means the specific place denoted on the locate

19 request where excavation or demolition work is being or is

20 planned to be performed. A work site should be denoted as a

21 clearly defined, bounded area, including relevant identifiable

22 points of reference such as the specific address with a specific

23 <u>description as to the portion of the property, including</u>

24 <u>descriptions such as front, back, left side, right side and</u>

25 direction such as N, S, E, W or variants. Where possible, the

26 points should also reference, without limitation, the size and

27 radius or circumference of the excavation, utility pad or

28 pedestal numbers, utility pole numbers, landmarks, including

29 trees, fountains, fences, railroads, highway and pipeline

30 markers, and latitude and longitude.

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Section 1.1. The act is amended by adding a section to read:
 <u>Section 1.1. The lawful start date shall be three business</u>
 <u>days through ten business days following notification to the One</u>
 <u>Call System.</u>

Section 2. Section 2 of the act, amended November 29, 2006
(P.L.1593, No.181) and October 9, 2008 (P.L.1507, No.121), is
amended to read:

8 Section 2. It shall be the duty of each facility owner: 9 (1) To be a member of and give written notice to the One 10 Call System. Such notice shall be in a form acceptable to the 11 One Call System and include:

12 (i) the legal name of the facility owner and their official13 mailing address;

(ii) the names of the counties and municipalities, down to and including wards in Philadelphia, Pittsburgh, Allentown and Erie, in which its lines are located and other related information as may be required by the One Call System regarding the location of a member's facilities;

(iii) the facility owner's address (by street, number and political subdivision)[,] and the telephone number and fax number, if available, to which inquiries may be directed as to the location of such lines;

23 (iv) the street identifications or like information within 24 each of the municipalities in which its lines are located. This 25 information shall be in a form acceptable to the One Call System. Upon acceptance of the information from a facility 26 owner, the One Call System shall provide the facility owner with 27 28 notification within the boundaries described. All facility 29 owners shall agree to indemnify and hold harmless the One Call System for any errors and omissions on the part of the facility 30

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owner or the excavator or designer providing the information as
 the agent of the facility owner; and

3 (V) any other information required by the One Call System. (2) To provide the One Call System, within five business 4 5 days, with any revised information required under this section. 6 Not more than ten business days after receipt of a (4) 7 request from a designer who identifies the work site of 8 excavation or demolition work for which he is preparing a 9 drawing, to initially respond to his request for information as 10 to the position and type of the facility owner's lines at such work site based on the information currently in the facility 11 owner's possession or to mark the plans which have been provided 12 13 to it by the designer by field location or by another method agreed to by the designer, excavator and facility owner, or 14 15 their agent. The facility owner shall so advise the person 16 making the request of the facility owner's status at the work site through the One Call System. 17

18 (5) After receipt of a timely request from an excavator or 19 operator who identifies the <u>work</u> site of excavation or 20 demolition work he intends to perform and not later than the 21 business day prior to the [scheduled] <u>lawful start</u> date of 22 excavation:

23 (i) (A) To mark, stake, locate or otherwise provide the 24 position of the facility owner's underground lines at the work 25 site within eighteen inches horizontally from the outside wall 26 of such line in a manner so as to enable the excavator, where appropriate, to employ prudent techniques, which may include 27 28 hand-dug test holes, to determine the precise position of the 29 underground facility owner's lines. This shall be done to the extent such information is available in the facility owner's 30

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records or by use of standard locating techniques other than 1 2 excavation. Standard locating techniques shall include, at the utility owner's discretion, the option to choose available 3 technologies suitable to each type of line or facility being 4 located at the work site, topography or soil conditions or to 5 assist the facility owner in locating its lines or facilities, 6 7 based on accepted engineering and operational practices. 8 Facility owners shall make reasonable efforts during the excavation phase to locate or notify excavators of the existence 9 10 and type of abandoned lines [that remain on the continuing property records of the facility owners]. 11

12 (B) To maintain existing records of main lines abandoned on 13 or after the effective date of this clause and to mark, locate 14 or identify the main lines if possible, based upon the existing 15 records. The records shall include written or electronic 16 documents or drawings in the possession of the facility owner

17 that show the location of an existing line or facility.

18 (i.1) To[, where contained on its continuing property records,] identify the location of an actually known facility's 19 20 point of connection to its facilities, where the point of 21 connection is not owned or operated by the facility owner. A facility owner may identify the location of a known facility 22 23 connected to its facilities, but not owned or operated by the 24 facility owner, as a helpful guide to the excavator or owner. The identification shall not be deemed to impose any liability 25 upon the facility owner for the accuracy of the other facility's 26 identification. 27

(ii) To[, at its option,] timely elect to excavate around
its facilities in fulfillment of this [subparagraph] <u>SUBCLAUSE</u>, <--
at its option.

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(iii.1) To propose mutually agreeable scheduling by which
 the excavator, facility owner or designer may locate the
 facilities.

To respond to all notices through the One Call System, 4 (V) provided the request is made in the time frame set forth under 5 6 this act. The response shall be made not later than the end of 7 the second business day following receipt of the notification by 8 the One Call System, excluding the business day upon which the notification is received, or not later than the day prior to the 9 10 [scheduled] lawful start date of excavation if the excavator 11 specifies a later date or, in the case of an emergency, to 12 respond through the One Call System as soon as practicable 13 following receipt of notification of the emergency by the One 14 Call System.

(v.1) To, if a facility owner failed to respond to an 15 16 original, proper, nonemergency locate request from the One Call System or to a renotification under section 5(20), communicate 17 18 directly to the excavator within two hours after renotification 19 of the information about its facility location and, if necessary 20 and possible, go to the proposed work site to mark, stake or locate its underground lines or to verify to the excavator that 21 the facility owner's underground lines are not within the area 22 23 of the proposed work site.

(vi) In marking the approximate position of underground lines or facilities, [the facility owner shall] <u>to</u> follow the Common Ground Alliance Best Practices for Temporary Marking set forth in ANSI standard Z535.1. Should the Common Ground Alliance Best Practices be amended, the amended guidelines shall be applied and followed. If the Common Ground Alliance Best Practices no longer publishes guidelines for temporary markings

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or if the responsibility for publishing the guidelines is
 transferred to or assumed by another entity, the facility owner
 shall follow the guidelines approved by the One Call System's
 board of directors.

5 (vii) To respond to emergency notifications as soon as 6 practicable following receipt of notification of such emergency. 7 The response by the facility owner shall be consistent with the 8 nature of the emergency information received by the facility 9 owner.

10 (viii) To participate in preconstruction meetings for a 11 complex project or as described in [clause (3) of section 5] 12 <u>section 5(3)</u>.

13 (ix) If notification is received pursuant to [clause (8) of 14 section 5] <u>section 5(8)</u>, to give priority to responding to 15 notification as an emergency.

