## THE GENERAL ASSEMBLY OF PENNSYLVANIA

## SENATE BILL

No. 1459 Session of 2012

INTRODUCED BY SMUCKER, PICCOLA, ERICKSON, RAFFERTY, WAUGH, ORIE, BRUBAKER, WILLIAMS AND BROWNE, MARCH 28, 2012

REFERRED TO EDUCATION, MARCH 28, 2012

## AN ACT

Amending the act of December 12, 1973 (P.L.397, No.141), entitled "An act relating to certification of teachers in the 2 public schools of the Commonwealth and creating a 3 Professional Standards and Practices Commission," making 4 extensive substantive and editorial changes; and providing 5 for imposition of discipline on additional grounds, for imposition of discipline on founded and indicated reports, 7 for confidentiality, for subpoenas and for disposition of 8 fees and fines collected. 10 The General Assembly of the Commonwealth of Pennsylvania 11 hereby enacts as follows: 12 Section 1. Sections 1.1 and 1.2 of the act of December 12, 13 1973 (P.L.397, No.141), known as the Professional Educator 14 Discipline Act, added December 20, 2000 (P.L.918, No.123), are 15 amended to read: 16 Section 1.1. Short Title. -- This act shall be known and may 17 be cited as the [Professional] Educator Discipline Act. 18 Section 1.2. Definitions. -- When used in this act, the 19 following words and phrases shall have the following meanings: 20 "Administrator" shall mean [a person who is a commissioned 21 officer or holds a valid administrative certificate. | an

- 1 <u>educator who holds a letter of eliqibility</u>, an administrative
- 2 certificate or a supervisory certificate or who serves in a
- 3 school entity in a position that supervises the academic
- 4 operations of a school building, a school program or a school
- 5 <u>system.</u>
- 6 <u>"Certificate" shall mean any Commonwealth of Pennsylvania</u>
- 7 certificate, letter of eligibility or permit issued under the
- 8 act of March 10, 1949 (P.L.30, No.14), known as the "Public
- 9 School Code of 1949," or under the act of January 28, 1988
- 10 (P.L.24, No.11), known as the "Private Academic Schools Act."
- 11 The term includes a certificate or letter of eligibility that is
- 12 invalid or inactive.
- "Charter <u>or cyber charter</u> school" shall mean a school
- 14 established pursuant to Article XVII-A of the act of March 10,
- 15 1949 (P.L.30, No.14), known as the "Public School Code of 1949."
- 16 "Charter or cyber charter school staff member" shall mean an
- 17 individual employed by a charter or cyber charter school in a
- 18 position for which [State] certification would be required in a
- 19 public school other than a charter or cyber charter school but
- 20 who is not required to hold [State] certification under section
- 21 1724-A of the act of March 10, 1949 (P.L.30, No.14), known as
- 22 the "Public School Code of 1949." The term includes an
- 23 individual who is the chief administrator or the individual with
- 24 primary responsibility for the administration of the charter or
- 25 cyber charter school.
- 26 "Chief school administrator" shall mean the superintendent or
- 27 <u>chief executive officer of a school district, the executive</u>
- 28 <u>director of an intermediate unit, the director of an area</u>
- 29 vocational-technical school, the chief administrator of a
- 30 charter or cyber charter school, the director of a private

- 1 <u>academic school or the chief administrator of any other entity</u>
- 2 <u>contracted to provide direct educational services to students</u>
- 3 enrolled in a school district, intermediate unit, area
- 4 <u>vocational-technical school, private academic school or charter</u>
- 5 or cyber charter school.
- 6 "Commission" shall mean the Professional Standards and
- 7 Practices Commission.
- 8 "Contracted educational provider" shall mean a person who:
- 9 (1) serves in a position for which certification would be
- 10 required in a public school but who is exempted under the act of
- 11 March 10, 1949 (P.L.30, No.14), known as the "Public School Code
- 12 of 1949"; and
- 13 (2) is employed by an entity with which a school entity has
- 14 contracted to provide direct educational services to its
- 15 students or is an individual who directly contracts with a
- 16 school entity to provide educational services to its students.
- 17 "Department" shall mean the Department of Education of the
- 18 Commonwealth.
- "Discipline" shall mean [any one of the following actions]
- 20 any of the following:
- 21 (1) [Issue a private] Private reprimand.
- 22 (2) [Issue a public] <u>Public</u> reprimand.
- 23 (3) [Direct the department to suspend the certificate of a
- 24 professional educator] <u>Suspension</u>.
- 25 (4) [Direct the department to revoke the certificate of a
- 26 professional educator] Revocation.
- 27 [(5) For a charter school staff member, the term shall
- 28 include an order suspending the person's eligibility to be
- 29 employed by a charter school or prohibiting the person from
- 30 being employed by a charter school.

- 1 (6) Accept a professional certificate surrendered in lieu of
- 2 discipline.]
- 3 (5) Surrender.
- 4 [The commission may require a professional educator to meet
- 5 certain conditions or take corrective action in conjunction with
- 6 any discipline.]
- 7 "Educational specialist" shall mean a person who holds an
- 8 educational specialist certificate issued by the Commonwealth,
- 9 including, but not limited to, a certificate [endorsed] in the
- 10 area of elementary school counselor, secondary school counselor,
- 11 social restoration, school nurse, home and school visitor,
- 12 school psychologist, dental hygienist, instructional technology
- 13 specialist or nutrition service specialist.
- 14 "Educator" shall mean a person who holds a certificate, who
- 15 is a charter or cyber charter school staff member or who is a
- 16 <u>contracted educational provider.</u>
- 17 "Indictment" shall include a bill of indictment, police
- 18 criminal complaint, criminal information or other similar
- 19 document.
- 20 "Private academic school" shall mean a school that is
- 21 licensed to operate under the act of January 28, 1988 (P.L.24,
- 22 No.11), known as the "Private Academic Schools Act."
- 23 ["Professional educator" shall mean a person who is
- 24 certificated as a teacher, educational specialist or an
- 25 administrator in the Commonwealth.]
- 26 "Revocation" shall mean the termination of a certificate or
- 27 <u>eligibility to be employed in a charter or cyber charter school</u>
- 28 or any other entity contracted to provide educational services
- 29 to students enrolled in a school district, intermediate unit,
- 30 area vocational-technical school, private academic school or

- 1 <u>charter or cyber charter school.</u>
- 2 "School entity" shall mean a school district, intermediate
- 3 unit [or], area vocational-technical school, charter [school,
- 4 Scotland School for Veterans' Children, Scranton State School
- 5 for the Deaf and Thaddeus Stevens College of Technology] or
- 6 cyber charter school, private academic school or any other
- 7 <u>entity contracted to provide educational services to students</u>
- 8 enrolled in a school district, intermediate unit, area
- 9 vocational-technical school, private academic school or charter
- 10 or cyber charter school.
- "Secretary" shall mean the Secretary of Education of the
- 12 <u>Commonwealth</u>.
- "Sexual abuse or exploitation" shall [mean the employment,
- 14 use, persuasion, inducement, enticement or coercion of a child
- 15 or student to engage in or assist any other person to engage in
- 16 any sexually explicit conduct or a simulation of any sexually
- 17 explicit conduct for the purpose of producing a visual
- 18 depiction, including photographing, videotaping, computer
- 19 depicting or filming, of any sexually explicit conduct or
- 20 conduct that constitutes an offense under 18 Pa.C.S. Ch. 31
- 21 (relating to sexual offenses) or section 6312 (relating to
- 22 sexual abuse of children) or other forms of sexual exploitation
- 23 of children or students.] have the meaning given to the term by
- 24 23 Pa.C.S. Ch. 63 (relating to child protective services).
- 25 "Sexual misconduct" shall mean any act, including, but not
- 26 limited to, any verbal, nonverbal, written or electronic
- 27 <u>communication or physical activity, directed toward or with a</u>
- 28 <u>child or a student regardless of the age of the child or student</u>
- 29 that is designed to establish a romantic or sexual relationship
- 30 with the child or student. Such prohibited acts include, but are

