THE GENERAL ASSEMBLY OF PENNSYLVANIA

HOUSE BILL

No. 2095

Session of 1981

INTRODUCED BY O'DONNELL, MANDERINO, HOEFFEL, COWELL, DUFFY, ZWIKL, BLAUM, BROWN, KUKOVICH, WACHOB, CUNNINGHAM, PERZEL, PISTELLA, MICHLOVIC, MORRIS, SHOWERS, RYBAK, CLARK AND KOWALYSHYN, NOVEMBER 24, 1981

REFERRED TO COMMITTEE ON STATE GOVERNMENT, NOVEMBER 24, 1981

AN ACT

- 1 Amending the act of September 30, 1961 (P.L.1778, No.712),
- 2 entitled, as amended, "An act regulating the practice of
- 3 lobbying and providing penalties, "adding and changing
- 4 certain definitions, further providing for lobbyist
- 5 registration and expense statements and providing further
- 6 powers and duties of the State Ethics Commission.
- 7 The General Assembly of the Commonwealth of Pennsylvania
- 8 hereby enacts as follows:
- 9 Section 1. Sections 2 and 3, act of September 30, 1961
- 10 (P.L.1778, No.712), known as the "Lobbying Registration and
- 11 Regulation Act, amended October 7, 1976 (P.L.1051, No.212), are
- 12 amended to read:
- 13 Section 2. Definitions.--As used in this act:
- 14 "Agency" means any department, departmental administrative
- 15 board or commission, independent administrative board or
- 16 commission, officer or other agency of this Commonwealth, now in
- 17 existence or hereafter created, having Statewide jurisdiction,
- 18 empowered to determine or affect private rights, privileges,
- 19 immunities or obligations by regulation or adjudication, but

- 1 shall not include a court of record nor a magistrate, alderman
- 2 or justice of the peace.
- 3 ["Compensation" means anything of economic value, however
- 4 designated, which is paid, loaned, granted, given, donated or
- 5 transferred, or to be paid, loaned, granted, given, donated or
- 6 transferred for or in consideration to any person for lobbying:
- 7 Provided, however, That such thing of economic value be in
- 8 excess of five hundred dollars (\$500) per calendar year.
- 9 ["Expenses"] <u>"Expenditures"</u> means any [expenditures] <u>expenses</u>
- 10 made or obligations incurred [for], including meals and
- 11 entertainment of others, the costs of communication to the
- 12 General Assembly or any agency and any other expenditure
- 13 connected with lobbying[. Expenses shall not be construed to
- 14 mean the salaries or fees paid to or received by a lobbyist or
- 15 the cost of a lobbyist maintaining office facilities], salaries,
- 16 benefits, rent, office expenses and travel.
- 17 <u>"Commission" means the State Ethics Commission.</u>
- 18 "Formal action" means promulgation, adoption, amendment or
- 19 repeal of any regulation.
- 20 "Legislation" means bills, resolutions, amendments and
- 21 nominations pending or proposed in either the House of
- 22 Representatives or the Senate, including any other matter which
- 23 may become the subject of action by either House.
- 24 ["Lobbying" and "lobby" mean:
- 25 (i) to advocate the passage or defeat of legislation to
- 26 members or staff of the General Assembly, or the approval or
- 27 veto of legislation to the Governor or his staff; or
- 28 (ii) to advocate to officers or employes of any agency that
- 29 the agency take or refrain from taking any formal action, or
- 30 that any agency engage in lobbying as defined in subparagraph

- 1 (i).
- 2 "Lobbyist" means any natural person who:
- 3 (i) Is employed or engaged for compensation, by any other
- 4 person or any partnership, committee, association, corporation
- 5 or any other organization to lobby.
- 6 (ii) Makes expenditures or incurs obligations on behalf of
- 7 any one person he represents in excess of three hundred dollars
- 8 (\$300) in any calendar month except that a natural person shall
- 9 not be considered a lobbyist when he:
- 10 (A) Formally communicates with or formally presents
- 11 testimony before an agency of the Commonwealth or the General
- 12 Assembly.
- 13 (B) Testifies before a committee of the General Assembly.
- 14 (C) Is an officer, official or employe of the Commonwealth
- 15 of Pennsylvania or any political subdivision thereof and is
- 16 acting in his official capacity.]
- 17 "Lobbying" and "lobby" means to communicate to members or
- 18 staff of the General Assembly or any officer or employe of an
- 19 agency directly or by agents or employes for the purpose of
- 20 advocating:
- 21 (i) the passage, defeat, amendments, approval or veto of
- 22 legislation; or
- 23 (ii) taking, refraining from taking or modifying and formal
- 24 <u>action by any agency.</u>
- 25 <u>"Lobbyist" means any person, partnership, committee,</u>
- 26 <u>association</u>, corporation or other organization who lobbies
- 27 directly or by agents or who employs, hires or constructs with
- 28 any person, partnership, committee, association, corporation or
- 29 other organization and expends five hundred dollars (\$500) or
- 30 more per year in connection with such lobbying activity. A