16 If a facility owner fails to become a member of the One (9) Call System in violation of this act and a line or lines of such 17 18 nonmember facility owner are damaged by an excavator by reason 19 of the excavator's failure to notify the facility owner because 20 the facility owner was not a member of the One Call System serving the location where the damage occurred, such facility 21 owner shall have no right of recovery from the excavator of any 22 23 costs associated with the damage to its lines. The right herein 24 granted shall not be in limitation of any other rights of the 25 excavator.

(10) [To submit an incident report to the department not more than ten business days after receipt of notice that the facility owner's lines have been damaged by excavation or demolition activities that resulted in personal injury or in property damage to parties other than the affected excavator or

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facility owner. In addition, the incident report may likewise be 1 2 furnished to the Pennsylvania Public Utility Commission and the 3 Pennsylvania Emergency Management Agency pursuant to memoranda of understanding negotiated between these agencies and the 4 department, which shall, at a minimum, provide for a common 5 6 reporting format for incident reports. The department shall 7 furnish to the One Call System, upon reasonable request, 8 statistical data pertaining to the number of incident reports 9 filed with the department and the type, number and results of 10 investigations for violations of this act.] To submit a report of alleged violation to the commission through the One Call 11 12 System not more than ten THIRTY business days after receipt of <--13 notice that the facility owner's lines have been damaged by 14 excavation or demolition work or if the facility owner believes a violation of this act has been committed in association with 15 16 excavation or demolition work. The report of alleged violation shall be in a form and manner as required by the commission. NO <--17 18 REPORT MAY BE REQUIRED WHERE THE COST TO REPAIR THE DAMAGE TO 19 THE FACILITY OWNER'S LINES IS LESS THAN TWO THOUSAND FIVE 20 HUNDRED DOLLARS (\$2,500), UNLESS THE SAME PERSON DAMAGED THE FACILITY OWNER'S LINES TWO OR MORE TIMES WITHIN A SIX-MONTH 21 22 PERIOD. 23 (11)To comply with all requests for information by the 24 [department] commission relating to the [department's] 25 commission's enforcement authority under this act within thirty 26 days of the receipt of the request. 27 (12) To participate in the One Call System's Member Mapping 28 Solutions, as determined by the One Call System's board of 29 directors. 30 Section 3. Sections 3, 3.1, 4, 5 and 6.1 of the act, amended

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1 or added November 29, 2006 (P.L.1593, No.181), are amended to
2 read:

3 Section 3. It shall be the duty of the One Call System [to 4 do the following]:

5 (1.1) To assign one or more serial numbers and the date that 6 the work site may legally be excavated and to log the entire 7 voice transaction on logging recorders in appropriate digital 8 form and maintain these logs for five years. All records shall be indexed and available to the parties involved at a reasonable 9 10 cost and at reasonable times set by the One Call System. 11 (1.2)[Perform] To perform the obligations, as set forth 12 under this section, on behalf of the facility owner, excavator 13 or designer as established by the board of directors of the One 14 Call System.

(1.3) [Provide] To provide access to municipal lists 15 16 provided to the One Call System for those interested parties. 17 This list shall contain facility owners having lines in the municipality, including wards as indicated in [subclause (ii) of 18 19 clause (1) of section 2] section 2(1)(ii), and to maintain, for 20 each municipality, a list containing the information as required to be submitted by the facility owner. Such list shall be 21 updated as revised information is received from the facility 22 23 owner within five business days.

[(2) To make such lists under clause (1.3) available for public inspection via the county recorder of deeds without charge. A maximum copy fee of no more than twenty-five dollars (\$25) may be charged per county list. Each facility owner change shall be forwarded, at no charge, to the respective county recorder of deeds for public access. The recorder of deeds shall make such list available for public inspection based on the most

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current information provided to it by the One Call System.] 1 2 [Not more than ten business days after the receipt of a (3) 3 clear and specific request from the department, to provide access to or photocopies of specific One Call System response 4 records, tickets or other like information relating to matters 5 under investigation by the department pursuant to its 6 enforcement authority under this act.] To, per memoranda of 7 8 understanding between the commission and the One Call System, provide reports of alleged violations and other information, 9 10 such as photographs, photocopies and drawings and other_ supporting documentation, that are submitted with the report of 11 alleged violation. The One Call System shall provide access or 12 photocopies of specific One Call System response records, 13 14 tickets or other similar information related to matters covered by this act under investigation by the commission, pursuant to 15 16 its enforcement authority under this act. The One Call System may provide reports of alleged violations to the Pennsylvania 17 18 Emergency Management Agency, per memoranda of understanding. 19 To determine the maximum geographic area that shall (4) 20 constitute a valid single notification and to determine when multiple notifications shall be required of any person, 21 22 including the method, the type and the number of notifications 23 in a complex project.

(5) If approved by the board of directors of the One Call System, to offer a service for the application and obtaining of State or municipal permits for excavation work. Issuance of the required permits shall be the responsibility of the appropriate State or municipal agency which has jurisdiction over the type of excavation work being performed.

30 (6) Pursuant to policies adopted by the One Call System's 20130HB1607PN2868 - 16 - board of directors, to provide a secure repository for and
 access to subsurface utility engineering data received from
 project owners to affected facility owner members.

4 To inquire, when an excavator has notified the One Call (7) System of the existence of a release of natural gas or other 5 hazardous substance or of potential danger to life, health or 6 7 property, whether the excavator has notified the 911 system. If 8 the 911 system has not been notified, the One Call System shall 9 notify the excavator of the excavator's responsibility to notify 10 the 911 system and shall make a record of the conversation. 11 (8) To notify the facility owner as soon as possible that an

12 <u>excavator has identified an unmarked or incorrectly marked</u>

13 <u>facility and of the facility owner's responsibilities under</u>

14 <u>section 2(5)(v.1).</u>

Section 3.1. (a) The duties of the One Call System are those duties as set forth in section 3. Duties assigned to other parties in other sections of this act shall be the duties of those parties and shall not be imputed to the One Call System, including the duty to provide accurate information to the One Call System concerning proposed excavation and the duty to locate facilities at a <u>work</u> site.

(b) The One Call System shall not be liable for damages to the person or the person's property arising out of its nonnegligent actions in furtherance of the duties imposed under this act and shall be liable only if the failure to comply was the proximate cause of any damages claimed.

27 (c) (Reserved).

(d) The One Call System shall be governed by a board of
directors[,] to be chosen by the facility owners. No less than
twenty percent of the seats on the board shall be held by

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1 municipalities or municipal authorities. The board shall include
2 all of the following:

3 (1) The [Chairman of the Pennsylvania Public Utility
4 Commission] <u>chairman</u> or his designee.

5 (2) The Director of the Pennsylvania Emergency Management6 Agency or his designee.

7 [(3) The Secretary of Labor and Industry or his designee.]

8 (4) The Secretary of Transportation or his designee.

9 (5) An excavator or excavation industry representative.

10 (6) A designer or designer industry representative.