- 1 <u>not limited to, the following:</u>
- 2 (1) sexual or romantic invitations;
- 3 (2) dating or soliciting dates;
- 4 (3) engaging in sexualized or romantic dialogue;
- 5 (4) making sexually suggestive comments;
- 6 (5) self-disclosure or physical exposure of a sexual,
- 7 romantic or erotic nature; or
- 8 (6) any sexual, indecent, romantic or erotic contact with
- 9 the child or student.
- 10 The consent of a child or a student to engage in sexual
- 11 <u>misconduct may not be a defense or a mitigating factor in any</u>
- 12 <u>discipline proceeding under this act.</u>
- "State Board" shall mean the State Board of Education.
- 14 <u>"State Board of Private Academic Schools" shall mean the</u>
- 15 departmental administrative board as established by the act of
- 16 January 28, 1988 (P.L.24, No.11), known as the "Private Academic
- 17 Schools Act."
- 18 "Student" shall mean an individual enrolled in a public,
- 19 <u>nonpublic</u>, <u>nonlicensed</u>, <u>private or charter or cyber charter</u>
- 20 school, intermediate unit or area vocational-technical school or
- 21 in any other entity contracted to provide direct educational
- 22 services to students enrolled in a school district, intermediate
- 23 unit, area vocational-technical school, private academic school
- 24 or charter or cyber charter school.
- 25 "Supplemental sanctions" shall mean private or public
- 26 disciplinary sanctions that focus on remediation or restitution,
- 27 <u>including</u>, but not limited to, fees, fines, prescribed
- 28 coursework, evaluations, treatment plans, impaired educator
- 29 programs and other corrective action plans.
- 30 "Surrender" shall mean the termination by consent of a

- 1 <u>certificate or eliqibility to be employed by a charter or cyber</u>
- 2 charter school or contracted educational provider whenever the
- 3 certificate or eligibility is surrendered to the department
- 4 <u>after the educator receives notice of allegations of misconduct</u>
- 5 by a school entity, after the educator is dismissed for cause by
- 6 a school entity, after the educator resigns, retires or
- 7 <u>otherwise separates from employment after the school entity</u>
- 8 <u>receives notice of misconduct, after the educator is removed</u>
- 9 from any employment eligibility lists, including substitute or
- 10 guest teacher lists, after the department receives a report
- 11 concerning the educator under section 9.1, after the department
- 12 receives a complaint concerning the educator under section 9 or
- 13 <u>after the educator is indicted or charged for a crime set forth</u>
- 14 <u>in section 111(e)(1) through (3) of the act of March 10, 1949</u>
- 15 (P.L.30, No.14), known as the "Public School Code of 1949," a
- 16 crime involving moral turpitude or the attempt, solicitation or
- 17 conspiracy to commit any crime set forth in section 111(e)(1)
- 18 through (3) of the "Public School Code of 1949" or any crime
- 19 involving moral turpitude.
- 20 "Suspension" shall mean the temporary termination of a
- 21 certificate or eligibility to be employed in a charter or cyber
- 22 charter school or any other entity contracted to provide direct
- 23 educational services to students enrolled in a school district,
- 24 intermediate unit, area vocational-technical school, private
- 25 academic school or charter or cyber charter school for a
- 26 specific period of time, for an indefinite period of time or
- 27 until specific conditions are met.
- 28 ["Teach" shall mean to engage in the practice of teaching in
- 29 the public schools of the Commonwealth or to provide related
- 30 educational specialist, administrative or supervisory services

- 1 in such schools.]
- 2 "Teacher" shall mean [a] any person who holds a [valid]
- 3 Pennsylvania teaching certificate[.] or who is employed as a
- 4 <u>contracted educational provider or by a charter or cyber charter</u>
- 5 school in a position for which certification would be required
- 6 <u>in a public school other than a charter or cyber charter school,</u>
- 7 but who is not required to hold certification under the act of
- 8 March 10, 1949 (P.L.30, No.14), known as the "Public School Code
- 9 of 1949."
- 10 Section 2. Section 2 of the act is amended to read:
- 11 Section 2. Certification Requirements. -- No [person shall
- 12 teach in a public school] educator shall be employed by a school
- 13 entity in the Commonwealth unless he has met the certification
- 14 requirements which are applicable to the institution in which he
- 15 <u>is employed</u> as established by the State Board [of Education
- 16 which are applicable to the institution where he is employed],\_\_
- 17 the State Board of Private Academic Schools or the department.
- 18 An educator whose certificate or eligibility to be employed as a
- 19 contracted educational provider or by a charter or cyber charter
- 20 school has been revoked, suspended or surrendered is not
- 21 eligible for employment in a school entity or eligible for any
- 22 certificate until the certificate or eligibility is reinstated
- 23 in accordance with this act.
- Section 3. Sections 3, 4, 5 and 6 of the act, amended
- 25 December 20, 2000 (P.L.918, No.123), are amended to read:
- 26 Section 3. Professional Standards and Practices
- 27 Commission.--(a) There is hereby created a Professional
- 28 Standards and Practices Commission consisting of thirteen
- 29 members appointed by the Governor with the advice and consent of
- 30 a majority of the members elected to the Senate.

- 1 (b) The term of office of members of the commission shall be
- 2 three years [except that:], with members serving fixed and
- 3 staggered terms so that in the first two of every three years,
- 4 the terms of four members expire, and in the third of every
- 5 three years, the terms of five members expire.
- 6 [(1) the terms of office of four members appointed in the
- 7 calendar year 2000 shall expire on the third Tuesday of January
- 8 2001;
- 9 (2) the terms of office of four members appointed in the
- 10 calendar year 2000 shall expire on the third Tuesday of January
- 11 2002; and
- 12 (3) the terms of office of five members appointed in the
- 13 calendar year 2000 shall expire on the third Tuesday of January
- 14 2003.1
- 15 (c) Vacancies shall be filled for an unexpired term in the
- 16 same manner as original appointments. No person shall serve for
- 17 more than two consecutive terms as a member of the commission;
- 18 however, members may continue to serve after the expiration of
- 19 their term until a replacement appointed by the Governor is
- 20 confirmed. The Governor may remove any member from the
- 21 commission for misconduct or malfeasance in office, incapacity,
- 22 or neglect of duty. All members of the commission shall be
- 23 residents of the Commonwealth of Pennsylvania.
- 24 Section 4. Membership and Qualifications. -- (a) The
- 25 membership of the Professional Standards and Practices
- 26 Commission shall consist of:
- 27 (1) [Seven classroom teachers, including one educational
- 28 specialist, broadly representative of the teaching profession
- 29 from public schools] Six classroom teachers broadly
- 30 representative of the education profession, with not more than

- 1 one from a school entity other than a public school.
- 2 (2) Three administrators from [public schools] a school
- 3 entity, at least one of whom shall be a commissioned officer and
- 4 one a principal, with not more than one from a school entity
- 5 <u>other than a public school</u>.
- 6 (3) One administrator from an approved institution of higher
- 7 learning in the Commonwealth offering approved teacher education
- 8 programs.
- 9 (4) Two members from the general public, at least one of
- 10 whom shall be an elected public school director.
- 11 (5) One educational specialist.
- 12 (b) Except for the representatives of the general public,
- 13 the Governor in making appointments shall consider
- 14 recommendations from panels of nominees submitted by Statewide
- 15 <u>educational</u> organizations [of professional educators] which
- 16 certify that the panels include only representatives of the
- 17 category of professional personnel for which the panel or panels
- 18 of nominees are submitted. However, the Governor shall not be
- 19 limited to nominating members of Statewide organizations for
- 20 appointments to the commission.
- 21 (c) All members of the commission except the persons
- 22 representing the general public shall have been actively engaged
- 23 in teaching or providing related educational, administrative or
- 24 supervisory services in a [public school] school entity or
- 25 approved institution of higher education with approved teacher
- 26 education programs for at least five of the eight years
- 27 immediately preceding their appointment. A person appointed to
- 28 the commission who leaves the Commonwealth to become domiciled
- 29 in another state shall have his position on the commission
- 30 deemed vacated. A person whose status changes to a category

- 1 different from that for which that person was appointed may
- 2 continue to serve on the commission for the remainder of that
- 3 person's appointment or until replaced.
- 4 (d) The chairman of the State Board [of Education], or a
- 5 member of the [board] State Board designated by the chairman,
- 6 shall be an ex officio member of the commission without voting
- 7 privileges.
- 8 (e) The members of the commission, employes of the
- 9 commission and agents of the commission shall in all of their
- 10 deliberations consider the public interest, including ensuring
- 11 the health, safety and welfare of students or other individuals
- 12 in school entities.
- 13 Section 5. Power and Duties. -- (a) The Professional
- 14 Standards and Practices Commission shall have the power and its
- 15 duty shall be:
- 16 (1) To recommend to the State Board [of Education] rules and
- 17 regulations defining positions for which certification should be
- 18 required and criteria to determine qualifications, consistent
- 19 with this act, necessary to hold such a certificate.
- 20 (2) To recommend to the State Board [of Education] rules and
- 21 regulations providing for making a certificate permanent upon
- 22 evidence of such teaching experience and additional preparation
- 23 as may by rule be required.
- 24 (3) To recommend to the State Board [of Education] rules and
- 25 regulations providing for [the Department of Education] the
- 26 <u>department's</u> investigation and determination of the
- 27 acceptability of programs of professional education in colleges
- 28 and universities of this Commonwealth issuing degrees to persons
- 29 who may desire to teach in the schools of this Commonwealth. The
- 30 commission may recommend as its own, with or without