- 1 lobbyist shall not include an officer, official or employe of
- 2 the Commonwealth of Pennsylvania or any political subdivision
- 3 thereof and is acting in his official capacity.
- 4 "Pecuniary benefit" means money, goods, services, or anything
- 5 else having a monetary value.
- 6 ["Person" means any individual, partnership, committee,
- 7 association, corporation or other organization.
- 8 "Regulation" means any rule, regulation or order in the
- 9 nature of a rule or regulation, including formal and informal
- 10 opinions of the Attorney General, of general application and
- 11 future effect, promulgated by an agency under statutory
- 12 authority in the administration of any statute administered by
- 13 or relating to the agency, or prescribing the practice or
- 14 procedure before such agency.]
- 15 Section 3. Registration of Lobbyists Required.--(a) Within
- 16 [five] the first thirty days [after a lobbyist engages in
- 17 lobbying for the first time] in any calendar year [such] <u>each</u>
- 18 lobbyist shall submit to the [Chief Clerk of the House of
- 19 Representatives and the Secretary of the Senate] commission a
- 20 registration statement made under oath or affirmation before an
- 21 officer authorized by law to administer oaths, setting forth the
- 22 name and business address of the lobbyist[, the name and address
- 23 of the person, partnership, committee, association, corporation
- 24 or other organization by whom he is employed or engaged, in
- 25 whose interest he will lobby and the duration of his employment.
- 26 (b) Each time during any calendar year that a lobbyist first
- 27 represents the interests of, or is first compensated by, a
- 28 person not listed in the registration statement required in
- 29 subsection (a), he shall within five days file a supplemental
- 30 statement containing the information required in subsection

- 1 (a)].
- 2 Section 2. Section 6 of the act is amended to read:
- 3 Section 6. Compilation and Printing of Facts from
- 4 Registration Statements. -- The facts set forth in registration
- 5 statements submitted to the [Chief Clerk of the House of
- 6 Representatives and the Secretary of the Senate] commission
- 7 during each month of each session of the General Assembly shall
- 8 be compiled [by them, acting jointly,] and printed within thirty
- 9 days [thereafter in the Appendix].
- 10 Section 3. Section 7 of the act, amended October 7, 1976
- 11 (P.L.1051, No.212), is amended to read:
- 12 Section 7. Filing of Statement of Expenses and Obligations
- 13 Required.--(a) Every lobbyist subject to the expenditures
- 14 provision of subparagraph (ii) of section 2, defining lobbyist,
- 15 for any month, shall on January 30 and July 30 for the periods
- 16 ending December 31 and June 30 respectively file with the [Chief
- 17 Clerk of the House of Representatives and the Secretary of the
- 18 Senate] <u>commission</u> a sworn statement of [the] <u>all</u> expenditures
- 19 made and obligations incurred [by him or any agent] in
- 20 connection with or relative to his <u>lobbying</u> activities [as such
- 21 lobbyist] for the preceding months of the period. Such
- 22 statements should separately state amounts spent for (i) meals,
- 23 (ii) entertainment, (iii) the cost of communication to the
- 24 General Assembly or to the Governor or his staff or to an
- 25 agency, (iv) expenses incurred for salaries and other
- 26 employment benefits, rent and other office expenses and travel,
- 27 and [(iv)] (v) other expenditures connected with lobbying. In
- 28 addition to stating the expenses incurred, the sworn statement
- 29 shall identify by name and position any elected or appointed
- 30 official or any other employe of the Commonwealth of

- 1 Pennsylvania who receives any pecuniary benefit in connection
- 2 with such lobbying in excess of one hundred fifty dollars (\$150)
- 3 in any period as a result of expenses paid or obligations
- 4 incurred by the lobbyist or his agent. The statement shall
- 5 separately set forth the monthly expenses incurred in connection
- 6 with lobbying on behalf of each person in whose interest the
- 7 lobbyist has engaged in lobbying during the preceding period.
- 8 [(b) Where lobbyist fails or refuses to comply with
- 9 subsection (a) of section 7, the person, partnership, committee,
- 10 association, corporation or other organization in whose interest
- 11 the lobbyist is employed, must report the amount advanced or
- 12 reimbursed the lobbyist for the reporting period.
- (c)] (b) All information required to be reported or filed
- 14 under any provision of this act shall be rendered in such form
- 15 as shall be prescribed [jointly by the Chief Clerk of the House
- 16 of Representatives and the Secretary of the Senate] by the
- 17 <u>commission</u>. These statements shall be open to the public for
- 18 inspection during regular business hours, and copies shall be
- 19 furnished to any member of the public upon request at cost.
- 20 Section 4. This act shall take effect January 1, 1982.