11 Operation costs for the One Call System shall be shared, (e) 12 in an equitable manner for services received, by facility owner 13 members as determined by the One Call System's board of 14 directors. Political subdivisions with a population of less than 15 two thousand people or municipal authorities having an aggregate 16 population in the area served by the municipal authority of less 17 than five thousand people shall be exempt from the payment of 18 any service fee. The One Call System may be reimbursed for its 19 costs in providing this service from the contractor fees.

(f) All fees shall be set by the board of directors and shall be based on the latest annual audited cost factors of the One Call System. Fees shall be set and adjusted to a rate not more than five percent above the audited cost factor plus the current average published Consumer Price Index for Pennsylvania. Costs of capital improvements may be added, if the improvement receives a majority vote of the board of directors.

27 (f.1) An excavator, designer or operator who proposes to
28 commence excavation or demolition work and requests information
29 from the One Call System shall pay to the One Call System an
30 annual fee for the service provided by the One Call System under

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section 3. The fee shall be set by the One Call System board of 1 2 directors and shall be used to offset a portion of the costs of operations of the One Call System and a portion of the operation 3 costs levied on the One Call System's political subdivision and 4 municipal authority members. Failure to pay the fee shall 5 constitute a violation of this act and shall subject the 6 7 excavator, designer or operator to the enforcement authority of 8 the commission for the nonpayment.

9 [(g) An excavator, designer or operator who proposes to 10 commence excavation or demolition work and requests information 11 of the One Call System shall be charged a fee for the service 12 received from the One Call System. The fee shall be used to 13 offset the operation cost levied on the political subdivision 14 and municipal authority members in lieu of additional fees 15 charged for locations under this act.]

(h) Any request for information shall be reviewed and
provided as determined in accordance with the procedure
established by the One Call System's board of directors.
Section 4. It shall be the duty of each designer preparing a
drawing which requires excavation or demolition work within
[the] this Commonwealth:

22 To request the line and facility information prescribed (2) 23 by [section 2, clause (4)] section 2(4) from the One Call System 24 not less than ten nor more than ninety business days before 25 final design is to be completed. This clause is not intended to 26 prohibit designers from obtaining such information more than ninety days before final design is to be completed; however, 27 28 they shall state in their requirements that such work is 29 preliminary.

30 (2.1) To forward a copy of the project plans to each 20130HB1607PN2868 - 19 - 1 facility owner who requests a copy. If a designer is unable to 2 provide a copy because of security of the project or proprietary 3 concerns regarding the design or the project, the designer shall 4 negotiate in a timely manner with the facility owner the means 5 of obtaining the necessary data.

6 (3) To show upon the drawing the position and type of each 7 facility owner's line, derived pursuant to the request made as 8 required by clause (2), and the name of the facility owner as 9 shown on the list referred to in section 3.

10 (4) To make a reasonable effort to prepare the construction 11 drawings to avoid damage to and minimize interference with a 12 facility owner's facilities in the construction area by 13 maintaining the clearance as provided for in the applicable 14 easement condition or an eighteen-inch clearance of the facility 15 owner's facilities if no easement restriction exists.

16 (5) A designer shall be deemed to have met the obligations 17 of clause (2) if he calls the One Call System and shows, as 18 proof, the serial number of one call notice on drawings. The 19 designer shall also show the toll-free number of the One Call 20 System on the drawing near his serial number.

(6) If, after receiving information from the facility owners, the designer decides to change the <u>work</u> site of a proposed excavation, the obligations imposed by this section shall apply to the new <u>work</u> site.

(7) The designer who has complied with the terms of this act and who was not otherwise negligent shall not be subject to liability or incur any obligation to facility owners, operators, owners or other persons who sustain injury to person or property as a result of the excavation or demolition planning work of the designer.

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1 (8) To submit a report of alleged violation to the

2 commission through the One Call System not more than ten THIRTY <--</p>
3 business days from the time the designer becomes aware that a
4 violation of this act may have been committed in association

5 with excavation or demolition work. The report of alleged

6 violation shall be in a form and manner as required by the

7 <u>commission.</u>

8 <u>(9) To request line and facility information required under</u> 9 <u>section 2(4) from the One Call System and to pay the applicable</u> 10 <u>fee for the request.</u>

Section 5. It shall be the duty of each excavator who intends to perform excavation or demolition work within this Commonwealth:

14 To request the location and type of facility owner (2.1)15 lines at each work site by notifying the facility owner through 16 the One Call System. Notification shall be not less than three nor more than ten business days in advance of beginning 17 18 excavation or demolition work. No work shall begin earlier than 19 the [scheduled excavation] lawful start date which shall be on or after the third business day after notification. The 20 21 [scheduled excavation] lawful start date shall exclude the date upon which notification was received by the One Call System and 22 23 notification received on a Saturday, Sunday or holiday, which 24 shall be processed on the following business day. In the case of 25 a complex project, notification shall not be less than ten business days in advance of the beginning of excavation or 26 27 demolition work.

(2.2) To provide the One Call System with [specific] <u>exact</u>
information to identify the <u>work</u> site so that facility owners
might provide indications of their lines. An excavator shall be

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deemed to have met the obligations of clause (2.1) if he calls
 the One Call System, provides the work site and other required
 information and receives a serial number.

In a complex project or if an excavator intends to 4 (3) 5 perform work at multiple work sites or over a large area, [he shall] to take reasonable steps to work with facility owners, 6 including scheduling and conducting a preconstruction meeting, 7 8 so that they may locate their facilities at a time reasonably in advance of the actual start of excavation or demolition work for 9 10 each phase of the work. A preconstruction meeting may take place at any time prior to the commencement of excavation or 11 demolition work, and the excavator, facility owners and 12 13 designer, or their agents, shall attend the meeting. Notice of 14 the meeting shall be given sufficiently in advance so as to 15 permit attendance, either in person or electronically, by the 16 excavator, facility owners and designer, or their agents, and shall include information sufficient to identify the scope of 17 18 work. If the excavator does not believe that a preconstruction meeting is necessary under the circumstances of this [paragraph] 19 20 clause it shall indicate such belief in its notice, but any facility owner with facilities at the work site may request a 21 meeting with the excavator, and a meeting shall be held between 22 23 the facility owner and the excavator. After commencement of 24 excavation or demolition work, the excavator shall be 25 responsible for protecting and preserving the staking, marking 26 or other designation until no longer required for proper and safe excavation or demolition work at or near the underground 27 28 facility[,] or by contacting the One Call System to request that 29 the facilities be marked again in the event that the previous 30 markings have been compromised or eliminated.

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(3.1) To comply with the requirements established by the One
 Call System as determined by the board of directors regarding
 the maximum area that a notification may cover.