- 1 modification, standards used by other organizations engaged in
- 2 the evaluation of teacher preparation programs. In
- 3 [establishing] recommending standards pursuant to this clause,
- 4 the commission shall consider, among other factors, the
- 5 following:
- 6 (i) Ongoing research and developing theories in education.
- 7 (ii) The knowledge and skills necessary to effectively
- 8 perform professional education functions.
- 9 (iii) The liberal arts and general education requirements
- 10 that are the foundation of a teacher preparation program.
- 11 (iv) The value of student [teacher] teaching, laboratory
- 12 work and other professional experience as preparation for
- 13 certification.
- 14 (v) The cultural and demographic diversity of relevant
- 15 student populations.
- 16 (vi) Other interests of the public.
- 17 The commission shall assess the effectiveness of educator
- 18 preparation programs and recommend changes to the State Board
- 19 [of Education] as indicated by such evaluations.
- 20 (4) To recommend to the State Board [of Education] changes
- 21 in teacher education programs based on commission conducted
- 22 assessments of these programs.
- 23 (5) To recommend to the State Board [of Education] rules and
- 24 regulations providing for acceptance or approval of certificates
- 25 to teach issued by other states, countries and bodies.
- 26 (6) To recommend to the State Board [of Education] rules and
- 27 regulations providing for the department to enter into
- 28 agreements with agencies of other states for reciprocal approval
- 29 of teacher preparation programs.
- 30 (7) To recommend to the State Board [of Education] rules and

- 1 regulations governing examinations for the initial certification
- 2 of teachers.
- 3 (8) To cooperate with a national board for professional
- 4 education certification recognized by the commission to such
- 5 degree as, in the commission's judgment, shall bring advantage
- 6 to the Commonwealth.
- 7 (9) To establish procedures for [conducting hearings
- 8 pursuant to section 13 and for hearings regarding reinstatement
- 9 of certificates] the commission's adjudication of educator
- 10 misconduct and applications for reinstatement and for conducting
- 11 public hearings, including the imposition of fines and fees.
- 12 (9.1) To adopt requirements regarding the submission of
- 13 reports by the department on the processing of complaints in
- 14 order to ensure the timely and effective resolution of
- 15 complaints.
- 16 (10) To adopt and maintain a code for professional practice
- 17 and conduct that shall be applicable to any educator as defined
- 18 in this act, pursuant to the act of July 31, 1968 (P.L.769,
- 19 No.240), referred to as the Commonwealth Documents Law. Nothing
- 20 in the code for professional practice and conduct shall be an
- 21 independent basis for [the suspension or revocation of a
- 22 certificate] discipline other than a public or private
- 23 <u>reprimand</u>, nor shall it pertain to questions of membership or
- 24 affiliation or nonaffiliation in an employe organization, or
- 25 participation in the actions of an employe organization, or
- 26 participation or nonparticipation in the actions of an employe
- 27 organization related to the negotiation of a collective
- 28 bargaining agreement, a strike or other work stoppage as defined
- 29 under the act of July 23, 1970 (P.L.563, No.195), known as the
- 30 "Public Employe Relations [Act," provided that the code may

- 1 specify those sections the violation of which may constitute a
- 2 basis for reprimand.] Act."
- 3 [(11) To discipline any professional educator or charter
- 4 school staff member found guilty upon hearings before the
- 5 commission of immorality, incompetency, intemperance, cruelty or
- 6 negligence or for violation of any provision of the act of May
- 7 29, 1931 (P.L.210, No.126), entitled, as amended, "An act to
- 8 regulate the certification and the registration of persons
- 9 qualified to teach in accredited elementary and secondary
- 10 schools in this State; imposing certain duties upon the
- 11 Department of Public Instruction and the State Board of
- 12 Education; defining violations; providing penalties, and for
- 13 appeal to the court of common pleas of Dauphin County." The
- 14 commission shall establish definitions consistent with this
- 15 clause.]
- 16 (11.1) To direct the department to [suspend or revoke the
- 17 certificate of a professional educator or charter school staff
- 18 member] discipline any educator in accordance with section 9.2,\_\_
- 19 9.3, 9.4 or 9.5.
- 20 (11.2) To impose conditions, corrective action, fines or
- 21 fees for violations of this act or for reinstatement, including
- 22 <u>requiring an educator, at the educator's own expense, to submit</u>
- 23 to the evaluation or care, counseling or treatment of a
- 24 physician, psychologist, therapist or psychiatrist as designated
- 25 by the commission or enter an impaired educator program or
- 26 similar program approved by the commission.
- 27 (11.3) To issue subpoenas in accordance with procedures set
- 28 forth in this act.
- 29 (12) To establish procedures which assure that actions
- 30 concerning discipline <u>and reinstatement</u> of [professional]

- 1 educators shall comply with due process.
- 2 (12.1) To establish and participate in alternative dispute
- 3 resolution programs to allow for flexibility, early resolution
- 4 and cooperation in resolving charges filed under section 13.
- 5 (12.2) To develop outreach programs, professional
- 6 <u>development and courses designed to improve the quality of</u>
- 7 practice and ethical conduct in the teaching profession.
- 8 (13) To keep minutes of its meetings and report annually to
- 9 the Governor, the General Assembly, the State Board [of
- 10 Education], the education profession and the public and to
- 11 publish, from time to time, such other reports as it deems
- 12 appropriate.
- 13 (14) To adopt, pursuant to the act of July 31, 1968
- 14 (P.L.769, No.240), referred to as the Commonwealth Documents
- 15 Law, operating and procedural rules and regulations necessary to
- 16 carry out the purposes of this act. The commission shall hold
- 17 public hearings and take testimony concerning proposed
- 18 recommendations which shall be presented to the State Board [of
- 19 Education].
- 20 (a.1) Nothing in this act shall be construed to prevent
- 21 organizations of the education profession from adopting measures
- 22 designed to improve the standards and practices of ethics and
- 23 academic freedom among their members and in their relationships
- 24 with other persons and groups.
- 25 (b) All teachers' certificates in force in this Commonwealth
- 26 on [the effective date of this amendatory act] February 17,
- 27 2001, shall continue in full force and effect, subject to all
- 28 the terms and conditions under which they were issued, until
- 29 they expire by virtue of their own limitations, unless they are
- 30 sooner annulled for the reasons and in the manner provided by

- 1 law.
- 2 (c) Recommendations as outlined in subsection (a) shall be
- 3 presented publicly at a scheduled State Board [of Education]
- 4 meeting. This presentation shall be prior to any board action on
- 5 regulations, standards or guidelines affecting teacher
- 6 certification, professional practices, accreditation of teacher
- 7 education programs and long range plans.
- 8 Section 6. Organization and Meetings of the Commission. -- (a)
- 9 The Governor shall annually select a chairman from among the
- 10 membership of the commission. The chairman, or a commission
- 11 member designated by the chairman, shall be an ex officio member
- 12 of the State Board [of Education] without voting privileges or
- 13 assignment to either council.
- 14 (b) Meetings shall be held at least five times per year at
- 15 the call of the chairman or upon request in writing of a
- 16 majority of the commission. A majority shall constitute a quorum
- 17 and a majority of such quorum shall have authority to act upon
- 18 any matter properly before the commission unless otherwise
- 19 specified in this act. [In the case of the discipline of an
- 20 administrator, the commission shall act by a majority vote of a
- 21 special panel of at least nine members selected by the chairman,
- 22 to include no more than three teachers. The first meeting of the
- 23 commission shall be held within six months of the effective date
- 24 of this act.] Meetings of the commission shall be open to the
- 25 public and the executive director of the commission shall be
- 26 responsible for seeing that notices of meetings of the
- 27 commission are properly circulated.
- Section 4. Sections 7 and 8 of the act, amended December 14,
- 29 1989 (P.L.612, No.71), are amended to read:
- 30 Section 7. Expenses. -- Members of the commission shall