To exercise due care[;] and to take all reasonable steps 4 (4) necessary to avoid injury to or otherwise interfere with all 5 lines where positions have been provided to the excavator by the 6 facility owners pursuant to [clause (5) of section 2] section 7 8 2(5). Within the tolerance zone the excavator shall employ 9 prudent techniques, which may include hand-dug test holes, to 10 ascertain the precise position of such facilities. If insufficient information to safely excavate is available 11 pursuant to [clause (5) of section 2] section 2(5), the 12 13 excavator shall employ like prudent techniques which shall be 14 paid for by the project owner pursuant to clause (15) [of this section]. 15

16 If the facility owner fails to respond to the (5) excavator's timely request as provided under [clause (5) of 17 18 section 2] section 2(5) or the facility owner notifies the 19 excavator that the line cannot be marked within the time frame 20 and a mutually agreeable date for marking cannot be arrived at, the excavator may proceed with excavation as scheduled, but not 21 earlier than the lawful dig date, provided he exercises due care 22 23 in his endeavors, subject to the limitations contained in this 24 clause and clauses (2.1) through (4) and (20).

25 (6) To inform each operator employed by the excavator at the 26 work site of such work of the information obtained by the 27 excavator pursuant to clauses (2.1) through (5), and the 28 excavator and operator shall:

(i) Plan the excavation or demolition work to avoid damage
to or minimize interference with a facility owner's facilities

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in the construction area. Excavation or demolition work which
 requires temporary or permanent interruption of a facility
 owner's service shall be coordinated with the affected facility
 owner in all cases.

5 (ii) After consulting with a facility owner, provide such 6 support and mechanical protection for known facility owner's 7 lines at the construction <u>work</u> site during the excavation or 8 demolition work, including during backfilling operations, as may 9 be reasonably necessary for the protection of such lines.

10 To report immediately to the facility owner any break or (7) leak on its lines, or any dent, gouge, groove or other damage to 11 12 such lines or to their coating or cathodic protection, made or 13 discovered in the course of the excavation or demolition work. 14 The One Call System board of directors may adopt procedures to 15 permit reporting under this clause through the One Call System. 16 To immediately notify 911 and the facility owner if the (8) damage results in the escape of any flammable, toxic or 17 18 corrosive gas or liquid which endangers life, health or property. The excavator shall take reasonable measures, based on 19 20 its knowledge, training, resources, experience and understanding of the situation, to protect themselves and those in immediate 21 danger, the general public, the property and the environment 22 23 until the facility owner or emergency responders have arrived 24 and completed their assessment and shall remain on the work site 25 to convey any pertinent information to responders that may help them to safely mitigate the situation. 26

(9) The time requirements of clause (2.1) shall not apply to
a facility owner or excavator performing excavation or
demolition work in an emergency, as defined in section 1;
nonetheless, all facility owners shall be notified as soon as

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possible before, during or after excavation or demolition work,
 depending upon the circumstances.

3 (11) [An excavator shall] <u>To</u> use the color white to mark a 4 proposed excavation <u>work</u> site when exact <u>work</u> site information 5 cannot be provided.

6 (11.1) To assist a facility owner in determining involvement 7 of a facility owner's lines by disclosing additional available 8 information requested by the facility owner, including 9 dimensions and the direction of proposed excavations.

10 (11.2) If using horizontal directional drilling (HDD), at a 11 minimum, to utilize the best practices published by the HDD 12 Consortium.

13 (12) The following standards shall be applied in determining 14 whether an excavator shall incur any obligation or be subject to 15 liability as a result of an excavator's demolition <u>work</u> or 16 excavation work damaging a facility owner's facilities:

(i) The excavator who has complied with the terms of this act and who was not otherwise negligent shall not be subject to liability or incur any obligation to facility owners, operators, project owners or other persons who sustain injury to person or property as a result of the excavator's excavation or demolition work damaging a facility owner's lines.

23 (ii) Where an excavator has failed to comply with the terms 24 of this act or was otherwise negligent, and the facility owner 25 or designer has misidentified, mislocated or failed to identify 26 its facilities pursuant to this act, then in computing the amount of reimbursement to which the facility owner is entitled, 27 28 the cost of repairing or replacing its facilities shall be 29 diminished in the same proportion that the facility owner's or designer's misidentification, mislocation or failure to identify 30

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1 the facilities contributed to the damage. Should the facility 2 owner or designer not have misidentified, mislocated or failed 3 to identify its facilities pursuant to this act, there shall be 4 no diminution of the facility owner's right of recovery.

5 (13) If, after receiving information from the One Call 6 System or directly from a facility owner, the excavator decides 7 to change the location, scope or duration of a proposed 8 excavation, the obligations imposed by this section shall apply 9 to the new location.

10 (14) If an excavator removes its equipment and vacates a 11 [worksite] work site for more than two business days, [he shall] 12 to renotify the One Call System unless other arrangements have 13 been made directly with the facility owners involved in his 14 [worksite] work site.

15 When the information required from the facility owner (15)16 under [clause (5)(i) of section 2] section 2(5)(i) cannot be provided or, due to the nature of the information received from 17 18 the facility owner, it is reasonably necessary for the excavator 19 to ascertain the precise location of any line or abandoned or 20 unclaimed lines by prudent techniques, which may include handdug test holes, vacuum excavation or other similar devices, the 21 excavator shall promptly notify the project owner or the project 22 23 owner's representative, either orally or in writing. If oral 24 notification is given, the notice shall be reduced to writing 25 within a reasonable time by the project owner or excavator. 26 After giving such notice, the excavator shall be entitled to compensation from the project owner for this additional work as 27 28 provided in the latest edition of the Pennsylvania Department of 29 Transportation Form 408 specifications for extra work performed on a force account basis. The provisions of this subsection 30

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shall not be deemed to limit any other rights which the 1 2 excavator has under its contract with the project owner or 3 otherwise. Provisions in any contract, public or private, which attempt to limit the rights of excavators under this section 4 shall not be valid for any reason, and any attempted waiver of 5 this section shall be void and unenforceable as against public 6 7 policy and any such attempted waiver shall be reported to the 8 [department] commission.

9 (16)[To submit an incident report to the department not 10 more than ten business days after striking or otherwise damaging a facility owner's line during excavation or demolition 11 12 activities that resulted in personal injury or property damage to parties other than the affected excavator or facility owner. 13 14 In addition, the incident report may be furnished to the 15 Pennsylvania Public Utility Commission and the Pennsylvania 16 Emergency Management Agency pursuant to memoranda of understanding negotiated between these agencies and the 17 18 department.] To submit a report of alleged violation to the 19 commission through the One Call System not more than ten THIRTY <-business days after striking or damaging a facility owner's line 20 during excavation or demolition or if the excavator believes a 21 violation of this act has been committed in association with 22 23 excavation or demolition work. The report of alleged violation 24 shall be in a form and manner as required by the commission. 25 To comply with all requests for information by the (17)26 [department] commission relating to the [department's] 27 commission's enforcement authority under this act within thirty 28 days of the receipt of the request. 29 To, if it chooses to do so and if working for a (18)

30 facility owner, a municipality or a municipal authority,

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1 delegate the power to discharge the duties set forth in clauses
2 (2.1) and (2.2) to its project owner, with the project owner's
3 consent. If the power is delegated pursuant to this clause, both
4 the excavator and the project owner shall be responsible for
5 providing the required notices.