- 1 receive no compensation for their services, but shall be
- 2 reimbursed for their actual and necessary expenses incurred in
- 3 the performance of official commission business. A member of the
- 4 commission, who is an employe of an agency of the Commonwealth,
- 5 or any of its political subdivisions [including school
- 6 districts], or of a school entity, shall be permitted to attend
- 7 commission meetings and perform other commission duties without
- 8 loss of income or other benefits. A State agency or any
- 9 political subdivision of this Commonwealth, including a school
- 10 entity, required to employ a substitute for a member of the
- 11 commission who is absent from his employment while performing
- 12 commission business shall be reimbursed by the [Department of
- 13 Education] <u>department</u> from funds appropriated for the general
- 14 government operations of the [Department of Education]
- 15 <u>department</u> for the actual amount of any costs incurred upon
- 16 presentation of a request for reimbursement and documentation of
- 17 such cost. A member of the commission who is employed by a
- 18 private employer shall be reimbursed by the [Department of
- 19 Education] <u>department</u>, from funds appropriated for the general
- 20 government operations of the [Department of Education]
- 21 department, for any income lost, pursuant to guidelines
- 22 established by the commission, as a result of attendance at
- 23 commission meetings or performance of other official commission
- 24 duties upon presentation of a request for reimbursement and
- 25 documentation of such loss.
- 26 Section 8. Commission Staff.--(a) There shall be an
- 27 executive director of the commission who shall serve as the
- 28 executive officer and secretary of the commission. The
- 29 commission and the secretary shall jointly employ and fix the
- 30 compensation of the executive director. The executive director,

- 1 with approval of the commission and the secretary, may employ
- 2 additional professional and clerical personnel as may be
- 3 necessary to carry out the duties and responsibilities of the
- 4 commission. The [Department of Education] <u>department</u> shall
- 5 provide adequate space and equipment to facilitate the
- 6 activities of the commission.
- 7 (b) The Governor, through his General Counsel, shall provide
- 8 such legal advice and assistance as the commission may require.
- 9 Section 5. Sections 9, 9.1 and 9.2 of the act, amended or
- 10 added December 20, 2000 (P.L.918, No.123), are amended to read:
- 11 Section 9. Complaints <u>and Department Investigations</u>.--(a)
- 12 [A proceeding to discipline a professional educator shall be
- 13 initiated by the filing of a complaint with the department by
- 14 any interested party within one year from the date of the
- 15 occurrence of any alleged action specified under section
- 16 5(a)(11), or from the date of its discovery. Complaints
- 17 involving sexual abuse or exploitation of a child or a student
- 18 may be filed beyond the date of the alleged occurrence or date
- 19 of its discovery up until five years after the child or student
- 20 reaches 18 years of age. If the alleged action is of a
- 21 continuing nature, the date of its occurrence is the last date
- 22 on which the conduct occurred.] The filing of a written educator\_
- 23 misconduct complaint with the department will initiate the
- 24 department's review and investigation of an educator.
- 25 (b) For purposes of this act, the department may file an
- 26 educator misconduct complaint.
- 27 (c) The department may by regulation prescribe standards for
- 28 the filing of complaints. The complaint shall, at a minimum:
- 29 (1) be in a written form prescribed by the department;
- 30 (2) specify the nature and character of the [charges]

- 1 <u>allegations of misconduct;</u> and
- 2 (3) be verified [under oath by the complaining party] by the
- 3 complainant or a duly authorized agent of the [complaining
- 4 party] complainant and made subject to the penalties of 18
- 5 Pa.C.S. § 4904 (relating to unsworn falsification to
- 6 <u>authorities</u>).
- 7 (d) The commission[, and its individual members,] may not
- 8 file a complaint [or initiate a disciplinary proceeding on their
- 9 own motion, except that if,]. If an individual commissioner in
- 10 the performance of [commission business, the commission, or any
- 11 of its individual members, his or her professional
- 12 responsibilities as an employe of a school entity uncovers
- 13 evidence of educator misconduct that would appear to [require
- 14 discipline, the commission may transmit such evidence to the
- 15 department where such evidence will be treated as] warrant\_
- 16 discipline under this act, the individual commissioner may file
- 17 a complaint in accordance with the provisions of this act.
- 18 [(f)] (e) Upon receipt of a complaint, the department shall
- 19 promptly review it and all other complaints and information
- 20 relating to the [professional] educator.
- 21 (1) If the facts alleged are not legally sufficient to
- 22 warrant discipline <u>under this act</u>, the department shall dismiss
- 23 the complaint and provide written notice of such dismissal to
- 24 the [complaining party and to the affected professional]
- 25 <u>complainant and to the</u> educator.
- 26 (2) If the facts alleged are deemed <u>legally</u> sufficient to
- 27 warrant discipline[, the department shall notify the affected
- 28 professional educator and the complaining party in writing of
- 29 the sufficiency of the complaint and] under this act, the
- 30 department shall provide written notice of the legal sufficiency

- 1 of the complaint to the educator, the current and former school\_
- 2 entity in which the educator is or was employed and the
- 3 <u>complainant.</u>
- 4 (2.1) If the facts alleged are deemed legally sufficient to
- 5 <u>warrant discipline</u>, the <u>department may</u> conduct a preliminary
- 6 investigation to determine whether there is probable cause to
- 7 believe that grounds for discipline exist. The department shall
- 8 be provided, upon request to the complainant, the educator and
- 9 the current and former school entity in which the educator is or
- 10 was employed, any [documents] relevant information and
- 11 <u>documentary and physical evidence</u> it may reasonably require in
- 12 pursuit of its preliminary investigation. [Such request shall be
- 13 made in writing to the professional educator or the current or
- 14 prior employer.]
- 15 (3) If the department determines that probable cause does
- 16 not exist, [it shall issue a written notice to the affected
- 17 professional educator, the complaining party and the current or
- 18 prior employer if the employer was notified of the complaint. If
- 19 a finding of probable cause is made, the department shall so
- 20 notify the affected professional educator and the complaining
- 21 party and may immediately conduct an investigation pursuant to
- 22 section 12 or transmit its preliminary findings to the local
- 23 school governing board of the school entity in which the
- 24 affected professional educator is or was last serving, to allow
- 25 the local school board to investigate and comment upon the
- 26 appropriateness of professional discipline.] the department
- 27 <u>shall dismiss the complaint and provide a written notice of such</u>
- 28 <u>dismissal to the educator, the complainant and the current and</u>
- 29 <u>former school entity in which the educator is or was employed.</u>
- 30 (4) If the department determines that probable cause exists,

- 1 the department shall provide written notice to the educator, the
- 2 complainant and the current and former school entity in which
- 3 the educator is or was employed and may immediately conduct an
- 4 <u>investigation</u>, which may include directing the school entity to
- 5 <u>investigate and comment upon the appropriateness of professional</u>
- 6 <u>discipline in accordance with section 11.</u>
- 7 (f) In conducting its investigations under this act, the
- 8 <u>department may investigate any and all allegations of misconduct</u>
- 9 <u>in the complaint or complaints and any other misconduct</u>
- 10 concerning the educator that is discovered in the course of the
- 11 <u>investigations</u>.
- 12 Section 9.1. [Reporting to Department] <u>Mandatory</u>
- 13 Reporting. -- (a) The [superintendent, assistant superintendent,
- 14 executive director of an intermediate unit, chief administrator
- 15 of an area vocational-technical school, administrator of a
- 16 charter school or their designees shall report any] <a href="mailto:chief-school">chief-school</a>
- 17 administrator or his designee shall file all of the following
- 18 [to] with the department in writing on a form prescribed by the
- 19 <u>department</u>:
- 20 (1) [The dismissal of a certificated employe] Any educator
- 21 who has been provided with notice of intent to dismiss or of
- 22 nonrenewal for cause. The report shall be filed within [30] 15\_
- 23 days after [an administrative decision by an arbitrator or the
- 24 local board of school directors] notice is provided by a school
- 25 entity.
- 26 (2) [Conduct that has resulted in a criminal indictment or
- 27 conviction for a crime set forth in section 111(e)(1) through
- 28 (3) of the act of March 10, 1949 (P.L.30, No.14), known as the
- 29 "Public School Code of 1949," or other crime that involves moral
- 30 turpitude. The report shall be filed within 30 days of the

- 1 receipt of information and shall include all available
- 2 information relating to the conduct resulting in the charge or
- 3 conviction.] Any educator who has been indicted for or convicted
- 4 of any crime that is graded a misdemeanor or felony. For
- 5 purposes of this section, the term conviction shall include a
- 6 plea of quilty or nolo contendere. The report shall be filed
- 7 within 15 days of discovery of the indictment or conviction.
- 8 (3) [Information which constitutes reasonable cause to
- 9 believe that a certificated employe has] Any educator against
- 10 whom allegations have been made that the educator has:
- 11 <u>(i)</u> caused physical injury to a student or child as a result
- 12 of negligence or malice [or has];
- 13 <u>(ii)</u> committed sexual abuse or exploitation involving a
- 14 student or child[.]; or
- 15 (iii) engaged in sexual misconduct with a student or child.
- 16 The report shall be filed within [60] 15 days of the [receipt of
- 17 the information.] discovery of the allegations of misconduct.
- 18 (4) Any educator who has resigned, retired or otherwise
- 19 separated from employment after a school entity has received
- 20 notice of alleged misconduct. The report shall be filed within
- 21 15 days of the separation from employment, notwithstanding any
- 22 <u>termination agreement to the contrary that the school entity may</u>
- 23 enter into with the educator.
- 24 [(b) The superintendent, assistant superintendent, executive
- 25 director of an intermediate unit, chief administrator of an area
- 26 vocational-technical school and administrator of a charter
- 27 school or their designees shall comply with the provisions of
- 28 subsection (a). Failure to comply shall be deemed a violation of
- 29 subsection (a) by the chief school administrator of that school
- 30 entity and may result in disciplinary action against the chief

- 1 school administrator.]
- 2 (c) An educator who is indicted for or convicted of any
- 3 crime graded as a misdemeanor or felony shall report the
- 4 <u>indictment or conviction to the school entity at which the</u>
- 5 educator is currently employed within 72 hours of the indictment
- 6 <u>or conviction</u>.
- 7 (d) All reports submitted to the department shall include
- 8 <u>all information and documentary and physical evidence in</u>
- 9 possession or control of the school entity relating to the
- 10 misconduct resulting in the report.
- 11 Section 9.2. [Imposition of Discipline.--] <u>Discipline for</u>
- 12 Criminal Offenses. -- (a) The commission shall [do all of the
- 13 following regarding suspension or revocation of a professional
- 14 certificate]:
- 15 (1) Direct the department to immediately suspend the
- 16 certificate [of a professional] and employment eligibility of an
- 17 educator indicted for a crime set forth in section 111(e)(1)
- 18 through (3) of the act of March 10, 1949 (P.L.30, No.14), known
- 19 as the "Public School Code of 1949," or the attempt,
- 20 solicitation or conspiracy to commit any crime set forth in that
- 21 section if the commission, after notice and hearing if
- 22 requested, determines that the [professional] educator poses a
- 23 threat to the health, safety or welfare of [a student] students
- 24 or other [individual in a school] persons in the schools of this
- 25 <u>Commonwealth</u> in accordance with the following:
- 26 (i) Within 15 days of [the receipt] <u>service</u> of notice of
- 27 charges issued by the department, the [professional] educator
- 28 may request a hearing before the commission on the question of
- 29 whether the certification or employment eligibility should be
- 30 <u>immediately suspended</u>. The commission or a [committee] <u>panel</u> of

- 1 members of the commission shall hold a hearing within 15 days of
- 2 the receipt of the request for hearing. If the educator fails to
- 3 request a hearing, the commission or a panel of members of the
- 4 <u>commission promptly shall convene a meeting to consider the</u>
- 5 <u>request for immediate suspension</u>. The commission or [committee]
- 6 <u>panel</u> shall issue a decision within 20 days after the conclusion
- 7 of the <u>meeting or</u> hearing, including receipt of the transcript
- 8 or filing of any briefs. The [professional] educator may appeal
- 9 the decision of the commission pursuant to section [15] 15(a),
- 10 except that an appeal filed shall not operate as a stay of the
- 11 discipline.
- 12 (ii) The commission may elect not to <u>direct the department</u>
- 13 to suspend the certificate [of a professional] and employment
- 14 eligibility of any educator indicted for a crime under this
- 15 subsection if the [professional] educator files an affidavit
- 16 attesting that during the pendency of the criminal [charge the
- 17 professional] proceeding the educator will not be employed in a
- 18 position that requires [professional] certification or involves
- 19 direct contact with children or students. The commission shall
- 20 not accept an affidavit when the allegations that form the basis
- 21 of the criminal proceeding involve sexual misconduct or sexual
- 22 abuse or exploitation of a student or child.
- 23 (iii) The commission shall direct the department to
- 24 immediately lift a suspension upon receipt of certified court
- 25 documents establishing that the charges have been dismissed or
- 26 otherwise removed.
- 27 (iv) [The commission may reinstate the certificate of a
- 28 professional] <u>Upon petition by the educator, the commission may</u>
- 29 direct the department to reinstate the certificate and
- 30 employment eligibility of an educator suspended under this

- 1 paragraph or release the [professional] educator from an
- 2 affidavit under subparagraph (ii) if the [professional educator
- 3 participates in] educator successfully completes an accelerated
- 4 rehabilitative disposition program as a result of the indictment
- 5 and the commission determines that the [professional] educator
- 6 does not pose a threat to the health, safety or welfare of
- 7 students or other individuals in a school. The commission shall
- 8 conduct an expedited hearing, if requested, for an applicant for
- 9 reinstatement or release under this subparagraph.
- 10 [Notwithstanding the provisions of this subparagraph, an
- 11 applicant shall not be reinstated if the indictment was for an
- 12 offense under 18 Pa.C.S. Ch. 31 (relating to sexual offenses)
- 13 where the victim is a minor.]
- 14 (2) Direct the department to revoke the certificate [of a
- 15 professional] and employment eligibility of an educator who has
- 16 been convicted of a crime set forth in section 111(e)(1) through
- 17 (3) of the "Public School Code of 1949," [or] a crime involving
- 18 moral turpitude, [or] an equivalent crime in Federal court or a
- 19 court of another state, territory or nation[,] or the attempt,
- 20 solicitation or conspiracy to commit any crime set forth in this
- 21 section upon the filing of a certified copy of the verdict or
- 22 judgment or sentence of the court with the commission. The
- 23 commission shall direct the department to immediately reinstate
- 24 a certificate and employment eligibility upon receipt of
- 25 certified court documents establishing that the conviction was
- 26 reversed on appeal. For purposes of this paragraph, the term
- 27 "conviction" shall include a plea of guilty or nolo contendere.
- 28 [(3) Direct the department to discipline a professional
- 29 educator upon receipt of a certified copy of an adjudication
- 30 from the appropriate licensing authority in another state,

- 1 territory or nation imposing discipline for grounds, other than
- 2 a conviction under paragraph (2), that are comparable to grounds
- 3 for discipline under this act. Upon receipt of the adjudication,
- 4 the commission shall issue an order directing that the
- 5 professional educator show cause why the imposition of identical
- 6 or comparable discipline in this Commonwealth would be
- 7 unwarranted. The professional educator shall respond within 30
- 8 days of receipt of the order. The final adjudication by an
- 9 appropriate licensing authority of another jurisdiction shall be
- 10 conclusive as to the misconduct of a professional educator under
- 11 this act. Within 30 days after the time alloted for the
- 12 professional educator to respond to the order to show cause, the
- 13 commission may impose the identical or comparable discipline
- 14 unless the professional educator demonstrates that:
- 15 (i) the discipline would result in a grave injustice;
- 16 (ii) the discipline is substantially different from what
- 17 would have been imposed for similar conduct in this
- 18 Commonwealth; or
- 19 (iii) the procedure used in the other jurisdiction did not
- 20 provide due process.
- 21 (4) Direct reinstatement of a certificate revoked, suspended
- 22 or surrendered in lieu of discipline in accordance with this
- 23 act.1
- 24 (b) Nothing in this section shall be construed to prevent
- 25 the department from pursuing discipline under this act against
- 26 any educator who has been acquitted, who has participated in an
- 27 <u>alternative disposition program or for whom the criminal charges</u>
- 28 <u>were otherwise withdrawn or dismissed.</u>
- 29 Section 6. The act is amended by adding sections to read:
- 30 Section 9.3. Imposition of Discipline on Additional

- 1 Grounds. -- (a) The commission shall direct the department to
- 2 impose discipline against any educator for conduct found by the
- 3 commission to constitute:
- 4 <u>(1) Immorality.</u>
- 5 (2) Incompetency.
- 6 <u>(3) Intemperance.</u>
- 7 <u>(4) Cruelty.</u>
- 8 <u>(5) Negligence.</u>
- 9 (6) Sexual misconduct.
- 10 (7) Sexual abuse or exploitation.
- 11 (8) A violation of the code for professional practice and
- 12 conduct adopted pursuant to section 5(a)(10).
- 13 (9) Illegal use of professional title as set forth in the
- 14 act of May 29, 1931 (P.L.210, No.126), entitled "An act to
- 15 regulate the certification and the registration of persons
- 16 qualified to teach in accredited elementary and secondary
- 17 schools in this State; imposing certain duties upon the
- 18 Department of Public Instruction and the State Board of
- 19 Education; defining violations; providing penalties, and for
- 20 appeal to the court of common pleas of Dauphin County."
- 21 (10) Failure to comply with duties under this act, including
- 22 the mandatory reporting duties set forth in section 9(a).
- 23 (11) Failure to file reports under the act of March 10, 1949
- 24 (P.L.30, No.14), known as the "Public School Code of 1949."
- 25 (12) Actions taken by an educator to threaten, coerce or
- 26 discriminate or otherwise retaliate against an individual who in
- 27 good faith reports actual or suspected misconduct under this act
- 28 or against complainants, victims, material witnesses or other
- 29 individuals participating or cooperating in proceedings under
- 30 this act.