6 (19) To ensure the accuracy of any information provided to 7 the One Call System pursuant to this section.

8 (20) To renotify the One Call System of an unmarked or

9 <u>incorrectly marked facility, if an original, proper,</u>

10 nonemergency locate request has been made to the One Call System

11 and, upon initial arrival at the proposed work site, it is

12 apparent to the excavator that there is an unmarked or

13 incorrectly marked facility. An excavator may not begin

14 excavating in the affected area of the work site until after

15 receiving sufficient information from the facility owner to

16 safely excavate. If the facility owner fails to provide

17 sufficient information to the excavator within three hours after

18 the excavator has notified the One Call System of the unmarked

19 or incorrectly marked facility, the excavator may proceed with

20 <u>excavation subject to the limitations under clause (5).</u>

21 (21) To make a locate request to the One Call System prior

22 to excavation or demolition work and to pay the applicable fee

23 <u>for the request.</u>

Section 6.1. It shall be the duty of each project owner who engages in excavation or demolition work to be done within this Commonwealth:

(1) To utilize sufficient quality levels of subsurface
utility engineering or other similar techniques whenever
practicable to properly determine the existence and positions of
underground facilities when designing known complex projects

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having an estimated cost of four hundred thousand dollars
 (\$400,000) or more.

3 (2) To timely respond to notifications received from
4 excavators pursuant to [clause (15) of section 5] <u>section 5(15)</u>.
5 (3) To not release to bid or construction any project until
6 after final design is completed.

7 (4) To participate in design and preconstruction meetings8 either directly or through a representative.

9 (5) To furnish the pertinent data obtained through 10 subsurface utility engineering to the One Call System in a 11 mutually agreeable format.

12 (6) For new construction and where practicable in the 13 opinion of the project owner, to install color-coded permanent 14 markers to indicate the type and location of all laterals 15 installed by the project owner.

16 (7) To submit a report of alleged violation to the

17 commission through the One Call System not more than ten THIRTY <--

18 business days after striking or damaging a facility owner's line_

19 during excavation or demolition work activities, after a project

20 <u>owner's contracted excavator strikes or damages a facility</u>

21 <u>owner's line during excavation or demolition activities or if</u>

22 the project owner believes a violation of this act has been

23 committed in association with excavation or demolition. The

24 report of alleged violation shall be in a form and manner as

25 required by the commission.

Section 4. Section 7.2 of the act, amended November 29, 2006 (P.L.1593, No.181), is repealed:

[Section 7.2. (a) Any person violating any of the provisions of this act, except clauses (1) and (2) of section 2, commits a summary offense and shall, upon conviction, be

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sentenced to pay a fine of not less than two thousand five 1 2 hundred dollars (\$2,500) nor more than fifty thousand dollars 3 (\$50,000) or undergo imprisonment for not more than ninety days, or both. The Attorney General of the Commonwealth or any 4 district attorney may enforce the provisions of this act in any 5 court of competent jurisdiction. The department, in consultation 6 with the Attorney General, may also enforce the provisions of 7 8 this act in any court of competent jurisdiction. A facility 9 owner may petition any court of competent jurisdiction to enjoin 10 any excavation or demolition work conducted in violation of this act. Local law enforcement or emergency management personnel 11 may, in the interest of public safety, order excavators on a 12 site to stop further excavation if the excavation is being 13 14 conducted in violation of this act.

15 (b) Fines levied under subsection (a) shall be determined 16 according to the following schedule:

17 (1) Where violations result in property damage that does not 18 exceed three thousand dollars (\$3,000), the fine shall not 19 exceed five thousand dollars (\$5,000).

20 (2) Where violations result in property damage of more than 21 three thousand dollars (\$3,000), the fine shall not exceed ten 22 thousand dollars (\$10,000).

(3) For violations which result in personal injury or death,the fine shall not exceed fifty thousand dollars (\$50,000).

(c) The following factors shall be considered in determiningthe fine to be assessed:

27 (1) The degree of the party's compliance with the statute28 prior to date of the violation.

29 (2) The amount of personal and property damage caused by the 30 party's noncompliance.

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(3) The degree of threat to the public safety and
 inconvenience caused by the party's noncompliance.

3 (4) The party's plans and procedures to insure future4 compliance with statutes and regulations.

5 (c.1) In addition to any other sanctions provided by this 6 act, the department shall have the authority to issue warnings 7 and orders requiring compliance with this act and may levy 8 administrative penalties for violations of this act. Any 9 warning, order or penalty shall be served on the person or entity violating the act at their last known address. The 10 11 department shall consider the factors set forth in subsection 12 (c) in determining the administrative penalty to be assessed. Any party aggrieved by the imposition of an order or 13 14 administrative penalty imposed by the department may appeal such 15 order or penalty as provided in 2 Pa.C.S. Ch. 5 Subch. A 16 (relating to practice and procedure of Commonwealth agencies) and Ch. 7 Subch. A (relating to review of Commonwealth agency 17 18 action).

19 (c.2) Administrative penalties imposed by the department 20 under subsection (c.1) shall be determined according to the 21 following schedule:

(1) Any person or entity violating the provisions of clauses
(1) and (2) of section 2 may be subject to an administrative
penalty not to exceed five hundred dollars (\$500) per day. Each
day of noncompliance shall constitute a separate violation.

(2) Any person or entity receiving three or more warnings in
a calendar year may be subject to an administrative penalty not
to exceed five hundred dollars (\$500).

(3) Where violations result in property damage that does not
exceed ten thousand dollars (\$10,000), the administrative

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1 penalty may not exceed one thousand dollars (\$1,000).

2 (4) Where violations result in property damage of more than 3 ten thousand dollars (\$10,000), the administrative penalty may 4 not exceed five thousand dollars (\$5,000).

5 (5) For violations that result in personal injury or death, 6 the administrative penalty may not exceed ten thousand dollars 7 (\$10,000).

8 (d) All fines and penalties recovered under this section 9 shall be payable to the Attorney General, district attorney or 10 the department, whichever brought the action, and collected in 11 the manner provided for by law. Administrative penalties 12 collected by the department may be expended by the department 13 for costs related to its enforcement activities and to sponsor 14 damage prevention activities of the One Call System.

(e) The provisions of this act shall not affect any civil
remedies for personal injury or property damage, except as
otherwise specifically provided for in this act.

18 (f) The secretary or his designee shall have the authority 19 to issue subpoenas, upon application of an attorney responsible 20 for representing the Commonwealth in actions before the department, for the purpose of investigating alleged violations 21 of this act. The department shall have the power to subpoena 22 23 witnesses and compel the production of books, records, papers 24 and documents as it deems necessary or pertinent to an 25 investigation or hearing.]

Section 5. The act is amended by adding sections to read:
Section 7.8. (a) (Reserved).

28 (b) A Damage Prevention Committee shall be established as 29 follows:

30 (1) The commission shall establish a Damage Prevention

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1	Committee in accordance with subsection (c).	<
2	(2) THE COMMITTEE SHALL CONSIST OF THE FOLLOWING MEMBERS,	<
3	APPOINTED BY THE COMMISSION:	
4	(I) THE EXECUTIVE DIRECTOR OF THE COMMISSION, OR HIS	
5	DESIGNEE.	
6	(II) THE PRESIDENT OF THE ONE CALL SYSTEM, OR HIS DESIGNEE	
7	FROM THE ONE CALL SYSTEM PROFESSIONAL STAFF.	
8	(III) ONE REPRESENTATIVE FROM EACH OF THE FOLLOWING	
9	NONMUNICIPALLY OWNED OR AFFILIATED FACILITY OWNER INDUSTRIES:	
10	ELECTRIC, NATURAL GAS OR PETROLEUM PIPELINES, TELEPHONE, WATER	
11	OR WASTEWATER AND CABLE TELEVISION, NOMINATED BY FACILITY OWNERS	_
12	OR AFFILIATED ORGANIZATIONS.	
13	(IV) THREE REPRESENTATIVES OF EXCAVATORS, NOMINATED BY	
14	EXCAVATORS OR AFFILIATED ORGANIZATIONS.	
15	(V) ONE REPRESENTATIVE OF MUNICIPAL GOVERNMENTS, NOMINATED	
16	BY MUNICIPAL GOVERNMENTS OR AFFILIATED ORGANIZATIONS.	
17	(VI) ONE REPRESENTATIVE OF MUNICIPAL AUTHORITIES, NOMINATED	
18	BY MUNICIPAL AUTHORITIES OR AFFILIATED ORGANIZATIONS.	
19	(3) A PERSON APPOINTED TO THE COMMITTEE MUST HAVE EXPERTISE	
20	WITH THE OPERATION OF THIS ACT.	
21	(4) A NOMINATION UNDER CLAUSE (2)(III), (IV), (V) AND (VI)	
22	MUST BE FORWARDED TO THE SECRETARY OF THE COMMISSION. THE	
23	EXECUTIVE DIRECTOR OF THE COMMISSION SHALL PROVIDE RECOMMENDED	
24	CANDIDATES TO THE COMMISSION FOR APPROVAL.	
25	(5) EXCEPT FOR AN UNEXPIRED TERM OR FOR COMMITTEE MEMBERS	
26	UNDER CLAUSE (2)(I) AND (II), THE FOLLOWING SHALL APPLY:	
27	(I) AN APPOINTMENT TO THE COMMITTEE SHALL BEGIN JANUARY 1.	
28	(II) EXCEPT FOR INITIAL TERMS UNDER CLAUSE (6), A COMMITTEE	
29	MEMBER'S TERM SHALL BE FOR A TERM OF THREE YEARS.	
30	(6) THE INITIAL TERMS OF COMMITTEE MEMBERS SHALL BE AS	

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1 FOLLOWS:

2 (I) TWO REPRESENTATIVES OF FACILITY OWNERS SHALL SERVE THREE

3 YEARS, ONE REPRESENTATIVE SHALL SERVE TWO YEARS AND TWO

4 <u>REPRESENTATIVES SHALL SERVE ONE YEAR.</u>

5 (II) ONE REPRESENTATIVE OF EXCAVATORS SHALL SERVE THREE

6 YEARS, ONE REPRESENTATIVE SHALL SERVE TWO YEARS AND ONE

7 <u>REPRESENTATIVE SHALL SERVE ONE YEAR.</u>

8 (III) THE REPRESENTATIVE OF MUNICIPAL GOVERNMENTS SHALL

9 <u>SERVE TWO YEARS.</u>

10 <u>(IV) THE REPRESENTATIVE OF MUNICIPAL AUTHORITIES SHALL SERVE</u> 11 THREE YEARS.

12 (7) THE COMMISSION MEMBER SHALL SERVE AS THE CHAIRPERSON OF

13 THE COMMITTEE AND SHALL BE A NONVOTING MEMBER, EXCEPT IF THE

14 CHAIRPERSON'S VOTE IS NECESSARY TO BREAK A TIE. THE

15 <u>CHAIRPERSON'S ATTENDANCE SHALL NOT BE COUNTED TO ESTABLISH A</u> 16 OUORUM.

17 (8) AT LEAST SIX MEMBERS OF THE COMMITTEE WHO ARE PRESENT

18 SHALL CONSTITUTE A QUORUM FOR THE TRANSACTION OF BUSINESS. A

19 SIMPLE MAJORITY VOTE OF COMMITTEE MEMBERS PRESENT AT A MEETING

20 SHALL BE DEEMED TO BE THE POSITION OF THE COMMITTEE.

21 (2) (C) The committee shall regularly meet to carry out the <--</p>
22 following purposes:

23 (i) (1) Review a report of an alleged violation of this act <--</p>
24 and commission staff DAMAGE PREVENTION INVESTIGATOR findings and <--</p>
25 recommendations concerning a violation.

26 <u>(ii)</u> (2) Issue a warning letter to a person, as deemed

27 appropriate by the committee or the commission AS RECOMMENDED BY <--

<---

28 THE DAMAGE PREVENTION INVESTIGATOR.

29 <u>(iii)</u> (3) Issue an informal determination that imposes an <--

30 <u>administrative penalty and requires a person to attend a damage</u> <--

1 prevention educational program.