- 1 (b) The commission shall establish definitions consistent
- 2 with this section.
- 3 Section 9.4. Imposition of Discipline on Founded and
- 4 <u>Indicated Reports.--(a) The commission shall:</u>
- 5 (1) Direct the department to revoke the certificate and
- 6 employment eliqibility of an educator who is named as the
- 7 perpetrator of a founded report of child abuse or named as an
- 8 <u>individual responsible for injury or abuse in a founded report</u>
- 9 for a school employe under 23 Pa.C.S. Ch. 63 (relating to child
- 10 protective services) upon receipt of a certified copy of the
- 11 <u>founded report.</u>
- 12 (2) Direct the department to discipline an educator who is
- 13 <u>named as the perpetrator of an indicated report of child abuse</u>
- 14 or named as an individual responsible for injury or abuse in an
- 15 indicated report for a school employe under 23 Pa.C.S. Ch. 63.
- 16 Upon receipt of a certified copy of the indicated report after
- 17 final determination by the Department of Public Welfare, the
- 18 department shall issue an order directing that the educator show
- 19 cause why the revocation of the educator's certificate and
- 20 employment eligibility would be unwarranted. The educator shall
- 21 respond within 30 days of service of the order. The indicated
- 22 report shall be conclusive evidence of immorality as defined
- 23 under this act. The commission shall order the revocation of the
- 24 educator's certificate and employment eligibility unless the
- 25 educator demonstrates that:
- 26 (i) the revocation would result in a grave injustice; or
- 27 (ii) the procedure used in the administrative procedure
- 28 under 23 Pa.C.S. Ch. 63 did not provide due process.
- 29 <u>(3) Direct the department to immediately reinstate a</u>
- 30 certificate and employment eligibility upon receipt of a

- 1 certified document establishing that a founded or indicated
- 2 report of child abuse or founded or indicated report for a
- 3 school employe was reversed or determined to be unfounded.
- 4 (b) Nothing in this section shall be construed to prevent
- 5 the department from pursuing discipline under this act against
- 6 any educator for whom a founded or indicated report of child
- 7 <u>abuse or founded or indicated report for a school employe was</u>
- 8 <u>reversed or determined to be unfounded.</u>
- 9 <u>Section 9.5. Reciprocal Discipline.--(a) Upon receipt of a</u>
- 10 certified copy of an adjudication from the appropriate licensing
- 11 <u>authority in another state, territory or nation imposing</u>
- 12 <u>discipline for grounds</u>, other than a conviction under section
- 13 <u>9.2, that are comparable to the grounds for discipline under</u>
- 14 this act, the department may issue an order directing that the
- 15 <u>educator show cause why the imposition of identical or</u>
- 16 comparable discipline in this Commonwealth would be unwarranted.
- 17 The final adjudication by an appropriate licensing authority of
- 18 another jurisdiction shall be conclusive as to the misconduct of
- 19 an educator under this section. The educator shall respond
- 20 within 30 days of service of the order to show cause. The
- 21 commission may direct the department to impose the identical or
- 22 comparable discipline unless the educator demonstrates that:
- 23 (1) the discipline would result in a grave injustice;
- 24 (2) the discipline is substantially different from what
- 25 would have been imposed for similar conduct in this
- 26 Commonwealth; or
- 27 (3) the procedure used in the other jurisdiction did not
- 28 provide due process.
- 29 (b) Nothing in this section shall be construed to prevent
- 30 the department from pursuing discipline against any educator

- 1 <u>disciplined in another state</u>, territory or nation under other
- 2 sections of this act.
- 3 Section 7. Section 10 of the act, amended December 20, 2000
- 4 (P.L.918, No.123), is repealed:
- 5 [Section 10. Confidentiality.--(a) All information relating
- 6 to any complaints, including the identity of the complainant, or
- 7 any proceedings relating to or resulting from such complaints,
- 8 shall remain confidential, unless or until discipline, other
- 9 than a private reprimand, is ordered, any provision of law to
- 10 the contrary notwithstanding. Should proceedings, after all
- 11 appeals, result in discipline, other than private reprimand,
- 12 such discipline and all records pertaining thereto shall become
- 13 public. There shall be no ex-parte communication on any pending
- 14 matter regarding discipline.
- 15 (b) This section shall not prohibit any person from
- 16 disclosing information previously made public as a result of
- 17 action by a school entity to dismiss a certified employe for
- 18 cause or as a result of a certified employe having been formally
- 19 charged with or convicted of a crime of moral turpitude or
- 20 another offense requiring mandatory revocation of a certificate.
- 21 (c) The provisions of this section shall not apply to
- 22 reinstatements.
- 23 (d) Nothing in this section shall be construed to deny a
- 24 professional educator access to information necessary to prepare
- 25 a defense in a disciplinary proceeding under this act.]
- 26 Section 8. Sections 11, 12, 13, 14, 15 and 16 of the act,
- 27 amended December 20, 2000 (P.L.918, No.123), are amended to
- 28 read:
- 29 Section 11. Duties of [Local School Board Officials] School
- 30 Entities. -- (a) Upon receipt of [the preliminary findings]

- 1 <u>notification in writing</u> from the department, a [local school
- 2 board shall investigate] school entity shall investigate the
- 3 allegations of misconduct as directed by the department and may
- 4 pursue the local disciplinary procedures established by law or
- 5 by collective bargaining agreement for adjudication of
- 6 complaints against [professional educators. The local school
- 7 board shall inform the department within 90 days of receipt of
- 8 the preliminary findings whether the local school board will
- 9 pursue disciplinary action and whether the board believes that
- 10 professional disciplinary action by the commission is warranted]
- 11 an educator.
- 12 (b) [The school board, when its local investigation is
- 13 completed, may make a definite] Within 90 days of receipt of the
- 14 written notification from the department directing the school
- 15 entity to conduct an investigation, the school entity shall
- 16 inform the department of the outcome of its investigation and
- 17 whether it will pursue local employment action and may make a
- 18 recommendation concerning discipline[. The school board shall
- 19 notify the affected professional educator of any such
- 20 recommendation and shall provide to the department] under this
- 21 act. In reporting the outcome of its investigation, the school
- 22 entity shall provide the department with:
- 23 (1) Its findings [and]<sub>L</sub> a summary of <u>the</u> evidence gathered
- 24 and all documentary and physical evidence related to the
- 25 allegations of misconduct.
- 26 (2) Any other relevant information which the department may
- 27 request, including information related to individuals
- 28 interviewed by the [local school board.] school entity.
- 29 (b.1) If the school entity makes a recommendation concerning
- 30 discipline, it shall notify the educator of such recommendation.

- 1 (c) A school [district, intermediate unit, area vocational-
- 2 technical school or charter school, entity and any official or
- 3 employe thereof[,] shall cooperate with the department during
- 4 [all stages of the disciplinary process.] its review,
- 5 investigation or prosecution and provide the department with any
- 6 relevant information and documentary and physical evidence that
- 7 the department may reasonably request.
- 8 (d) When the department receives information at any time
- 9 during the course of its review, investigation or prosecution of
- 10 misconduct that the educator is currently employed by a school
- 11 entity not previously notified under this act, the department
- 12 shall notify the school entity of the complaint, investigation
- 13 and charges so that the school entity may exercise its duties
- 14 <u>and rights under this act.</u>
- 15 (e) A school entity is prohibited from entering into any
- 16 <u>agreement with an educator or educator association whereby a</u>
- 17 school entity agrees not to comply with its mandatory reporting
- 18 duties or other duties outlined in this act. Any agreement or
- 19 provision of an agreement contrary to this subsection is void
- 20 and unenforceable.
- 21 Section 12. Department Action After Investigation. -- After
- 22 completion of [an] a preliminary or full investigation, the
- 23 department may dismiss the [charges] complaint, determine that
- 24 appropriate and sufficient punishment has been imposed by the
- 25 [local school board, or initiate hearing procedures] school\_
- 26 entity, participate in alternative dispute resolution process,
- 27 <u>enter into a written settlement agreement with the educator or</u>
- 28 initiate the formal adjudicatory hearing process with the filing
- 29 of charges with the commission. If the complaint is dismissed or
- 30 [it is determined that appropriate and sufficient punishment has