-	prevention caddationar program.	
2	(4) REQUIRE A PERSON TO ATTEND A DAMAGE PREVENTION	<
3	EDUCATIONAL PROGRAM.	
4	(iv) (5) Issue an informal determination that modifies or	<
5	dismisses a recommendation of commission staff.	
6	(D) THE FOLLOWING SHALL APPLY TO ALLEGED VIOLATIONS:	<
7	(1) A PERSON DETERMINED, IN A REPORT ISSUED BY A DAMAGE	
8	PREVENTION INVESTIGATOR, TO HAVE COMMITTED AN ALLEGED VIOLATION	
9	SHALL DO ONE OF THE FOLLOWING:	
10	(I) PROVIDE A WRITTEN ACKNOWLEDGMENT OF THE FINDINGS AND	
11	ADMINISTRATIVE PENALTY CONTAINED IN THE REPORT ISSUED BY A	
12	DAMAGE PREVENTION INVESTIGATOR TO THE COMMITTEE.	
13	(II) APPEAR BEFORE THE COMMITTEE TO PRESENT ITS POSITION.	
14	(2) A PERSON WHO IS SUBJECT TO AN INFORMAL DETERMINATION OF	
15	THE COMMITTEE MAY ACCEPT OR REJECT THE RESULT. IF AN INFORMAL	
16	DETERMINATION IS REJECTED, THE MATTER SHALL BE RETURNED TO THE	
17	DAMAGE PREVENTION INVESTIGATOR FOR FURTHER ACTION, IF	
18	APPROPRIATE, INCLUDING REFERRING THE MATTER TO COMMISSION	
19	PROSECUTORY STAFF FOR THE PURPOSE OF ISSUING A FORMAL COMPLAINT.	
20	(E) EXCEPT FOR ALLEGED VIOLATIONS INVOLVING INJURY OR DEATH,	_
21	THE COMMITTEE PROCESS PROVIDED FOR UNDER SUBSECTION (C) MAY BE	
22	USED IN ADVANCE OR INSTEAD OF FILING A FORMAL COMPLAINT AGAINST	
23	A PERSON DETERMINED, IN A REPORT ISSUED BY A DAMAGE PREVENTION	
24	INVESTIGATOR, TO HAVE COMMITTED AN ALLEGED VIOLATION. AN	
25	INFORMAL DETERMINATION OF THE COMMITTEE SHALL BE BINDING ON THE	
26	COMMISSION UNLESS A PERSON REJECTS IT.	
27	(F) THE COMMITTEE SHALL HAVE THE FOLLOWING ADDITIONAL	
28	DUTIES:	
29	(3) (1) Upon the request of the commission, the committee	<
30	shall hold a special meeting to advise the commission on a	
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1	matter related to damage prevention of underground facilities
2	and this act.
3	(4) (2) As soon as practical after establishment, the <
4	committee, with input from the One Call System, shall develop
5	and implement bylaws. The bylaws shall:
6	(I) ESTABLISH A SCHEDULE FOR THE FREQUENCY OF REGULAR <
7	MEETINGS;
8	(II) delineate the committee's practice and procedure <
9	concerning the performance of duties assigned under this act and
10	commission orders and regulations; and
11	(ii) (III) be approved by the commission. <
12	(c) The following shall apply to appointment and terms of <
13	members of the Damage Prevention Committee:
14	(1) The committee shall consist of the following members,
15	appointed by the commission:
16	(i) The executive director of the commission, or his
17	<u>designee.</u>
18	(ii) The president of the One Call System, or his designee
19	from the One Call System professional staff.
20	(iii) Two representatives of facility owners, nominated by
21	facility owners or affiliated organizations.
22	(iv) Three representatives of excavators, nominated by
23	excavators or affiliated organizations.
24	(v) One representative of municipal governments, nominated
25	by municipal governments or affiliated organizations.
26	(vi) One representative of municipal authorities, nominated
27	by municipal authorities or affiliated organizations.
28	(2) A person appointed to the committee must have expertise
29	with the operation of this act.
30	(3) A nomination under clause (1)(iii), (iv), (v) and (vi)

1	must be forwarded to the secretary of the commission. The
2	executive director of the commission shall provide recommended
3	candidates to the commission for approval.
4	(4) Except for an unexpired term or for committee members
5	under clause (1)(i) and (ii), the following shall apply:
6	(i) An appointment to the committee shall begin January 1.
7	(ii) Except for initial terms under clause (5), a committee
8	<u>member's term shall be for a term of two years.</u>
9	(5) The initial terms of committee members shall be as
10	follows:
11	(i) One representative of facility owners shall serve two
12	years and one shall serve one year.
13	(ii) One representative of excavators shall serve two years
14	and two shall serve one year.
15	(iii) The representative of municipal governments shall
16	<u>serve two years.</u>
16 17	<u>serve two years.</u> (iv) The representative of municipal authorities shall serve
17	(iv) The representative of municipal authorities shall serve
17 18	(iv) The representative of municipal authorities shall serve
17 18 19	(iv) The representative of municipal authorities shall serve one year. (6) The commission member shall serve as the chairperson of
17 18 19 20	(iv) The representative of municipal authorities shall serve one year. (6) The commission member shall serve as the chairperson of the committee and shall be a nonvoting member, except if the
17 18 19 20 21	(iv) The representative of municipal authorities shall serve one year. (6) The commission member shall serve as the chairperson of the committee and shall be a nonvoting member, except if the chairperson's vote is necessary to break a tie.
17 18 19 20 21 22	(iv) The representative of municipal authorities shall serve one year. (6) The commission member shall serve as the chairperson of the committee and shall be a nonvoting member, except if the chairperson's vote is necessary to break a tie. (7) At least five members of the committee who are present
17 18 19 20 21 22 23	(iv) The representative of municipal authorities shall serve one year. (6) The commission member shall serve as the chairperson of the committee and shall be a nonvoting member, except if the chairperson's vote is necessary to break a tie. (7) At least five members of the committee who are present shall constitute a quorum for the transaction of business. A
17 18 19 20 21 22 23 24	(iv) The representative of municipal authorities shall serve one year. (6) The commission member shall serve as the chairperson of the committee and shall be a nonvoting member, except if the chairperson's vote is necessary to break a tie. (7) At least five members of the committee who are present shall constitute a quorum for the transaction of business. A simple majority vote of committee members present at a meeting
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17 18 19 20 21 22 23 24 25 26 27	<pre>(iv) The representative of municipal authorities shall serve one year. (6) The commission member shall serve as the chairperson of the committee and shall be a nonvoting member, except if the chairperson's vote is necessary to break a tie. (7) At least five members of the committee who are present shall constitute a quorum for the transaction of business. A simple majority vote of committee members present at a meeting shall be deemed to be the position of the committee. (d) The following shall apply to alleged violations: (1) A person declared to have committed an alleged violation</pre>