- 1 been imposed by the local school board] otherwise resolved
- 2 without the filing of charges with the commission, the
- 3 department shall inform the [professional] educator, the
- 4 complainant and the [local school board of the determination]
- 5 school entity of its resolution of the complaint.
- 6 Section 13. Hearing. -- (a) Upon determination to initiate
- 7 [hearing procedures] the formal adjudicatory hearing process,
- 8 the department shall[,] within 30 days[,] send a written notice
- 9 to the [affected professional] educator advising of the charges
- 10 and of his right to request a hearing within 30 days of
- 11 [receipt] <u>service</u> of such notice. A copy of the written notice
- 12 of the charges shall be served upon the [professional educator's
- 13 current or prior employer] current and former school entity in
- 14 which the educator is or was employed. The notice of charges
- 15 shall set forth all acts or omissions which the department
- 16 asserts constitute misconduct and warrant discipline, which need
- 17 not be limited to the allegations in the complaint or
- 18 complaints, and may include allegations of misconduct that were
- 19 <u>discovered in the course of the department's investigation of a</u>
- 20 <u>complaint or complaints</u>.
- 21 (b) Notwithstanding any other provision of this act, if the
- 22 department in its discretion determines that immediate
- 23 discipline is necessary to protect the health, safety or welfare
- 24 of students or other persons in the schools of this
- 25 Commonwealth, it [shall] may request that the commission modify
- 26 the procedure set forth in this section and schedule an
- 27 expedited hearing.
- 28 (c) The hearing shall be held in accordance with the
- 29 following procedures unless otherwise specified in this act or
- 30 ordered by the commission:

- 1 (1) Within [45] 15 days of receiving a request for a
- 2 hearing, the commission shall appoint a hearing officer from a
- 3 list of impartial third parties qualified to conduct such
- 4 hearings. The list shall have been previously agreed upon
- 5 jointly by the Governor's General Counsel and at least two-
- 6 thirds of the commission, and shall have at least five names
- 7 which shall be chosen on a rotating basis.
- 8 (2) The burden of proof shall be on the department, which
- 9 shall act as prosecutor, to establish by a preponderance of the
- 10 evidence that grounds for discipline exist.
- 11 (3) The [professional] educator against whom the [charge is]
- 12 <u>charges are</u> made shall have the right to be represented by
- 13 counsel and to present evidence and argument in accordance with
- 14 rules of procedure promulgated by the commission.
- 15 (4) [The governing board of the school entity in which the
- 16 affected professional educator is or was last employed may
- 17 intervene, for cause shown, in accordance with 1 Pa. Code §
- 18 35.28] After the filing of charges against an educator with the
- 19 commission, the current or former school entity in which the
- 20 educator is or was employed may intervene in the disciplinary
- 21 proceeding. The hearing officer may limit the intervener's
- 22 participation in the hearing where appropriate. Admission as an
- 23 <u>intervener shall not be construed as conferring full party</u>
- 24 status on the school entity, and interveners are granted no
- 25 rights which survive discontinuance or resolution of the
- 26 disciplinary matter before the commission.
- 27 (5) The hearing shall be closed[, unless the affected
- 28 professional educator requests that it be open to the public. If
- 29 the hearing is open, the hearing officer, in his discretion, may
- 30 close any portion of the hearing for good cause shown. If the

- 1 hearing is closed, ] and only the department, commission members
- 2 and staff, the [affected professional educator and his or her
- 3 representatives, any intervenors] educator and his or her
- 4 <u>counsel</u>, <u>any intervener or its counsel</u>, if applicable, and any
- 5 material witnesses shall be permitted to attend. [Students
- 6 attending school in the district which employs the professional
- 7 educator shall not be permitted to attend any hearing except as
- 8 witnesses duly subpoenaed to testify with respect to the charges
- 9 made.] Where a witness is a child or student, the commission or
- 10 <u>its hearing officers may in their discretion permit a parent or</u>
- 11 guardian to be in attendance during the testimony of the child
- 12 <u>or student.</u>
- 13 (6) The department may recommend to the hearing officer and
- 14 commission appropriate discipline.
- 15 (7) [The hearing officer shall, within 60] Within 90 days
- 16 after the conclusion of the hearing, [issue a decision
- 17 concerning whether] <u>submission of written legal memoranda and</u>
- 18 receipt of the transcript, the hearing officer shall issue a
- 19 proposed report concerning whether the department has met its
- 20 burden of establishing that misconduct has occurred and whether
- 21 discipline should be imposed. [A decision] The proposed report
- 22 shall include findings of fact and conclusions of law and
- 23 specify the <u>recommended</u> discipline.
- 24 Section 14. [Decision] Proposed Report by Hearing Officer.--
- 25 (a) The [decision] proposed report of the hearing officer shall
- 26 [become final unless] be accepted by the commission unless:
- 27 <u>(1)</u> the [professional] educator or the department files
- 28 [exceptions or a brief on] exceptions in accordance with 1 Pa.
- 29 Code §§ 35.211 (relating to procedure to except to proposed
- 30 report) and 35.212 (relating to content and form of briefs on

- 1 <u>exceptions</u>) within 30 days of the date of the [recommended
- 2 decision.] proposed report;
- 3 (2) the commission initiates a review of the proposed report
- 4 <u>in the absence of exceptions; or</u>
- 5 (3) the commission reopens the proceeding for the reception
- 6 of further evidence in accordance with 1 Pa. Code § 35.233
- 7 (relating to reopening by agency action).
- 8 (b) [The commission shall promptly consider] After
- 9 <u>consideration of</u> exceptions to the hearing officer's [decision.
- 10 The commission by a majority vote of the full membership]
- 11 proposed report or further evidence or its review under this
- 12 <u>section</u>, the <u>commission</u> shall accept, modify or reject the
- 13 hearing officer's [decision, except that, in the case of
- 14 discipline of an administrator, all exceptions shall be taken by
- 15 a special panel of at least nine members of the commission
- 16 selected by the chairperson, which will include no more than
- 17 three teachers] proposed report.
- 18 [(c) Within 45 days after receiving the decision from the
- 19 hearing officer and the exceptions thereto, the commission shall
- 20 issue a written opinion and order affirming, reversing or
- 21 modifying the hearing officer's decision and imposing
- 22 discipline, if any.]
- 23 Section 15. Appeal. -- (a) An order of the commission
- 24 regarding discipline [of a professional] or reinstatement of an
- 25 educator may be appealed only by the department or the
- 26 [professional] educator as an adjudication by a [State]
- 27 Commonwealth agency in the manner provided by law.
- 28 (b) An appeal filed under subsection (a) shall operate as a
- 29 stay of the discipline [until the determination] imposed under
- 30 this act until the resolution of the appeal, except where the

- 1 commission's decision to discipline is accompanied by a finding
- 2 that immediate discipline is necessary to protect the health,
- 3 safety or welfare of students or other persons in the schools of
- 4 this Commonwealth or the discipline imposed is the result of a
- 5 <u>negotiated settlement between the parties or is imposed under</u>
- 6 section 9.2.
- 7 (c) Where the commission's adjudication [is in favor of the
- 8 professional educator] finds no educator misconduct under this
- 9 <u>act</u>, the charges pertaining to the disciplinary proceeding shall
- 10 be expunged from any personal or professional file of the
- 11 [professional] educator maintained by the department [and/or the
- 12 local school entity.] and the school entity unless the school
- 13 <u>entity is actively pursuing local disciplinary action against</u>
- 14 the educator under Article XI of the act of March 10, 1949
- 15 (P.L.30, No.14), known as the "Public School Code of 1949."
- 16 (d) The commission shall make all adjudications imposing
- 17 discipline, other than a private reprimand, available to the
- 18 public.
- 19 Section 16. Reinstatement.--(a) [Any professional] An\_
- 20 educator whose certificate [has] or employment eliqibility has
- 21 been suspended, revoked or surrendered may apply to the
- 22 commission for an order lifting the suspension or reinstating
- 23 the certificate. The commission shall order the lifting of the
- 24 suspension or reinstatement if the commission determines it
- 25 would be just and proper. The commission shall seek and consider
- 26 recommendations from the department prior to ordering the
- 27 lifting of the suspension or reinstatement of the certificate
- 28 <u>and employment eliqibility</u> and shall conduct hearings on the
- 29 application at the request of the [professional] educator in
- 30 accordance with procedures [of this act] established by the

- 1 commission in accordance with this act. The commission may also
- 2 seek and consider recommendations from the school entity or
- 3 entities in which the educator was employed. For purposes of
- 4 determining whether it is just and proper to lift a suspension
- 5 or reinstate a certificate, the commission may consider:
- 6 (1) The conduct which resulted in discipline.
- 7 (2) Other past conduct of the applicant.
- 8 (3) The applicant's current attitude toward past conduct.
- 9 (4) Rehabilitation efforts and activities.
- 10 (4.1) Evidence of compliance with any conditions imposed as
- 11 part of the discipline.
- 12 (5) References and letters of support [or] of or in
- 13 opposition to reinstatement.
- 14 (b) The commission shall not lift the suspension or
- 15 reinstate the certificate [of a professional] or employment
- 16 <u>eligibility of an</u> educator if the suspension or revocation
- 17 resulted from any of the following:
- 18 (1) A finding of guilt by the commission for sexual abuse or
- 19 exploitation.
- 20 (2) Surrender of a certificate [in lieu of discipline] or
- 21 employment eligibility for conduct relating to sexual abuse or
- 22 exploitation.
- 23 (c) The commission shall not lift the suspension or
- 24 reinstate the certificate or employment eliqibility of [a
- 25 professional] an educator convicted of an offense under 18
- 26 Pa.C.S. (relating to crimes and offenses) set forth in section
- 27 111(e)(1) through (3) of the act of March 10, 1949 (P.L.30,
- 28 No.14), known as the "Public School Code of 1949" for the time
- 29 period set forth in that section.
- 30 Section 9. Section 17 of the act, amended December 20, 2000