1	findings and administrative penalty to the committee.
2	(2) A person who is subject to an informal determination of
3	the committee may accept or reject the result. If an informal
4	determination is rejected, the matter shall be returned to the
5	prosecutory staff of the commission for further action if
6	appropriate, including the issuance of a formal complaint.
7	(e) Except for alleged violations involving injury or death,
8	the prosecutory staff of the commission may use the committee
9	process under subsection (d) in advance or instead of filing a
10	formal complaint against a person declared to have committed an
11	alleged violation. An informal determination of the committee
12	shall be binding on the prosecutory staff of the commission
13	<u>unless a person rejects it.</u>
14	(f) (G) Except for willful misconduct, members of the <
15	committee shall be immune, individually and jointly, from civil
16	liability for an act or omission done or made in performance of
17	the members' duties while serving as members of the committee.
18	(g) (H) The commission shall have the following powers to <
19	carry out the purposes of this act:
20	(1) To employ individuals.
21	(2) To issue orders.
22	(3) To promulgate regulations, if the commission promulgates
23	regulations which limit reporting to a specific type of
24	incident, including contact with a line, damage to a line or
25	line coating, personal injury, third-party damage and failure to
26	comply with this act, the commission may consider the resources
27	available for enforcement and other factors.
28	(4) For one year following the effective date of this
29	section, to promulgate temporary regulations. Regulations under
30	this paragraph CLAUSE shall: <
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1	(i) Expire no later than two years following the effective
2	date of this section.
3	(ii) Be exempt from all of the following:
4	(A) Sections 201, 202 and 203 of the act of July 31, 1968
5	(P.L.769, No.240), referred to as the Commonwealth Documents
6	Law.
7	(B) The act of June 25, 1982 (P.L.633, No.181), known as the
8	Regulatory Review Act.
9	Section 7.9. (a) The Underground Utility Line Protection
10	Fund is established as a revolving fund to be used by the
11	commission for administering the enforcement of this act.
12	(b) The fund shall be comprised of:
13	(1) Administrative penalties collected by use of the
14	committee process under subsection (c).
15	(2) Funds received by the commission as a result of
16	enforcing this act.
17	(3) Federal or State grants received by the commission for
18	the purpose of this act.
19	(4) An annual assessment, if required under subparagraph <
20	SUBCLAUSE (ii)(A), paid by the One Call System for each fiscal <
21	<u>year as follows:</u>
22	(i) Beginning on the effective date of this section the
23	following shall apply to the initial fiscal year, or a portion
24	of the initial fiscal year:
25	(A) An initial assessment of five hundred fifty thousand
26	dollars (\$550,000) shall be paid, which shall be prorated if the
27	effective date of this section occurs after the first day of the
28	<u>initial fiscal year.</u>
29	(B) Within ten days after the effective date of this
30	section, the commission shall, by registered or certified mail,
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1	give notice to the One Call System of the amount assessed under
2	this subclause, which shall be paid by the One Call System
3	within ten days of receipt of the notice.
4	(ii) During each subsequent fiscal year:
5	(A) The annual assessment shall be calculated by subtracting
6	the amount of uncommitted money, as defined by generally
7	accepted accounting principles, present at the conclusion of the
8	prior fiscal year within the fund, from the current year's
9	projected program costs for commission enforcement of this act.
10	(B) The annual amount assessed to the One Call System may
11	not exceed five hundred fifty thousand dollars (\$550,000).
12	(C) Within thirty days of the start of each fiscal year, the
13	commission shall, by registered or certified mail, give notice
14	to the One Call System of the amount assessed under this
15	subclause. The One Call System shall pay:
16	(I) Fifty percent of the assessment to the commission within
17	thirty days of receipt of the notice.
18	(II) The balance of the assessment within one hundred eighty
19	days of receipt of the notice.
20	(c) Except as provided under subsection (d), administrative
21	penalties, grant money and assessments paid by the One Call
22	System shall be deposited into the fund. Interest earned on the
23	fund shall be credited to the fund. Any money remaining in the
24	fund at the end of the fiscal year shall not lapse and shall
25	remain in the fund.
26	(d) Administrative penalties collected through the issuance
27	of an adjudication by the commission under this act shall be
28	deposited in the General Fund.
29	(e) The following shall apply:
30	(1) Except as provided under clause (2), program costs for

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1	commission enforcement of this act shall be a line item in the
2	commission's proposed budget and shall be subject to the review
3	and approval of the Governor and the General Assembly as
4	described under 66 Pa.C.S. § 510(a) (relating to assessment for
5	regulatory expenses upon public utilities).
6	(2) Program costs for commission enforcement of this act may
7	not be included within the amount assessed to public utilities
8	<u>under 66 Pa.C.S. § 510. Program costs for commission enforcement</u>
9	of this act shall be paid from the fund.
10	Section 7.10. (a) The commission may issue a warning and
11	order requiring compliance with this act and may levy an
12	administrative penalty for a violation of this act. A warning,
13	order or penalty shall be served on the person or entity
14	violating this act at the person's last known address. A party
15	aggrieved by the imposition of an order or administrative
16	penalty imposed by the commission may appeal the order or
17	penalty as provided under 2 Pa.C.S. Chs. 5 Subch. A (relating to
18	practice and procedure of Commonwealth agencies) and 7 Subch. A
19	(relating to judicial review of Commonwealth agency action).
20	(b) The following shall apply:
21	(1) A person or entity violating this act may be subject to:
22	(i) an administrative penalty of not more than two thousand
23	five hundred dollars (\$2,500) per violation; or
24	(ii) if the violation results in injury, death or property
25	<u>damage of twenty-five thousand dollars (\$25,000) or more, an</u>
26	administrative penalty of not more than fifty thousand dollars
27	<u>(\$50,000).</u>
28	(2) The commission and committee shall consider the
29	following factors in determining the administrative penalty to
30	be assessed:
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1	(i) The history of the party's compliance with the act prior
2	to the date of the violation.
3	(ii) The amount of injury or property damage caused by the
4	party's noncompliance.
5	(iii) The degree of threat to the public safety and
6	inconvenience caused by the party's noncompliance.
7	(iv) The party's proposed modification to internal practices
8	and procedures to insure future compliance with statutes and
9	regulations.
10	(v) The degree of the party's culpability.
11	(vi) Other factors as may be appropriate considering the
12	facts and circumstances of the incident.
13	(c) An administrative penalty recovered under this section
14	shall be payable to the commission and collected in the manner
15	provided for by law.
16	(d) This act shall not affect a civil remedy for personal
17	injury or property damage, except as provided for under this
18	act.
19	(e) The commission may issue a subpoena, on application of
20	an attorney responsible for representing the Commonwealth in
21	actions before the commission, for the purpose of investigating
22	an alleged violation of this act. The commission shall have the
23	power to subpoena witnesses and compel the production of books,
24	records, papers and documents.
25	(f) Nothing under this act shall be construed or interpreted
26	to do any of the following:
27	(1) Affect the ability of a district attorney or the
28	Attorney General to investigate or file a claim for the same
29	<u>conduct.</u>
30	(2) Deprive a governmental agency, including a law
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1 enforcement agency, the Auditor General and a district attorney,

2 of any jurisdictional power or duty.

3 (q) A facility owner may petition a court of competent jurisdiction to enjoin excavation or demolition work conducted 4 in violation of this act. Local law enforcement or emergency 5 management personnel may, in the interest of public safety,_ 6 7 order an excavator on a work site to stop further excavation if 8 the excavation is being conducted in violation of this act. 9 Section 6. Sections 8 and 39 of the act, amended or added November 29, 2006 (P.L.1593, No.181), are amended to read: 10 11 Section 8. The One Call System shall have the authority to 12 design, establish and administer a voluntary payment dispute 13 resolution process which may be used by excavators, facility 14 owners, designers, project owners and other involved persons. 15 The process shall provide for dispute resolution panels selected 16 from among a list of representatives of stakeholder groups, including facility owners, excavators, designers and regulators. 17 18 The process established under this section may not be used to 19 settle or resolve alleged violations of this act nor may involve any issues related to the [department's] commission's 20 enforcement activities. 21 22 Section 39. This act shall expire on December 31, [2016] 23 2021. 24 Section 7. This act shall take effect as follows: 25 The following provisions shall take effect (1)26 immediately: The addition of section 7.9 of the act. 27 (i) 28 (ii) This section. 29 (2) The remainder of this act shall take effect in 180 30 days.

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