- 1 (P.L.918, No.123), is repealed:
- 2 [Section 17. Unauthorized Release of Information.--(a)
- 3 Except as otherwise provided in section 10, a member, staff
- 4 member or employee of the commission, the Department of
- 5 Education, or any local school entity who releases or gives out
- 6 information received at a commission meeting or hearing or
- 7 through any disciplinary proceedings conducted pursuant to this
- 8 act, without authorization of the commission, is guilty of a
- 9 misdemeanor of the third degree.
- 10 (b) Any material witness or his or her representative who
- 11 releases or gives out information received at a commission
- 12 meeting or hearing involving disciplinary proceedings, or who
- 13 releases or gives out information obtained as a result of direct
- 14 involvement in the investigation of a professional educator or
- 15 in any disciplinary proceedings conducted pursuant to this act,
- 16 without authorization of the commission, is quilty of a
- 17 misdemeanor of the third degree unless this information was
- 18 known to the material witness or his or her representative prior
- 19 to that meeting, hearing or investigation.]
- Section 10. Section 17.1 of the act, added December 20, 2000
- 21 (P.L.918, No.123), is amended to read:
- 22 Section 17.1. Immunity From Liability.--(a) Notwithstanding
- 23 any other provision of law, no person shall be subject to civil
- 24 liability for filing a complaint or for providing information to
- 25 or cooperating with the department or the commission in the
- 26 course of an investigation or proceeding conducted under this
- 27 act. This section shall not apply to malicious action by any
- 28 person or the provision of false information if the person knew
- 29 or had reason to know that the information was false.
- 30 (b) A school entity which provides information about the

- 1 professional conduct of a former or current employe to a
- 2 prospective employer of that employe is immune from civil
- 3 liability for the disclosure of the information.
- 4 (c) This section shall not apply to malicious action by any
- 5 person or school entity or the provision of false information if
- 6 the person or school entity knew, or had reason to know, that
- 7 the information was false.
- 8 Section 11. The act is amended by adding a section to read:
- 9 <u>Section 17.2. Confidentiality.--(a) All information</u>
- 10 relating to any complaints or any proceedings relating to or
- 11 resulting from such complaints, including the identity of the
- 12 complainant, shall remain confidential, unless or until
- 13 <u>discipline is imposed</u>, other than a private reprimand or a
- 14 <u>supplemental sanction deemed private by the commission, any</u>
- 15 provision of law to the contrary notwithstanding unless
- 16 otherwise specified in this act. All records pertaining to
- 17 proceedings resulting in public discipline, excluding those
- 18 records that are privileged or otherwise protected from release,
- 19 shall become public after the exhaustion of all appeals except
- 20 where the commission has determined that immediate discipline is
- 21 necessary. Records pertaining to immediate discipline
- 22 proceedings are public at the time that the immediate discipline
- 23 is imposed.
- 24 (b) Any person who releases or gives out information
- 25 received at a commission meeting or hearing or through any
- 26 disciplinary proceedings, including investigations conducted
- 27 <u>pursuant to this act</u>, <u>without authorization of the commission</u>
- 28 commits a misdemeanor of the third degree.
- 29 <u>(c) This section shall not prohibit any person from</u>
- 30 disclosing information previously made public as a result of

- 1 <u>action by a school entity to dismiss an employe for cause or as</u>
- 2 a result of an employe's having been formally indicted for or
- 3 convicted of a crime or from disclosing information that was
- 4 known prior to the disciplinary proceeding.
- 5 (d) The provisions of this section shall not apply to
- 6 <u>information relating to reinstatements or to proceedings under</u>
- 7 section 9.2 or 9.5.
- 8 (e) The commission may order the release of confidential
- 9 <u>information upon petition of any interested party when it is</u>
- 10 just and proper. Petitions for release of information deemed
- 11 confidential under this section shall be filed with the
- 12 <u>commission in accordance with procedures established by the</u>
- 13 <u>commission</u>.
- 14 <u>(f) Nothing in this section shall be construed to:</u>
- 15 (1) Deny an educator access to information necessary to
- 16 prepare a defense in a disciplinary proceeding under this act.
- 17 (2) Prevent the department or a school entity from
- 18 investigating allegations of misconduct. Individuals contacted
- 19 in the course of the department's investigation and prosecution
- 20 are subject to the confidentiality proscriptions set forth in
- 21 this section.
- 22 (3) Prevent the department or a school entity from providing
- 23 information to, or consulting with, a law enforcement, child
- 24 protective services or licensing agency of the Commonwealth or
- 25 other state or jurisdiction.
- 26 Section 12. Section 18 of the act, amended December 20, 2000
- 27 (P.L.918, No.123), is amended to read:
- 28 Section 18. Commission Proceedings and Procedures. -- (a) The
- 29 commission shall conduct its disciplinary proceedings in
- 30 accordance with the provisions of this act and Title 2 of the

- 1 Pennsylvania Consolidated Statutes (relating to administrative
- 2 law and procedure), unless otherwise specified in this act; if
- 3 any inconsistency arises, the provisions of this act shall be
- 4 controlling. [Any] <u>In all disciplinary proceedings conducted by</u>
- 5 or on behalf of the commission, the educator is entitled to
- 6 represent himself or be represented by legal counsel. In all
- 7 <u>public hearings conducted by the commission, a person is</u>
- 8 entitled to be heard by the commission in person, in writing,
- 9 <u>through counsel</u> or through his or her designated
- 10 representative[,] in accordance with procedures adopted pursuant
- 11 to this act. The commission shall enter as a matter of record
- 12 the minutes of each meeting, every vote taken by the commission
- 13 and every official act of the commission.
- 14 [(b) In all investigations or disciplinary proceedings, the
- 15 commission is authorized to issue subpoenas as provided for by
- 16 law to compel the attendance and testimony of witnesses and the
- 17 production of books, records, documents and other evidentiary
- 18 material. A professional educator shall have five days from
- 19 service to respond to a subpoena.]
- 20 (c) No commissioner shall vote in any case where:
- 21 (1) the [professional] educator who is the subject of the
- 22 proceeding is employed by the same school entity[; or] as the
- 23 commissioner;
- 24 (2) the [professional] educator who is the subject of the
- 25 proceeding is a member of a Statewide [professional] educator
- 26 organization of which the commissioner is an officer, director
- 27 or employe[.];
- 28 (3) the commissioner has filed a misconduct complaint as
- 29 permitted under this act against the educator; or
- 30 (4) the commissioner has any personal and independent

- 1 knowledge of the educator or issues in the case that would
- 2 compromise the commissioner's ability to make an impartial
- 3 decision.
- 4 Section 13. The act is amended by adding sections to read:
- 5 Section 18.2. Subpoenas. -- (a) The commission or its legal
- 6 <u>counsel</u>, as its designee, shall have the power:
- 7 (1) To issue investigatory subpoenas upon petition by the
- 8 <u>department for purposes of reviewing a complaint and</u>
- 9 <u>investigating alleged educator misconduct under this act.</u>
- 10 (2) To issue subpoenas upon petition by the parties after
- 11 the filing of charges as provided for under the provisions of
- 12 this act and 2 Pa.C.S. (relating to administrative law and
- 13 procedure) to compel the attendance and testimony of witnesses
- 14 and the production of books, records, documents and other
- 15 evidentiary material.
- 16 (b) A subpoena issued under this act shall clearly indicate
- 17 on its face that the subpoena is issued in connection with a
- 18 confidential proceeding and a breach of confidentiality by the
- 19 persons or entity subpoenaed may result in a civil penalty or
- 20 misdemeanor.
- 21 Section 18.3. Disposition of Fees and Fines Collected.--All
- 22 fees, fines and civil penalties shall be paid into the State
- 23 Treasury through the department and credited to a restricted
- 24 revenue account in the General Fund, which is hereby
- 25 established. Funds in the account may be utilized to the extent
- 26 of expenditures incurred by the department and the commission in
- 27 the implementation of their respective duties under this act.
- 28 Section 14. This act shall take effect in 60 days.